

STATE OF NEBRASKA PROPOSED 2014 ANNUAL PERFORMANCE REPORT

for the
State of Nebraska
Housing and Community Development Programs
Consolidated Plan

Including the **Federal Programs:**
(Non-entitlement) Community Development Block Grant (CDBG)
HOME Investment Partnerships (HOME)
Emergency Solutions Grant (ESG)
Housing Opportunities for Persons with AIDS (HOPWA)
and the **State Programs:**
Homeless Shelter Assistance Trust Fund (HSATF)
Nebraska Affordable Housing Trust Fund (NAHTF)

July 1, 2014 – June 30, 2015

Department of Economic Development
Community and Rural Development Division
Housing, Community Development, & Economic Development Programs



Department of Health and Human Services
Homeless & HOPWA Programs



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Below is a summary of the application due date regarding any of the CD programs. For additional information please visit the Department’s website at <http://www.neded.org>

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ACRONYM LIST	
AIDS	Acquired Immune Deficiency Syndrome
APR	Annual Performance Report
CDBG	Community Development Block Grant
CFR	Code of Federal Regulations
CHAS	Comprehensive Housing Affordability Strategy
CHDO	Community Housing Development Organization
CNF	Community Need Factors
CoC	Continuum of Care
CR	Comprehensive Revitalization
CRD	Community and Rural Development
CWSRF	Clean Water State Revolving Fund
DED or NDED	Nebraska Department of Economic Development
DEQ	Nebraska Department of Environmental Quality
DHHS or NDHHS or HHS	Nebraska Department of Health and Human Services
DTR	Downtown Revitalization
DWSRF	Drinking Water State Revolving Fund
ED	Economic Development
ESG	Emergency Solutions Grant
HCDA	Housing and Community Development Act
HIV	Human Immunodeficiency Virus
HMIS	Homeless Management Information System
HOPWA	Housing Opportunities for Persons With AIDS
HSATF	Homeless Shelter Assistance Trust Fund
HUD	U. S. Department of Housing and Urban Development
LMA	Low to Moderate Income Area Benefit
LMC	Low to Moderate Income Limited Clientele
LMH	Low to Moderate Income Housing
LMI	Persons with Low to Moderate Income
LMJ	Low to Moderate Income Jobs
MOU	Memorandum of Understanding
NAHP	Nebraska Affordable Housing Program
NAHTF	Nebraska Affordable Housing Trust Fund
NCHH	Nebraska Commission on Housing and Homelessness
NDO	Nonprofit Development Organization
NHAP	Nebraska Homeless Assistance Program
NIFA	Nebraska Investment Finance Authority
NMIS	Nebraska Management Information System
NOFA	Notice of Funding Availability
PW	Public Works
RFA	Request For Applications
RLF	Revolving Loan Fund
TA	Technical Assistance
USDA	U.S. Department of Agriculture
WW	Water Wastewater
WWAC	Water Wastewater Advisory Committee

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Section One:
Introduction and Citizen Participation

Introduction

Purpose

The Consolidated Annual Performance Evaluation Report (CAPER) reports annually on progress made under the State of Nebraska's *Consolidated Plan*, a five-year plan addressing the state's community and rural development needs. The purpose of the CAPER is to:

1. **Show** the State's five-year priorities and strategies for housing, homelessness, community development, and economic development;
2. **Summarize** the actions taken during the 2014 program year to meet the five-year strategies;
3. **Report** how funds received from these programs were used to meet the identified priorities;
4. **Describe** the State's method for distributing Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), Homeless Shelter Assistance Trust Fund (HSATF) funds, and Nebraska Affordable Housing Trust Fund (NAHTF) funds. Activities funded from these programs must meet the priorities identified in the five-year *Consolidated Plan*;
5. **List** the resources that may be used by grantees and the state to further the five-year strategies;
6. **Report** actions taken by the state that are required by the U.S. Department of Housing and Urban Development (HUD), such as lead-based paint actions and removal of barriers to affordable housing; and
7. **Review** citizen comments about the CAPER and provide a response.

Amount of Funds

This report summarizes the distribution of funds for four federal programs and two state programs for the 2014 Program Year. The total 2014 Allocation for all funds totaled \$25,017,814. Funds distributed for all programs, excluding State Administration, Operations, and Technical Assistance funding, for these programs totaled over \$23.6 million and included:

- \$9,938,017 in CDBG funds
- \$2,716,099 in HOME funds
- \$804,238 in ESG funds
- \$346,300 in HOPWA funds
- \$2,716,099 in HSATF funds
- \$7,750,000 in NAHTF funds

The CAPER also describes program priorities, use of funds, and any funds (1) remaining or recaptured from previous CDBG allocations, (2) reallocated by HUD to the State and (3) program income distributed by the State during the 2014 Program Year.

The 2014 CAPER

The 2014 CAPER is the fifth of five annual performance evaluation reports. Each report describes the one-year actions and funding priorities used to carry out the five-year *Consolidated Plan*, a comprehensive planning document identifying the state's housing development, economic development, and community development needs. As the lead state agency responsible for the five-year *Consolidated Plan*, the Department of Economic Development (DED) must also complete the Annual Action Plans and Annual Performance Evaluation Reports to receive federal funds for the HUD CDBG, HOME, ESG, and HOPWA programs. Covering all areas of the state (except Omaha and Lincoln, which are required to submit and prepare their own Consolidated Plans), the *Consolidated Plan* establishes funding priorities for these programs, outlines strategies, and annually identifies a one-year action plan for program implementation and funding.

Specifically the purpose of this CAPER is to demonstrate how activities undertaken during the 2014 Program Year address pertinent strategies and priorities identified in the 2010-2014 Consolidated Plan. Sections two, three, four, six, and seven of the CAPER give the major priorities of the State and the strategies that were set in the *Consolidated Plan*. These sections go into more detail by stating the objectives and performance measurements that were set for each strategy for the 2014 Program Year. A progress report is given for each performance measurement. The progress reports summarize the activities that were taken during the 2014 Program Year so that citizens reviewing the report are able to assess the progress that has been made towards meeting the 5-year priorities and strategies that were established in the *Consolidated Plan*.

Strategies do not describe every activity to be undertaken by the State. Instead, the strategies provide direction in the form of a priority need with objectives, performance measures, and completion dates. Objectives serve as examples of the activities to be initiated during those five years. Strategies and objectives may be added, deleted, or modified in subsequent Annual Action Plans.

Nebraska's Consolidated Planning Process

In summary, Nebraska's Consolidated Planning Process serves the following functions:

- Provide a 5 year planning document for the State which builds on a comprehensive consultation and citizen participation process;
- Serve as an application for federal funds under HUD's formula grant programs;
- Provide strategies for housing, homelessness, community and economic development;
- Develop an action plan that provides a basis for measuring and assessing performance; and
- Provide annual performance and evaluation reports.

The consolidated planning process is an opportunity for strategic planning and citizen participation to take place in a comprehensive context. The process brings local governments, community organizations, state and federal agencies, service providers, and citizens together to address the larger picture in which the programs operate. It also offers the state an opportunity to shape the various programs into an efficient continuum of service delivery.

Strategies and recommendations were developed with public input and consultation from advisory groups, local community leaders, concerned citizens, nonprofit organizations, advocacy groups, the private sector and representatives of state and federal agencies.

