

# STATE OF NEBRASKA 2012 ANNUAL PERFORMANCE REPORT

for the  
**Nebraska**  
**Housing and Community Development Programs**  
**Consolidated Plan**

Including **Federal Programs:**  
Non-entitlement Community Development Block Grant (CDBG)  
HOME Investment Partnerships (HOME)  
Emergency Solutions Grant (ESG)  
Housing Opportunities for Persons With AIDS (HOPWA)  
and the **State Programs:**  
Homeless Shelter Assistance Trust Fund (HSATF)  
Nebraska Affordable Housing Trust Fund (NAHTF)

July 1, 2012 – June 30, 2013

Department of Economic Development  
Community and Rural Development Division

NEBRASKA  
possibilities...endless<sup>SM</sup>

**Nebraska Department of Economic Development  
Community and Rural Development Division**

Home Office and Central, Northeast, and Southeast Nebraska Housing Field Offices

PO Box 94666	Toll Free (800) 426-6505
301 Centennial Mall South	Fax (402) 471-8405
Lincoln, NE 68509-4666	<a href="http://www.neded.org">http://www.neded.org</a>

Western Nebraska Housing Field Office

PO Box 258	(308) 889-3420
Big Springs, NE 69122-0258	Fax (308) 889-3485
	<a href="http://www.neded.org">http://www.neded.org</a>

- Kevin Andersen**, CDA Coordinator/Development Consultant ..... (402) 471-3775  
*kevin.s.andersen@nebraska.gov*
- Steve Charleston**, Division Deputy Director & CDBG Program Manager ..... (402) 471-3757  
*steve.charleston@nebraska.gov*
- Bob Doty**, Housing Program Manager..... (402) 471-2095  
*bob.doty@nebraska.gov*
- Libby Elder**, Legal Counsel ..... (402) 471-3762  
*libby.elder@nebraska.gov*
- Brian Gaskill**, Consolidated Plan Coordinator/Housing Coordinator ..... (402) 471-2280  
*brian.gaskill@nebraska.gov*
- Dave Honz**, Economic Development Financial Packager..... (402) 471-3763  
*dave.honz@nebraska.gov*
- Mikhaila Hosler**, Northeast Region Housing Representative ..... (402) 471-4862  
*mikhaila.hosler@nebraska.gov*
- Lara Huskey**, Division Director/Operations Director ..... (402) 471-3759  
*lara.huskey@nebraska.gov*
- Stew Jobs**, Nebraska Progress Loan Fund Coordinator.....(402) 471-3114  
*stew.jobs@nebraska.gov*
- Bob Jones**, Southeast Region Housing Representative.....(402) 471-3742  
*bob.jones@nebraska.gov*
- Carol Malcolm**, Community Development Consultant.....(402) 471-3745  
*carol.malcolm@nebraska.gov*
- Terry McAuliffe**, Federal Grant Administrator ..... (402) 471-3680  
*terry.mcauliffe@nebraska.gov*
- \*Kristi McClung**, Western Region Housing Representative..... (308) 889-3420  
*kristi.mcclung@nebraska.gov*
- Anissa Rasmussen**, Housing Application Coordinator.....(402) 471-7999  
*anissa.rasmussen@nebraska.gov*
- Sara Tichota**, Housing Monitoring Coordinator.....(402) 471-4169  
*sara.tichota@nebraska.gov*

*\*Regional Housing Field Offices*

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**Nebraska Department of Health and Human Services  
Division of Children and Family Services**

**Nebraska Homeless Assistance Program**

Jodie Gibson, Economic Assistance Administrator  
PO Box 95026  
220 South 17<sup>th</sup> Street, 2<sup>nd</sup> Floor  
Lincoln, NE 68509-5026

Phone (402) 471-1069  
[Jodie.Gibson@nebraska.gov](mailto:Jodie.Gibson@nebraska.gov)

Cindy Kadavy, Coordinator  
PO Box 95026  
220 South 17<sup>th</sup> Street, 2<sup>nd</sup> Floor  
Lincoln, NE 68509-5026

Phone (402) 471-9258  
[cindy.kadavy@nebraska.gov](mailto:cindy.kadavy@nebraska.gov)

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**Nebraska Department of Health and Human Services  
Division of Public Health**

**Housing Opportunities for Persons With AIDS Program**

Judy Anderson, HOPWA Program Manager  
PO Box 95026  
Lincoln, NE 68509-5026

Phone (402) 471-0937  
[judy.anderson@nebraska.gov](mailto:judy.anderson@nebraska.gov)

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<b>ACRONYM LIST</b>	
<b>AIDS</b>	<b>Acquired Immune Deficiency Syndrome</b>
<b>APR</b>	<b>Annual Performance Report</b>
<b>CDBG</b>	<b>Community Development Block Grant</b>
<b>CFR</b>	<b>Code of Federal Regulations</b>
<b>CHAS</b>	<b>Comprehensive Housing Affordability Strategy</b>
<b>CHDO</b>	<b>Community Housing Development Organization</b>
<b>CNF</b>	<b>Community Need Factors</b>
<b>CoC</b>	<b>Continuum of Care</b>
<b>CR</b>	<b>Comprehensive Revitalization</b>
<b>CRD</b>	<b>Community and Rural Development</b>
<b>CWSRF</b>	<b>Clean Water State Revolving Fund</b>
<b>DED or NDED</b>	<b>Nebraska Department of Economic Development</b>
<b>DEQ</b>	<b>Nebraska Department of Environmental Quality</b>
<b>DHHS or NDHHS or HHS</b>	<b>Nebraska Department of Health and Human Services</b>
<b>DTR</b>	<b>Downtown Revitalization</b>
<b>DWSRF</b>	<b>Drinking Water State Revolving Fund</b>
<b>ED</b>	<b>Economic Development</b>
<b>ESG</b>	<b>Emergency Shelter Grant</b>
<b>HCDA</b>	<b>Housing and Community Development Act</b>
<b>HIV</b>	<b>Human Immunodeficiency Virus</b>
<b>HMIS</b>	<b>Homeless Management Information System</b>
<b>HOPWA</b>	<b>Housing Opportunities for Persons With AIDS</b>
<b>HSATF</b>	<b>Homeless Shelter Assistance Trust Fund</b>
<b>HUD</b>	<b>U. S. Department of Housing and Urban Development</b>
<b>LMA</b>	<b>Low to Moderate Income Area Benefit</b>
<b>LMC</b>	<b>Low to Moderate Income Limited Clientele</b>
<b>LMH</b>	<b>Low to Moderate Income Housing</b>
<b>LMI</b>	<b>Persons with Low to Moderate Income</b>
<b>LMJ</b>	<b>Low to Moderate Income Jobs</b>
<b>MOU</b>	<b>Memorandum of Understanding</b>
<b>NAHP</b>	<b>Nebraska Affordable Housing Program</b>
<b>NAHTF</b>	<b>Nebraska Affordable Housing Trust Fund</b>
<b>NCHH</b>	<b>Nebraska Commission on Housing and Homelessness</b>
<b>NCIP</b>	<b>Nebraska Community Improvement Program</b>
<b>NDO</b>	<b>Nonprofit Development Organization</b>
<b>NHAP</b>	<b>Nebraska Homeless Assistance Program</b>
<b>NIFA</b>	<b>Nebraska Investment Finance Authority</b>
<b>NMIS</b>	<b>Nebraska Management Information System</b>
<b>NOFA</b>	<b>Notice of Funding Availability</b>
<b>PW</b>	<b>Public Works</b>
<b>RFA</b>	<b>Request For Applications</b>
<b>RLF</b>	<b>Revolving Loan Fund</b>
<b>TA</b>	<b>Technical Assistance</b>
<b>USDA</b>	<b>U.S. Department of Agriculture</b>
<b>WW</b>	<b>Water Wastewater</b>
<b>WWAC</b>	<b>Water Wastewater Advisory Committee</b>

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***Section One:***  
Introduction and Citizen Participation

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# Introduction

## Purpose

The Consolidated Annual Performance Evaluation Report (CAPER) reports annually on progress made under the State of Nebraska's *Consolidated Plan*, a five-year plan addressing the state's community and rural development needs. The purpose of the CAPER is to:

1. **Show** the State's five-year priorities and strategies for housing, homelessness, community development, and economic development;
2. **Summarize** the actions taken during the 2012 program year to meet the five-year strategies;
3. **Report** how funds received from these programs were used to meet the identified priorities;
4. **Describe** the State's method for distributing Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant Program (ESG), Housing Opportunities for Persons With AIDS (HOPWA), Homeless Shelter Assistance Trust Fund (HSATF) funds, and Nebraska Affordable Housing Trust Fund (NAHTF) funds. Activities funded from these programs must meet the priorities identified in the five-year *Consolidated Plan*;
5. **List** the resources that may be used by grantees and the state to further the five-year strategies;
6. **Report** actions taken by the state that are required by the U.S. Department of Housing and Urban Development (HUD), such as lead-based paint actions and removal of barriers to affordable housing; and
7. **Review** citizen comments about the CAPER and provide a response.

## Amount of Funds

This report summarizes the distribution of funds for four federal programs and two state programs for the 2012 Program Year. Funds received for these programs totaled over \$21 million:

- \$9,937,502 in CDBG funds
- \$3,000,000 in HOME funds
- \$1,126,183 in ESG funds
- \$358,165 in HOPWA funds
- \$1,600,000 in HSATF funds
- \$5,300,000 in NAHTF funds

The CAPER also describes program priorities, use of funds, and any funds (1) remaining or recaptured from previous CDBG allocations, (2) reallocated by HUD to the State and (3) program income distributed by the State during the 2012 Program Year.

## **The 2012 CAPER**

The 2012 CAPER is the third of five annual performance evaluation reports. Each report describes the one-year actions and funding priorities used to carry out the five-year *Consolidated Plan*, a comprehensive planning document identifying the state's housing development, economic development, and community development needs. As the lead state agency responsible for the five-year *Consolidated Plan*, the Department of Economic Development (DED) must also complete the Annual Action Plans and Annual Performance Evaluation Reports to receive federal funds for the HUD CDBG, HOME, ESG, and HOPWA programs. Covering all areas of the state (except Omaha and Lincoln, which are required to submit and prepare their own Consolidated Plans), the *Consolidated Plan* establishes funding priorities for these programs, outlines strategies, and annually identifies a one-year action plan for program implementation and funding.

Specifically the purpose of this CAPER is to demonstrate how activities undertaken during the 2012 Program Year address pertinent strategies and priorities identified in the 2010-2014 Consolidated Plan. Sections two, three, four, six, and seven of the CAPER give the major priorities of the State and the strategies that were set in the *Consolidated Plan*. These sections go into more detail by stating the objectives and performance measurements that were set for each strategy for the 2012 Program Year. A progress report is given for each performance measurement. The progress reports summarize the activities that were taken during the 2012 Program Year so that citizens reviewing the report are able to assess the progress that has been made towards meeting the 5-year priorities and strategies that were established in the *Consolidated Plan*.

Strategies do not describe every activity to be undertaken by the State. Instead, the strategies provide direction in the form of a priority need with objectives, performance measures, and completion dates. Objectives serve as examples of the activities to be initiated during those five years. Strategies and objectives may be added, deleted, or modified in subsequent Annual Action Plans.

## **Nebraska's Consolidated Planning Process**

In summary, Nebraska's Consolidated Planning Process serves the following functions:

- Provide a 5 year planning document for the State which builds on a comprehensive consultation and citizen participation process;
- Serve as an application for federal funds under HUD's formula grant programs;
- Provide strategies for housing, homelessness, community and economic development;
- Develop an action plan that provides a basis for measuring and assessing performance; and
- Provide annual performance and evaluation reports.

The consolidated planning process is an opportunity for strategic planning and citizen participation to take place in a comprehensive context. The process brings local governments, community organizations, state and federal agencies, service providers, and citizens together to address the larger picture in which the programs operate. It also offers the state an opportunity to shape the various programs into an efficient continuum of service delivery.

Strategies and recommendations were developed with public input and consultation from advisory groups, local community leaders, concerned citizens, nonprofit organizations, advocacy groups, the private sector and representatives of state and federal agencies.

HUD specifies a number of elements for a State Consolidated Plan including:

- an assessment of housing, homeless, community and economic development needs for the ensuing five-year period;
- an analysis of the state's housing market;
- a discussion of the State's priority needs, strategies, and objectives for housing and community development activities;
- an action plan that describes the State's method for distributing funds to carry out activities using funds that are expected to be received under formula allocations and other HUD assistance during the Program Year and;
- performance measures to assure that the delivery of these needed housing and community development products and services are provided.

## **Citizen Participation**

### **Opportunities for Public Participation**

Public participation with the 2012 Consolidated Annual Performance Evaluation Report (CAPER) (including review by the advisory groups) is important to the state. Citizens and other interested parties are given access to information and records relating to the CAPER and programs it covers.

Copies of Annual Performance Evaluation Reports, Annual Action Plans, the *Consolidated Plan*, and any other relevant information are all available by contacting anyone within the Department of Economic Development and also are on the Department's web-site at:

<http://www.neded.org/community/grants/documentlibrary-a-forms/consolidated-plan>

### **Comment Period**

The public comment period began on September 6, 2013 and ended September 20, 2013 for the Proposed 2012 Consolidated Annual Performance Evaluation Report.

The State of Nebraska received no comments concerning the Proposed CAPER.

### **Public Notices and Press Coverage**

- Public notices announcing the public comment period were placed in the Norfolk Daily News, the Kearney Hub, and the Alliance Times Herald.
- A news release announcing the comment period was sent to media statewide.
- The proposed CAPER was available on the Department's website at:

<http://www.neded.org/community/grants/documentlibrary-a-forms/annual-performance-report>

- The availability of the CAPER on the web was emailed to DED and DHHS distribution lists.

### **Targeted Mailings**

- The Notice of Public Comment Period for the proposed CAPER was mailed or emailed to members of the State's official advisory group including the Nebraska Commission on Housing and Homelessness. In addition, the notice of public comment period was also mailed or emailed to State Senators, Nebraska's Congressional Delegates, the Governor, the Lieutenant Governor, and local government officials. The Notice was also distributed via Twitter.

### **Advisory Groups**

Official advisory group to the State on the 2012 CAPER are the Nebraska Commission on Housing.

## **Criteria for Substantial Change**

DED reserves the right to make non-substantive changes to the *Consolidated Plan* (including Annual Action Plans and Performance Evaluation Reports) without opening a public comment period. A change to the *Consolidated Plan*, which constitutes an amendment or a substantial change must meet one or more of the following criteria:

- Addition or deletion of a priority.
- Addition of a strategy not consistent with an existing priority.
- Deletion of a strategy that was previously included.

## **Performance Measurement System**

Nebraska's performance measurement system for the identification, determination, tracking and reporting of demonstrated benefits has been developed using the final guidance provided in the Federal Register/ Vol. 71, No. 44 released March 7, 2006. DED and DHHS Staff attended HUD training on Performance Measurements in calendar year 2006.

The State has revised reporting forms for the purpose of collecting output indicators to report objectives and outcomes as indicated in the Federal Register Notice of Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

Performance measurements are beginning to be tracked through the Integrated Disbursement and Information System (IDIS). Outcome Indicators are reported on as part of the 2012 Consolidated Annual Performance Evaluation Report.

***Section Two:***  
Housing Priority

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**Housing  
Priority Statement & Objectives**

**HOUSING PRIORITY: RESPOND TO NEEDS FOR AFFORDABLE, DECENT, SAFE  
AND APPROPRIATE HOUSING AS A PART OF BALANCED ECONOMIC  
DEVELOPMENT IN NEBRASKA.**

The State of Nebraska's Objectives developed to meet the Housing Priority include:

**Objective One:** Promote additional affordable rental housing and preservation of affordable rental housing in selected markets.

**Objective Two:** Promote housing preservation by improving the quality of Nebraska's existing affordable housing stock.

**Objective Three:** Promote additional households into homeownership by expanding affordable homeownership opportunities.

**Objective Four:** Enhance statewide understanding of fair housing law through outreach and education.

## Actions taken during the 2012 Program Year

The State views the provision of affordable housing as a high priority, especially for persons/families making 100% of median income or less. The State works with public, private and nonprofit organizations to identify needs, fill gaps in services, and provide technical assistance in the area of housing. Actions taken during the 2012 Program Year to further this goal are a result of the priority for funding and objectives set by the State in 2012 in the *Consolidated Plan*.

The following table reports on the 2012 program objectives and measurements. In addition, below the table is an explanation for any Specific Objective that fell below 100% of the Expected Number.

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	
	Specific Annual Objective							
DH-2	Affordability of Decent Housing							
NEW RENTAL UNITS CONSTRUCTED AND RENTAL UNITS REHABILITATED								
DH-2.1	PROMOTE ADDITIONAL AFFORDABLE RENTAL HOUSING AND PRESERVATION OF AFFORDABLE RENTAL HOUSING IN SELECTED MARKETS.	CDBG HOME NAHTF	Number of Affordable Rental Units Newly Constructed.	2010	25	75	100%	
				2011	25	53	100%	
				2012	25	88	100%	
				2013				
				2014				
			Number of units meeting Section 504 Standards.	2010	4	69	100%	
				2011	4	44	100%	
				2012	4	17	100%	
				2013				
				2014				
			Number of units qualified as Energy Star.	2010	0	0	100%	
				2011	0	0	100%	
				2012	0	0	100%	
				2013				
				2014				
	DH-2.1	PROMOTE ADDITIONAL AFFORDABLE RENTAL HOUSING AND PRESERVATION OF AFFORDABLE	CDBG HOME NAHTF	Number affordable rental units rehabilitated.	2010	25	148	100%
					2011	25	0	0%
					2012	25	20	80%
					2013			
					2014			
CDBG HOME NAHTF			Number of rehabilitated affordable rental units meeting Section 504 standards.	2010	0	0	100%	
				2011	0	0	100%	
				2012	0	0	100%	
				2013				
				2014				
CDBG HOME NAHTF	Number of rehabilitated affordable rental units qualified as Energy Star.	2010	0	0	100%			
		2011	0	0	100%			
		2012	0	0	100%			
		2013						
		2014						
	Number of	2010	10	6	60%			

	RENTAL HOUSING IN SELECTED MARKETS. (continued)		rehabilitated affordable rental units created through conversion of non-residential buildings.	2011	10	9	90%			
				2012	10	3	30%			
				2013						
				2014						
			Number of rehabilitated affordable rental units brought from substandard to standard condition (DED Rehabilitation Standards).	2010	10	5	50%			
				2011	10	0	0%			
				2012	10	20	100%			
				2013						
			Number of rehabilitated affordable rental units brought into compliance with lead safe housing rule (24 CFR part 35).	2010	10	0	0%			
				2011	10	0	0%			
				2012	10	20	100%			
				2013						
			HOMEOWNERSHIP UNITS CONSTRUCTED, ACQUIRED AND/OR ACQUIRED WITH REHABILITATION ACTIVITIES							
			OWNER OCCUPIED UNITS REHABILITATED OR IMPROVED							
			DH-2.2	PROMOTE HOUSING PRESERVATION BY IMPROVING THE QUALITY OF NEBRASKA'S EXISTING AFFORDABLE HOUSING STOCK.	CDBG HOME NAHTF	Number of affordable units newly constructed, acquired or acquired with rehabilitation.	2010	150	252	100%
							2011	150	37	25%
2012	150	138					92%			
2013										
2014										
Number of units that are newly constructed, acquired, and/or acquired with rehabilitation that require five years of affordability period.	2010	150				252	100%			
	2011	150				37	25%			
	2012	150				138	92%			
	2013									
	2014									
DH-2.2	PROMOTE HOUSING PRESERVATION BY IMPROVING THE QUALITY OF NEBRASKA'S EXISTING AFFORDABLE	CDBG HOME NAHTF	Number of units constructed, acquired, or acquired with rehabilitation that are Section	2010	5	2	40%			
				2011	5	2	40%			
				2012	5	0	0%			
				2013						
				2014						

	HOUSING STOCK. (continued)		504 accessible.				
			Number of households previously living in subsidized housing.	2010	5	0	0%
				2011	5	11	100%
				2012	5	76	100%
				2013			
		2014					
		CDBG HOME NAHTF	Number of rehabilitated owner-occupied units brought from substandard to standard condition (DED Rehabilitation Standards).	2010	100	121	100%
				2011	100	108	100%
				2012	100	76	100%
				2013			
				2014			
			Number of rehabilitated owner-occupied units qualified as Energy Star.	2010	0	0	100%
				2011	0	0	100%
				2012	0	0	100%
				2013			
				2014			
			Number of rehabilitated owner-occupied units brought into compliance with lead safe housing rule (24 CFR part 35)	2010	10	97	100%
				2011	10	86	100%
				2012	10	60	100%
				2013			
2014							
<b>DIRECT FINANCIAL ASSISTANCE TO HOMEBUYERS</b>							
DH-2.3	PROMOTE ADDITIONAL HOUSEHOLDS INTO HOMEOWNERSHIP BY EXPANDING AFFORDABLE HOMEOWNERSHIP OPPORTUNITIES.	CDBG HOME NAHTF	Number of first-time homebuyers benefitting from direct financial assistance to homebuyers.	2010	50	171	100%
				2011	50	37	74%
				2012	50	99	100%
				2013			
				2014			
DH-2.3	PROMOTE ADDITIONAL HOUSEHOLDS INTO HOMEOWNERSHIP BY EXPANDING AFFORDABLE HOMEOWNERSHIP	CDBG HOME NAHTF	Number of first-time homebuyers benefitting from direct financial assistance that also received housing counseling.	2010	50	171	100%
				2011	50	37	74%
				2012	50	99	100%
				2013			
				2014			
			Number of first-time homebuyers that receive down-payment	2010	50	171	100%
				2011	50	37	74%
				2012	50	99	100%
				2013			
				2014			

	OPPORTUNITIES. (continued)		and closing cost assistance.				
<b>FAIR HOUSING OUTREACH AND EDUCATION</b>							
N/A	ENHANCE STATEWIDE UNDERSTANDING OF FAIR HOUSING LAW THROUGH OUTREACH AND EDUCATION.	CDBG HOME NAHTF	Number of community representatives trained on Fair Housing Act requirements.	2010	25	62	100%
				2011	25	52	100%
				2012	25	13	52%
				2013			
				2014			
			Number of communities that undertook activities to affirmatively further fair housing.	2010	30	19	63%
				2011	30	52	100%
				2012	30	13	43%
				2013			
				2014			

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
DH-2	Affordability of Decent Housing				
	<b>NEW RENTAL UNITS CONSTRUCTED AND RENTAL UNITS REHABILITATED</b>				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number affordable rental units rehabilitated.	2010	25	148	100%	NA
	2011	25	0	0%	No rental projects funded within the 2011 Program Year included units being rehabilitated as all of the projects were for new rental construction.
	2012	25	20	80%	The actual number was below the expected number due to less rental units being rehabilitated and more rental units being newly constructed.
Number of rehabilitated affordable rental units created through conversion of non-residential buildings.	2010	10	6	60%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan.
	2011	10	9	90%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan.
	2012	10	3	30%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan as there were less units rehabilitated and more rental units newly constructed.

<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number of rehabilitated affordable rental units brought from substandard to standard condition (DED Rehabilitation Standards).	2010	10	5	50%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2011	10	0	0%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2012	10	20	100%	NA
Number of rehabilitated affordable rental units brought into compliance with lead safe housing rule (24 CFR part 35).	2010	10	0	0%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2011	10	0	0%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2012	10	20	100%	NA

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
DH-2	Affordability of Decent Housing				
	HOMEOWNERSHIP UNITS CONSTRUCTED, ACQUIRED AND/OR ACQUIRED WITH REHABILITATION ACTIVITIES OWNER OCCUPIED UNITS REHABILITATED OR IMPROVED				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number of affordable units newly constructed, acquired or acquired with rehabilitation.	2010	150	252	100%	NA
	2011	150	37	25%	Less units were constructed than had been anticipated through the development of the Consolidated Plan.
	2012	150	138	92%	Less units were constructed than had been anticipated through the development of the Consolidated Plan.
Number of units that are newly constructed, acquired, and/or acquired with rehabilitation that require five years of affordability period.	2010	150	252	100%	NA
	2011	150	37	25%	All units constructed had at least an affordability period of 5 years, but because there were less units constructed than had been anticipated through the development of the Consolidated Plan, the Actual Number was less than the Expected Number.
	2012	150	138	92%	All units constructed had at least an affordability period of 5 years, but because there were less units constructed than had been anticipated through the development of the Consolidated Plan, the Actual Number was less than the Expected Number.

Number of units constructed, acquired, or acquired with rehabilitation that are Section 504 accessible.	2010	5	2	40%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
	2011	5	2	40%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
	2012	5	0	0%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
Number of households previously living in subsidized housing.	2010	5	0	0%	The Actual Number was below the Expected Number.
	2011	5	11	100%	NA
	2012	5	76	100%	NA

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
DH-2	Affordability of Decent Housing				
	DIRECT FINANCIAL ASSISTANCE TO HOMEBUYERS				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number of first-time homebuyers benefitting from direct financial assistance to homebuyers.	2010	50	171	100%	NA
	2011	50	37	74%	Less first time homebuyers were assisted than anticipated.
	2012	50	99	100%	NA
Number of first-time homebuyers benefitting from direct financial assistance that also received housing counseling.	2010	50	171	100%	NA
	2011	50	37	74%	Less first time homebuyers were assisted than anticipated.
	2012	50	99	100%	NA
Number of first-time homebuyers that receive down-payment and closing cost assistance.	2010	50	171	100%	NA
	2011	50	37	74%	Less first time homebuyers were assisted than anticipated.
	2012	50	99	100%	NA

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-2	Affordability of Decent Housing				
	FAIR HOUSING OUTREACH AND EDUCATION				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of community representatives trained on Fair Housing Act requirements.	2010	25	62	100%	NA
	2011	25	52	100%	NA
	2012	25	13	52%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
Number of communities that undertook activities to affirmatively further fair housing.	2010	30	19	63%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
	2011	30	52	100%	NA
	2012	30	13	43%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.

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## 2012 Awards NAHTF Program Awards

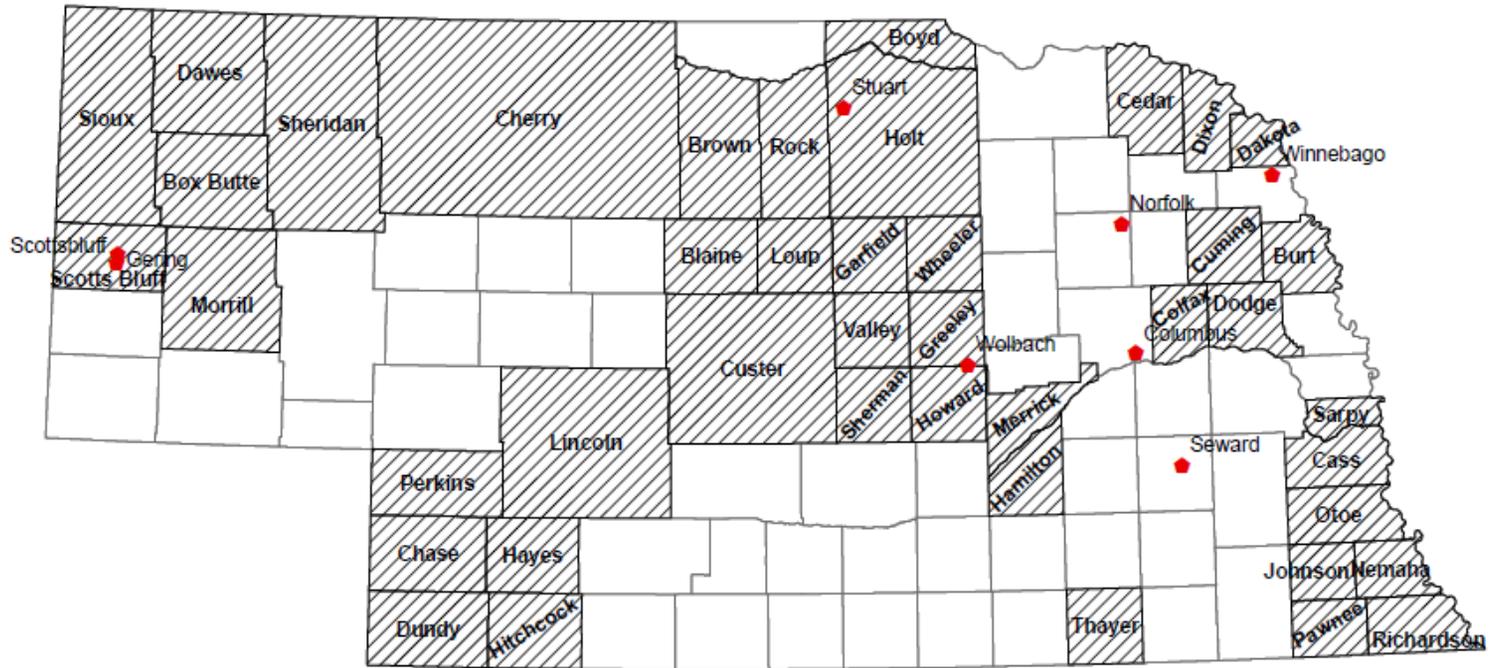
Project Number	Applicant	Activity	Award Amount	Location of Award	# of Units	#VLI units
12-TFHO-6002	Southeast Nebraska Community Action Council	Owner Occupied Rehabilitation	\$400,000	Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, & Sarpy Counties	14	
12-TFHP-1009	City of Gering	New Construction	\$465,000	Gering	5	
12-TFHP-1010	Western Nebraska Housing Opportunities	Down Payment Assistance	\$134,000	Scottsbluff, Gering, & Scotts Bluff County	35	
12-TFHP-1035	High Plains CDC	Down Payment Assistance	\$75,000	Dawes, Sheridan, Sioux, Box Butte, & Morrill Counties	2	
12-TFHP-3052	Southwest Nebraska Community Betterment Corp.	Down Payment Assistance	\$95,650	Chase, Dundy, Hayes, Hitchcock, & Perkins Counties	5	
12-TFHP-5017	Northeast Economic Development, Inc.	New Construction	\$365,800	Burt, Cedar, Colfax, Cuming, Dakota, Dodge, Dixon, & Rural Douglas Counties	4	
12-TFHP-5051	City of Columbus	New Construction Housing & Infrastructure	\$500,000	Columbus	18	
12-TFHP-6005	City of Seward	Down Payment Assistance	\$349,000	Seward	14	
12-TFHP-6006	Southeast Nebraska Community Action Council	Down Payment Assistance with Rehabilitation	\$400,000	Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, & Sarpy Counties	15	
12-TFHP-6016	Thayer County Development Alliance	Down Payment Assistance with Rehabilitation	\$157,500	Thayer County	5	
12-TFHP-2029	Stuart Village Manor	Rental New Construction	\$255,000	Stuart	2	
12-TFRH-2031	Central Nebraska Economic Development, Inc.	Rental Rehabilitation	\$150,780	Blaine, Boyd, Brown, Cherry, Custer, Greeley, Garfield, Hamilton, Holt, Howard, Keya Paha, Loup, Merrick, Rock, Sherman, Valley, and Wheeler Counties	5	

### 2012 Awards NAHTF Program Awards (Continued)

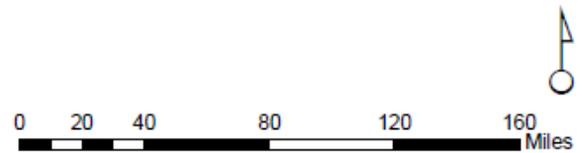
12-TFRH-2032	Wolbach	Rental Rehabilitation	\$126,795	Wolbach	4	
12-TFRH-3012	Lincoln County CDC	Rental Rehabilitation	\$195,040	Lincoln County	4	
12-TFRH-5034	City of Norfolk	Rental Rehabilitation	\$501,905	Norfolk	7	
12-TFTA-1036	High Plains CDC	Operating Assistance	\$25,000	Dawes, Sheridan, Sioux, Box Butte, & Morrill Counties	NA	
12-TFTA-2030	Central Nebraska Economic Development, Inc.	Operating Assistance	\$25,000	Blaine, Boyd, Brown, Cherry, Custer, Greeley, Garfield, Hamilton, Holt, Howard, Keya Paha, Loup, Merrick, Rock, Sherman, Valley, and Wheeler Counties	NA	
12-TFTA-3011	Lincoln County CDC	Operating Assistance	\$25,000	Lincoln County	NA	
12-TFTA-5037	Ho-Chunk CDC	Operating Assistance	\$25,000	Winnebago	NA	
12-TFTA-6004	Southeast Nebraska Community Action Council	Operating Assistance	\$25,000	Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, & Sarpy Counties	NA	
		<b>TOTAL NAHTF AWARDED</b>	<b>\$4,296,470</b>		<b>139 Units</b>	

# 2012 NAHTF Awards

Nebraska Department of Economic Development  
Community and Rural Development Division



- Communities served by NAHTF projects
- ▨ Counties served by NAHTF projects

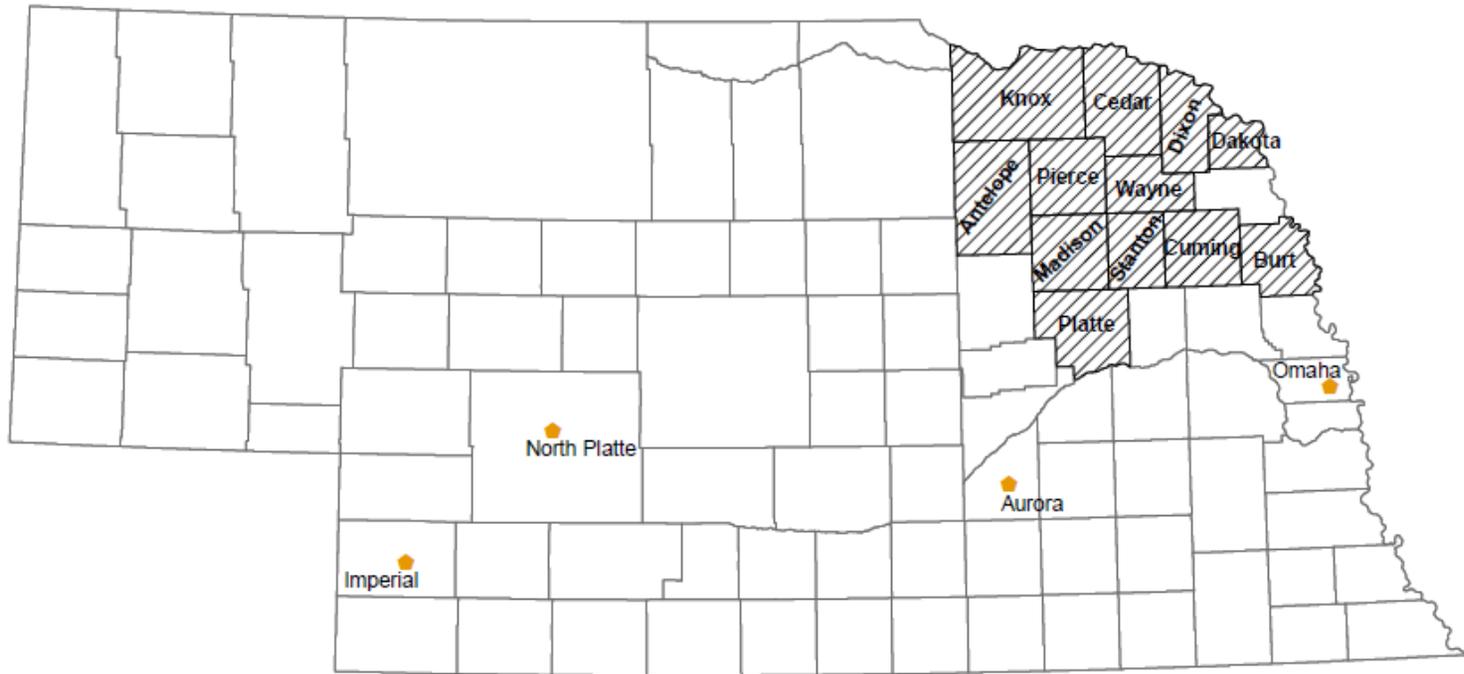


## 2012 Awards HOME Program Awards

Project Number	Applicant	Activity	Award Amount	Location of Award	# of Units	#VLI units
12-CHHP-2001	NEHI, Inc.	Purchase, Rehab, Resale	\$150,000	Knox and Antelope Counties	5	
12-CHHP-3013	Lincoln County CDC	Purchase, Rehab, Resale	\$310,000	North Platte	5	
12-CHHP-5003	NEHI, Inc.	Purchase, Rehab, Resale	\$250,000	Cedar, Dakota, Dixon, & Pierce Counties	8	
12-CHHP-5008	Three Rivers	Purchase, Rehab, Resale	\$150,000	Burt, Cuming, Dodge, & Washington Counties	4	
12-CHHP-5015	NeighborWorks Northeast Nebraska	Purchase, Rehab, Resale	\$500,000	Colfax, Cuming, Madison, Pierce, Platte, Stanton, & Wayne Counties	13	
▲ 12-OERH-3055	Midwest Housing Development Fund	Rental New Construction	\$216,500	Imperial	10	
▲ 12-OERH-4056	Midwest Housing Development Fund	Rental New Construction	\$270,000	Aurora	14	
▲ 12-OERH-7053	Stephen Center	Rental New Construction	\$500,000	Omaha	62	
▲ Tax Credit Projects		<b>TOTAL HOME AWARDED</b>	<b>\$2,346,500</b>		<b>121 Units</b>	

# 2012 HOME Awards

Nebraska Department of Economic Development  
Community and Rural Development Division



- ◆ Communities served by HOME projects
- ▨ Counties served by HOME projects

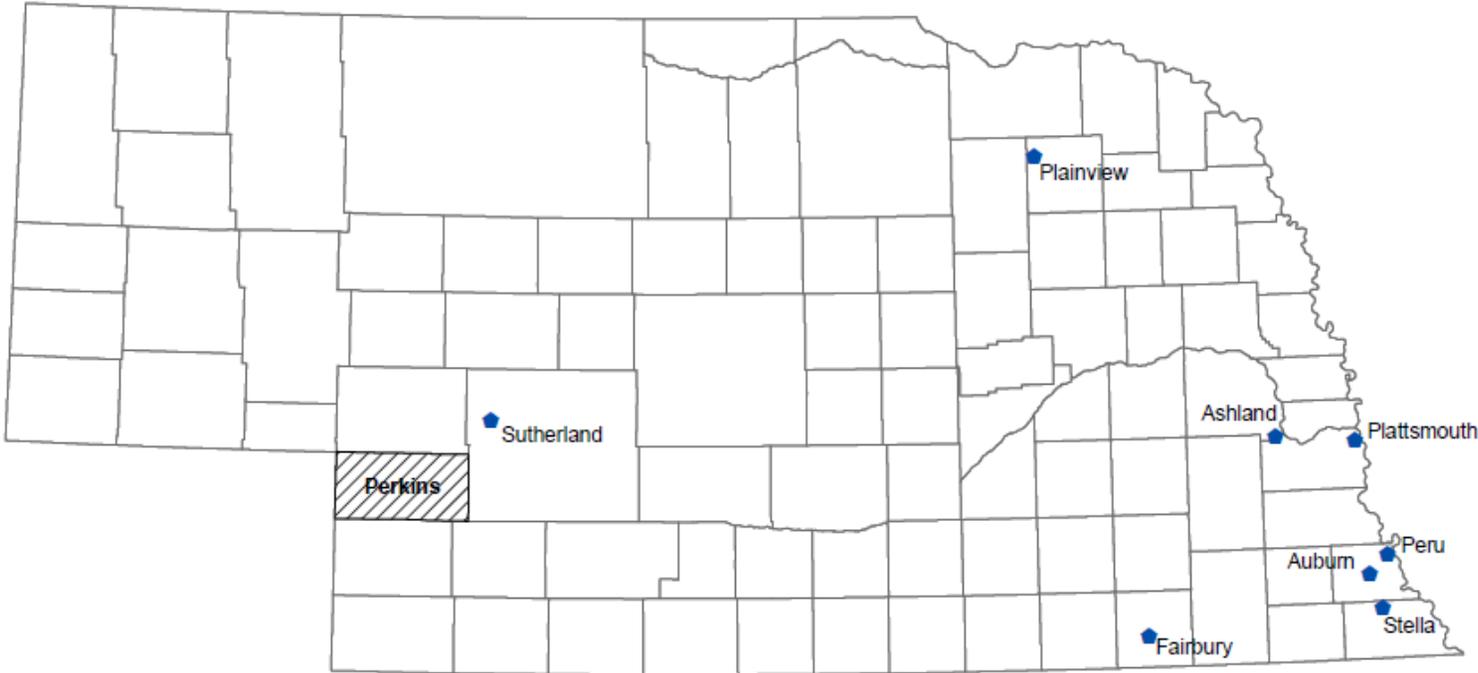


## 2012 Awards CDBG Housing Program Awards

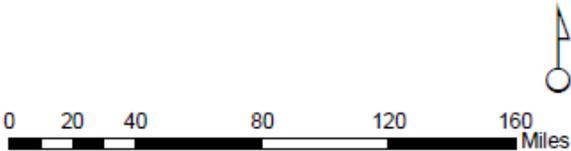
Project Number	Applicant	Activity	Award Amount	Location of Award	# of Units	#VLI units
12-HO-3038	Sutherland	Owner Occupied Rehabilitation	\$160,620	Sutherland	5	
12-HO-3040	Perkins County	Owner Occupied Rehabilitation	\$256,992	Perkins County	8	
12-HO-5043	Plainview	Owner Occupied Rehabilitation	\$224,868	Plainview	8	
12-HO-6039	Fairbury	Owner Occupied Rehabilitation	\$256,992	Fairbury	8	
12-HO-6041	Stella	Owner Occupied Rehabilitation	\$128,496	Stella	4	
12-HO-6042	Peru	Owner Occupied Rehabilitation	\$224,868	Peru	7	
12-HO-6044	Auburn	Owner Occupied Rehabilitation	\$224,868	Auburn	7	
12-HO-6045	Ashland	Owner Occupied Rehabilitation	\$256,992	Ashland	8	
12-HO-6046	Plattsmouth	Owner Occupied Rehabilitation	\$224,868	Plattsmouth	7	
		<b>TOTAL CDBG AWARDED</b>	<b>\$1,959,564</b>		<b>62 Units</b>	

# 2012 CDBG Housing Awards

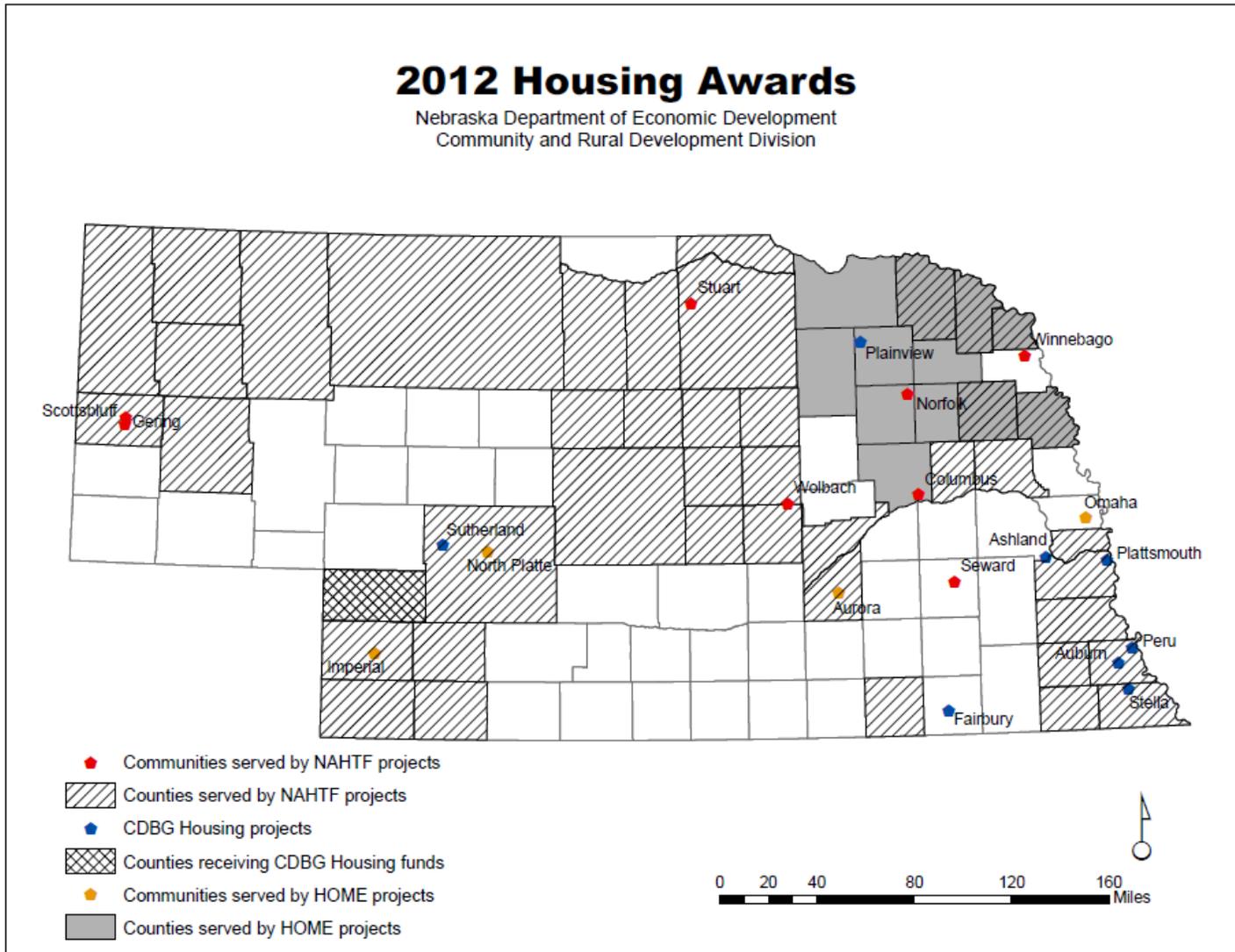
Nebraska Department of Economic Development  
Community and Rural Development Division



- ◆ CDBG Housing projects
- ▨ Counties receiving CDBG Housing funds



Below is a summary of all the communities that received funding the Nebraska Affordable Housing Program (NAHP). As the summary table demonstrates, there was a significant distribution of projects throughout Nebraska in 2012.



***Section Three:***  
**Community Development Priority**

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## Community Development Priority Statement & Objectives

**COMMUNITY DEVELOPMENT PRIORITY: STRENGTHEN NEBRASKA COMMUNITIES THROUGH COMMUNITY DEVELOPMENT PROGRAMS AND SERVICES IN ORDER TO PROVIDE A STABLE PLATFORM FOR ECONOMIC DEVELOPMENT.**

The State of Nebraska's Objectives developed to meet the Community Development Priority include:

**Objective One: Improve the quality of water and wastewater in Nebraska and assist in developing and financing appropriate infrastructure and facilities for communities and counties that have planned and set priorities for long-term development.**

**Objective Two: Invest in quality projects that are identified in a formal community development plan; compliment or support related community investments; leverage maximum private and/or other investment; and have reasonable plans for long-term operation and maintenance.**

**Objective Three: Increase capacity, efficiency, and effectiveness of local planning efforts resulting in long-term development.**

## Actions taken during the 2012 Program Year

Objectives and measurable actions taken between July 1, 2012 and June 30, 2013, are listed below:

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
SL-1	Availability/Accessibility of Suitable Living Environment						
<b>PUBLIC WORKS, WATER AND WASTEWATER, AND INFRASTRUCTURE ACTIVITIES</b>							
SL-1.1	IMPROVE THE QUALITY OF WATER AND WASTEWATER IN NEBRASKA AND ASSIST IN DEVELOPING AND FINANCING APPROPRIATE INFRASTRUCTURE AND FACILITIES FOR COMMUNITIES AND COUNTIES THAT HAVE PLANNED AND SET PRIORITIES FOR LONG-TERM DEVELOPMENT.	CDBG	Number of LMI persons with new access to a facility or infrastructure benefit.	2010	1,000	1,011	100%
				2011	1,000	1,918	100%
				2012	1,000	2,332	100%
				2013			
			2014				
			Number of LMI persons with improved access to a facility or infrastructure benefit.	2010	1,000	2,535	100%
				2011	1,000	2,286	100%
				2012	1,000	2,817	100%
				2013			
			2014				
			Number of LMI persons who previously had access to only substandard facilities or infrastructure services that will now have access to safe, appropriate facilities and/or infrastructure.	2010	500	3,139	100%
				2011	500	1,159	100%
				2012	500	0	0%
				2013			
			2014				
SL-3	Sustainability of Suitable Living Environment						
<b>COMPREHENSIVE REVITALIZATION AND DOWNTOWN REVITALIZATION ACTIVITIES</b>							
SL-3.1	INVEST IN QUALITY PROJECTS THAT ARE IDENTIFIED IN A FORMAL COMMUNITY DEVELOPMENT PLAN; COMPLIMENT OR SUPPORT RELATED COMMUNITY INVESTMENTS; LEVERAGE MAXIMUM	CDBG	Number of LMI persons benefitting from comprehensive revitalization and downtown revitalization activities.	2010	4,000	26,937	100%
				2011	4,000	46,464	100%
				2012	4,000	49,839	100%
				2013			
			2014				
			Amount of public and private funds leveraged from comprehensive	2010	\$1,000,000	\$2,271,200	100%
				2011	\$1,000,000	\$3,182,275	100%
				2012	\$1,000,000	\$251,672	25%
				2013			
			2014				

	PRIVATE AND/OR OTHER INVESTMENT; AND HAVE REASONABLE PLANS FOR LONG-TERM OPERATION AND MAINTENANCE.		revitalization and downtown revitalization activities.				
OTHER	Other Objectives						
PLANNING AND TECHNICAL ASSISTANCE ACTIVITIES							
OTHER-1	INCREASE CAPACITY, EFFICIENCY, AND EFFECTIVENESS OF LOCAL PLANNING EFFORTS RESULTING IN LONG-TERM DEVELOPMENT.	CDBG	Number of LMI persons benefitting from local and regional planning projects	2010	5,000	2,651	53%
				2011	5,000	9,015	100%
				2012	5,000	8,379	100%
				2013			
				2014			
			Amount of public and private funds leveraged through planning projects.	2010	\$100,000	\$80,000	80%
				2011	\$100,000	\$104,400	100%
				2012	\$100,000	\$113,600	100%
				2013			
				2014			
			Number of technical assistance and training sessions conducted for building capacity of local government officials.	2010	4	6	100%
				2011	4	5	100%
				2012	4	14	100%
2013							
2014							

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
SL-1	Availability/Accessibility of Suitable Living Environment				
PUBLIC WORKS, WATER AND WASTEWATER, AND INFRASTRUCTURE ACTIVITIES					
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of LMI persons who previously had access to only substandard facilities or	2010	500	3,139	100%	NA
	2011	500	1,159	100%	NA
	2012	500	0	0%	The actual number was below the expected number due to less activities being completed for persons who previously had access to substandard facilities, etc. as no projects for this category were funded during the 2012 Program Year.

infrastructure services that will now have access to safe, appropriate facilities and/or infrastructure.					
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<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
SL-3	Sustainability of Suitable Living Environment				
	COMPREHENSIVE REVITALIZATION AND DOWNTOWN REVITALIZATION ACTIVITIES				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Amount of public and private funds leveraged from comprehensive revitalization and downtown revitalization activities.	2010	\$1,000,000	\$2,271,200	100%	NA
	2011	\$1,000,000	\$3,182,275	100%	NA
	2012	\$1,000,000	\$251,672	25%	The 2012 Program Year for Comprehensive Revitalization was for a strategic planning year and during that time the required match was 25%. Following the planning year are the implementation years which will require a 1:1 ratio, excluding supplemental awards which require a 1:2 ratio.