HUD specifies a number of elements for a State Consolidated Plan including:

- an assessment of housing, homeless, community and economic development needs for the ensuing five-year period;
- an analysis of the state's housing market;
- a discussion of the State's priority needs, strategies, and objectives for housing and community development activities;
- an action plan that describes the State's method for distributing funds to carry out activities using funds that are expected to be received under formula allocations and other HUD assistance during the Program Year and;
- performance measures to assure that the delivery of these needed housing and community development products and services are provided.

Citizen Participation

Opportunities for Public Participation

Public participation with the 2014 Consolidated Annual Performance Evaluation Report (CAPER) (including review by the advisory groups) is important to the State. Citizens and other interested parties are given access to information and records relating to the CAPER and programs it covers.

Copies of Annual Performance Evaluation Reports, Annual Action Plans, the *Consolidated Plan*, and any other relevant information are all available by contacting anyone within the Department of Economic Development and also are on the Department's web-site at:

<http://www.neded.org/community/grants/documentlibrary-a-forms/consolidated-plan>

Comment Period

The public comment period will began on September 11, 2015 and ended September 26, 2015 for the Proposed 2014 Consolidated Annual Performance Evaluation Report.

The State of Nebraska received no comments concerning the Proposed CAPER.

Public Notices and Press Coverage

- Public notices announcing the public comment period were placed in the Kearney Hub, Lincoln Journal Star, and the Scottsbluff Herald.
- A news release announcing the comment period was sent to media statewide.
- The proposed CAPER was available on the Department's website at:

<http://www.neded.org/community/grants/documentlibrary-a-forms/annual-performance-report>

- The availability of the CAPER on the web was emailed to DED and DHHS distribution lists.

Targeted Mailings

- The Notice of Public Comment Period for the proposed CAPER was mailed or emailed to members of the State's official advisory group, the Nebraska Commission on Housing and Homelessness. In addition, the notice of public comment period was mailed or emailed to State Senators, Nebraska's Congressional Delegates, the Governor, the Lieutenant Governor, and local government officials. The Notice was also distributed via Facebook and Twitter.

Advisory Groups

The official advisory group to the State on the 2014 CAPER was the Nebraska Commission on Housing and Homelessness (NCHH).

Criteria for Substantial Change

DED reserves the right to make non-substantive changes to the *Consolidated Plan* (including Annual Action Plans and Performance Evaluation Reports) without opening a public comment period. A change to the *Consolidated Plan*, which constitutes an amendment or a substantial change must meet one or more of the following criteria:

- Addition or deletion of a priority.
- Addition of a strategy not consistent with an existing priority.
- Deletion of a strategy that was previously included.

Performance Measurement System

Nebraska's performance measurement system for the identification, determination, tracking and reporting of demonstrated benefits has been developed using the final guidance provided in the Federal Register/ Vol. 71, No. 44 released March 7, 2006. DED and DHHS Staff attended HUD training on Performance Measurements in calendar year 2006.

The State has revised reporting forms for the purpose of collecting output indicators to report objectives and outcomes as indicated in the Federal Register Notice of Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

Performance measurements are beginning to be tracked through the Integrated Disbursement and Information System (IDIS). Outcome Indicators are reported on as part of the 2014 Consolidated Annual Performance Evaluation Report.

Section Two:
Housing Priority

**Housing
Priority Statement & Objectives**

**HOUSING PRIORITY: RESPOND TO NEEDS FOR AFFORDABLE, DECENT, SAFE
AND APPROPRIATE HOUSING AS A PART OF BALANCED ECONOMIC
DEVELOPMENT IN NEBRASKA.**

The State of Nebraska's Objectives developed to meet the Housing Priority include:

Objective One: Promote additional affordable rental housing and preservation of affordable rental housing in selected markets.

Objective Two: Promote housing preservation by improving the quality of Nebraska's existing affordable housing stock.

Objective Three: Promote additional households into homeownership by expanding affordable homeownership opportunities.

Objective Four: Enhance statewide understanding of fair housing law through outreach and education.

Actions taken during the 2014 Program Year

The State views the provision of affordable housing as a high priority, especially for persons/families making 100% of median income or less. The State works with public, private and nonprofit organizations to identify needs, fill gaps in services, and provide technical assistance in the area of housing. Actions taken during the 2014 Program Year to further this goal are a result of the priority for funding and objectives set by the State in 2014 in the *Consolidated Plan*.

The following table reports on the 2014 program objectives and measurements. In addition, below the table is an explanation for any Specific Objective that fell below 100% of the Expected Number.

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete		
	Specific Annual Objective								
DH-2	Affordability of Decent Housing								
NEW RENTAL UNITS CONSTRUCTED AND RENTAL UNITS REHABILITATED									
DH-2.1	PROMOTE ADDITIONAL AFFORDABLE RENTAL HOUSING AND PRESERVATION OF AFFORDABLE RENTAL HOUSING IN SELECTED MARKETS.	CDBG HOME NAHTF	Number of Affordable Rental Units Newly Constructed.	2010	25	75	100%		
				2011	25	53	100%		
				2012	25	88	100%		
				2013	25	94	100%		
				2014	25	100	100%		
			Number of units meeting Section 504 Standards.	2010	4	69	100%		
				2011	4	44	100%		
				2012	4	17	100%		
				2013	4	79	100%		
				2014	4	84	100%		
			Number of units qualified as Energy Star.	2010	0	0	100%		
				2011	0	0	100%		
				2012	0	0	100%		
				2013	0	0	100%		
				2014	0	0	100%		
		DH-2.1	PROMOTE ADDITIONAL AFFORDABLE RENTAL HOUSING AND PRESERVATION OF AFFORDABLE	CDBG HOME NAHTF	Number affordable rental units rehabilitated.	2010	25	148	100%
						2011	25	0	0%
						2012	25	20	80%
						2013	25	95	100%
						2014	25	12	48%
CDBG HOME NAHTF	Number of rehabilitated affordable rental units meeting Section 504 standards.			2010	0	0	100%		
				2011	0	0	100%		
				2012	0	0	100%		
				2013	0	0	100%		
				2014	0	0	100%		
CDBG HOME NAHTF	Number of rehabilitated affordable rental units qualified as Energy Star.	2010	0	0	100%				
		2011	0	0	100%				
		2012	0	0	100%				
		2013	0	0	100%				
		2014	0	0	100%				
CDBG HOME NAHTF	Number of	2010	10	6	60%				

	RENTAL HOUSING IN SELECTED MARKETS. (continued)		rehabilitated affordable rental units created through conversion of non-residential buildings.	2011	10	9	90%				
				2012	10	3	30%				
				2013	10	24	100%				
				2014	10	0	0%				
			Number of rehabilitated affordable rental units brought from substandard to standard condition (DED Rehabilitation Standards).	2010	10	5	50%				
				2011	10	0	0%				
				2012	10	20	100%				
				2013	10	95	100%				
			Number of rehabilitated affordable rental units brought into compliance with lead safe housing rule (24 CFR part 35).	2010	10	0	0%				
				2011	10	0	0%				
				2012	10	20	100%				
				2013	10	95	100%				
			HOMEOWNERSHIP UNITS CONSTRUCTED, ACQUIRED AND/OR ACQUIRED WITH REHABILITATION ACTIVITIES OWNER OCCUPIED UNITS REHABILITATED OR IMPROVED	DH-2.2	PROMOTE HOUSING PRESERVATION BY IMPROVING THE QUALITY OF NEBRASKA'S EXISTING AFFORDABLE HOUSING STOCK.	CDBG HOME NAHTF	Number of affordable units newly constructed, acquired or acquired with rehabilitation.	2010	150	252	100%
								2011	150	37	25%
								2012	150	138	92%
								2013	150	103	69%
Number of units that are newly constructed, acquired, and/or acquired with rehabilitation that require five years of affordability period.	2010	150					252	100%			
	2011	150					37	25%			
	2012	150					138	92%			
	2013	150					103	69%			
Number of units constructed, acquired, or acquired with rehabilitation that are Section	2010	5					2	40%			
	2011	5					2	40%			
	2012	5					0	0%			
	2013	5					3	60%			
DH-2.2	PROMOTE HOUSING PRESERVATION BY IMPROVING THE QUALITY OF NEBRASKA'S EXISTING AFFORDABLE	CDBG HOME NAHTF						2014	5	16	100%