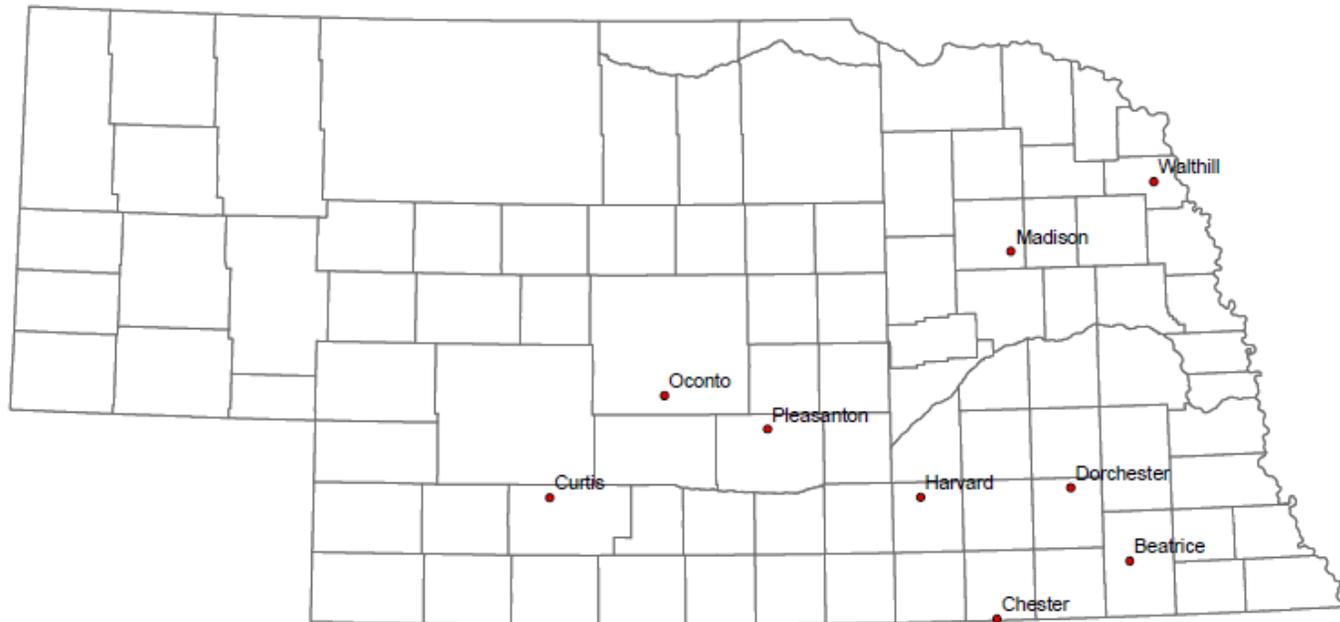
<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
OTHER	Other Objectives				
	PLANNING AND TECHNICAL ASSISTANCE ACTIVITIES				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number of LMI persons benefitting from local and regional planning projects	2010	5,000	2,651	100%	There were less planning projects awarded than anticipated during the Program Year.
	2011	5,000	9,015	100%	NA
	2012	5,000	8,379	100%	NA
Amount of public and private funds leveraged through planning projects.	2010	\$100,000	\$80,000	80%	There were less planning projects awarded than anticipated during the Program Year which resulted in less leveraged funds.
	2011	\$100,000	\$104,400	100%	NA
	2012	\$100,000	\$113,600	100%	NA

**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Planning Category**

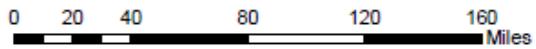
<b>Project Number</b>	<b>Applicant</b>	<b>Project Activities</b>	<b>Amount Awarded</b>
12-PP-001	Beatrice	Highway Re-routing Study	\$30,000
12-PP-002	Dorchester	Housing Market Study	\$10,200
12-PP-003	Curtis	Water/Sewer Study	\$30,000
12-PP-004	Oconto	Water Study	\$28,300
12-PP-005	Harvard	Capital Improvement Plan	\$15,000
12-PP-007	Chester	Utility Planning Study	\$19,500
12-PP-009	Madison	Industrial Park Planning	\$30,000
12-PP-010	Pleasanton	Water System PER	\$30,000
12-PP-011	Walthill	Comprehensive Plan & Housing Study	\$19,500
		<b>TOTAL CDBG AWARDED</b>	<b>\$212,500</b>

# 2012 Planning Awards

Nebraska Department of Economic Development  
Community Development Block Grant (CDBG) Program



• 2012 Awarded Planning Projects

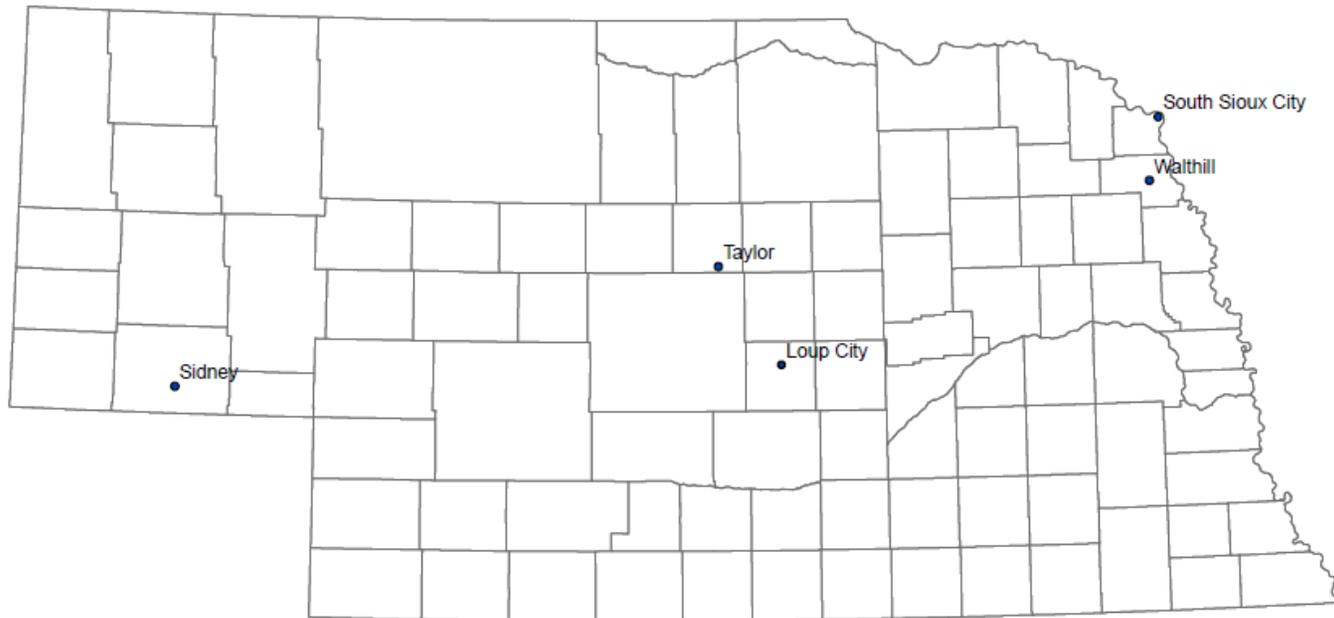


**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Public Works Category**

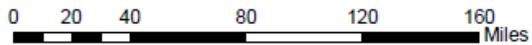
<b>Project Number</b>	<b>Applicant</b>	<b>Project Activities</b>	<b>Amount Awarded</b>
12-PW-001	Loup City	Street Improvements	\$350,000
12-PW-002	Taylor	Street Improvements	\$350,000
12-PW-005	South Sioux City	Street Improvements	\$337,000
12-PW-009	Sidney	Street Improvements	\$350,000
12-PW-011	Walthill	Fire Station Development	\$350,000
		<b>TOTAL CDBG AWARDED</b>	<b>\$1,737,000</b>

# 2012 Public Works Awards

Nebraska Department of Economic Development  
Community Development Block Grant (CDBG) Program



• 2012 Awarded Public Works Projects

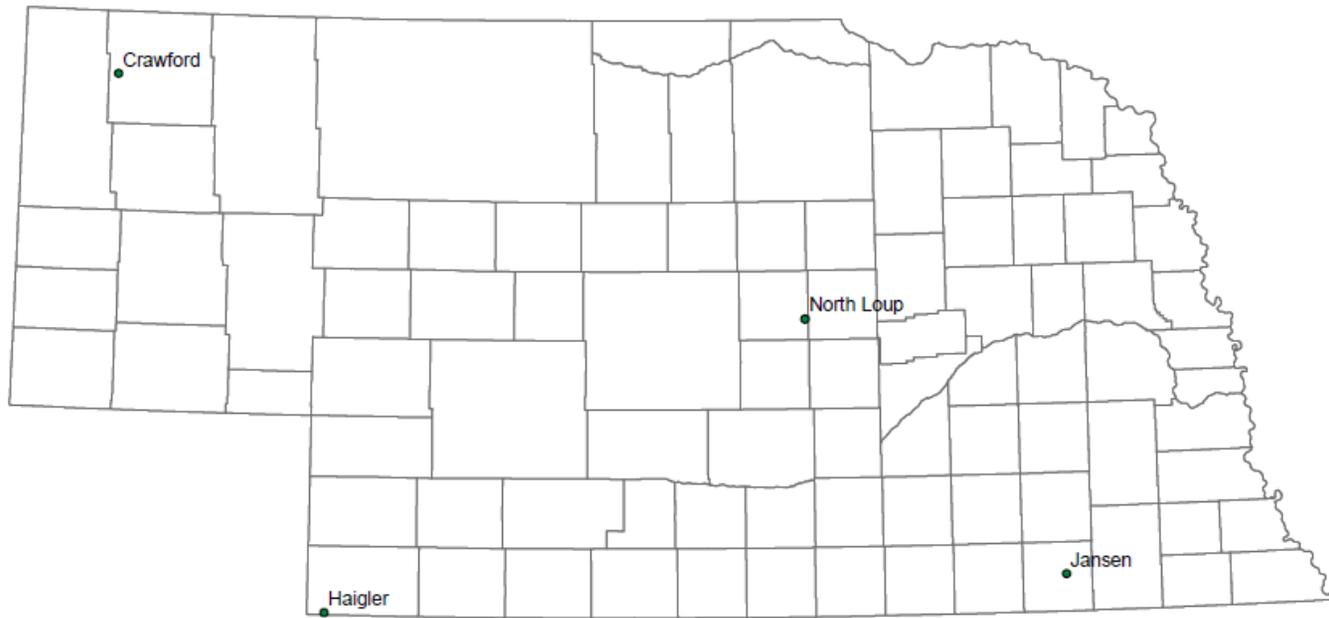


**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Water / Wastewater Category**

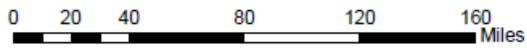
<b>Project Number</b>	<b>Applicant</b>	<b>Project Activities</b>	<b>Amount Awarded</b>
12-WW-001	North Loup	Water System Improvements & Construction of Water Storage Facility	\$350,000
12-WW-002	Haigler	Water System Improvements	\$350,000
12-WW-003	Crawford	Wastewater Treatment Plan Construction	\$350,000
12-WW-004	Jansen	Wastewater System Improvements	\$350,000
		<b>TOTAL CDBG AWARDED</b>	<b>\$1,400,000</b>

# 2012 Water/Wastewater Awards

Nebraska Department of Economic Development  
Community Development Block Grant (CDBG) Program



• 2012 Awarded Water/Wastewater Projects

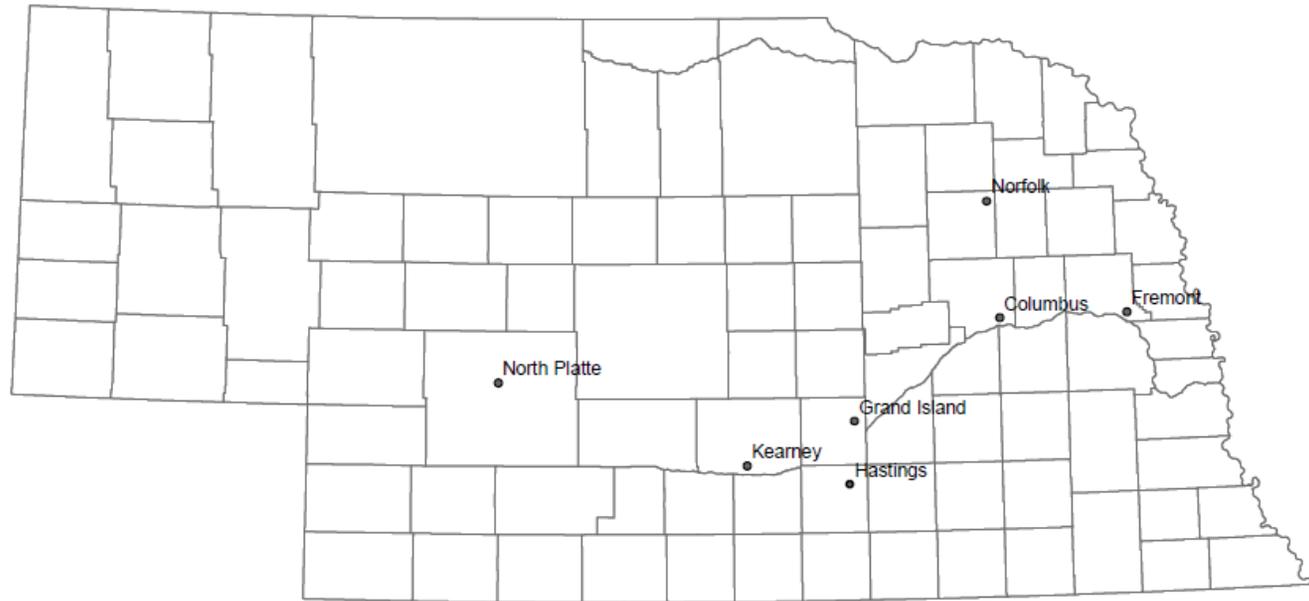


**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Comprehensive Revitalization Category**

<b>Project Number</b>	<b>Applicant</b>	<b>Project Activities</b>	<b>Amount Awarded</b>
12-CR-001	North Platte	Comprehensive Needs Assessment	\$3,107
12-CR-002	Grand Island	Comprehensive Needs Assessment	\$15,000
12-CR-003	Fremont	Comprehensive Needs Assessment	\$8,175
12-CR-004	Kearney	Comprehensive Needs Assessment	\$15,000
12-CR-006	Columbus	Comprehensive Needs Assessment	\$12,000
12-CR-007	Norfolk	Comprehensive Needs Assessment	\$10,500
12-CR-008	Hastings	Comprehensive Needs Assessment	\$15,000
		<b>TOTAL CDBG AWARDED</b>	<b>\$78,782</b>

# 2012 Comprehensive Revitalization Awards

Nebraska Department of Economic Development  
Community Development Block Grant (CDBG) Program



• 2012 Awarded Comprehensive Revitalization Projects

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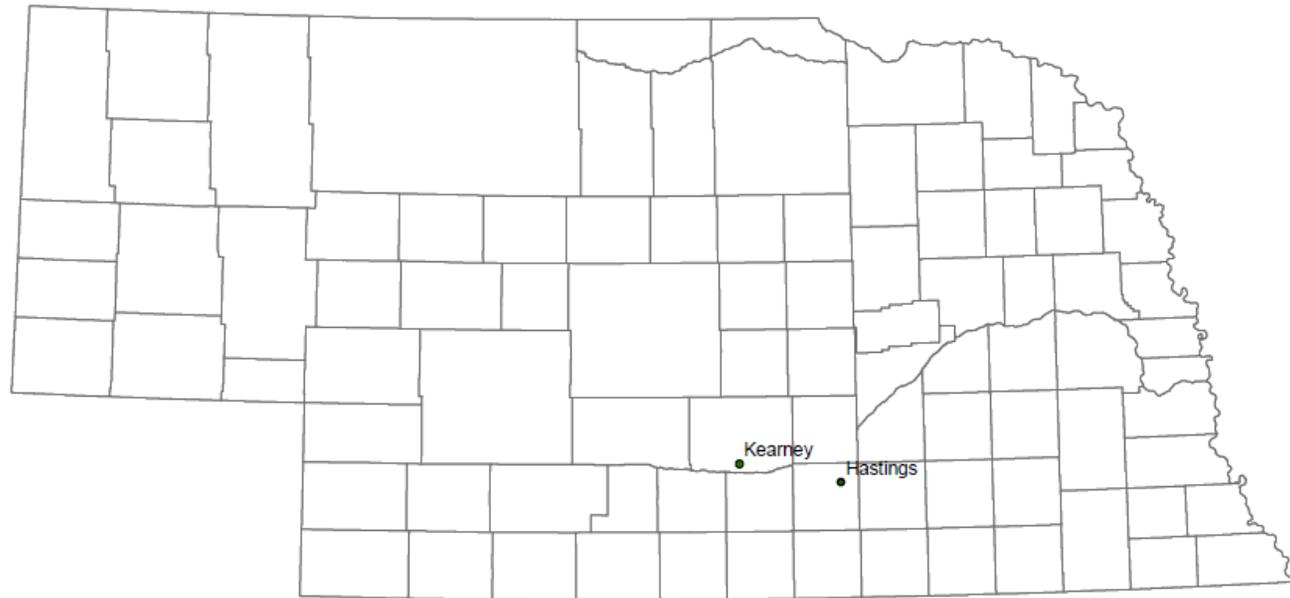
**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Downtown Revitalization Category**

**Phase II**

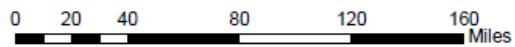
Project Number	Applicant	Project Activities	Amount Awarded
11-DTR-103	Kearney	Streetscape Redesign, Green Space Improvements, & Aesthetic Parking Improvements	\$350,000
12-DTR-107	Hastings	Façade Improvements & Public Facilities Improvements	\$350,000
		<b>TOTAL CDBG AWARDED</b>	<b>\$700,000</b>

# 2012 Downtown Revitalization Awards

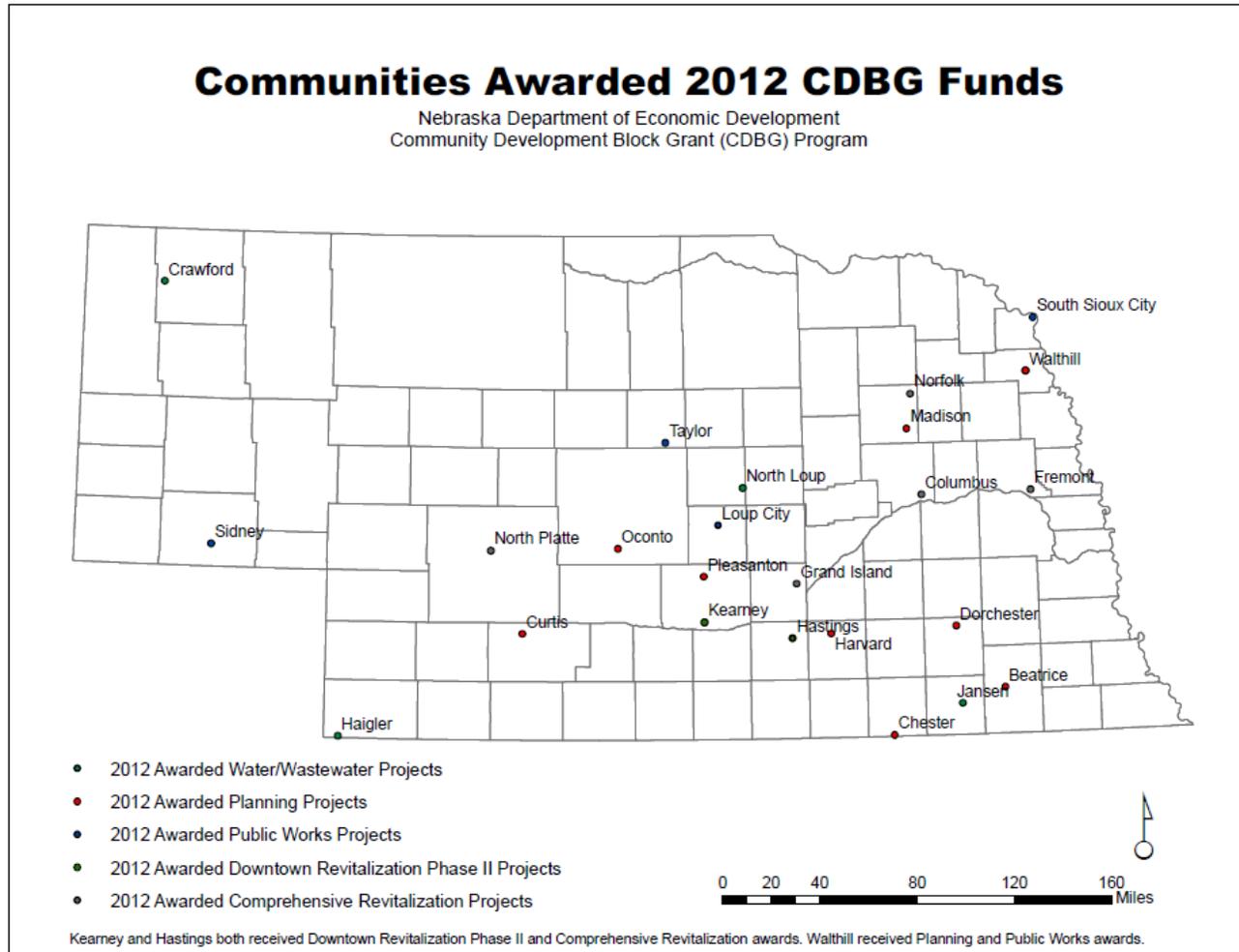
Nebraska Department of Economic Development  
Community Development Block Grant (CDBG) Program



• 2012 Awarded Downtown Revitalization Phase II Projects



Below is a summary of all the communities that received CDBG funding within the Community Development Priority. As the summary table demonstrates, there was a significant distribution of projects throughout Nebraska in 2012.



***Section Four:***  
Economic Development Priority

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## Economic Development Priority Statement & Objectives

**ECONOMIC DEVELOPMENT PRIORITY: FOSTER THE COMPETITIVENESS OF NEBRASKA’S BUSINESS AND INDUSTRIAL SECTOR—AND AS A RESULT—ASSIST IN THE ECONOMIC DEVELOPMENT OF NEBRASKA’S COMMUNITIES AND PEOPLE.**

The State of Nebraska’s Objectives developed to meet the Economic Development Priority include:

**Objective One: Promote the retention and expansion of existing businesses in Nebraska, and the startup of new businesses in Nebraska, and the immigration of out-of-state businesses relocating or expanding into Nebraska.**

**Objective Two: Invest in public facilities and improvement activities that make economic opportunities available to low and moderate income persons.**

**Objective Three: Invest in effective and affordable tourist attractions (for profit or nonprofit) in quality communities that will result in visitor spending, generate jobs, and promote long-term economic development.**

**Objective Four: Undertake planning activities that may include but are not limited to: a feasibility study, an environmental review, preliminary site planning, site predevelopment, market analysis and a marketing plan; all in preparation for a larger project.**

## Actions taken during the 2012 Program Year

Objectives and measurable actions taken between July 1, 2012 and June 30, 2013, are listed below:

The State of Nebraska's performance indicators for each Objective developed to meet the Economic Development Priority are outlined in the following table:

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
EO-1	Availability/Accessibility of Economic Opportunity						
<b>JOB CREATION AND RETENTION ACTIVITIES</b>							
EO-1.1	PROMOTE THE RETENTION AND EXPANSION OF EXISTING BUSINESSES IN NEBRASKA AND THE STARTUP OF NEW BUSINESSES IN NEBRASKA, AND THE IMMIGRATION OF OUT-OF-STATE BUSINESSES RELOCATION OR EXPANDING INTO NEBRASKA.	CDBG	Number of jobs created or retained.	2010	160	238	100%
				2011	160	292	100%
				2012	160	0	0%
				2013			
				2014			
			Number of jobs created or retained that were designated for LMI persons.	2010	82	122	100%
				2011	82	153	100%
				2012	82	0	0%
				2013			
			Amount of public or private funds leveraged.	2010	\$8,500,000	\$42,500,000	100%
				2011	\$8,500,000	\$28,964,026	100%
				2012	\$8,500,000	0	0%
				2013			
2014							
<b>PUBLIC FACILITIES AND IMPROVEMENT ACTIVITIES</b>							
EO-1.2	INVEST IN PUBLIC FACILITIES AND IMPROVEMENT ACTIVITIES THAT MAKE ECONOMIC OPPORTUNITIES AVAILABLE TO LOW AND MODERATE INCOME PERSONS.	CDBG	Number of jobs created or retained.	2010	29	37	100%
				2011	29	0	0%
				2012	29	0	0%
				2013			
				2014			
			Number of jobs created or retained that were designated for LMI persons.	2010	15	19	100%
				2011	15	0	0%
				2012	15	0	0%
				2013			
2014							
<b>TOURISM DEVELOPMENT ACTIVITIES</b>							
EO-1.3	INVEST IN EFFECTIVE AND AFFORDABLE TOURIST ATTRACTIONS (FOR PROFIT OR NONPROFIT) IN QUALITY COMMUNITIES	CDBG	Amount of public or private funds leveraged through tourism development activities.	2010	\$200,000	\$450,450	100%
				2011	\$200,000	\$287,181	100%
				2012	\$200,000	\$2,307,000	100%
				2013			
				2014			
			Number of jobs	2010	4	6	100%

	THAT WILL RESULT IN VISITOR SPENDING, GENERATE JOBS, AND PROMOTE LONG-TERM ECONOMIC DEVELOPMENT.		created or retained.	2011	4	5	100%
				2012	4	0	0%
				2013			
				2014			
OTHER	Other Objectives						
PLANNING ACTIVITIES							
OTHER-1	UNDERTAKE PLANNING ACTIVITIES THAT MAY INCLUDE BUT ARE NOT LIMITED TO: A FEASIBILITY STUDY, AN ENVIRONMENTAL REVIEW, PRELIMINARY SITE PLANNING, SITE PREDEVELOPMENT, MARKET ANALYSIS AND A MARKETING PLAN; ALL IN PREPARATION FOR A LARGER PROJECT.	CDBG	Amount of public and private funds leveraged for planning projects.	2010	\$225,000	\$105,000	47%
				2011	\$225,000	0	0%
				2012	\$225,000	\$150,000	67%
				2013			
				2014			

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
EO-1	Availability/Accessibility of Economic Opportunity				
	JOB CREATION AND RETENTION ACTIVITIES				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number of jobs created or retained.	2010	160	238	100%	NA
	2011	160	292	100%	NA
	2012	160	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
EO-1	Availability/Accessibility of Economic Opportunity				
	JOB CREATION AND RETENTION ACTIVITIES				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of jobs created or retained that were designated for LMI persons.	2010	82	122	100%	NA
	2011	82	153	100%	NA
	2012	82	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
Amount of public or private funds leveraged.	2010	\$8,500,000	\$42,500,000	100%	NA
	2011	\$8,500,000	\$28,964,026	100%	NA
	2012	\$8,500,000	0	0%	Less ED funds were utilized during the Program Year which resulted in no leverage being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
EO-1	Availability/Accessibility of Economic Opportunity				
	PUBLIC FACILITIES AND IMPROVEMENT ACTIVITIES				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of jobs created or retained.	2010	29	37	100%	NA
	2011	29	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2012	29	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
Number of jobs created or retained that were designated for LMI persons.	2010	15	19	100%	NA
	2011	15	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2012	15	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources

					were utilized for various initiatives but these resources did not include CDBG funds.
--	--	--	--	--	---

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
EO-1	Availability/Accessibility of Economic Opportunity				
	TOURISM DEVELOPMENT ACTIVITIES				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Number of jobs created or retained.	2010	4	6	100%	NA
	2011	4	5	100%	NA
	2012	4	0	0%	There were 2 projects funded within the Program Year, but neither had a National Objective of Job Creation but instead came in under Slum and Blight and Limited Clientele. The projects leveraged over \$2.4 million in resources.

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
	<b>Specific Annual Objective</b>				
OTHER	Other Objectives				
	PLANNING ACTIVITIES				
<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Amount of public and private funds leveraged for planning projects.	2010	\$225,000	\$105,000	47%	There was less leverage within program activities due to less projects with ED Planning Activities being awarded within the Program Year.
	2011	\$225,000	0	0%	There was less leverage within program activities due to less projects with ED Planning Activities being awarded within the Program Year.
	2012	\$225,000	\$150,000	0%	There was less leverage within program activities due to less projects with ED Planning Activities being awarded within the Program Year. There was only one single ED project awarded during the Program Year.

**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Economic Development Category**

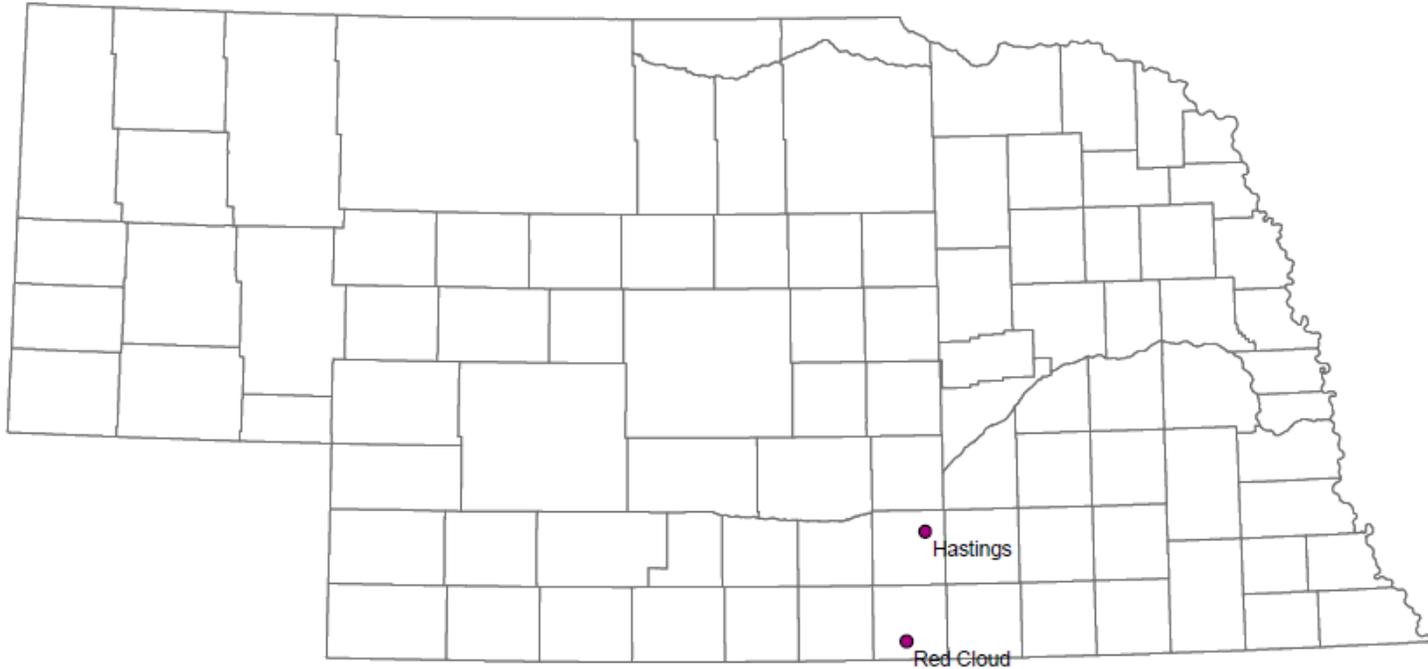
<b>Project Number</b>	<b>Applicant</b>	<b>Benefitting Business</b>	<b>Amount Awarded</b>
11-ED-006	Seward	REAP Microenterprise Assistance	\$150,000
		<b>TOTAL CDBG AWARDED</b>	<b>\$150,000</b>

**Nebraska Department of Economic Development  
Community Development Block Grant Program  
2012 Awards Tourism Development Category**

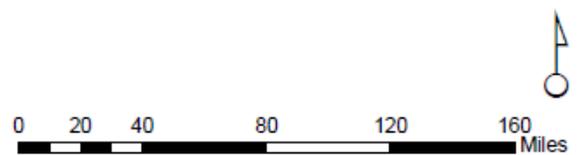
<b>Project Number</b>	<b>Applicant</b>	<b>Project Description</b>	<b>Amount Awarded</b>
12-TD-001	Hastings	Cultural Center Building Renovation	\$300,000
12-TD-003	Red Cloud	Moon Block Tourist Attraction	\$300,000
		<b>TOTAL CDBG AWARDED</b>	<b>\$600,000</b>

# 2012 Tourism Development Awards

Nebraska Department of Economic Development  
Community Development Block Grant (CDBG) Program



● 2012 Awarded Tourism Development Projects



***Section Five:***  
HOME Program Report

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# **HOME Program Performance Report**

## **Analysis of Consolidated Plan HOME Strategies**

HOME funds were distributed according to the various categories identified on pages 3-9 through 3-15 of the approved State of Nebraska 2012 Annual Action Plan.

## **Results of On-Site Inspections of Affordable Rental Housing**

Fifty five (55) inspections of affordable rental housing projects were conducted during the 2012 Program Year. All projects met minimum property standards at the time of the visit.

The annual rent and income reporting revealed that 82 of 100 rental projects met compliance with occupancy requirements. The Department staff is working on obtaining compliance for the other 18 rental projects. Additional training and on-site visits are planned.

## **Affirmative Marketing and Minority Outreach**

We are continuing to pursue information and assistance in this area. DED Affordable Housing Program fund recipient program guidelines are reviewed to provide assistance on affirmative marketing strategies. All DED designated CHDOs are required to have an affirmative marketing plan and all HOME/LIHTC (Low Income Housing Tax Credit) projects also have an affirmative marketing plan within their applications.

The outreach effort for Minority, Women and Disabled Owned Business Enterprises (MBE/WBE/DBE) includes a requirement to solicit responses to Requests for Proposals and bids from such entities by state recipients as part of their procurement process. A state recipient must have an approved procurement process and monitoring is done by staff to insure that the recipient is following their approved process.

## HOME Monitoring Visits Conducted July 1, 2012 - June 30, 2013

Recipient	Award #	Type	Visit Date	HOME Units	Total Units	Location	Affordability Period	Findings
Lincoln (City of)	94SR01002	rental nc	7/10/2012	6	28	Lincoln	20	1 Finding: Rent, Occupancy and Ongoing Requirements
Community Action Partnership (LAP)	99OE62102	rental nc	7/17/2012	8	16	Wahoo	20	1 Finding: Affirmative Marketing Owner Participation
Blue Valley Community Action	97CH00101	rental	7/17/2012	7	16	Beatrice	20	No Findings
Blue Valley Community Action	94CH00101	rental nc	7/17/2012	6	6	Fairmont, Ohio, Geneva	20	2 Findings: Property Standards and Eligible Costs
Midwest Housing Initiatives, Inc.	02OE50202	rental nc	7/18/2012	10	24	South Sioux City	20	No Findings
Central City Housing Authority	06OERH4110	rental nc	7/18/2012	4	12	Central City	20	No Findings
Milligan (Village of)	94OE08401	rental nc	7/19/2012	2	6	Milligan	20	No Findings
CAP MID (R.A.F.T. Project)	95CH00601	rental nc, rental rehab	7/26/2012	15	15	Glenvil, Farnam, North Platte, Curtis, Holdrege, Gothenburg, Brady, Kearney	20	No Findings
Snyder (Village of)	98OE08901	rental nc	8/7/2012	8	16	Leigh, Snyder	20	No Findings
Dodge (Village of)	93OE08301	rental nc	8/7/2012	6	6	Dodge	20	1 Finding: Property Standards
Burt/Washington County Community Development Corporation	98OE01403	rental nc	8/9/2012	6	12	Tekamah	20	No Findings

### HOME Monitoring Visits Conducted July 1, 2012 - June 30, 2013 (Continued)

Recipient	Award #	Type	Visit Date	HOME Units	Total Units	Location	Affordability Period	Findings
Greater Wahoo Development Foundation	00OE62402	rental	8/10/2012	6	24	Wahoo	20	1 Finding: Rent, Occupancy and Ongoing Requirements
Aurora Housing Development Corporation	05OE401	rental nc	8/21/2012	4	10	Aurora	20	No Findings
Midwest Housing Initiatives, Inc.	06OERH4111	rental nc	8/23/2012	5	28	Grand Island	20	1 Finding: Rent, Occupancy and Ongoing Requirements
Midwest Housing Initiatives, Inc.	06OERH6109	rental nc	8/23/2012	5	18	York	20	1 Finding: Rent, Occupancy and Ongoing Requirements
New Beginnings Plaza/SCBC	98OE07901	rental nc	8/30/2012	7	7	Hastings	20	No Findings
Beatrice (Housing Agency of the City of)	04OE60202	rental nc- long- term lp	8/30/2012	5	11	Beatrice	20	1 Finding: Rent, Occupancy and Ongoing Requirements
Blue Valley Community Action	99CH00102	rental	8/30/2012	7	17	Beatrice	20	1 Finding: Rent, Occupancy and Ongoing Requirements
CAP MID	96CH00601	rental	9/6/2012	3	3	Oxford, Brady, Imperial	20	No Findings
Lexington (Housing Authority of)	08OERH3090	rental nc	9/19/2012	3	20	Lexington	20	No Findings
Norfolk (City of)	95SR01301	rental nc	10/2/2012	12	42	Norfolk	20	No Findings
Norfolk (City of)	94SR01301	rental nc	10/2/2012	19	84	Norfolk	20	1 Finding: Property Standards
Lincoln County Community Development Corporation	98CH02202	rental	10/3/2012	5	7	Wallace, Hershey	20	No Findings

### HOME Monitoring Visits Conducted July 1, 2012 - June 30, 2013 (Continued)

Recipient	Award #	Type	Visit Date	HOME Units	Total Units	Location	Affordability Period	Findings
Hastings Good Samaritan Housing	96OE08501	rental	10/24/2012	3	24	Hastings	20	No Findings
ARC of Lincoln	97OE05001	rental nc	11/14/2012	6	6	Lincoln	20	1: Finding Affirmative Marketing Owner Participation
Holdrege (City of)	97SR09701	rental nc	11/14/2012	10	32	Holdrege	20	1 Finding: Property Standards
Nebraska Housing Resource	05OE801	rental nc	11/14/2012	4	12	Lincoln	20	No Findings
Blue Valley Community Action	99CH00103	rental nc	11/20/2012	4	4	Diller	20	1 Finding: Property Standards
Blue Valley Community Action	04CH60102	rental nc	11/28/2012	4	12	Fairbury, Crete	20	No Findings
Holy Name Housing Corporation	05OE701	rental nc	12/4/2012	3	24	Omaha	20	No Findings
Lincoln County Community Development Corporation	97CH02202	rental nc	12/6/2012	16	64	North Platte	20	No Findings
CAP of Western-NE	98OE02402	rental nc	12/18/2012	10	48	Sidney	20	No Findings
Harvard Townhouse, Inc.	02OE40402	rental nc	1/9/2013	4	12	Harvard	20	2 Findings: Marketing and Tenant Selection; Affirmative Marketing Outreach
Hastings/Adams County Housing Development (HDC)	02CH01302	nc CRO WN	1/16/2013	5	11	Hastings	20	1 Finding: Property Standards
Grand Island Area Housing Corporation	96CH01801	rental nc	1/22/2013	60	60	Grand Island	20	1 Finding: Property Standards
Grand Island Area Housing Corporation	98CH01801	rental nc	1/22/2013	60	60	Grand Island	20	1 Finding: Property Standards

### HOME Monitoring Visits Conducted July 1, 2012 - June 30, 2013 (Continued)

Recipient	Award #	Type	Visit Date	HOME Units	Total Units	Location	Affordability Period	Findings
Auburn Affordable Housing, Inc.	94OE08101	rental nc	2/20/2013	12	24	Auburn	20	No Findings
Gering (City of)	02SR10502	rental nc	2/25/2013	5	49	Gering	20	No Findings
Cozad (City of)	97SR09601	rental nc	3/6/2013	17	32	Cozad	20	1 Finding: Property Standards
Lincoln Civic Housing	06OERH8113	rental nc	3/7/2013	6	76	Lincoln	20	No Findings
Bertrand (Village of)	94OE08701	rental nc	3/11/2013	3	8	Bertrand	20	1 Finding: Property Standards
Clarks (Village of)	93OE08001	rental nc	3/12/2013	1	6	Clarks	20	No Findings
Gering (City of)	00OE62602	rental nc	3/12/2013	10	49	Gering	20	No Findings
Aurora Housing Development Corporation	97CH01901	rental nc	3/12/2013	12	18	Aurora	20	No Findings
Scottsbluff/Terrytown/Gering CDC	94CH00901	rental nc	3/15/2013	5	30	Scottsbluff	20	No Findings
Holdrege housing Authority	07OERH4097	rental nc	3/20/2013	4	16	Holdrege	20	No Findings
Blue Valley Community Action	97CH00102	rental nc	3/28/2013	8	18	Beatrice, Fairbury	20	1 Finding: Property Standards
Fremont Housing Authority	99OE61902	rental nc	4/4/2013	7	32	Fremont	20	No Findings
Southeast Nebraska Community Action Council	98OE02101	rental nc	4/9/2013	5	24	Nebraska City	20	1 Finding: Property Standards
Lincoln (City of)	96SR01001	rental nc	4/29/2013	2	26	Lincoln	20	No Findings

### HOME Monitoring Visits Conducted July 1, 2012 - June 30, 2013 (Continued)

Recipient	Award #	Type	Visit Date	HOME Units	Total Units	Location	Affordability Period	Findings
CAP MID	98OE00603	rental nc	5/1/2013	5	5	Stratton, Trenton, Hays Center, Palisade	20	No Findings
Syracuse Housing Development Corporation	99OE62202	rental nc	5/15/2013	5	12	Syracuse	20	2 Findings: Marketing and Tenant Selection; Affirmative Marketing Outreach
Blue Valley Community Action	98CH00103	rental nc	5/15/2013	4	4	Dorches- ter	20	1 Finding: Property Standards
Palmer (Village of)	93OE08201	rental nc	5/23/2013	1	6	Palmer	20	1 Finding: Property Standards
Lincoln (City of)	94SR01002	rental nc	6/24/2013	6	28	Lincoln	20	No Findings



**HOME MATCH LOG (October 1, 2011 - September 30, 2012)**

1. Project Number	2. Date of Commitment	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
09TFHP6035	9/18/2012	\$7,066.00						\$7,066.00
09TFHP8081	6/11/2012	\$24,202.57						\$24,202.57
09TFHP8081	6/15/2012	\$23,888.41						\$23,888.41
09TFHP8081	6/13/2012	\$36,436.89						\$36,436.89
09TFHO6003	8/27/2012	\$5,996.00						\$5,996.00
09TFHP8082	12/29/2011	\$16,469.24						\$16,469.24
09TFHP8082	12/29/2011	\$20,000.00						\$20,000.00
09TFHP8082	2/24/2012	\$3,078.62						\$3,078.62
09TFHP8082	2/29/2012	\$7,845.03						\$7,845.03
09TFHP8082	12/29/2011	\$22,621.00						\$22,621.00
09TFHP8082	5/31/2012	\$13,128.96						\$13,128.96

**HOME MATCH LOG (October 1, 2011 - September 30, 2012) (Continued)**

1. Project Number	2. Date of Contribution	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
09TFHP8082	6/4/2012	\$11,533.43						\$11,533.43
09TFHP8082	6/4/2012	\$12,082.42						\$12,082.42
09TFHP8082	6/14/2012	\$4,198.00						\$4,198.00
09TFHP8082	6/11/2012	\$24,202.57						\$24,202.57
09TFHP8082	6/12/2012	\$36,436.89						\$36,436.89
09TFHP8082	6/15/2012	\$23,888.41						\$23,888.41
09TFHP8082	4/17/2012	\$23,446.39						\$23,446.39
08TFHP7102	4/20/2012	\$30,000.00						\$30,000.00
08TFHP7102	8/8/2012	\$30,000.00						\$30,000.00
08TFHP7102	9/5/2012	\$30,000.00						\$30,000.00
08TFHP7102	12/29/2011	\$30,000.00						\$30,000.00

**HOME MATCH LOG (October 1, 2011 - September 30, 2012) (Continued)**

1. Project Number	2. Date of Contribution	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
08TFHP7102	10/4/2011	\$30,000.00						\$30,000.00
10TFHP6033	9/25/2012	\$4,790.00						\$4,790.00
10TFHP6033	7/23/2012	\$4,021.00						\$4,021.00
10TFHP6033	5/23/2012	\$4,642.00		\$4,642.00				\$4,642.00
10TFHP6033	4/5/2012	\$7,448.00		\$7,448.00				\$7,448.00
543-07-6118	9/6/2012	\$104,764.00		\$104,764.00				\$104,764.00
543-08-6162	9/6/2012	\$53,679.00		\$53,679.00				\$53,679.00
543-09-6175	9/6/2012	\$34,486.00		\$34,486.00				\$34,486.00
543-10-6181	9/6/2012	\$79,296.00		\$79,296.00				\$79,296.00
543-11-6220	9/6/2012	\$42,545.00		\$42,545.00				\$42,545.00
543-12-6232	9/6/2012	\$58,229.00		\$58,229.00				\$58,229.00

**HOME MATCH LOG (October 1, 2011 - September 30, 2012) (Continued)**

1. Project Number	2. Date of Contribution	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
531-8-6160	7/27/2012	\$87,000.00		\$87,000.00				\$87,000.00
531-7-6141	7/27/2012	\$88,370.00		\$88,370.00				\$88,370.00
543-06-6079	8/7/2012	\$60,737.00		\$60,737.00				\$60,737.00
531-01-6180	8/7/2012	\$154,731.00		\$154,731.00				\$154,731.00
530-3-5919	8/31/2012	\$18,430.00		\$18,430.00				\$18,430.00
08-TFHO-6078 J	6/13/2011	\$25,246.00						\$25,246.00
09TFHO6003 L	9/18/12	\$13,800.00						\$13,800.00
	<b>Total</b>	<b>\$1,710,964.43</b>		<b>\$850,357</b>			<b>Total</b>	<b>\$1,710,964.43</b>

# Annual Performance Report HOME Program

**U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development**

OMB Approval No. 2506-0171  
(exp. (08/31/2009))

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting	Ending	
	10/01/2012	09/30/2013	09/30/2013

## Part I Participant Identification

1. Participant Number M12-SG3101-00	2. Participant Name State of Nebraska		
3. Name of Person completing this report Brian Gaskill		4. Phone Number (Include Area Code) 402-471-2280	
5. Address 301 Centennial Mall South, 4 <sup>th</sup> Floor, PO Box 94666	6. City Lincoln	7. State NE	8. Zip Code 68509-4666

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
0	0	0	0	0

## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
<b>A. Contracts</b>					
1. Number	194	0	0	0	194
2. Dollar Amount	\$3,328,170.39	0	0	0	\$3,328,170.39
<b>B. Sub-Contracts</b>					
1. Number	7	0	0	0	7
2. Dollar Amount	\$53,661.19	0	0	0	\$53,661.19
<b>C. Contracts</b>	a. Total	b. Women Business Enterprises (WBE)	c. Male		
1. Number	194	4	190		
2. Dollar Amount	\$3,328,170.39	\$8,700	\$3,319,470.39		
<b>D. Sub-Contracts</b>					
1. Number	7	1	6		
2. Dollar Amounts	\$53,661.19	\$5,700	\$47,961.19		

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0	0	0	0	0	0
2. Dollar Amount	0	0	0	0	0	0

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	0	0
2. Businesses Displaced	0	0
3. Nonprofit Organizations Displaced	0	0
4. Households Temporarily Relocated, not Displaced	0	0

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0	0	0	0	0	0
6. Households Displaced - Cost	0	0	0	0	0	0

***Section Six:***

Homeless Services Priority

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## Homeless Services Priority Statement & Objectives

**HOMELESS SERVICES PRIORITY: ENSURE APPROPRIATE EMERGENCY SHELTER AND/OR TRANSITIONAL HOUSING AND SERVICES FOR PEOPLE WHO ARE HOMELESS OR AT IMMINENT RISK OF BECOMING HOMELESS BY DISTRIBUTING EMERGENCY SOLUTIONS GRANT FUNDS AND HOMELESS SHELTER ASSISTANCE TRUST FUNDS.**

The State of Nebraska’s Objectives developed to meet the Homeless Services Priority are as follows:

**Objective One: Provide appropriate shelter and/or housing to people who are homeless and/or at imminent risk of becoming homeless.**

**Objective Two: Provide needed services to people who are homeless and/or at imminent risk of becoming homeless.**

### Actions taken during the 2012 Program Year

Accomplishing these identified objectives requires ongoing collaboration with service providers. The statewide Continuum of Care system helps ensure that people who are homeless or at imminent risk of homelessness are able to access necessary emergency shelter/transitional housing and homelessness prevention services in each of Nebraska’s 93 counties. Nebraskans are served by one of the seven Continuum of Care planning regions (See map on page 6-13).