	HOUSING STOCK. (continued)		504 accessible.					
			Number of households previously living in subsidized housing.	2010	5	0	0%	
				2011	5	11	100%	
				2012	5	76	100%	
				2013	5	33	100%	
				2014	5	93	100%	
			CDBG HOME NAHTF	Number of rehabilitated owner-occupied units brought from substandard to standard condition (DED Rehabilitation Standards).	2010	100	121	100%
					2011	100	108	100%
					2012	100	76	100%
					2013	100	167	100%
		2014			100	93	93%	
		Number of rehabilitated owner-occupied units qualified as Energy Star.		2010	0	0	100%	
				2011	0	0	100%	
				2012	0	0	100%	
				2013	0	0	100%	
				2014	0	0	100%	
		Number of rehabilitated owner-occupied units brought into compliance with lead safe housing rule (24 CFR part 35)	2010	10	97	100%		
			2011	10	86	100%		
			2012	10	60	100%		
			2013	10	128	100%		
2014	10		93	100%				
DIRECT FINANCIAL ASSISTANCE TO HOMEBUYERS								
DH-2.3	PROMOTE ADDITIONAL HOUSEHOLDS INTO HOMEOWNERSHIP BY EXPANDING AFFORDABLE HOMEOWNERSHIP OPPORTUNITIES.	CDBG HOME NAHTF	Number of first-time homebuyers benefitting from direct financial assistance to homebuyers.	2010	50	171	100%	
				2011	50	37	74%	
				2012	50	99	100%	
				2013	50	123	100%	
				2014	50	75	100%	
DH-2.3	PROMOTE ADDITIONAL HOUSEHOLDS INTO HOMEOWNERSHIP BY EXPANDING AFFORDABLE HOMEOWNERSHIP	CDBG HOME NAHTF	Number of first-time homebuyers benefitting from direct financial assistance that also received housing counseling.	2010	50	171	100%	
				2011	50	37	74%	
				2012	50	99	100%	
				2013	50	123	100%	
				2014	50	75	100%	
			Number of first-time homebuyers that receive down-payment	2010	50	171	100%	
				2011	50	37	74%	
				2012	50	99	100%	
				2013	50	123	100%	
				2014	50	75	100%	

	OPPORTUNITIES. (continued)		and closing cost assistance.				
FAIR HOUSING OUTREACH AND EDUCATION							
N/A	ENHANCE STATEWIDE UNDERSTANDING OF FAIR HOUSING LAW THROUGH OUTREACH AND EDUCATION.	CDBG HOME NAHTF	Number of community representatives trained on Fair Housing Act requirements.	2010	25	62	100%
				2011	25	52	100%
				2012	25	13	52%
				2013	25	12	48%
				2014	25	18	72%
			Number of communities that undertook activities to affirmatively further fair housing.	2010	30	19	63%
				2011	30	52	100%
				2012	30	13	43%
				2013	30	12	40%
				2014	30	18	60%

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-2	Affordability of Decent Housing				
	NEW RENTAL UNITS CONSTRUCTED AND RENTAL UNITS REHABILITATED				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of affordable rental units rehabilitated.	2010	25	148	100%	NA
	2011	25	0	0%	No rental projects funded within the 2011 Program Year included units being rehabilitated as all of the projects were for new rental construction.
	2012	25	20	80%	The actual number was below the expected number due to less rental units being rehabilitated and more rental units being newly constructed.
	2013	25	95	100%	NA
	2014	25	12	48%	The actual number was below the expected number due to less rental units being rehabilitated and more rental units being newly constructed.
Number of rehabilitated affordable rental units created through conversion of non-residential buildings.	2010	10	6	60%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan.
	2011	10	9	90%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan.
	2012	10	3	30%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan as there were less units rehabilitated and more rental units newly constructed.
	2013	10	24	100%	NA
	2014	10	0	0%	Less units were converted from non-residential buildings than had been anticipated through the development of the Consolidated Plan.

Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of rehabilitated affordable rental units brought from substandard to standard condition (DED Rehabilitation Standards).	2010	10	5	50%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2011	10	0	0%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2012	10	20	100%	NA
	2013	10	95	100%	NA
	2014	10	12	100%	NA
Number of rehabilitated affordable rental units brought into compliance with lead safe housing rule (24 CFR part 35).	2010	10	0	0%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2011	10	0	0%	Less units were rehabilitated than had been anticipated through the development of the Consolidated Plan.
	2012	10	20	100%	NA
	2013	10	95	100%	NA
	2014	10	12	100%	NA

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-2	Affordability of Decent Housing				
	HOMEOWNERSHIP UNITS CONSTRUCTED, ACQUIRED AND/OR ACQUIRED WITH REHABILITATION ACTIVITIES OWNER OCCUPIED UNITS REHABILITATED OR IMPROVED				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of affordable units newly constructed, acquired or acquired with rehabilitation.	2010	150	252	100%	NA
	2011	150	37	25%	Less units were constructed than had been anticipated through the development of the Consolidated Plan.
	2012	150	138	92%	Less units were constructed than had been anticipated through the development of the Consolidated Plan.
	2013	150	103	69%	Less units were constructed than had been anticipated through the development of the Consolidated Plan.
	2014	150	81	54%	Less units were constructed than had been anticipated through the development of the Consolidated Plan.
Number of units that are newly constructed, acquired,	2010	150	252	100%	NA
	2011	150	37	25%	All units constructed had at least an affordability period of 5 years, but because there were less units constructed than had been anticipated through the development of the Consolidated Plan, the Actual

and/or acquired with rehabilitation that require five years of affordability period.					Number was less than the Expected Number.
	2012	150	138	92%	All units constructed had at least an affordability period of 5 years, but because there were less units constructed than had been anticipated through the development of the Consolidated Plan, the Actual Number was less than the Expected Number.
	2013	150	103	69%	All units constructed had at least an affordability period of 5 years, but because there were less units constructed than had been anticipated through the development of the Consolidated Plan, the Actual Number was less than the Expected Number.
	2014	150	81	54%	All units constructed had at least an affordability period of 5 years, but because there were less units constructed than had been anticipated through the development of the Consolidated Plan, the Actual Number was less than the Expected Number.
Number of units constructed, acquired, or acquired with rehabilitation that are Section 504 accessible.	2010	5	2	40%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
	2011	5	2	40%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
	2012	5	0	0%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
	2013	5	3	60%	Because there were less units constructed than the Expected Number, less units were 504 accessible.
	2014	5	16	100%	NA
Number of households previously living in subsidized housing.	2010	5	0	0%	The Actual Number was below the Expected Number.
	2011	5	11	100%	NA
	2012	5	76	100%	NA
	2013	5	33	100%	NA
	2014	5	93	100%	NA