From the 2012 ESG Balance of State award, the Nebraska Department of Health and Human Services (DHHS) allocated \$1,041,720 in Emergency Solutions Grant (ESG) funds and an additional \$1,085,471 in Nebraska Homeless Shelter Assistance Trust Funds (HSATF) to 41 agencies to provide statewide emergency shelter/transitional housing and homelessness assistance and prevention services. Table I identifies the distribution of these funds between services.

<b>TABLE I: Funding Distribution</b>			
	<b>ESG</b>	<b>HSATF</b>	<b>TOTAL</b>
Street Outreach	\$14,347	\$53,484	\$67,831
Shelter	\$470,619	\$563,836	\$1,034,455
Homelessness Prevention	\$384,789	\$340,608	\$725,397
Rapid Rehousing	\$139,832	\$99,985	\$239,817
HMIS	\$32,134	\$27,558	\$59,692
Services Subtotal	\$1,041,720	\$1,085,472	\$2,127,191
Administration	\$84,463	\$75,000	\$159,463
Total	\$1,126,183	\$1,160,472	\$2,286,655

The State of Nebraska’s performance indicators for each Objective developed to meet the Homeless Services Priority are outlined in the following table:

<b>TABLE II: Performance Indicators</b>							
Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
SL-1	Accessibility of Suitable Living Environment						
<b>HOMELESS SERVICES</b>							
SL-1.1	PROVIDE APPROPRIATE SHELTER AND/OR HOUSING TO PEOPLE WHO ARE HOMELESS AND/OR AT IMMINENT RISK OF BECOMING HOMELESS	ESG HSATF	Number of individuals provided emergency or transitional shelter.	2010	12,000	12,609	100%
				2011	12,000	10,691	89% ****
				2012	12,000	10,839	90% ****
				2013	12,000		
				2014			
DH-2	Affordability of Decent Housing						
<b>HOMELESSNESS PREVENTION ACTIVITIES</b>							
DH-2.1	PROVIDE NEEDED SERVICES TO PEOPLE WHO ARE HOMELESS AND/OR AT IMMINENT RISK OF BECOMING HOMELESS***	ESG HSATF	Number of individuals provided homeless prevention services.	2010	20,000	18,378	92%
				2011	20,000	29,526	100%
				2012	20,000	20,025	100%
				2013	20,000		
				2014			
			Number of individuals not residing in an emergency or transitional shelter that received essential services.	2010	20,000	17,656	88%
				2011	20,000	29,526	100%
				2012**	53	20,025	100%
				2012	20,000	20,025	100%
				2013	20,000		
				2014			
<b>RAPID RE-HOUSING ACTIVITIES</b>							
DH-2.2	PROVIDE PERMANENT HOUSING TO PEOPLE WHO ARE HOMELESS	ESG HSATF HPRP (*indicates HPRP funds only)	Number of individuals provided rapid re-housing services.	2010		*862	
				2011		*654	
				2012**	56	1,037	100%
				2012	263	1,037	100%
				2013	434		
				2014			

\*Indicates HPRP funds only

\*\*Indicates 12 month time period starting the date of 2<sup>nd</sup> allocation award from HUD (based on the ARRA Homeless Prevention and Rapid Re-housing Program the costs were \$2,654 a person through December 31, 2011).

\*\*\*The State of Nebraska’s performance indicators for each Objective were created during the development of the Consolidated Plan in order to meet the Homeless Services Priority. In development of the 2012 AAP, DHHS discovered that there was duplication in the numbers reported for the two Performance Indicators listed for Objective DH-2.1, so the same numbers are listed for both.

\*\*\*\*The impact of HPRP and more ESG funds directed toward Homeless Prevention & Rapid Rehousing services has decreased the need for Emergency Shelter.

## **A. Nebraska Homeless Assistance Program – State Objective**

The objective of the 2012-2013 Nebraska Homeless Assistance Program (NHAP) was to assist in the immediate alleviation of homelessness for Nebraska citizens by funding necessary statewide services through the Department of Housing and Urban Development's (HUD) Emergency Shelter Grant/Emergency Solutions Grant Program (ESG) funds and the Nebraska Homeless Assistance Trust Fund (HSATF). Nebraska's HSATF monies provided the required ESG match with state HSATF funds. The ESG funds provided approximately 49 percent of the NHAP funding; the HSATF provided approximately 51 percent.

Nebraska strongly supports a collaborative approach to addressing the needs of those people who are homeless or at imminent risk of homelessness through a Continuum of Care process, which was initiated by the U.S. Department of Housing and Urban Development (HUD) in 1994 and revised in 2012. This process promotes coordinated strategic planning for programs that assist families and individuals who are homeless or at imminent risk of homelessness. Nebraska's Continuums of Care provide an effective community and regional-based perspective and a comprehensive and coordinated housing and service delivery system. NHAP requires subgrantees to be active participants in their local and regional Continuums of Care. All of the regional Continuums have representatives on the Nebraska Commission on Housing and Homelessness (NCHH) State Continuum of Care Committee, which is the advisory committee for the NHAP.

In the past, NHAP coordinated scheduling and facilitation of the Ad Hoc Committee on Ending Chronic Homelessness, an ad hoc committee of the NCHH. The Ad Hoc Committee's purpose was the continued implementation of "Completing the Journey: Nebraska's Action Plan for People Experiencing Chronic Homelessness." The Ad Hoc Committee has not met since October 2011, due to NHAP staffing changes. In 2012, the NCHH decided to combine the State Continuum of Care and Ad Hoc Committees and requested that they review Nebraska's 10-year Action Plan on Homelessness in comparison with those of "Opening Doors," the federal strategic plan to end homelessness, and offer recommendations for moving forward. Information on Nebraska's Action Plan is available on the Department's website at: [http://dhhs.ne.gov/children\\_family\\_services/Pages/fis\\_nhapplan.aspx](http://dhhs.ne.gov/children_family_services/Pages/fis_nhapplan.aspx).

## **B. How and What Projects Are Assisted**

In the program year 2012-13, DHHS, through the Division of Children and Family Services, awarded funds to eligible nonprofit subgrantees that provided emergency shelter/transitional housing and homelessness assistance and prevention services to people who were homeless and at imminent risk of becoming homeless. The funds were allocated based on a formula to ensure an equitable statewide distribution of funds. The formula, developed with input from the State Continuum of Care Committee, provides each of Nebraska's seven geographic regions with a \$50,000 hold-harmless base, with the remaining funds allocated according to the population, poverty and pro rata amounts for each region. The NHAP has seven regional Continuums of Care, which include all of Nebraska's 93 counties. These regions are identified in Table III.

<b>TABLE III: Regions</b>		
<b>Region #</b>	<b>Region Name</b>	<b>Counties Represented</b>
<b>1</b>	Panhandle	Sioux, Dawes, Sheridan, Box Butte, Scotts Bluff, Morrill, Garden, Banner, Kimball, Cheyenne, Deuel
<b>2</b>	North Central	Cherry, Keya Paha, Boyd, Brown, Rock, Holt, Blaine, Loup, Garfield, Wheeler, Boone, Platte, Colfax, Custer, Valley, Greeley, Sherman, Howard, Nance, Hall, Merrick, Hamilton
<b>3</b>	Southwest	Grant, Hooker, Thomas, Arthur, McPherson, Logan, Keith, Lincoln, Perkins, Dawson, Buffalo, Chase, Hayes, Frontier, Gosper, Phelps, Kearney, Dundy, Hitchcock, Red Willow, Furnas, Harlan, Franklin
<b>4</b>	Southeast	Polk, Butler, Saunders, Sarpy, York, Seward, Lancaster [Lincoln excluded], Cass, Otoe, Fillmore, Saline, Adams, Clay, Webster, Nuckolls, Thayer, Jefferson, Gage, Johnson, Nemaha, Pawnee, Richardson
<b>5</b>	Northeast	Northeast: Knox, Cedar, Dixon, Dakota, Antelope, Pierce, Wayne, Thurston, Madison, Stanton, Cuming, Burt, Dodge, Washington, Douglas [Omaha excluded]
<b>6</b>	Lincoln	Lincoln
<b>7</b>	Omaha	Omaha

Note: A Map of Nebraska Homeless Assistance Program Regional Continuums of Care may be found at the end of this section.

### C. Distribution of Funds

The Nebraska Homeless Assistance Program (NHAP) distributes funds through a competitive grant process every other year. If performance measure standards are met, subgrantees receive a second year of renewal funding. In December 2011, a competitive Request for Applications (RFA) was publicly posted via the DHHS NHAP website at:

[http://dhhs.ne.gov/children\\_family\\_services/Pages/fia\\_nhap\\_nhap\\_funding.aspx](http://dhhs.ne.gov/children_family_services/Pages/fia_nhap_nhap_funding.aspx).

Notice of the RFA was electronically distributed to current subgrantees as well as to all seven Regional Continuums of Care. For the competitive grant cycle that began July 1, 2012, grant applications were received in February 2012 and application reviews occurred in April 2012. Subgrantee awards were publicly announced in June 2012.

During the July 1, 2012 - June 30, 2013 grant year, 41 statewide subgrantees provided Continuum of Care services to those who were homeless or at imminent risk of homelessness. Table IV shows the distribution of funds for the 2012 grant cycle.

<b>TABLE IV: Distribution of Funds</b>				
<b>Nebraska Homeless Assistance Program</b>				
<b>2012 Awards</b>				
<b>(ESG &amp; HSATF)</b>				
<b>Grant #</b>	<b>Applicant Name</b>	<b>2012 ESG Awards</b>	<b>2012 HSATF Awards</b>	<b>2012 NHAP Awards (TOTAL)</b>
12-NHAP-101	The DOVES Program – Scottsbluff	\$42,323	\$8,965	<b>\$51,288</b>
12-NHAP-102	Cirrus House – Scottsbluff	\$12,382	\$10,618	<b>\$23,000</b>
12-NHAP-104	Community Action Partnership of Western NE – Gering	\$30,000	\$25,728	<b>\$55,728</b>
<b>Region 1 TOTAL</b>		<b>\$84,704</b>	<b>\$45,311</b>	<b>\$130,015</b>

<b>Grant #</b>	<b>Applicant Name</b>	<b>2012 ESG Awards</b>	<b>2012 HSATF Awards</b>	<b>2012 NHAP Awards (TOTAL)</b>
12-NHAP-201	Center for Sexual Assault & DV Survivors - Columbus	\$12,405	\$10,639	<b>\$23,044</b>
12-NHAP-202	Bright Horizons – O’Neill	\$21,693	\$18,604	<b>\$40,297</b>
12-NHAP-203	Crisis Center, Inc. - Grand Island	\$20,433	\$15,532	<b>\$35,965</b>
12-NHAP-205	Central Nebraska Community Services - Loup City	\$63,655	\$44,306	<b>\$107,961</b>
12-NHAP-206	Hope Harbor - Grand Island	\$56,337	\$48,315	<b>\$104,652</b>
<b>Region 2 TOTAL</b>		<b>\$174,523</b>	<b>\$137,396</b>	<b>\$311,919</b>

<b>Grant #</b>	<b>Applicant Name</b>	<b>2012 ESG Awards</b>	<b>2012 HSATF Awards</b>	<b>2012 NHAP Awards (TOTAL)</b>
12-NHAP-301	Residential Assistance to Families in Transition - Kearney	\$9,245	\$7,928	<b>\$17,173</b>
12-NHAP-303	Parent Child Center - Lexington	\$19,333	\$16,581	<b>\$35,914</b>
12-NHAP-305	The SAFE Center - Kearney	\$14,335	\$10,962	<b>\$25,297</b>
12-NHAP-307	The Connection Homeless Shelter - N. Platte	\$38,265	\$32,816	<b>\$71,081</b>
12-NHAP-308	Community Action Partnership of Mid-Nebraska - Kearney	\$70,049	\$60,074	<b>\$130,123</b>
<b>Region 3 TOTAL</b>		<b>\$151,227</b>	<b>\$128,361</b>	<b>\$279,588</b>

<b>Grant #</b>	<b>Applicant Name</b>	<b>2012 ESG Awards</b>	<b>2012 HSATF Awards</b>	<b>2012 NHAP Awards (TOTAL)</b>
12-NHAP-401	Community Action Partnership of Lancaster & Saunders Cos	\$11,696	\$10,030	<b>\$21,726</b>
12-NHAP-403	Spouse Abuse/Sexual Assault Crisis Center - Hastings	\$11,843	\$10,157	<b>\$22,000</b>
12-NHAP-404	Catholic Social Services - Hastings	\$21,533	\$18,467	<b>\$40,000</b>
12-NHAP-406	Blue Valley Community Action - Fairbury	\$84,865	\$57,781	<b>\$142,646</b>
12-NHAP-407	Community Action Partnership of Mid Nebraska – SE	\$14,997	\$12,861	<b>\$27,858</b>
12-NHAP-408	SE NE Community Action - Humboldt	\$13,879	\$11,903	<b>\$25,782</b>
12-NHAP-410	Hope Crisis Center - Fairbury	\$36,811	\$30,510	<b>\$67,321</b>
<b>Region 4 TOTAL</b>		<b>\$195,624</b>	<b>\$151,709</b>	<b>\$347,333</b>

Grant #	Applicant Name	2012 ESG Awards	2012 HSATF Awards	2012 NHAP Awards (TOTAL)
12-NHAP-501	Care Corps Inc. - Fremont	\$14,409	\$12,357	<b>\$26,766</b>
12-NHAP-502	Crisis Center for Domestic Abuse Sexual Assault - Fremont	\$35,530	\$30,470	<b>\$66,000</b>
12-NHAP-503	Bright Horizons - Norfolk	\$30,619	\$26,259	<b>\$56,878</b>
12-NAHP-504	Northeast Nebraska Community Action Partnership - Pender	\$42,002	\$36,021	<b>\$78,023</b>
12-NHAP-505	Haven House - Wayne	\$19,789	\$16,971	<b>\$36,760</b>
<b>Region 5 TOTAL</b>		<b>\$142,349</b>	<b>\$122,078</b>	<b>\$264,427</b>

Grant #	Applicant Name	2012 ESG Awards	2012 HSATF Awards	2012 NHAP Awards (TOTAL)
12-NHAP-601	Cedars Youth Services - Lincoln	\$18,411	\$15,789	<b>\$34,200</b>
12-NHAP-602	Fresh Start Home - Lincoln	\$46,834	\$40,166	<b>\$87,000</b>
12-NHAP-603	Friendship Home - Lincoln	\$33,943	\$34,487	<b>\$68,430</b>
12-NHAP-604	Houses of Hope of Nebraska - Lincoln	\$12,966	\$11,119	<b>\$24,085</b>
12-NHAP-605	Community Action Partnership of Lancaster & Saunders Cos	\$116,543	\$94,571	<b>\$211,114</b>
12-NHAP-606	People's City Mission - Lincoln	\$37,683	\$32,317	<b>\$70,000</b>
12-NHAP-607	Matt Talbot Outreach - Lincoln	\$21,533	\$18,467	<b>\$40,000</b>
12-NHAP-609	Catholic Social Services - Lincoln	\$5,383	\$4,617	<b>\$10,000</b>
<b>Region 6 TOTAL</b>		<b>\$293,296</b>	<b>\$251,533</b>	<b>\$544,829</b>

Grant #	Applicant Name	2012 ESG Awards	2012 HSATF Awards	2012 NHAP Awards (TOTAL)
12-HSATF-701	Siena Francis Shelter - Omaha	N/A	\$20,000	<b>\$20,000</b>
12-HSATF-702	Stephen Center - Omaha	N/A	\$15,000	<b>\$15,000</b>
12-HSATF-703	Salvation Army	N/A	\$77,553	<b>\$77,553</b>
12-HSATF-708	Catholic Charities - Omaha	N/A	\$23,041	<b>\$23,041</b>
12-HSATF-709	Heartland Family - Omaha	N/A	\$42,926	<b>\$42,926</b>
12-HSATF-710	Community Alliance - Omaha	N/A	\$30,631	<b>\$30,631</b>
12-HSATF-711	Family Housing Advisory Services - Omaha	N/A	\$15,000	<b>\$15,000</b>
12-HSATF-713	Together Inc. - Omaha	N/A	\$9,750	<b>\$9,750</b>
12-HSATF-716	Nebraska AIDS Project - Omaha	N/A	\$15,181	<b>\$15,181</b>
<b>Region 7 Total</b>		<b>N/A</b>	<b>\$249,082</b>	<b>\$249,082</b>

	2012 ESG	2012 HSATF	2012 NHAP
<b>TOTAL NHAP GRANT AWARDS</b>	<b>\$1,041,724</b>	<b>\$1,085,470</b>	<b>\$2,127,194</b>

## G. Outcomes – HMIS Data

All NHAP-funded subgrantees are required to participate in a Homeless Management Information System (HMIS). The 2012-2013 NHAP grant cycle was the sixth full grant year that NHAP-funded subgrantees reported via this system. Nebraska’s statewide HMIS vendor system is ServicePoint of Bowman, LLC. System administrators assist end users at funded subgrantees.

In January 2011, a competitive NHAP Request For Applications was issued for a statewide HMIS. In March 2011, competitive HMIS subgrant awards were issued to the University of Nebraska-Lincoln Center on Children, Families, and the Law (CCFL) and to the Iowa Institute for Community Alliances (IICA). For 2012, NHAP continued to subgrant funds to these two entities for the purpose of HMIS data management.

Nebraska continues to strive to improve the accuracy, completeness and consistency of ESG data. Through training and ongoing technical assistance provided by Nebraska’s two HMIS subgrantees, there have been definite improvements in data entry by subgrantees. However, staff turnover and limited resources, as well as HUD’s changing data requirements continue to pose challenges to data consistency.

Table V reflects the number of homeless individuals receiving ESG-funded services during 2012-13, compared to the prior program year. It also identifies the percentage increase or decrease in the number of individuals served. The decreases reflect the loss of HPRP funds, as well as improved data entry. Region 7 reflects data from Omaha, Nebraska’s largest city. As an entitlement city, Omaha receives their ESG allocation directly from HUD. NHAP provides only state HSATF funding for qualified Omaha programs.

NHAP Region	Number of Homeless Individuals Served		
	2011-2012	2012-2013	% Inc/Dec
1-Panhandle	377	336	-11%
2-North Central	804	923	15%
3-Southwest	1,121	1,131	1%
4-Southeast	1,059	496	-53%
5-Northeast	822	1,041	27%
Total Regions 1-5	4,183	3,927	-6%
6-Lincoln	3,306	3,404	3%
Total Regions 1-6	7,489	7,331	-2%
7-Omaha	6,500	4,649	-28%
Total All Regions	13,989	11,980	-14%

Table VI reflects the number of individuals at risk of homelessness receiving ESG-funded services during 2012-13, compared to the prior program year. It also identifies the percentage increase or decrease in the number of individuals served. The decreases reflect the loss of HPRP funds, as well as improved data entry. Region 7 reflects data from Omaha, Nebraska's largest city. As an entitlement city, Omaha receives their ESG allocation directly from HUD. NHAP provides only state HSATF funding for qualified Omaha programs.

<b>TABLE VI: Individuals At Risk of Homeless Served</b>			
<b>NHAP Region</b>	<b>Number At Risk of Homelessness Served</b>		
	<b>2011-2012</b>	<b>2012-2013</b>	<b>% Inc/Dec</b>
1-Panhandle	2,999	521	-83%
2-North Central	4,233	2,156	-49%
3-Southwest	6,772	5,537	-18%
4-Southeast	5,782	3,526	-39%
5-Northeast	1,689	2,647	36%
Total Regions 1-5	21,475	14,387	-33%
6-Lincoln	6,771	7,095	5%
Total Regions 1-6	28,246	21,482	-24%
7-Omaha	1,280	1,330	4%
Total All Regions	29,526	22,812	-23%

Table VII indicates the race of individuals receiving services in NHAP Regions 1-7, where services were reimbursed by ESG and HSATF funds.

<b>TABLE VII: Race</b>	
<b>RACE</b>	<b>TOTAL</b>
American Indian or Alaska Native	2,011
Asian	189
Black or African American	5,484
Native Hawaiian or Other Pacific Islander	145
White	16,338
Other Multi-Racial	87
Other	221
Don't Know	1,308
Refused	50
Missing	883
<b>TOTAL</b>	<b>36,716</b>

Table VIII indicates the ethnicity of individuals receiving services in NHAP Regions 1-7, where services were reimbursed by ESG and HSATF funds.

<b>TABLE VIII: Ethnicity</b>	
<b>ETHNICITY</b>	<b>TOTAL</b>
Hispanic or Latino	5,286
Not Hispanic or Latino	29,350
Refused	36
Don't Know	1,298
Missing	989
<b>TOTAL</b>	<b>36,959</b>

Table IX indicates the number of adults and children receiving services in NHAP Regions 1-7, where services were reimbursed by ESG and HSATF funds.

<b>TABLE IX: Adults and Children</b>	
<b>Adults/Children</b>	<b>TOTAL</b>
Adults	19,277
Children	9,708
Don't Know	38
Missing	76
<b>TOTAL</b>	<b>29,099</b>

Table X indicates the gender of individuals receiving services in NHAP Region 7, where services were reimbursed with only HSATF funds.

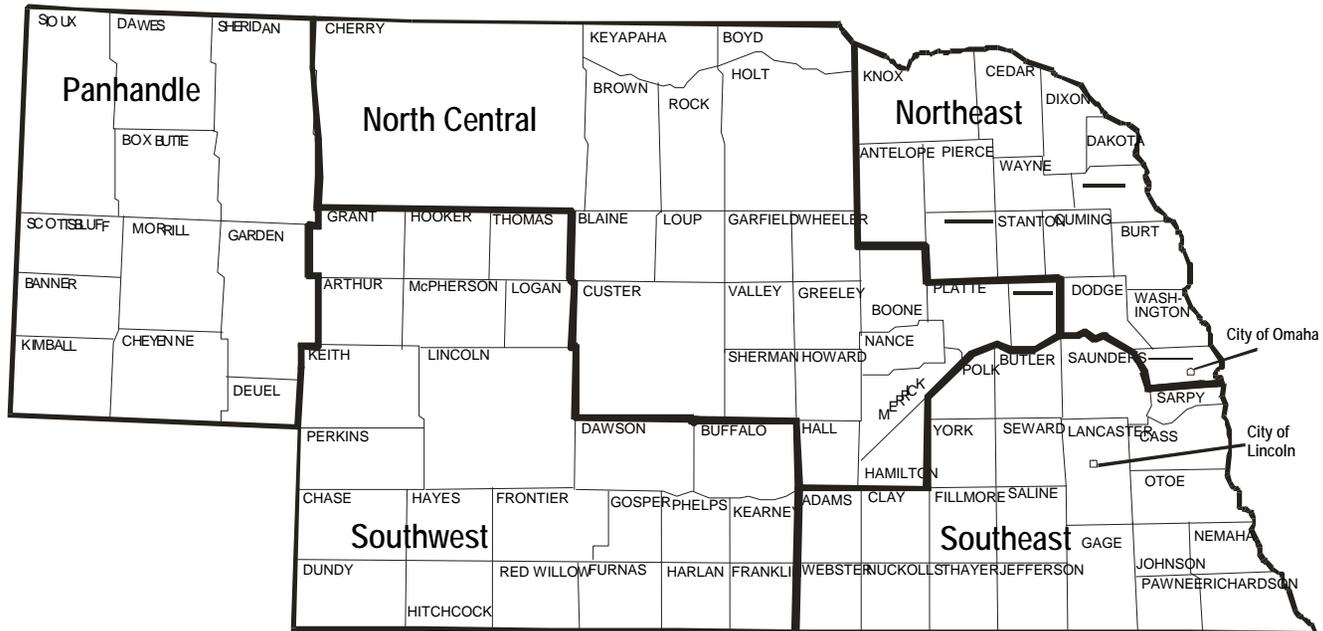
<b>TABLE X: Gender</b>	
<b>Gender</b>	<b>TOTAL</b>
Female	20,110
Male	17,021
Missing	163
<b>TOTAL</b>	<b>37,294</b>

Table XI indicates the age of individuals receiving services in NHAP Region 7, where services were reimbursed with only HSATF funds.

<b>TABLE XI: Age</b>	
<b>Age</b>	<b>TOTAL</b>
Under 18	11,851
18-24	4,331
25 and over	20,293
Don't Know	768
Missing	76
<b>TOTAL</b>	<b>37,319</b>

The different total amounts for Tables VII-XI are a result of individuals receiving more than one service and/or being served by more than one agency and/or data entry errors.

## Map of Nebraska Homeless Assistance Program Regional Continuums of Care



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 List of Activities By Program Year And Project  
 NEBRASKA

REPORT FOR CPD PROGRAM HESG, ESG  
 PGM YR 2011

Funding Agency: NEBRASKA

Plan Year	IDIS Project	Project	IDIS Activity ID	Activity Name	Activity Status	Program	Funded Amount	Draw Amount	Balance	
2011	14	ESG11 The DOVES Program	6040	ESG11 Shelter	Canceled	ESG	\$0.00	\$0.00	\$0.00	
						HESG	\$0.00	\$0.00	\$0.00	
			6041	ESG11 Homelessness Prevention	Canceled	HESG	\$0.00	\$0.00	\$0.00	
		<b>Project Total</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	15	ESG11 Cirrus House, Inc	<b>Project Total</b>	6042	ESG11 Shelter	Canceled	ESG	\$0.00	\$0.00	\$0.00
								<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
	16	ESG11 Nebraska	<b>Project Total</b>	6044	ESG11 Shelter	Open	HESG	\$560,290.71	\$558,043.95	\$2,246.76
				6045	ESG11 Homeless Prevention	Open	HESG	\$278,489.21	\$252,482.77	\$26,006.44
				6046	ESG11 Administration	Canceled	HESG	\$0.00	\$0.00	\$0.00
				6047	ESG11 Administration	Open	HESG	\$62,599.00	\$62,599.00	\$0.00
				6282	ESG11 Street Outreach	Open	HESG	\$5,056.62	\$5,056.62	\$0.00
				6283	ESG11 Rapid Rehousing	Open	HESG	\$69,377.69	\$62,390.79	\$6,986.90
				6284	ESG11 Data Collection (HMIS)	Open	HESG	\$5,080.77	\$5,080.77	\$0.00
		<b>Project Total</b>					<b>\$980,894.00</b>	<b>\$945,653.90</b>	<b>\$35,240.10</b>	
	<b>Program Total</b>				<b>ESG</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		
					<b>HESG</b>	<b>\$980,894.00</b>	<b>\$945,653.90</b>	<b>\$35,240.10</b>		
	<b>2011 Total</b>					<b>\$980,894.00</b>	<b>\$945,653.90</b>	<b>\$35,240.10</b>		
	<b>Program Grand Total</b>				<b>ESG</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		
					<b>HESG</b>	<b>\$980,894.00</b>	<b>\$945,653.90</b>	<b>\$35,240.10</b>		
	<b>Grand Total</b>					<b>\$980,894.00</b>	<b>\$945,653.90</b>	<b>\$35,240.10</b>		

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 List of Activities By Program Year And Project  
 NEBRASKA

REPORT FOR CPD PROGRAM HESG, ESG  
 PGM YR 2012

Funding Agency: NEBRASKA

Plan Year	IDIS Project	Project	IDIS Activity ID	Activity Name	Activity Status	Program	Funded Amount	Draw Amount	Balance
2012	9	ESG12 Nebraska	6285	ESG12 Street Outreach	Open	HESG	\$14,346.94	\$14,013.97	\$332.97
			6286	ESG12 Shelter	Open	HESG	\$475,508.92	\$407,801.19	\$67,707.73
			6287	ESG12 Homeless Prevention	Open	HESG	\$378,643.71	\$308,248.90	\$70,394.81
			6288	ESG12 Rapid Re-Housing	Open	HESG	\$135,336.22	\$83,006.78	\$52,329.44
			6289	ESG12 Data Collection (HMIS)	Open	HESG	\$32,133.68	\$26,904.07	\$5,229.61
			6290	ESG12 Administration	Open	HESG	\$84,463.28	\$22,549.92	\$61,913.36
		<b>Project Total</b>					<b>\$1,120,432.75</b>	<b>\$862,524.83</b>	<b>\$257,907.92</b>
		<b>Program Total</b>				<b>HESG</b>	<b>\$1,120,432.75</b>	<b>\$862,524.83</b>	<b>\$257,907.92</b>
		<b>2012 Total</b>					<b>\$1,120,432.75</b>	<b>\$862,524.83</b>	<b>\$257,907.92</b>
		<b>Program Grand Total</b>				<b>HESG</b>	<b>\$1,120,432.75</b>	<b>\$862,524.83</b>	<b>\$257,907.92</b>
		<b>Grand Total</b>					<b>\$1,120,432.75</b>	<b>\$862,524.83</b>	<b>\$257,907.92</b>

# CR-60 - ESG 91.520(g) (ESG Recipients only)

## ESG Supplement to the CAPER in *e-snaps*

### For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	NEBRASKA
Organizational DUNS Number	808820138
EIN/TIN Number	470491233
Identify the Field Office	OMAHA
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

##### ESG Contact Name

Prefix	0
First Name	Cindy
Middle Name	0
Last Name	Kadavy
Suffix	0
Title	0

##### ESG Contact Address

Street Address 1	P.O. Box 95026
Street Address 2	0
City	Lincoln
State	NE
ZIP Code	68509-5026
Phone Number	4024719258
Extension	0
Fax Number	0
Email Address	cindy.kadavy@nebraska.gov

##### ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

## 2. Reporting Period—All Recipients Complete

**Program Year Start Date** 07/01/2012  
**Program Year End Date** 06/30/2013

### 3a. Subrecipient Form – Complete one form for each subrecipient

**Subrecipient or Contractor Name:** The DOVES Program  
**City:** Gering  
**State:** NE  
**Zip Code:** 69341,  
**DUNS Number:** 037224370  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$42,323

**Subrecipient or Contractor Name:** Cirrus House, Inc.  
**City:** Scottsbluff  
**State:** NE  
**Zip Code:** 69361,  
**DUNS Number:** 805726098  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$12,382

**Subrecipient or Contractor Name:** Community Action Partnership of Western Nebraska  
**City:** Gering  
**State:** NE  
**Zip Code:** 69341,  
**DUNS Number:** 085816908  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$30,000

**Subrecipient or Contractor Name:** Center for SA & DV Survivors  
**City:** Columbus  
**State:** NE  
**Zip Code:** 68602,  
**DUNS Number:** 029855561  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$12,405

**Subrecipient or Contractor Name:** Bright Horizons  
**City:** O'Neill  
**State:** NE  
**Zip Code:** 68763,  
**DUNS Number:** 180424475  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$21,693

**Subrecipient or Contractor Name:** Crisis Center, Inc.  
**City:** Grand Island  
**State:** NE  
**Zip Code:** 68802,  
**DUNS Number:** 962445409  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$20,433

**Subrecipient or Contractor Name:** Central Nebraska Community Services  
**City:** Loup City  
**State:** NE  
**Zip Code:** 68853,  
**DUNS Number:** 084623198  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$63,655

**Subrecipient or Contractor Name:** Hope Harbor, Inc.  
**City:** Grand Island  
**State:** NE  
**Zip Code:** 68801,  
**DUNS Number:** 132322673  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$56,337

**Subrecipient or Contractor Name:** RAFT, Inc.  
**City:** Kearney  
**State:** NE  
**Zip Code:** 68848,  
**DUNS Number:** 003982241  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$9,245

**Subrecipient or Contractor Name:** Parent Child Center  
**City:** Lexington  
**State:** NE  
**Zip Code:** 68850,  
**DUNS Number:** 180430563  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$19,333

**Subrecipient or Contractor Name:** The SAFE Center  
**City:** Kearney  
**State:** NE  
**Zip Code:** 68847,  
**DUNS Number:** 795991249  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$14,335

**Subrecipient or Contractor Name:** The Connection Homeless Shelter, Inc.

**City:** North Platte

**State:** NE

**Zip Code:** 69103,

**DUNS Number:** 834811622

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$38,265

**Subrecipient or Contractor Name:** Community Action Partnership of Mid-Nebraska - Southwest

**City:** Kearney

**State:** NE

**Zip Code:** 68847,

**DUNS Number:** 068662444

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$70,049

**Subrecipient or Contractor Name:** Community Action Partnership of Lancaster & Saunders Counties - Southeast

**City:** Lincoln

**State:** NE

**Zip Code:** 68508,

**DUNS Number:** 072910086

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$11,696

**Subrecipient or Contractor Name:** SA SA Crisis Center

**City:** Hastings

**State:** NE

**Zip Code:** 68901,

**DUNS Number:** 196177976

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$11,843

**Subrecipient or Contractor Name:** Catholic Social Services

**City:** Hastings

**State:** NE

**Zip Code:** 68901,

**DUNS Number:** 834093981

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$21,533

**Subrecipient or Contractor Name:** Blue Valley Community Action, Inc.

**City:** Fairbury

**State:** NE

**Zip Code:** 68352,

**DUNS Number:** 041737594

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$84,865

**Subrecipient or Contractor Name:** Community Action Partnership of Mid-Nebraska - Southeast

**City:** Kearney

**State:** NE

**Zip Code:** 68847,

**DUNS Number:** 068662444

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$14,997

**Subrecipient or Contractor Name:** Southeast Nebraska Community Action Council, Inc.

**City:** Humboldt

**State:** NE

**Zip Code:** 68376,

**DUNS Number:** 022701825

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$13,879

**Subrecipient or Contractor Name:** Hope Crisis Center  
**City:** Fairbury  
**State:** NE  
**Zip Code:** 68352,  
**DUNS Number:** 809425833  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$36,811

**Subrecipient or Contractor Name:** Care Corps, Inc.  
**City:** Fremont  
**State:** NE  
**Zip Code:** 68025,  
**DUNS Number:** 962071577  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$14,409

**Subrecipient or Contractor Name:** Crisis Center for DA SA  
**City:** Fremont  
**State:** NE  
**Zip Code:** 68025,  
**DUNS Number:** 945637023  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$35,530

**Subrecipient or Contractor Name:** Bright Horizons  
**City:** Norfolk  
**State:** NE  
**Zip Code:** 68702,  
**DUNS Number:** 180424475  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$30,619

**Subrecipient or Contractor Name:** Northeast Nebraska Community Action Partnership  
**City:** Pender  
**State:** NE  
**Zip Code:** 68047,  
**DUNS Number:** 099565921  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$42,002

**Subrecipient or Contractor Name:** Haven House Family Service Center  
**City:** Wayne  
**State:** NE  
**Zip Code:** 68787,  
**DUNS Number:** 110495934  
**Is subrecipient a VAWA-DV provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$19,789

**Subrecipient or Contractor Name:** CEDARS Youth Services  
**City:** Lincoln  
**State:** NE  
**Zip Code:** 68506,  
**DUNS Number:** 131097292  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$18,411

**Subrecipient or Contractor Name:** Fresh Start, Inc.  
**City:** Lincoln  
**State:** NE  
**Zip Code:** 68504,  
**DUNS Number:** 075036108  
**Is subrecipient a VAWA-DV provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** \$46,834

**Subrecipient or Contractor Name:** Friendship Home of Lincoln, Inc.

**City:** Lincoln

**State:** NE

**Zip Code:** ,

**DUNS Number:** 099795192

**Is subrecipient a VAWA-DV provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$33,943

**Subrecipient or Contractor Name:** Houses of Hope of Nebraska, Inc.

**City:** Lincoln

**State:** NE

**Zip Code:** 68505,

**DUNS Number:** 109097444

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$12,966

**Subrecipient or Contractor Name:** Community Action Partnership of Lancaster & Saunders Counties

**City:** Lincoln

**State:** NE

**Zip Code:** 68508,

**DUNS Number:** 072910086

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$116,543

**Subrecipient or Contractor Name:** Peoples City Mission

**City:** Lincoln

**State:** NE

**Zip Code:** 68508,

**DUNS Number:** 034834192

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$37,683

**Subrecipient or Contractor Name:** Matt Talbot Kitchen and Outreach

**City:** Lincoln

**State:** NE

**Zip Code:** 68501,

**DUNS Number:** 942635509

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** \$21,533

**Subrecipient or Contractor Name:** Catholic Social Services

**City:** Lincoln

**State:** NE

**Zip Code:** 68510,

**DUNS Number:** 834093981

**Is subrecipient a VAWA-DV provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** \$5,383

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	12581
Children	7397
Don't Know/Refused	15
Missing Information	32
<b>Total</b>	<b>20025</b>

Table 1 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	482
Children	555
Don't Know/Refused	0
Missing Information	0
<b>Total</b>	<b>1037</b>

Table 2 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	6147
Children	2361
Don't Know/Refused	4
Missing Information	5
<b>Total</b>	<b>8517</b>

Table 3 – Shelter Information

**4d. Complete for Street Outreach**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	1523
Children	799
Don't Know/Refused	0
Missing Information	0
<b>Total</b>	<b>2322</b>

**Table 4 – Street Outreach Information**

**4e. Totals for all Persons Served with ESG**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	24183
Children	13717
Don't Know/Refused	38
Missing Information	76
<b>Total</b>	<b>37938</b>

**Table 5 – Household Information for Persons Served with ESG**

**5. Gender—Complete for All Activities**

	<b>Total</b>
Male	17021
Female	20110
Transgendered	0
Unknown	551
<b>Subtotal</b>	<b>37682</b>

**Table 4 – Gender Information**

**6. Age—Complete for All Activities**

	<b>Total</b>
Under 18	11851
18-24	4331
Over 24	20293
Don't Know / Refused	768
Missing Information	76
<b>Total</b>	<b>37319</b>

**Table 5 – Age Information**

## 7. Special Populations Served—Complete for All Activities

### Number of Persons in Households

Subpopulation	Total Persons Served - Prevention	Total Persons Served - RRH	Total Persons Served - Shelter	Total Persons Served - Outreach	Total
Veterans	627	11	415	0	1053
Victims of Domestic Violence	4737	602	3657	2322	11318
Elderly	1382	6	233	0	1621
HIV/AIDS	0	0	1	0	1
Chronically Homeless	734	11	1097	0	1842
Persons with Disabilities					
Severely Mentally Ill	100	12	467	0	579
Chronic Substance Abuse	91	10	618	0	719
Other Disability	85	20	305	0	410
Total (unduplicated, if possible)	276	42	1390	0	1708

**Table 6 – Special Population Served**

## CR-70 – Assistance Provided

### 8. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nighths available	121546
Total Number of bed - nights provided	71803
Capacity Utilization	59%

Table 7 – Shelter Capacity

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program			
<b>Subtotal Homelessness Prevention</b>	\$155,276	\$164,690	\$278,489

Table 8 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Assistance under Emergency Shelter Grants Program			
<b>Subtotal Homelessness Prevention</b>			\$69,378

Table 9 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Essential Services	\$127,663		
Operations	\$313,334		
Renovation			
Major Rehab			
Conversion			
<b>Subtotal</b>	\$440,997	\$439,293	\$560,291

Table 10 – ESG Expenditures for Emergency Shelter

### 11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Street Outreach			\$5,057
HMIS			\$5,080
Administration	\$31,376	\$31,214	\$62,599

Table 11 - Other Grant Expenditures

### 11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2009	FY 2010	FY 2011
	\$627,649	\$635,197	\$980,894

Table 12 - Total ESG Funds Expended

164

### 11f. Match Source

	FY 2009	FY 2010	FY 2011
Other Non-ESG HUD Funds			
Other Federal Funds			
State Government	\$1,998,333	\$2,073,642	\$1,388,637
Local Government			
Private Funds			
Other			
Fees			
Program Income			
<b>Total Match Amount</b>			

Table 13 - Other Funds Expended on Eligible ESG Activities

### 11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2009	FY 2010	FY 2011
	\$627,649	\$635,197	\$980,894

Table 14 - Total Amount of Funds Expended on ESG Activities

***Section Seven:***

Housing Opportunities for Persons With AIDS Priority

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## **HOPWA Services Priority Statement & Objective**

**HOPWA SERVICES PRIORITY: ENSURE APPROPRIATE EMERGENCY AND/OR PERMANENT HOUSING AND SERVICES FOR PEOPLE WHO ARE HOMELESS OR AT IMMINENT RISK OF BECOMING HOMELESS BY DISTRIBUTING FUNDING TO PROJECT SPONSOR TO MEET THE NEEDS OF PERSONS LIVING WITH HIV/AIDS.**

**The State of Nebraska's Objective developed to meet the HOPWA Services Priority is:**

**Objective One: Provide housing assistance and related supportive services to low income persons with HIV/AIDS and their families and enable low income person with HIV/AIDS to achieve stability in housing, reduce risks of homelessness and increase access to healthcare.**

### **Housing Opportunities for Persons With AIDS (HOPWA) Program**

The Housing Opportunities for Persons With AIDS (HOPWA) Program was authorized by the AIDS Housing Opportunity Act, and revised under the Housing and Community Development Act of 1992, to provide States and localities with the resources and incentives to devise and implement long-term comprehensive strategies for meeting the housing needs of low-income persons with acquired immunodeficiency syndrome (AIDS) and related diseases, and their families. Activities of primary importance are providing housing and services that assist this population to obtain and maintain affordable housing where they can maintain complex medication regimens and address HIV/AIDS related problems. Funds are appropriated annually by Congress to the U.S. Department of Housing and Urban Development (HUD) for administration of this program. HOPWA funds are then awarded by a formula allocation to eligible States and Eligible Metropolitan Statistical Areas (EMSAs) that meet the minimum number of cumulative AIDS cases. States and metropolitan areas coordinate use of HOPWA funds with their respective Consolidated Plan, a collaborative process whereby the state or metropolitan area establishes a unified vision for community development actions. Currently, only the State is eligible to receive formula funds in Nebraska.

The State of Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. The HOPWA Program is administered by the Nebraska Department of Health and Human Services (DHHS) and located within the Infectious Disease Prevention and Care Unit within the Division of Public Health. The Infectious Disease Prevention and Care Unit consists of the following programs (see diagram on Section 7 – 5):

- HOPWA
- HIV Prevention
- HIV Surveillance

- Sexually Transmitted Diseases (STD)
- Tuberculosis (TB)
- Hepatitis
- Ryan White Part B

The DHHS grants these HOPWA funds to the Nebraska AIDS Project (NAP) who serves as project sponsor with offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP is the only AIDS Service Organization in Nebraska to provide case management services statewide to persons living with the HIV/AIDS disease. Therefore, grant funds are awarded directly through a sole source contract deviation process. Contact information for NAP's offices can be found in Section 7 – 6.

The DHHS contracts with Assistive Technology Partnership (ATP), a division within the Nebraska Department of Education. ATP is responsible for providing/maintaining the states' housing resource database, identifying resources for NAP's case management staff, and conducting Housing Quality Standard (HQS) inspections in the Lincoln and Omaha area. HQS inspections for the balance of the state are coordinated with the local public housing authorities. Due to a reduction in funding, some of the activities performed by ATP were performed by NAP staff in 2012.

The goal for the HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with incomes above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services.

A strategy of the HOPWA Program is to support and facilitate the Nebraska AIDS Project's (project sponsor) participation and involvement in the seven (7) regional Continuum of Care committees. NDHHS participates on the Statewide Continuum of Care committee as well as on the 10 Year Plan to End Chronic Homelessness Ad Hoc committee. These collaborations help to ensure the special needs of persons living with HIV/AIDS in Nebraska are represented at both the regional and the state level planning processes. The HOPWA Program also participates in the Nebraska HIV Care and Prevention Consortium (NHCPC) which consists of HIV/AIDS medical/service providers, consumers, etc. A representative of the Nebraska Red Ribbon Community (a geographical, diverse group of persons living with HIV/AIDS that work together to enhance and enrich the lives of fellow HIV positive persons through leadership and partnership with the state and other local organizations) participates on the NHCPC. Staff works closely with the Ryan White All Parts committee members to coordinate services for persons living with HIV/AIDS.

The loss of competitive funds has significantly impacted the Nebraska HOPWA Program. The number of households receiving tenant-based rental assistance was reduced from 52 annually to 12. The number of households eligible for short-term emergency rent/mortgage was reduced by 50%. The Program continues to look for additional resources to maintain the program. However, the current integrity of the HOPWA Program has been significantly impacted.

## Actions taken during the 2012 Program Year

With the HOPWA 3-year competitive grant ending June 30, 2010, the Program Manager for the NDHHS has met with several programs/agencies in search of additional funding to decrease the impact of housing assistance and supportive services to persons/families living with HIV/AIDS. This will continue to be a priority in the 2012-2013 Program Year. Supplemental Ryan White Part B funds have been allocated to the HOPWA Program to assist families impacted by the significant decrease in housing assistance.

Through the HOPWA Program, activities to be funded include an array of supportive services including standard and intensive case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification.

HOPWA funds are used to support the goal of reducing homelessness and increasing housing stability for special needs populations via the following activities:

- Tenant-Based Rental Assistance, \$109,968 allocated to assist 12 households annually
- Short-Term Rent and Mortgage Assistance, \$56,000 to assist 30 households annually
- Supportive Services, \$129,000 allocated to assist 50 households annually
- Permanent Housing Placement, \$7,541 allocated to assist 13 households annually
- Housing Information Services, \$12,000 allocated to assist 13 households annually
- Resource Identification, \$5,000 allocated annually to assist HOPWA staff in identifying and collaborating with outside housing and supportive service resources

The HOPWA Program has prioritized outreach to the chronically homeless and homeless persons/families living with HIV/AIDS to help address their housing and supportive/medical needs.