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-2	Affordability of Decent Housing				
	DIRECT FINANCIAL ASSISTANCE TO HOMEBUYERS				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of first-time homebuyers benefitting from direct financial assistance to homebuyers.	2010	50	171	100%	NA
	2011	50	37	74%	Less first time homebuyers were assisted than anticipated.
	2012	50	99	100%	NA
	2013	50	123	100%	NA
	2014	50	75	100%	NA
Number of first-time homebuyers benefitting from direct financial assistance that also received	2010	50	171	100%	NA
	2011	50	37	74%	Less first time homebuyers were assisted than anticipated.
	2012	50	99	100%	NA
	2013	50	123	100%	NA
	2014	50	75	100%	NA



housing counseling.					
Number of first-time homebuyers that receive down-payment and closing cost assistance.	2010	50	171	100%	NA
	2011	50	37	74%	Less first time homebuyers were assisted than anticipated.
	2012	50	99	100%	NA
	2013	50	123	100%	NA
	2014	50	75	100%	NA
Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-2	Affordability of Decent Housing				
	FAIR HOUSING OUTREACH AND EDUCATION				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of community representatives trained on Fair Housing Act requirements.	2010	25	62	100%	NA
	2011	25	52	100%	NA
	2012	25	13	52%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
	2013	25	12	48%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
	2014	25	18	72%	A greater number of non-profit organizations and organizations that serve regional areas were served and less individual communities were served during the Program Year.
Number of communities that undertook activities to affirmatively further fair housing.	2010	30	19	63%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
	2011	30	52	100%	NA
	2012	30	13	43%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
	2013	30	12	40%	A greater number of non-profit organizations were served and less individual communities were served during the Program Year.
	2014	30	18	60%	A greater number of non-profit organizations and organizations that serve regional areas were served and less individual communities were served during the Program Year.

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2014 Awards NAHTF Program Awards

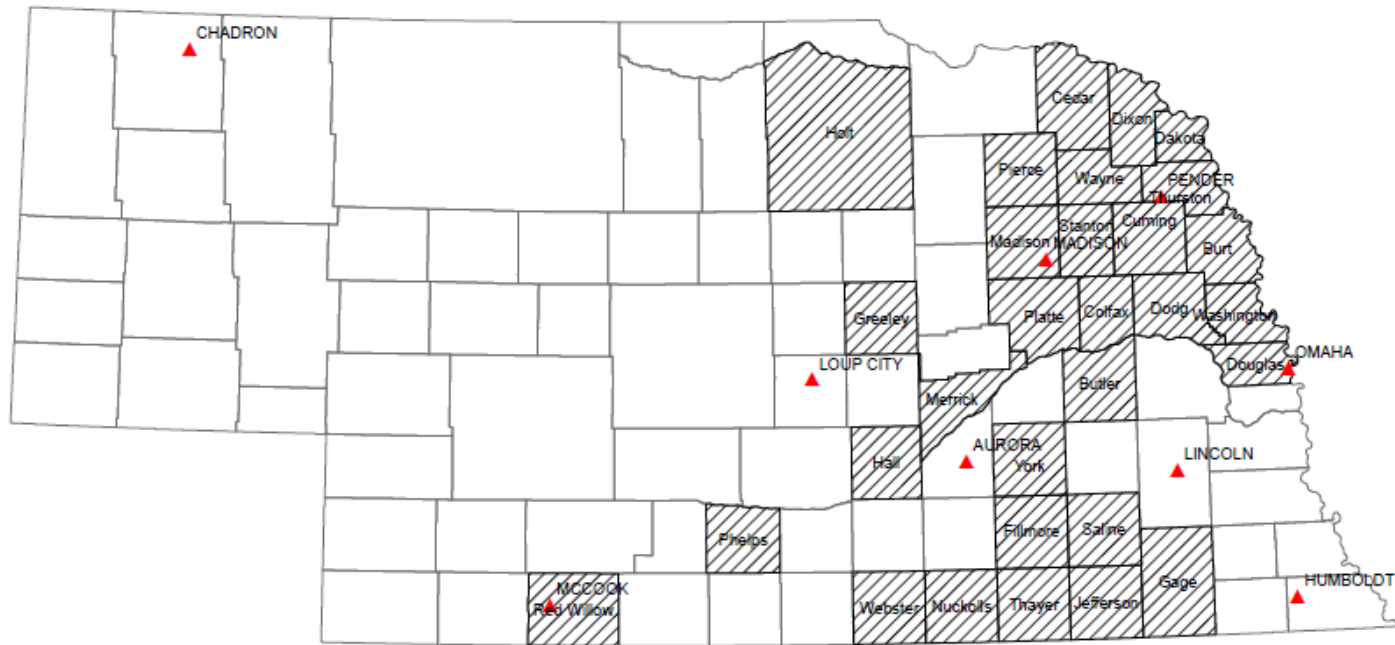
Project Number	Applicant	Activity	Award Amount	Location of Award	# of Units	#VLI units
14-TFDH-069	NED, Inc.	Disaster OOR	\$320,000	Burt, Cedar, Colfax, Cuming, Dakota, Dodge, Dixon, Rural Douglas, Madison, Pierce, Platte, Stanton, Thurston, Washington, & Wayne Counties	27	
14-TFDH-070	Blue Valley Community Action	Disaster OOR	\$160,000	Butler, Fillmore, Gage, Jefferson, Saline, Thayer, & York Counties	14	
14-TFDH-071	Southeast Nebraska Affordable Housing Council	Disaster OOR	\$160,000	Lancaster, Saunders, & Seward Counties	14	
14-TFHO-3C017	Nebraska Housing Developers Association	Owner Occupied Rehabilitation	\$310,000	Statewide	10	
14-TFHO-3S018	Humboldt	Owner Occupied Rehabilitation	\$166,485	Humboldt	5	
14-TFHO-7074	City of Omaha	Owner Occupied Rehabilitation	\$472,000	Omaha	16	
14-TFHP-01016	NeighborWorks Northeast	Purchase, Rehab, Resale	\$500,000	Colfax, Cuming, Madison, Pierce, Platte, Stanton, & Wayne Counties	15	
14-TFHP-01042	NeighborWorks Lincoln	Down Payment Assistance with Rehabilitation	\$442,252	Lincoln	13	
14-TFHP-3W005	High Plains CDC	Purchase, Rehab, Resale	\$497,740	Chadron	3	
14-TFHP-7073	City of Omaha	New Construction	\$483,800	Omaha	8	
14-TFHP-7072	City of Omaha	Purchase, Rehab, Resale	\$483,800	Omaha	6	
14-TFHP-7077	Omaha Habitat for Humanity	New Construction	\$500,000	Omaha	25	
14-TFHP-7076	Omaha Habitat for Humanity	Purchase, Rehab, Resale	\$450,000	Omaha	15	