**The State of Nebraska's performance indicators for the Objective developed to meet the HOPWA Services Priority are outlined in the following table:**

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
DH-1	Availability/Accessibility of Decent Housing						
HOMELESS SERVICES AND PREVENTION ACTIVITIES FOR PERSONS WITH HIV/AIDS							
DH-1.1	PROVIDE HOUSING ASSISTANCE AND RELATED SUPPORTIVE SERVICES TO LOW INCOME PERSONS WITH HIV/AIDS AND THEIR FAMILIES	HOPWA	Number of households receiving tenant-based rental assistance.	2010	12	48	100%
				2011	12	43	100%
				2012	12	15	100%
				2013			
				2014			
			Amount of funds spent on tenant-based rental assistance.	2010	\$105,000	\$59,797	57%
				2011	\$105,000	\$113,493	100%
				2012	\$105,000	\$66,964	64%
				2013			
				2014			

AND ENABLE LOW INCOME PERSONS WITH HIV/AIDS TO ACHIEVE STABILITY IN HOUSING, REDUCE RISKS OF HOMELESSNESS AND INCREASE ACCESS TO HEALTHCARE.			Number of households receiving short-term rent and mortgage assistance.	2010	30	78	100%
				2011	30	63	100%
				2012	30	62	100%
				2013			
				2014			
			Amount of funds spent on short-term rent and mortgage assistance.	2010	\$50,000	\$5,967	12%
				2011	\$50,000	\$51,962	100%
				2012	\$50,000	\$78,810	100%
				2013			
				2014			
			Number of households receiving supportive services.	2010	50	136	100%
				2011	50	102	100%
				2012	50	118	100%
				2013			
				2014			
			Amount of funds spent on housing supportive services.	2010	\$94,000	\$99,742	100%
				2011	\$94,000	\$141,111	100%
				2012	\$94,000	\$94,802	100%
				2013			
				2014			
			Number of households receiving permanent housing placement.	2010	13	9	69%
				2011	13	9	69%
				2012	13	8	62%
				2013			
				2014			
			Amount of funds spent on permanent housing placement.	2010	\$10,000	\$3,059	31%
				2011	\$10,000	\$7,814	78%
				2012	\$10,000	\$8,384	84%
				2013			
				2014			
			Number of households receiving housing information services.	2010	13	136	100%
				2011	13	102	100%
				2012	13	118	100%
				2013			
				2014			
			Amount of funds spent on housing information services.	2010	\$25,000	\$4,313	17%
				2011	\$25,000	\$5,728	23%
				2012	\$25,000	\$2,610	10%
				2013			
				2014			
Amount of funds allocated annually to assist HOPWA staff in identifying and collaborating with outside housing and supportive service resources.	2010	\$5,000	\$0	0%			
	2011	\$5,000	\$0	0%			
	2012	\$5,000	\$0	0%			
	2013						
	2014						

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-1	Availability/Accessibility of Decent Housing				
	HOMELESS SERVICES AND PREVENTION ACTIVITIES FOR PERSONS WITH HIV/AIDS				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Amount of funds spent on tenant-based rental assistance.	2010	\$105,000	\$59,797	57%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
	2011	\$105,000	\$113,493	100%	NA
	2012	\$105,000	\$66,964	64%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-1	Availability/Accessibility of Decent Housing				
	HOMELESS SERVICES AND PREVENTION ACTIVITIES FOR PERSONS WITH HIV/AIDS				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Amount of funds spent on short-term rent and mortgage assistance.	2010	\$50,000	\$5,967	57%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
	2011	\$50,000	\$51,962	100%	NA
	2012	\$50,000	\$78,810	100%	NA

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-1	Availability/Accessibility of Decent Housing				
	HOMELESS SERVICES AND PREVENTION ACTIVITIES FOR PERSONS WITH HIV/AIDS				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of households receiving permanent housing placement.	2010	13	9	69%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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	2011	\$10,000	\$7,814	78%	The Ryan White Part B Program has provided

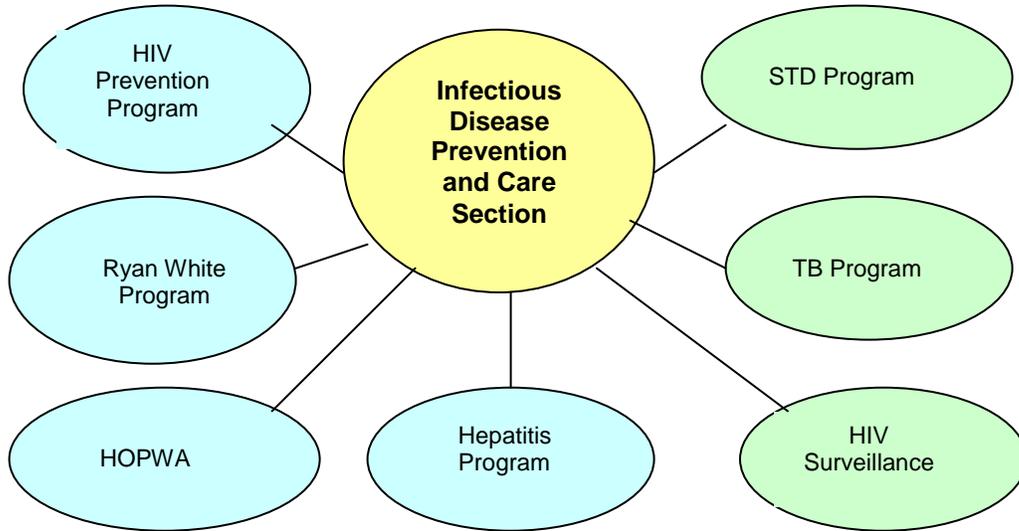
					additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
	2012	\$10,000	\$8,384	84%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.

<b>Specific Objective</b>	<b>Outcome/Objective</b>				
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DH-1	Availability/Accessibility of Decent Housing				
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<b>Performance Indicators</b>	<b>Year</b>	<b>Expected Number</b>	<b>Actual Number</b>	<b>Percent Complete</b>	<b>Reason for Below 100%</b>
Amount of funds spent on housing information services.	2010	\$25,000	\$4,313	17%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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**DIAGRAM OF NDHHS INFECTIOUS DISEASE PREVENTION AND CARE UNIT**



**NEBRASKA AIDS PROJECT**  
**OFFICE LOCATIONS**

Nebraska AIDS Project-Omaha  
250 s 77<sup>TH</sup> Street, Suite A  
Omaha, NE 68114  
402-552-9260

Nebraska AIDS Project-Kearney  
11 W. Railroad St.  
Kearney, NE 68847  
308-338-0527

Nebraska AIDS Project-Lincoln  
**1120 K St, Suite 100**  
**Lincoln, NE 68509**  
**402-476-7000**

Nebraska AIDS Project-Norfolk  
123 No. 4th Street, Suite 8  
Norfolk, NE 68701  
402-649-3584

Nebraska AIDS Project-Scottsbluff  
4500 Avenue I  
Scottsbluff, NE 69361  
308-635-3807



# **Housing Opportunities for Persons with AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

**Final Released 1/12/12**

**OMB Number 2506-0133 (Expiration Date: 10/31/2014)**

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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  - b. Annual Performance under the Action Plan
  - c. Barriers or Trends Overview
  - d. Assessment of Unmet Housing Needs

**PART 2: Sources of Leveraging and Program Income**

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

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- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	<b>Adjustment for duplication (subtract)</b>	1
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	1

**Administrative Costs:** Costs for general management, oversight coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who : (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

# Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

### 1. Grantee Information

<b>HUD Grant Number</b> NEH12F999		<b>Operating Year for this report</b> From (mm/dd/yy) July 1, 2012 To (mm/dd/yy) June 30, 2013			
<b>Grantee Name</b> Nebraska Department of Health and Human Services					
<b>Business Address</b>		301 Centennial Mall South P.O. Box 95026			
<b>City, County, State, Zip</b>		Lincoln	Lancaster	NE	68509-5026
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		47-0491233			
<b>DUN &amp; Bradstreet Number (DUNS):</b>		808820138		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: Unavailable at this time.	
<b>*Congressional District of Grantee's Business Address</b>		First			
<b>*Congressional District of Primary Service Area(s)</b>		First   Second   Third			
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Lincoln   Omaha   Kearney   Norfolk Scottsbluff		Counties: Lancaster   Douglas   Buffalo   Madison Scotts Bluff	
<b>Organization's Website Address</b>  www.dhhs.ne.gov		<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b>			

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

**2. Project Sponsor Information**

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Project Sponsor Agency Name</b> Nebraska AIDS Project		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>		JJ Replogle, Executive Director	
<b>Email Address</b>		jjr@nap.org	
<b>Business Address</b>		250 So. 77 <sup>th</sup> Street, Suite A	
<b>City, County, State, Zip,</b>		Omaha, Douglas, NE, 68114	
<b>Phone Number (with area code)</b>		402-552-9260	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		47-0786622	<b>Fax Number (with area code)</b> 402-552-9251
<b>DUN &amp; Bradstreet Number (DUNs):</b>		180380412	
<b>Congressional District of Project Sponsor's Business Address</b>		Second	
<b>Congressional District(s) of Primary Service Area(s)</b>		First, Second, Third	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Omaha, Lincoln, Kearney, Norfolk, Scottsbluff	Counties: Douglas, Lancaster, Buffalo, Madison, Scotts Bluff
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$283,871	
<b>Organization's Website Address</b>		www.nap.org	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

**3. Administrative Subrecipient Information NOT APPLICABLE**

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

Subrecipient Name N/A				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) and County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

**4. Program Subrecipient Information NOT APPLICABLE**

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

Sub-recipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

## 5. Grantee Narrative and Performance Assessment

### a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website.

The Housing Opportunities for Persons With AIDS (HOPWA) Program was authorized by the AIDS Housing Opportunity Act, and revised under the Housing and Community Development Act of 1992, to provide States and localities with the resources and incentives to devise and implement long-term comprehensive strategies for meeting the housing needs of low-income persons with acquired immunodeficiency syndrome (AIDS) and related diseases, and their families.

The State of Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. The HOPWA Program is administered by the Nebraska Department of Health and Human Services (NDHHS). Judy Anderson is the HOPWA Program Manager and her office is located at the NE State Office Building in Lincoln, NE. Ms. Anderson's contact information is as follows:

Judy Anderson, HOPWA Program Manager  
Nebraska Department of Health and Human Services  
301 Centennial Mall South  
P.O. Box 95026  
Lincoln, NE 68509-5026  
402-471-0937  
[judy.anderson@nebraska.gov](mailto:judy.anderson@nebraska.gov)

The HOPWA Program is located within the Infectious Disease Prevention and Care Unit. This unit consists of the following programs:

- HOPWA
- HIV Prevention
- HIV Surveillance
- Sexually Transmitted Diseases (STD)
- Tuberculosis (TB)
- Hepatitis
- Ryan White Part B

The NDHHS grants these HOPWA funds to Nebraska AIDS Project (NAP) who serves as project sponsor with offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP is the only AIDS Service Organization in Nebraska to provide case management services statewide to persons living with the HIV/AIDS disease. JJ Replogle is the Executive Director of NAP and she is located in the Omaha NAP office. Ms. Replogle's contact information is as follows:

JJ Replogle, Executive Director  
Nebraska AIDS Project  
250 So. 77<sup>th</sup> Street, Suite A  
Omaha, NE 68114  
402-552-9260  
[jjr@nap.org](mailto:jjr@nap.org)

Due to the reduction in HOPWA formula funding in FY 2012, the NDHHS terminated our long-standing contract with Assistive Technology Partnership (ATP), a division within the Nebraska Department of Education. ATP was responsible for providing/maintaining the states' housing resource database, identifying resources for NAP's case management staff, and conducting/coordinating Housing Quality Standard (HQS) inspections. NAP staff is now taking over these responsibilities with the exception of maintaining the states' housing resource database. This activity will continue to be provided by ATP. NDHHS and NAP will enhance resource identification through outreach activities and HQS inspections will be conducted by NAP staff. NAP staff have been trained to conduct HQS inspections via an online training webcast.

The goal for the HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one

person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with incomes above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance are providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related problems, and reach their maximum level of self-sufficiency through standard and/or intensive case management services.

In December 2012, NDHHS was notified by NAP that they identified a case manager that was committing fraud. This case manager was fraudulently creating client names and landlords. The NDHHS HOPWA, Ryan White Part B-Direct Client Services, Nebraska State Patrol and NE AIDS Project have worked closely with these entities in the investigation. The Case Manager involved has been charged, but it is our understanding that sentencing has not yet occurred. The NDHHS Programs are keeping the Omaha HUD field office and the Health Resources and Services Administration involved via periodic teleconferences.

Through the NE HOPWA Program, activities funded include an array of supportive services including standard and intensive case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program was showing a significant improvement in prioritized outreach to the chronically homeless and homeless persons/families living with HIV/AIDS to help address their housing and supportive/medical needs. Unfortunately, budget cuts have severely impacted the ability of this activity to continue at full force.

#### **b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The NDHHS HOPWA Program has been somewhat successful in meeting all goals indicated in the Annual Action Plan. However, with the NDHHS competitive award expiring on June 30, 2010, the loss of competitive funds significantly impacted the program beginning July 1, 2012. The HOPWA Program continues to look for additional resources to maintain the program in its maximum level of efficiency. The primary goal over the past year involved meeting with several programs/agencies in search of additional funding to decrease the impact of housing assistance and supportive services to persons/families living with HIV/AIDS. This will continue to be a priority for the NE HOPWA Program. However, supplemental Ryan White Part B funds have been allocated to the HOPWA Program to assist persons/families impacted by the significant decrease in emergency rental housing assistance. These funds will be extremely beneficial as the program continues. Unfortunately, the number of FTE's assigned to the HOPWA Program dropped from 5.0 to 2.5 at NAP which will certainly affect the outreach and case management services.

Also, with our decrease in HOPWA program funding which began to be recognized in July 2012, a primary goal of the HOPWA Program Manager was to closely oversee the budget to ensure sufficient funds were available to meet the needs of both program sponsor and client households throughout the year. I believe this goal was partially met.

The tenant-based rental assistance (TBRA) experienced a significant reduction in households served. As indicated in our previous CAPER, we served 46 households through this activity between July 1, 2011 and June 30, 2012. However, as of July 1, 2012 the program reduced the TBRA activity to 12 households. Clients were provided 3 months' notice and case management staff worked incessantly with clients/families and landlords to help minimize the stress and concerns of the clients and their families. NDHHS has reviewed/monitored the status of client/households through our Provide Case Management software system to review the status on households removed from TBRA. Several clients moved, several moved in with family, and several are trying to remain in their current residence without a HOPWA TBRA subsidy. HOPWA housing assistance has been provided to the households to help alleviate the stress and costs associated with moving and with losing their TBRA voucher.

Emergency rent and referrals for housing assistance have been provided periodically to assist households in maintaining their housing.

Again, we currently have 9 households on TBRA which currently puts us slightly under budget. As spots open up, and the budget allows, the preference would be to only place high-at-risk individuals. Priority placement on TBRA are persons that most likely would not be successful on Section 8 at that time, that require intensive case management services, are in poor health, history of homelessness, incarceration and substance use. This was the criteria for remaining on the TBRA Program. From years of operating the NE HOPWA Program, TBRA appears to be the most appropriate and successful activity for persons living with HIV/AIDS to remain in their housing.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The NDHHS HOPWA Program has consistently reviewed the program and client outcomes. Overall, goals were met and exceeded. This, in part, is due to the ability of our HOPWA Program to draw down previous year grant funds that were not spent due to the priority of spending competitive HOPWA grant funding first.

In Nebraska, outreach and intensive case management has made a significant impact on the lives of those identified. This activity has been primarily conducted in Omaha. However, our Scottsbluff, Norfolk, Kearney and Lincoln program are now focusing on and gearing up to intensify outreach in the areas of the state in which they provide services. This will be a difficult endeavor considering the number of clients they currently provide services to and the distances they drive to see clients, but it definitely is a worthwhile activity.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

A successful strategy in the past several years was to support and facilitate Nebraska AIDS Project's (project sponsor) participation and involvement in the seven (7) regional Continuum of Care committees. NDHHS participates on the Statewide Continuum of Care committee as well as on the 10 Year Plan to End Chronic Homelessness Ad Hoc committee. However, due to change to personnel in the Nebraska Homeless Assistance Program in the past 2 years the Statewide Continuum and Ad Hoc committee are on hold. The regional collaborations have helped NAP case management tremendously in identifying local resources and ensuring the special needs of persons living with HIV/AIDS in Nebraska are represented at the local level planning process. NAP's involvement has been beneficial not only for the agency as a whole, but for the clients they serve.

NAP, for the first time ever, applied and was awarded a HUD grant under the Emergency Solutions Grant (ESG) Program. I believe this is due to their diligent work in outreach in collaboration with other agencies within their Continuum of Care.

The HOPWA Program also participates in the Nebraska HIV Care and Prevention Consortium (NHCPC) which consists of HIV/AIDS medical/service providers, consumers, etc. A representative of the Nebraska Red Ribbon Community (a geographical, diverse group of persons living with HIV/AIDS that work together to enhance and enrich the lives of fellow HIV positive persons through leadership and partnership with the state and other local organizations) participates on the NHCPC. Staff works closely with the Ryan White All Parts committee members to coordinate services for persons living with HIV/AIDS.

HOPWA grantee staff is participating in the Center for Disease Control's (CDC) Program Collaboration and Service Integration (PCSI) project. PCSI is focused on improving collaboration between infectious disease programs (HIV/AIDS, Hepatitis, Sexually Transmitted Diseases and Tuberculosis) in order to enhance integrated service delivery at the client level or at the point of service delivery. This project will be beneficial to HOPWA clients and their families by helping to streamline education on infectious diseases including facilitation of testing, screening, and vaccination, as appropriate. A final draft of the proposed plan is completed with program/service integration to begin in the following year.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

None at this time.

- |   |   |  |   |
|---|---|--|---|
| <input checked="" type="checkbox"/> HOPWA/HUD Regulations | <input type="checkbox"/> Planning               | <input type="checkbox"/> Housing Availability          | <input type="checkbox"/> Rent Determination and Fair Market Rents |
| <input type="checkbox"/> Discrimination/Confidentiality   | <input type="checkbox"/> Multiple Diagnoses     | <input type="checkbox"/> Eligibility                   | <input type="checkbox"/> Technical Assistance or Training         |
| <input type="checkbox"/> Supportive Services              | <input type="checkbox"/> Credit History         | <input type="checkbox"/> Rental History                | <input type="checkbox"/> Criminal Justice History                 |
| <input type="checkbox"/> Housing Affordability            | <input type="checkbox"/> Geography/Rural Access | <input type="checkbox"/> Other, please explain further |   |

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

-HOPWA/HUD Regulations: Allow grantees to utilize HOPWA funding to purpose inexpensive beds/mattresses. We prioritize clients that are homeless, out of care, and face many barriers. We work hard to get them into housing and to keep them housed. It is difficult to take a client/household to a new residence for them and they have nothing more than what they are carrying. At least having a bed would make a huge difference. Other household items NAP has been successful in obtaining through donations, etc.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population:

Not at this time.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

None at this time.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

*Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

*Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

**1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	670
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	380
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	250
• Assistance with rental costs	250
• Assistance with mortgage payments	30
• Assistance with utility costs.	250
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	40

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input checked="" type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input checked="" type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input checked="" type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input checked="" type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance – Part B	\$52,637	Rent and Utility Assistance	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other – Part B	\$156,538	Medications	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Housing Choice Voucher Program	\$9,355	Rental Assistance	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Community Action Agencies	\$16,714	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Other Public: Homeless Prevention & Rapid Re-Housing	\$744	Homeless Prevention/Re-Housing	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Ryan White Food, Ins. Premiums, Transp.	\$8,818	Food/Ins. Premiums Transportation	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Other Public: Community Action Agencies	\$11,312	Housing Assistance	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Nebraska AIDS Project – Project Sponsor	\$6,196	Food vouchers/pantries, nutritional supplements, transportation	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Other Public: Community Action Agencies	\$140,798	CM, Food, Mental Health, Substance Abuse, Voc Rehab, job training	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$12,270		
<b>TOTAL (Sum of all Rows)</b>	<b>\$415,382</b>		

## 2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

### A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	0

### B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	0

End of PART 2

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

*Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

**1. HOPWA Performance Planned Goal and Actual Outputs**

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	12	15	N/A	N/A	\$105,000	\$66,964
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)						
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	30	68			\$50,000	\$78,810
5.	Permanent Housing Placement Services	13	8			\$10,000	\$8,384
6.	Adjustments for duplication (subtract)	0	8				
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	55	83	N/A	N/A	\$165,000	154,158
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	<b>Total Housing Developed</b> (Sum of Rows 78 & 9)	0	0	N/A	N/A	0	0
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	50	83			\$94,000	\$94,801
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	N/A					
12.	Adjustment for duplication (subtract)						
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	50	83			\$94,000	\$94,801
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	13	83			\$25,000	\$2,610
15.	<b>Total Housing Information Services</b>	13	83	N/A	N/A	\$25,000	\$2,610

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$5,000	\$6,932
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$10,459	\$10,459
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$18,900	\$18,421
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					\$34,359	\$35,812
<b>Total Expended</b>						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$358,165	\$287,381

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

*Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.*

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	83	\$92,426
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation	49	\$2,375
14.	Other Activity (if approved in grant agreement). Specify:		
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	132	
16.	<b>Adjustment for Duplication (subtract)</b>	49	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	83	\$94,801

## 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	68	\$78,810
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	8	\$12,574
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	60	\$66,236
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		\$2,000

**NE HOPWA DOES NOT PROVIDE UTILITY ASSISTANCE UNDER STRMU – HOPWA COLLABORATES WITH RYAN WHITE PART B**

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].  
**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	15	14	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison	1	
			8 Disconnected/Unknown		
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown		

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/unknown		
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			0		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
68	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	9	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	11	
	Other HOPWA Housing Subsidy Assistance	1	
	Other Housing Subsidy (PH)	3	
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	34	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	3	
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison	2	
	Disconnected	3	
Death	2	<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			39
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			28

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

*Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

Total Number of Households	
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	83
b. Case Management (ALL HOPWA CLIENTS RECEIVE CASE MANAGEMENT SERVICES W/ HOUSING)	83
c. Adjustment for duplication (subtraction)	83
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	83
<b>2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

*Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	79	N/A	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	71	N/A	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	62	N/A	Access to Health Care
4. Accessed and maintained medical insurance/assistance	80	N/A	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	78	N/A	Sources of Income

**Chart 1b. Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children’s Health Insurance Program (SCHIP), or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul>
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**Chart 1b. Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	27	N/A

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	13		1	
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	<b>13</b>		<b>1</b>	<b>0</b>
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	24	37	5	2
<b>Total HOPWA Housing Subsidy Assistance</b>	<b>24</b>	<b>37</b>	<b>5</b>	<b>2</b>

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

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**End of PART 5**  
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**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

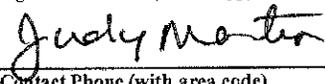
**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Judy Martin, Deputy Director, Division of Public Health Nebraska Department of Health and Human Services	Signature & Date (mm/dd/yy)  09/26/13
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Judy L. Anderson, NE HOPWA Program Manager Judy.anderson@nebraska.gov	Contact Phone (with area code)  (402)471-0937

End of PART 6

**Part 7: Summary Overview of Grant Activities**

**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	83

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. Continuing to receive HOPWA support from the prior operating year	62
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	3
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	6
4. Transitional housing for homeless persons	
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	9
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7. Psychiatric hospital or other psychiatric facility	
8. Substance abuse treatment facility or detox center	
9. Hospital (non-psychiatric facility)	
10. Foster care home or foster care group home	
11. Jail, prison or juvenile detention facility	1
12. Rented room, apartment, or house	7
13. House you own	1
14. Staying or living in someone else's (family and friends) room, apartment, or house	2
15. Hotel or motel paid for without emergency shelter voucher	1
16. Other	
17. Don't Know or Refused	
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	83

**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	4

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	83
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	8
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	190
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	281

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	14	4	0	0	18
3.	31 to 50 years	38	11	2	0	51
4.	51 years and Older	10	4	0	0	14
5.	Subtotal (Sum of Rows 1-4)	62	19	2	0	83
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	31	27			58
7.	18 to 30 years	39	18			57
8.	31 to 50 years	49	13	2		64
9.	51 years and Older	11	8			19
10.	Subtotal (Sum of Rows 6-9)	130	66	2	0	198
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	192	85	4	0	281

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	1	0	5	0
2.	Asian	0	0	1	0
3.	Black/African American	35	4	46	5
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	42	6	57	6
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	4	1	4	3
9.	American Indian/Alaskan Native & Black/African American	1	0	4	2
10.	Other Multi-Racial	0	11	81	0
11.	Column Totals (Sum of Rows 1-10)	83	22	198	16

*Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.*

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	83
2.	31-50% of area median income (very low)	0
3.	51-80% of area median income (low)	0
4.	<b>Total (Sum of Rows 1-3)</b>	83

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

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**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the	Number Energy-Star Compliant	Number 504 Accessible

		Homeless		
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance</b> (Sum Rows a. through d. minus Row e.)		

***Section Eight:***

Other Actions

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## **Report on Other Actions**

### **Address Obstacles to Meeting Under-Served Needs**

The State of Nebraska has identified the limitations on financial resources and human resource capacities as an obstacle to meeting under-served needs in delivering services to people who are living in poverty. As a result several actions have been taken. Antipoverty programs have been identified and the State has identified partners and resources to work together in the most efficient manner with available programs. The State administers a number of programs designed to reduce the number of individuals and families who live in poverty. Federal and state funding sources partner together to fund the following anti-poverty programs and committees that address the states underserved needs: Childcare Assistance, Every Woman Matters Program, Food Stamps, Head Start, TANF, Community Service Block Grants, Energy Assistance, Nebraska Commission on Housing and Homelessness, Continuum of Care Committee, Competitive Federal Housing Funds, Transitional Housing Services, Nebraska Homeless Assistance Program, Nebraska Investment Finance Authority, Low-Income Housing Tax Credits, Nebraska Family Housing Advisory Services, and the Lead-based Paint Hazards Program.

### **Affordable Housing**

The Department of Economic Development (DED) has identified that a great need exists in available housing stock that is affordable. Two primary issues exist: housing availability and affordability. In 2000 Census, about 150,000 households in Nebraska had a housing problem. Also, because many housing units in Nebraska were built prior to 1980, the risk of lead based paint hazards is a significant problem. DED has identified the critical need to make strategic and focused investments with the limited Nebraska Affordable Housing Program resources. One item that addressed this need was the implementation of the Nebraska Affordable Housing Trust Fund (NAHTF). The NAHTF is a state financial resource developed in 1996 to increase the supply and improve the quality of affordable housing in Nebraska. LB 1322 was signed into law on April 17, 1996, and supported by the Nebraska Affordable Housing Commission, DED and the Nebraska Investment Finance Authority which worked with representatives from the League of Nebraska Municipalities, the Nebraska Bankers Association, the Nebraska Homebuilders Association, the State Chamber of Commerce and other for-profit and nonprofit housing development organizations. Additionally, LB 516 was signed into law in 2001, reauthorizing the NAHTF. As stated by Governor Mike Johanns in his January 11, 2001 State of the State Address, the “Affordable Housing Trust Fund is helping to address the need for adequate and affordable housing in communities all across Nebraska – especially in our rural areas.”

The NAHTF was created:

- To address the need for affordable housing as identified by the Department.
- To provide a flexible housing resource to enhance economic development.
- To serve the lowest income individuals for the longest period of time.
- To provide matching funds for federal resources.

The NAHTF has proven to be a beneficial resource for the people of the State of Nebraska. During the 2012 Program Year alone, the Department awarded \$4,296,470 for 20 projects throughout the state.

### **Homelessness and Other Special Needs**

In order to serve the needs of people who are homeless and near homeless more effectively, the Nebraska Department of Health and Human Services (DHHS) combined the Homeless Shelter Assistance Trust Fund (HSATF) and the Emergency Shelter Grant (ESG) Program application process into a single program area. The combined process and application is referred to as the Nebraska Homeless Assistance Program (NHAP).

In 1992 the Legislature created the Homeless Shelter Assistance Trust Fund by the passage of LB 1192. The bill increased the transfer tax to the seller of real estate by twenty-five cents on each \$1,000 of value from \$1.50 to \$1.75. The revenue is collected by County Registers of Deeds, remitted to the Nebraska Revenue Department and deposited into the Homeless Shelter Assistance Trust Fund.

In 2001, the Nebraska Legislature passed LB516, which transferred the State Homeless Shelter Assistance Trust Fund to the Nebraska Department of Health and Human Services from the Nebraska Department of Economic Development. At that time, Governor Johanns requested that HUD transfer the administration of the Emergency Shelter Grant Program for the Nebraska Balance of State (excludes entitlement cities) to the Department of Health and Human Services as well. HUD granted this request, and on September 1, 2001, both the State Homeless Shelter Assistance Trust Fund and the HUD Emergency Shelter Grant Program became the responsibility of the Nebraska Department of Health and Human Services. In 2011, the Emergency Shelter Grant Program became known as the Emergency Solutions Grant Program. In 2013, Lincoln (Region 6) became an ESG entitlement city and will receive its award directly from HUD. Omaha (Region 7) is also an ESG entitlement city. NHAP provides only HSATF funding for services provided in Lincoln and Omaha.

HSATF provides the required State match for receipt of ESG funding. During the 2012 Program Year, HSATF funding provided approximately \$1,600,000 in match, which exceeded the ESG Program dollar-for-dollar matching requirement and represented aid and administration.

Legislation passed during the 2013 legislative session will transfer an additional \$250,000 to the HSATF in 2013 and an additional \$250,000 in 2014. NHAP will award these funds during the next two-year grant cycle beginning July 1, 2014.

### **Homelessness and Serious Mental Illness**

Providing services for people with mental illness has also been identified as an underserved need. The Housing Related Assistance Program was started as part of the Nebraska Behavioral Health Reform. The program is authorized to serve adults who are very low-income with Serious Mental Illness (SMI). In the first year of the program, the

priority was to serve adults who are extremely low income who are ready to be discharged from an inpatient mental health commitment or in related situations. This priority continued during the 2012 program year in addition to several other identified focus areas for consumer benefit. The NHAP Coordinator participates on the Behavioral Health Housing Related Assistance quarterly conference calls.

### **Non-housing Community Development Plan**

A need for educating local government and planning officials in Federal and State statutes as well as land use and environmental issues was recognized. In order for community development projects to have a positive impact on a community, local officials must have a better understanding and acknowledgement of these Federal and State Statutes.

The Department conducted trainings attended by 52 individuals from municipalities and/or organizations throughout the state for CDBG administration. Training sessions included the all-inclusive CDBG certification and re-certification, environmental, construction/labor standards, and property acquisition. All awarded municipalities were required to send a local representative to a one-day training to review the local municipality's responsibility regarding the CDBG award.

The Nebraska Chapter of the American Planning Association (APA) in collaboration with the Nebraska Planning and Zoning Association (NPZA) and the Heritage Nebraska Main Street Program conducted a 3-day statewide institute on land use management issues attended by more than 243 persons. Those in attendance represented several communities preparing and implementing planning projects funded through CDBG Program.

### **Actions to Foster and Maintain Affordable Housing; to Remove Barriers to Affordable Housing; and Overcome Impediments to Fair Housing**

The State of Nebraska recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety, environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays.

DED's Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. Please refer to the Housing Priority Section of the 2012 Annual Performance Report to review that information for the 2012 Program Year.

DED recognizes that it can, and where appropriate DED does, take action to overcome impediments to fair housing choice within the State of Nebraska. During the 2012 Program Year, DED undertook the following actions:

DED trained 52 community representatives on housing law, including education about the fair housing complaint system, as well as design and construction standards at CDBG certification trainings.

DED actively participated on the Fair Housing Conference planning committee to ensure that appropriate training and educational opportunities were offered to communities and other representatives across the state regarding fair housing and the barriers to fair housing choice.

The Housing Priority of the Annual Action Plan addresses how the State attempts to remove barriers to affordable housing. An Analysis of Impediments to Fair Housing Choice (AI) was prepared in support of the *Consolidated Plan*. The State of Nebraska is required by HUD to complete an Analysis of Impediments to Fair Housing Choice; take actions to overcome the effects of any impediments identified; and maintain records reflecting the actions taken in response to the analysis. The purpose of the Analysis of Impediments is to foster a careful examination on a state-wide basis, those factors which restrict or preclude fair housing choice.

In the summer of 2011, the Department of Economic Development (DED) contracted with Western Economic Services (WES) of Portland Oregon to satisfy the first requirement which is to conduct an Analysis of Impediments to fair housing choices outside of the Omaha, Lincoln metro area.

A copy of the entire Analysis of Impediments that has been prepared by WES is available on the DED website at: <http://www.neded.org/community/community-info/documentslibrary-a-forms> under the Section titled Nebraska Fair Housing Strategy.

Additional information within the Nebraska Fair Housing Strategy Section also includes information from the AI Presentation and information from previous AI's completed by the Department.

In the preparation of the Analysis of Impediments to Fair Housing Choice (AI) Western Economic Services performed both qualitative and quantitative research which included:

- Socio-economic and housing data from the U.S. Census Bureau;
- Employment data from the U.S. Bureau of Labor Statistics;
- Economic data from the U.S. Bureau of Economic Analysis;
- Investment data from the Community Reinvestment Act;
- Home purchase data from the Home Mortgage Disclosure Act; and
- Housing complaint data from the U.S. Department of Housing and Urban Development and the Nebraska Equal Opportunity Commission.

as well as:

- A fair housing survey of 690 stakeholders throughout the area to investigate fair housing issues in the private and public sectors;
- A planning and zoning survey to identify possible barriers to fair housing choice in established zoning and land use decisions; and
- A fair housing forum broadcast to several locations throughout the state to allow public input and reaction to preliminary findings of the AI.

From that research, WES was able to identify nine (9) public sector and seven (7) private sector impediments. These impediments included:

- Refusal to rent by rental property managers
- Lack of local code enforcement
- Lack of local fair housing policies, ordinances, or regulations
- Inadequate fair housing outreach and education activities
- Insufficient establishment and enforcement of building codes regarding special needs housing
- Discriminatory terms and conditions offered in rental markets
- Failure to make reasonable accommodations
- Lack of actions for affirmatively furthering fair housing by CDBG sub-recipients
- Shortage of fair housing services
- Issuance of predatory-type loans in the home purchase markets
- Denial of home purchase loans
- Lack of statewide fair housing cooperation
- Steering in the real estate markets
- Lack of collaboration among fair housing agencies to contribute to the AI process
- Discriminatory terms and conditions relating to sale
- Language barriers in permitting processes

From this information the Department developed a 2011 Analysis of Impediments to Fair Housing Choice Implementation Plan. This two year plan included measurable and observable objectives that DED would periodically monitor for progress towards the goals of alleviating or eliminating these impediments to fair housing choice.

During the 2012 Program Year, the Department, in coordination with a variety of organizations who had experience working within the fair housing arena and which included: the Nebraska Equal Opportunity Commission (NEOC); the Nebraska Housing Developers Association; High Plains CDC; and Family Housing Advisory Services worked ad developing an action plan in which the impediments identified within the AI were prioritized and resources were allocated to a number of activities (See the Sources and Uses Table on following page).

The Department continued to monitor the progress of these activities during the 2012 Program Year and will continue to review the actions taken during the 2013 Program Year as well. The Implementation of the Plan included the Department committed \$200,000 in Administration and Technical Assistance funds and \$75,000 in project funds from the Nebraska Affordable Housing Trust Fund in each of the next two years to address these

impediments. The exact mechanism for the distribution of these funds is yet to be decided, but a proposal is noted in the Fair Housing Table. Some of the actions identified in the Table began in 2012, but accomplishments will not be fully realized until the end of the 2013 Program Year (June 2014).

Overall, the Implementation Plan is intended to be a dynamic plan to address the impediments to Fair Housing Choice as identified in the 2011 AI. The impediments that have been identified as the most pressing have been discussed by the various participating groups as have the proposed actions to be taken. The Department believes there is a general consensus of the goals of the plan although there has been some discussion of who best can carry out some of those actions. One of the unstated impediments, but certainly one of the goals, is to further cooperation and collaboration of the various fair housing organizations.

The Department has requested progress reports from each of the groups identified within the Sources and Uses Table, and will make adjustments to the implementation plan based on those findings. The current status of each of the goals is noted within the Table below.

SOURCES→	CDBG Admin	CDBG TA	HOME Admin	TF Admin	NSP <sup>1</sup> Admin	TF Project	TOTALS
<b>USES (Activities)</b>							
<b>Fair Housing Testing (Underway)</b>							
NEOC			\$14,000				\$14,000
Family Housing Advisory Services, Inc. <sup>2</sup>		\$14,000					\$14,000
High Plains Community Development Corporation, Inc. <sup>3</sup>		\$7,000					\$7,000
<b>Fair Housing Training Activities (Planned For)</b>							
Rent Wise Training / Train the Trainer			\$20,000				\$20,000
Rent Wise Community Training Session (5) <sup>4</sup>	\$15,000						\$15,000
<b>Outreach / Education (Planned For)</b>							
NEOC	\$5,000						\$5,000
Family Housing Advisory Services, Inc.			\$5,000				\$5,000
High Plains Community Development Corporation, Inc.				\$5,000			\$5,000
Financial support Housing.Ne.Gov	\$5,000						\$5,000
<b>Making Homes Accessible / Grant (Underway)</b>						\$75,000	\$75,000
<b>Development of a Guidebook for Property Maintenance Standards and Special Needs Housing (Being Evaluated)</b>		\$15,000	\$15,000	\$15,000			\$45,000
<b>Local policy inventory (Being Evaluated)</b>	\$20,000				\$40,000 <sup>5</sup>		\$60,000
<b>Fair Housing Conference (financial support) (Completed)</b>		\$10,000					\$10,000
<b>TOTALS:</b>	<b>\$45,000</b>	<b>\$46,000</b>	<b>\$54,000</b>	<b>\$20,000</b>	<b>\$40,000</b>	<b>\$75,000</b>	<b>\$275,000</b>

<sup>1</sup> Can only be used in: (1) Central City; (2) Cozad; (3) Hastings; and (4) Kearney

<sup>2, 3, 4</sup> For educational activities related to Cities and Counties

<sup>5</sup> \$10,000 each, Central City, Cozad, Hastings, and Kearney

## **Coordination of Low Income Housing Tax Credits with Affordable Housing Development**

As an incentive for the provision of affordable, low-income rental housing, Section 42 of the Internal Revenue Service tax code provides for the taking of tax credits against the development cost. The Nebraska Investment Finance Authority (NIFA) allocated these federal tax credits for qualified projects in the State. To better coordinate the use of tax credits with other federal housing programs (e.g. CDBG, USDA-RD housing loans, and HOME); NIFA and DED worked extensively on the development and training for an annual HOME LIHTC set-aside and one application process.

### **Evaluation and Reduction of Lead-Based Paint Hazards**

The office of Environmental Health Hazards and Indoor Air was created after the reorganization of the Department of Health and Human Services (DHHS). This change grouped together several programs including Asbestos, Lead-Based Paint, Childhood Lead Poison Prevention, Adult Blood Lead Epidemiology Surveillance, Radon, Mercury, and the Clean Indoor Air Programs.

Currently, there are 85 lead abatement risk assessors, 3 lead abatement inspectors, 51 lead abatement supervisors and 20 lead abatement workers with active licenses on the list of lead professionals and workers that is maintained by DHHS.

DHHS maintains a website with useful information regarding lead based paint at <http://dhhs.ne.gov/pub/enh/leadpaint/leadindex.htm>.

### **Efforts to Reduce the Number of Persons Living Below the Poverty Level**

The housing strategies and objectives focus on creating and maintaining a supply of affordable, decent housing. Affordable housing is an integral component to keep people off public assistance, since affordable housing (by definition) allows people to spend only 30% or less of their gross monthly income on housing related utilities and rent/housing payments. Such individuals then have more income to devote to other necessities. The State's homeownership programs provide quality homeownership counseling to individuals before homes are purchased in an effort to keep people from moving back below the poverty level once they take on more financial responsibilities.

Jobs paying a living wage are also key to keeping people off of public assistance. Jobs are created and individuals are trained in various skills either directly or indirectly with almost every economic development objective and housing objective in the Annual Action Plan. Every one of the Economic Development Priority's objectives either train individuals for the workforce, or creates or retains jobs. Housing objectives also create jobs because as we increase the amount of financial resources invested in housing projects, we increase the number housing projects that can be completed. More projects mean more construction and rehabilitation, creating more good paying jobs.

## **Development of an Improved Institutional Structure and Enhancement of Coordination between Public and Private Housing and Social Service Agencies**

The Water Wastewater Advisory Committee is made up of representatives from USDA-RD, DED, DEQ and DHHS. The task force met regularly to review water and wastewater projects during the 2012 Program Year. The task force devised strategies for technical assistance and the application process on a case-by-case basis.

In regard to assistance to housing programs, a partnership of State and Federal agencies known as the Affordable Housing Agency Collaboration (AHAC) has been established. Partners in AHAC include the Nebraska Department of Economic Development, the U.S. Department of Housing and Urban Development, Nebraska Investment Finance Authority, the U.S. Department of Agriculture/Rural Development, and the Nebraska State Historical Society.

DED also took many actions to coordinate with agencies providing health, mental health, housing, and supportive services in 2012. Nebraska has a strong network of public, nonprofit, and private organizations to fulfill housing and support service needs. Many state programs and/or local organizations exist in these areas. Since the needs are many and resources are limited, this network cannot meet all housing and supportive needs.

The State coordinated with many agencies during the 2012 Program Year. Some examples included:

- DED coordinated with the Nebraska Commission on Housing and Homelessness. NCHH members solicit participation from state and nonprofit agencies that provide mental health, health, and housing services.
- DED is also involved in State Advisory Committee on Mental Health Services.

## **Fostering Public Housing Resident Initiatives**

The State does not operate public housing units. Action in this area was therefore one of advocacy. DED worked with local public housing agencies and supported efforts to increase the involvement of residents in public housing management and provide them with expanded homeownership opportunities. DED consulted with the Nebraska Chapter of the National Association of Housing and Redevelopment Organizations (NAHRO) on these matters.

## **Leveraging Resources**

The State makes every effort to leverage HOME, ESG, and CDBG funds with non-federal resources. In the past, HOME and CDBG funds have been leveraged by significant amounts (and varying types) of private and non-federal public funds.

The match for the HOME program is attained by: 1) requiring that individual grantees and CHDO's report annually the eligible sources of HOME match from their housing projects and programs; 2) the dollars awarded from the NAHTF program that serve income eligible persons.

The Nebraska Homeless Shelter Assistance Trust Fund, which generates more than \$1,000,000 annually from a portion of the state's documentary stamp tax, provides the 100% match for the Emergency Solutions Grant program. In 2012 the total HSATF allocation was \$1,600,000.

***Section Nine:***  
CDBG Program Report

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## **Introduction**

This report is submitted according to Section 104(e) of the Housing and Community Development Act and 24 CFR 570.491 which requires grantees to report on the administration of the State and Small Cities Community Development Block Grant Program. This report is submitted annually to the U.S. Department of Housing and Urban Development. All grants made to local governments since 2000 are summarized along with accomplishments and beneficiary data.

## **Background**

Congress established the CDBG program through the Housing and Community Development Act of 1974. The Act sought to streamline the application and review process for several categorical grant and loan programs. It also intended to provide local governments a greater voice in determining the best way to meet community development needs.

According to Federal enabling legislation, the CDBG program's primary objective is the development of viable community by providing decent housing, suitable living environments and expanding economic opportunities, principally for low and moderate income persons. This is achieved through eligible activities which must benefit persons of low and moderate income; prevent or eliminate slums and blight; or meet an urgent community development need. The activities by major grouping are public facilities, housing rehabilitation, housing development, comprehensive community/neighborhood revitalization, economic development, business development, and planning.

Prior to 1982 the program was administered by the U.S. Department of Housing and Urban Development for large urban areas and rural areas. With the enactment of the Housing and Community Development Amendments of 1981, state governments had the option of administering the non-entitlement CDBG program.

Nebraska was one of the 35 states that immediately assumed administration of the CDBG program. In September 1981, Governor Charles Thone directed the Nebraska Department of Economic Development (DED) to establish a State CDBG program. The program was initially designed through an extensive process of local consultation with local governments. The program serves all incorporated municipalities under 50,000 population and all counties--a total of 626 eligible local governments.

Local design for the program continues to take place on an annual basis with the continuation of the extensive process of local consultation.

## **EXPLANATIONS FOR PERFORMANCE EVALUATION REPORT**

The Performance Evaluation Report is divided into sections for each CDBG allocation year, 2000 through 2012. Each part contains information on the State's performance under each grant received from the U.S. Department of Housing and Urban Development for the Community Development Block Grant Program.

### **OUTLINE FOR EACH SECTION OF THE REPORT**

#### **PART I -**

Financial Status  
National Objectives  
Grant Activities Summary

#### **PART II -**

Assessment of Relationship of Use of Funds to State Objectives

#### **PART III -**

Civil Rights data for program applicants and beneficiaries

### **PART I - FINANCIAL STATUS AND NATIONAL OBJECTIVES**

#### **Grant No.**

This is the grant number from the U.S. Department of Housing Development for the Community Development Block Grant to the State of Nebraska. The first two digits following the letter "B" indicate the year of the federal allocation of funds. For example: B-99-DC-31-001 indicates that funds were allocated by the U.S. Department of Housing and Urban development in 1999. Grants awarded to local governments in Nebraska from the 1999 allocation are listed under this grant number.

#### **Data**

As required by HUD the information for this report is submitted based on grants awarded through June 30, 2013.

#### **Financial Status**

The financial status section of the report summarizes all funds allocated to the state by HUD; program income distributed in the specific grant year; amounts obligated to recipients; amounts drawn down; and amount of allocation to be used for state administration and technical assistance.

## **National Objective**

Each activity, except for administration, must meet a national objective of the Housing and Community Development Act. National objectives are designated as LMI - principally benefits low and moderate-income persons; S/B - prevents or eliminates slums/blight; URG - meets a community development need having a particular urgency. The amounts in this section indicate the amount of each year's allocation, which benefit each of the three national objectives.

## **National Objectives - Benefit Period**

The 1983 amendments to the Housing and Community Development (HCD) Act required that 51% of the aggregate of CDBG funds shall benefit low and moderate income persons for a three year period. The State's initial certification period was 1984-1986. The second benefit period was stated as 1987-1989. The 1987 amendments to the HCD Act required that 60% of the funds benefit lower income persons for a three-year period. The State established the period to be 1988-1990. The Cranston-Gonzalez National Affordable Housing Act of 1990 increased the required benefit to 70%. The current three-year benefit period is 2010-2012. According to 24 CFR 570.484 and the Guide to National Objectives and Eligible Activities for State CDBG Programs, the period during which compliance with the 70 percent benefit requirement will be determined begins on the start date of the first program year which the State has included, and ends when all funds have been expended out of the allocations for the program years the state has included. Therefore, the actual percentage will not be known until all 2010, 2011 and 2012 funds have been expended by the State.

## **PART II - GRANT ACTIVITIES SUMMARY**

This part of the Performance Evaluation Report contains summary data on each grant for each of the years which the state has received an allocation for Community Development Block Grant funds.

### **Recipient**

Identifies the city, town, village, or county awarded grant funds.

### **Project No**

This is the project number assigned by the State of Nebraska.

### **Grant Status**

Reports the stage that the grant is in. For example: Ashton Project No. 00WW009 is in the Closeout stage in its status. Grant Status runs from the stages, Award – Closeout, with the exception of terminate for projects that have been terminated.

**HUD Matrix**

List all activities in general categories, for which CDBG funds were received. The activities are presented in general categories as classified by the HUD IDIS Matrix System.

**HUD Title**

List all the general categories for which CDBG funds were received.

**Activity Status**

This column is used to indicate new activities, modified budget amounts and completion of an activity. Any grant with new activities since July 1, 2012 will be represented by "N" in this column.

**Amount**

This column refers to the financial amount approved for each activity. If the project is completed, the actual amount expended is reported. If an activity within a project was funded with money from multiple program years the amount is individually listed with the corresponding year.

**IDIS Year**

This column refers to the Program Year that the funds for a project originated in.

**Purpose**

The general purpose for project funding are: ED - Economic Development; PF - Public Facilities; HO - Housing; PL - Planning; and PS - Public Service.

**National Objective**

Each activity, except for administration, must meet a national objective of the Housing and Community Development Act. The national objective for a project falls under one of three main categories, which are: LMI - principally benefits low and moderate income persons (LMA, LMC, LMH and LMJ); SBA - prevents or eliminates slums/blight; URG - meets a community development need having a particular urgency. The national objective met by each activity is indicated for each grant.

**Accomplishment Name**

The accomplishment name identifies the type of unit, which is measured. For example, the accomplishment name for housing rehabilitation is "# of housing units" which is the number of housing units rehabilitated.

**Proposed and Actual Accomplishments - Units**

This number represents the total units proposed or accomplished by the specific grant. For example, there were 15 housing units proposed to be rehabbed under the Alliance 00HO103 grant.

### **Proposed Beneficiaries – Total and LMI and %**

The proposed number of persons benefited under each activity is shown for each grant. The LMI column represents the number of the proposed total beneficiaries that are LMI. The % column gives the percent of the total that is LMI.

### **Actual Beneficiaries – Total and LMI and %**

The actual number of persons benefited under each activity is shown for each grant. The LMI column represents the number of the actual total beneficiaries that are LMI. The % column gives the percent of the total that is LMI.

## **PART II – NARRATIVE**

Part II of the report includes a summary of the relationship of the use of funds to the State's objectives. This is a brief summary, which compares the stated purpose for the use of the CDBG funds in Nebraska with the actual distribution of funds.

## **PART III - CIVIL RIGHTS**

Part III is a listing of all grants made from each grant year and provides Civil Rights characteristics for each activity funded under the grants. A narrative assessment of Civil Rights performance, Fair Housing actions, and Minority and Women-Owned business participation is included with the 2000 through 2012 reports.

### **Local Government/Project Number**

Identifies the city, town, village or county awarded grant funds and the project number assigned by the State of Nebraska.

### **Activity Number**

The activity number, as classified by the Nebraska Department of Economic Development, is shown for each eligible activity.

### **Beneficiary Data**

Beneficiary data is shown using characteristic categories identified by HUD.

Beneficiary data should not be considered final until grants are closed.

**CONTACT BRIAN GASKILL, CONSOLIDATED PLAN COORDINATOR, AT  
DED FOR A COPY OF THE PERFORMMANCE EVALUATION REPORTS 2000  
THROUGH 2012.**