2014 Awards NAHTF Program Awards (Continued)

14-TFRH-01028	Pender CDC	Rental New Construction	\$732,950	Pender	5	
14-TFRH-01038	Madison	Rental Rehabilitation	\$599,798	Madison	12	
14-TFRH-3C029	Sherman Co EDC	Rental New Construction	\$716,750	Loup City	5	
14-TFRH-3C034	Aurora HDC	Rental New Construction	\$800,000	Aurora	6	
14-TFTA-3C002	Central Nebraska Community Services	Operating Assistance	\$60,000	Greeley, Hall, Holt, Merrick, & Sherman Counties	NA	
14-TFTA-3C036	South Central Economic Development District	Operating Assistance	\$60,000	Buffalo, Clay, Franklin, Hall, Hamilton, Harlan, Kearney, Merrick, Nuckolls, Phelps, & Webster Counties	NA	
14-TFTA-3N004	Wayne HDC	Operating Assistance	\$60,000	Burt, Cuming, Dodge, Thurston, Washington, & Wayne Counties	NA	
14-TFTA-3N026	NEHI, Inc.	Operating Assistance	\$60,000	Cedar, Dakota, Dixon, & Pierce Counties	NA	
14-TFTA-3048	McCook EDC	Operating Assistance	\$60,000	McCook & Red Willow County	NA	
14-TFTA-7080	Omaha Habitat for Humanity	Operating Assistance	\$60,000	Omaha	NA	
 14-TFRH-7085	Holy Name Housing	Rental New Construction	\$475,000	Omaha	20	
 Tax Credit Project		TOTAL NAHTF AWARDED	\$8,570,575		219 Units	

2014 NAHTF Awards

Nebraska Department of Economic Development
Housing and Community Development Division

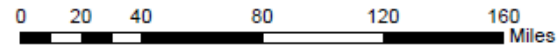


Communities served by NAHTF projects

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Counties served by NAHTF projects

▨ 29

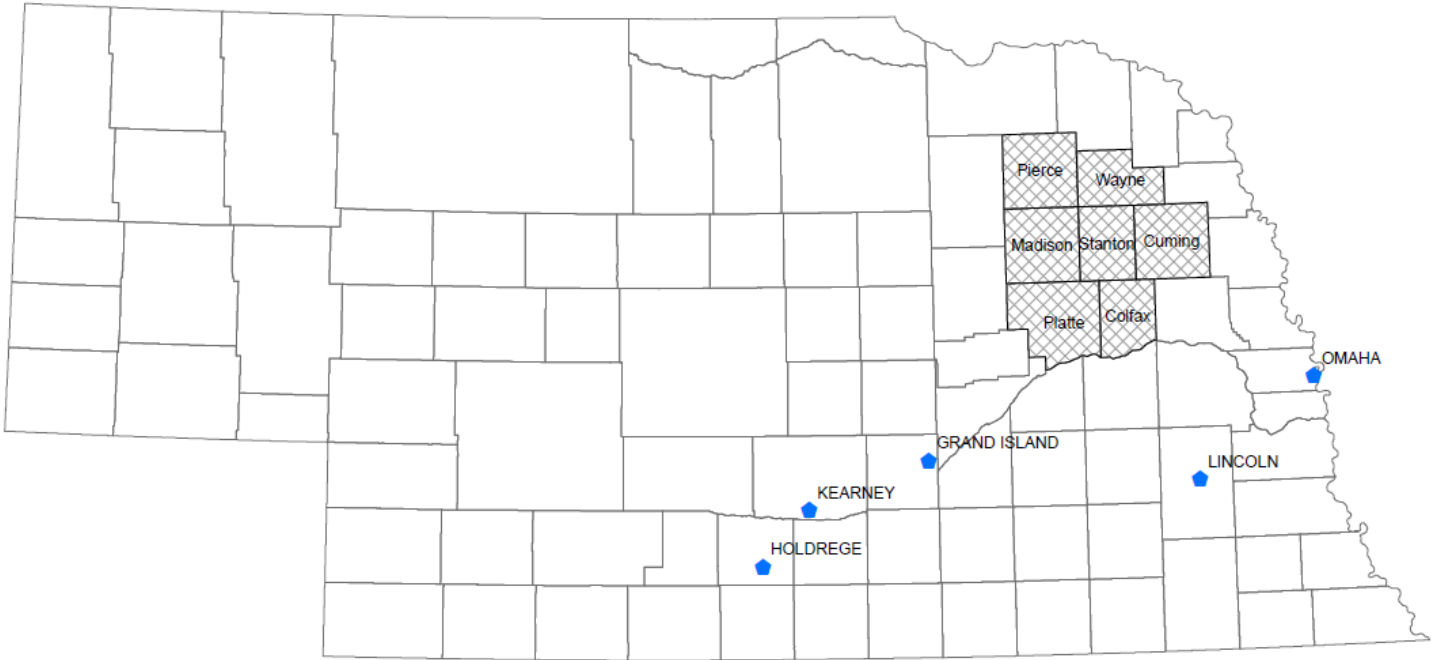


2014 Awards HOME Program Awards

Project Number	Applicant	Activity	Award Amount	Location of Award	# of Units	#VLI units
14-CHHP-5081	NeighborWorks Northeast Nebraska	Purchase, Rehab, Resale	\$226,342	Colfax, Cuming, Madison, Pierce, Platte, Stanton, & Wayne Counties	6	
14-CHHP-6083	NeighborWorks Lincoln	New Construction	\$226,342	Lincoln	3	
▲ 14-OERH-4086	Hall County Housing Authority	Rental New Construction	\$593,696	Grand Island	26	
▲ 14-OERH-7084	Sheltering Tree	Rental New Construction	\$550,000	Omaha	24	
▲ 14-OERH-4087	Midwest Housing Development Fund	Rental New Construction	\$779,000	Kearney	18	
▲ 14-OERH-4088	Housing Authority of Holdrege	Rental New Construction	\$496,301	Holdrege	16	
▲ Tax Credit Projects		TOTAL HOME AWARDED	\$2,871,681		93 Units	

2014 HOME Awards

Nebraska Department of Economic Development
Housing and Community Development Division

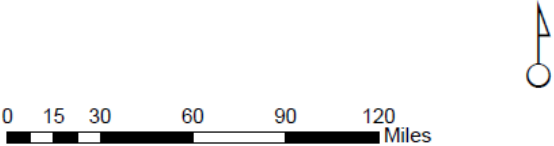


Communities served by HOME projects

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Counties served by HOME projects

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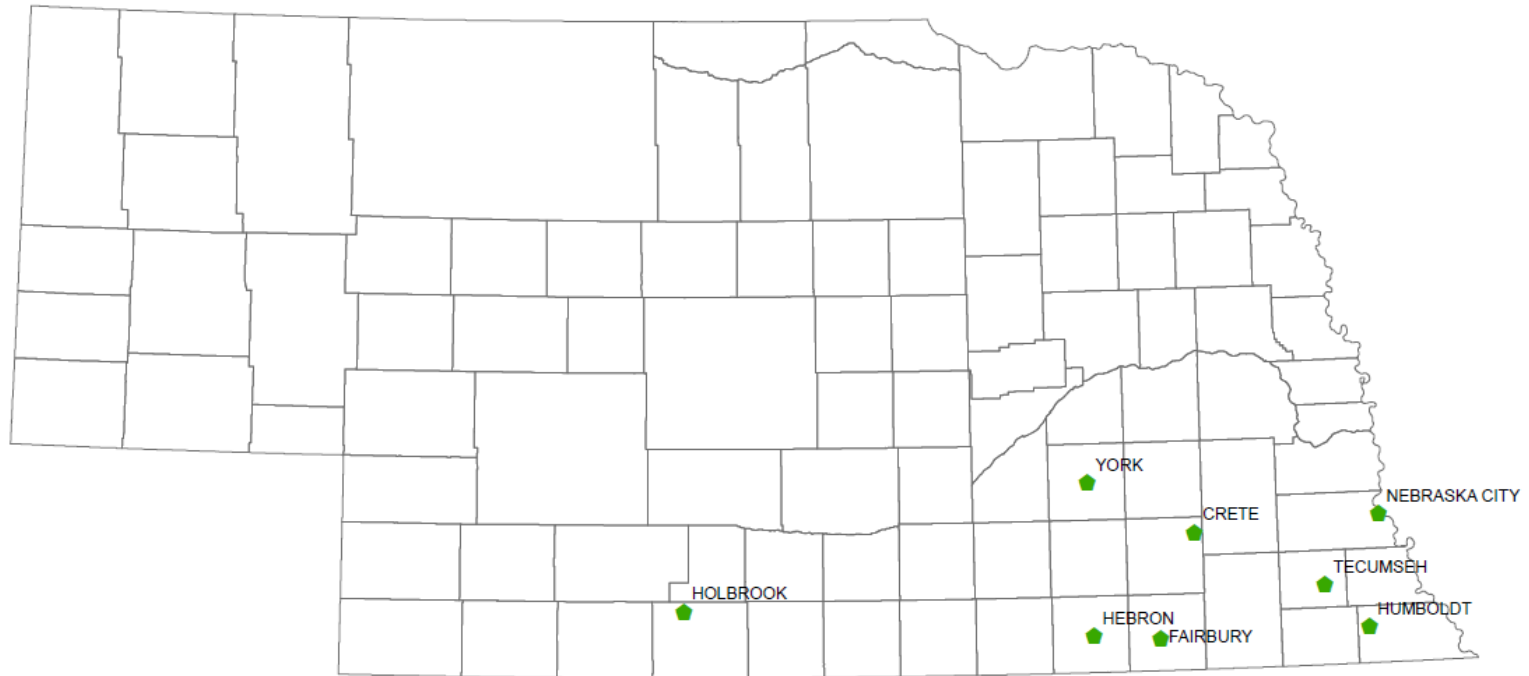


2014 Awards CDBG Housing Program Awards

Project Number	Applicant	Activity	Award Amount	Location of Award	# of Units	#VLI units
14-HO-062	Holbrook	Owner Occupied Rehabilitation	\$155,000	Holbrook	5	
14-HO-056	Humboldt	Owner Occupied Rehabilitation	\$221,045	Humboldt	7	
14-HO-057	Tecumseh	Owner Occupied Rehabilitation	\$329,750	Tecumseh	10	
14-HO-058	Nebraska City	Owner Occupied Rehabilitation	\$267,748	Nebraska City	8	
14-HO-059	Hebron	Owner Occupied Rehabilitation	\$267,748	Hebron	8	
14-HO-060	York	Owner Occupied Rehabilitation	\$267,748	York	8	
14-HO-061	Fairbury	Owner Occupied Rehabilitation	\$267,748	Fairbury	8	
14-HO-067	Crete	Owner Occupied Rehabilitation	\$267,748	Crete	8	
		TOTAL CDBG AWARDED	\$2,044,535		62 Units	

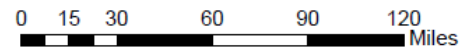
2014 CDBG Housing Awards

Nebraska Department of Economic Development
Housing and Community Development Division

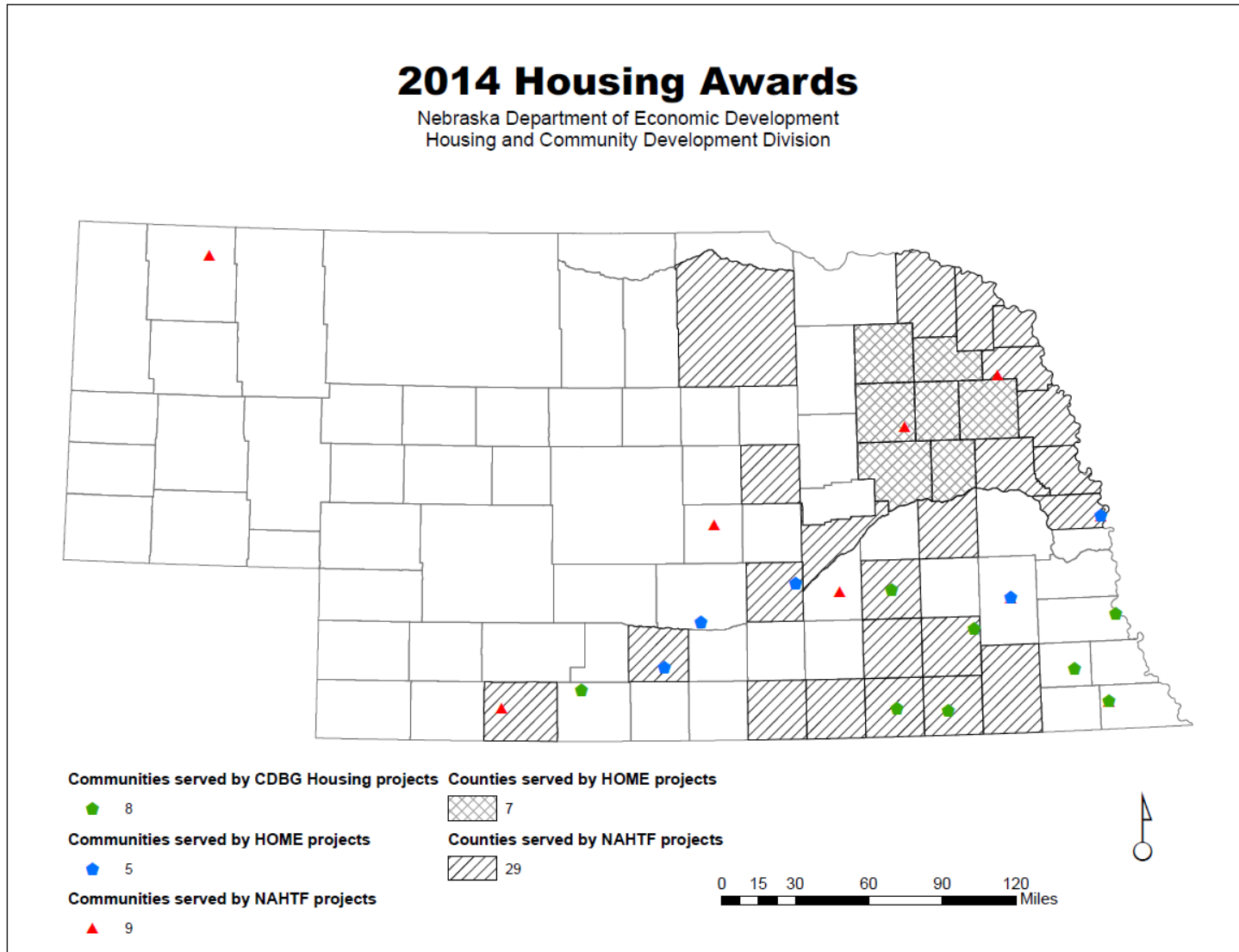


Communities served by CDBG Housing projects

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Below is a summary of all the communities that received funding the Nebraska Affordable Housing Program (NAHP). As the summary table demonstrates, there was a significant distribution of projects throughout Nebraska in 2014.



Section Three:
Community Development Priority

Community Development Priority Statement & Objectives

COMMUNITY DEVELOPMENT PRIORITY: STRENGTHEN NEBRASKA COMMUNITIES THROUGH COMMUNITY DEVELOPMENT PROGRAMS AND SERVICES IN ORDER TO PROVIDE A STABLE PLATFORM FOR ECONOMIC DEVELOPMENT.

The State of Nebraska's Objectives developed to meet the Community Development Priority include:

Objective One: Improve the quality of water and wastewater in Nebraska and assist in developing and financing appropriate infrastructure and facilities for communities and counties that have planned and set priorities for long-term development.

Objective Two: Invest in quality projects that are identified in a formal community development plan; compliment or support related community investments; leverage maximum private and/or other investment; and have reasonable plans for long-term operation and maintenance.

Objective Three: Increase capacity, efficiency, and effectiveness of local planning efforts resulting in long-term development.

Actions taken during the 2014 Program Year

Objectives and measurable actions taken between July 1, 2014 and June 30, 2015, are listed below:

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
SL-1	Availability/Accessibility of Suitable Living Environment						
PUBLIC WORKS, WATER AND WASTEWATER, AND INFRASTRUCTURE ACTIVITIES							
SL-1.1	IMPROVE THE QUALITY OF WATER AND WASTEWATER IN NEBRASKA AND ASSIST IN DEVELOPING AND FINANCING APPROPRIATE INFRASTRUCTURE AND FACILITIES FOR COMMUNITIES AND COUNTIES THAT HAVE PLANNED AND SET PRIORITIES FOR LONG-TERM DEVELOPMENT.	CDBG	Number of LMI persons with new access to a facility or infrastructure benefit.	2010	1,000	1,011	100%
				2011	1,000	1,918	100%
				2012	1,000	2,332	100%
				2013	1,000	170	17%
				2014	1,000	1,443	100%
			Number of LMI persons with improved access to a facility or infrastructure benefit.	2010	1,000	2,535	100%
				2011	1,000	2,286	100%
				2012	1,000	2,817	100%
				2013	1,000	7,670	100%
			Number of LMI persons who previously had access to only substandard facilities or infrastructure services that will now have access to safe, appropriate facilities and/or infrastructure.	2010	500	3,139	100%
				2011	500	1,159	100%
				2012	500	0	0%
				2013	500	170	34%
				2014	500	480	96%
SL-3			Sustainability of Suitable Living Environment				
COMPREHENSIVE REVITALIZATION AND DOWNTOWN REVITALIZATION ACTIVITIES							
SL-3.1	INVEST IN QUALITY PROJECTS THAT ARE IDENTIFIED IN A FORMAL COMMUNITY DEVELOPMENT PLAN; COMPLIMENT OR SUPPORT RELATED COMMUNITY INVESTMENTS; LEVERAGE MAXIMUM	CDBG	Number of LMI persons benefitting from comprehensive revitalization and downtown revitalization activities.	2010	4,000	26,937	100%
				2011	4,000	46,464	100%
				2012	4,000	49,839	100%
				2013	4,000	94,280	100%
				2014	4,000	31,188	100%
			Amount of public and private funds leveraged from comprehensive	2010	\$1,000,000	\$2,271,200	100%
				2011	\$1,000,000	\$3,182,275	100%
				2012	\$1,000,000	\$251,672	25%
				2013	\$1,000,000	\$238,000	24%
				2014	\$1,000,000	\$807,200	80%

	PRIVATE AND/OR OTHER INVESTMENT; AND HAVE REASONABLE PLANS FOR LONG-TERM OPERATION AND MAINTENANCE.		revitalization and downtown revitalization activities.				
OTHER	Other Objectives						
PLANNING AND TECHNICAL ASSISTANCE ACTIVITIES							
OTHER-1	INCREASE CAPACITY, EFFICIENCY, AND EFFECTIVENESS OF LOCAL PLANNING EFFORTS RESULTING IN LONG-TERM DEVELOPMENT.	CDBG	Number of LMI persons benefitting from local and regional planning projects	2010	5,000	2,651	53%
				2011	5,000	9,015	100%
				2012	5,000	8,379	100%
				2013	5,000	5,110	100%
				2014	5,000	7,590	100%
			Amount of public and private funds leveraged through planning projects.	2010	\$100,000	\$80,000	80%
				2011	\$100,000	\$104,400	100%
				2012	\$100,000	\$113,600	100%
				2013	\$100,000	\$101,700	100%
				2014	\$100,000	\$76,700	77%
			Number of technical assistance and training sessions conducted for building capacity of local government officials.	2010	4	6	100%
				2011	4	5	100%
				2012	4	14	100%
				2013	4	5	100%
				2014	4	5	100%

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
SL-1	Availability/Accessibility of Suitable Living Environment				
PUBLIC WORKS, WATER AND WASTEWATER, AND INFRASTRUCTURE ACTIVITIES					
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of LMI persons with new access to a facility or infrastructure benefit.	2010	1,000	1,100	100%	NA
	2011	1,000	1,918	100%	NA
	2012	1,000	2,332	100%	NA
	2013	1,000	170	17%	Less LMI persons were served who had obtained new facilities or infrastructure, but more were served within the improved facilities area.
	2014	1,000	1,443	100%	NA

Number of LMI persons who previously had access to only substandard facilities or infrastructure services that will now have access to safe, appropriate facilities and/or infrastructure.	2010	500	3,139	100%	NA
	2011	500	1,159	100%	NA
	2012	500	0	0%	The actual number was below the expected number due to less activities being completed for persons who previously had access to substandard facilities, etc. as no projects for this category were funded during the 2012 Program Year.
	2013	500	170	34%	Less LMI persons were served who had substandard facilities or infrastructure, but more were served within the improved facilities area.
	2014	500	480	96%	Less LMI persons were served who had substandard facilities or infrastructure, but more were served within the improved facilities area.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
SL-3	Sustainability of Suitable Living Environment				
	COMPREHENSIVE REVITALIZATION AND DOWNTOWN REVITALIZATION ACTIVITIES				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Amount of public and private funds leveraged from comprehensive revitalization and downtown revitalization activities.	2010	\$1,000,000	\$2,271,200	100%	NA
	2011	\$1,000,000	\$3,182,275	100%	NA
	2012	\$1,000,000	\$251,672	25%	The 2012 Program Year for Comprehensive Revitalization was for a strategic planning year and during that time the required match was 25%. Following the planning year are the implementation years which will require a 1:1 ratio, excluding supplemental awards which require a 1:2 ratio.
	2013	\$1,000,000	\$238,400	24%	The 2013 Program Year for Comprehensive Revitalization and DTR projects included additional planning and less project costs, therefore less funds were leveraged during this Program Year.
	2014	\$1,000,000	\$807,200	80%	The 2014 Program Year included implementation for CR projects, but did not include implementation for DTR projects as they were awarded after the conclusion of the 2014 PY. This resulted in less leverage for projects.

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Specific Objective	Outcome/Objective				
	Specific Annual Objective				
OTHER	Other Objectives				
	PLANNING AND TECHNICAL ASSISTANCE ACTIVITIES				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of LMI persons benefitting from local and regional planning projects	2010	5,000	2,651	100%	There were less planning projects awarded than anticipated during the Program Year.
	2011	5,000	9,015	100%	NA
	2012	5,000	8,379	100%	NA
	2013	5,000	5,110	100%	NA
	2014	5,000	7,590	100%	NA
Amount of public and private funds leveraged through planning projects.	2010	\$100,000	\$80,000	80%	There were less planning projects awarded than anticipated during the Program Year which resulted in less leveraged funds.
	2011	\$100,000	\$104,400	100%	NA
	2012	\$100,000	\$113,600	100%	NA
	2013	\$100,000	\$101,700	100%	NA
	2014	\$100,000	\$76,700	77%	There were less planning projects awarded than anticipated during the Program Year which resulted in less leveraged funds.

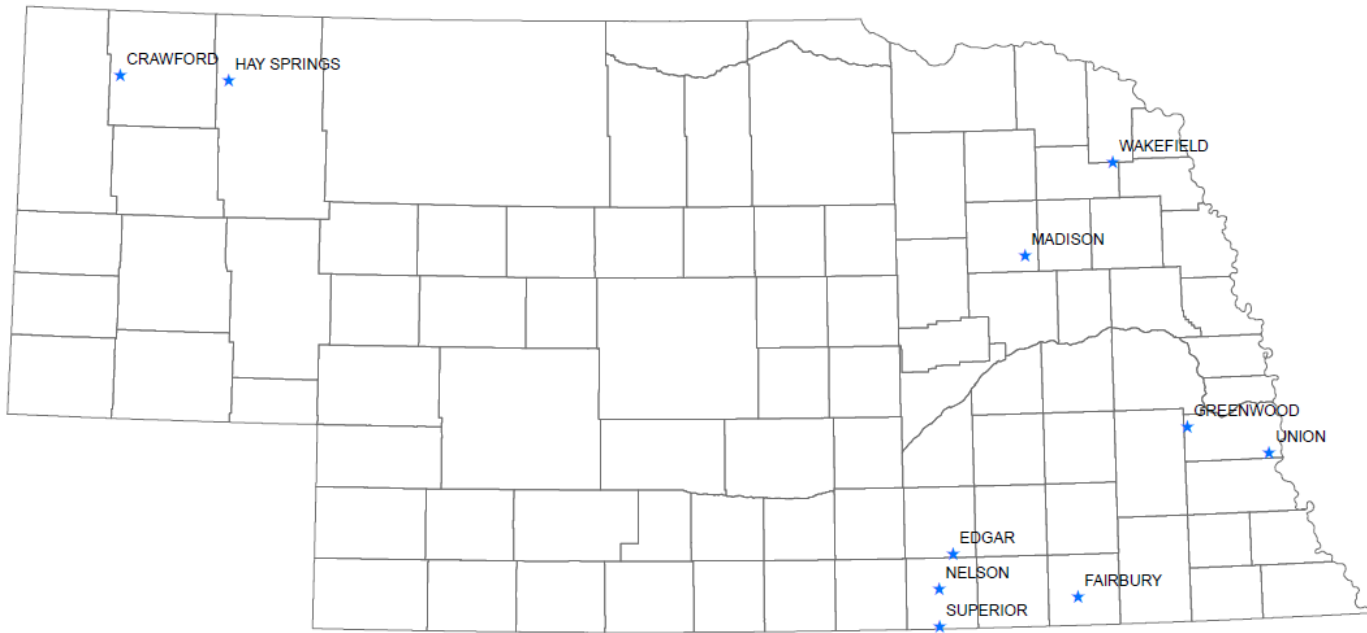
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**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Planning Category**

Project Number	Applicant	Project Activities	Amount Awarded
14-PP-005	Wakefield	Community Needs Assessment	\$30,000
14-PP-007	Superior	Waste Management Plan	\$30,000
14-PP-008	Edgar	Community Needs Assessment	\$24,190
14-PP-012	Fairbury	Comprehensive Plan	\$30,000
14-PP-013	Crawford	Comprehensive Plan	\$13,500
14-PP-014	Madison	Comprehensive Plan	\$25,700
14-PP-015	Nelson	Comprehensive Plan	\$24,194
14-PP-016	Hay Springs	Comprehensive Plan	\$13,500
14-PP-018	Greenwood	Comprehensive Development Plan	\$30,000
14-PP-019	Union	Comprehensive Plan & Street Improvement Plan	\$25,700
		TOTAL CDBG AWARDED	\$246,784

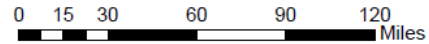
2014 CDBG PP Awards

Nebraska Department of Economic Development
Housing and Community Development Division



Communities served by PP projects

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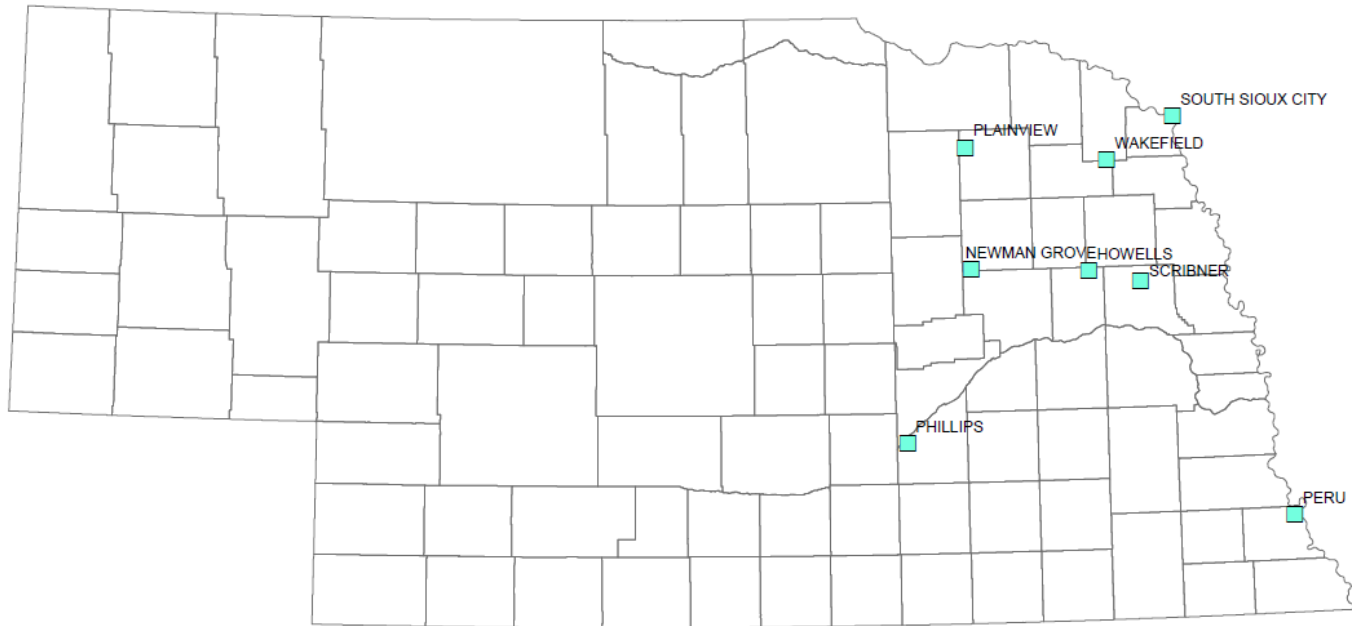


**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Public Works Category**

Project Number	Applicant	Project Activities	Amount Awarded
14-PW-001	Howells	Street Improvements	\$93,000
14-PW-002	Newman Grove	Street Improvements	\$250,000
14-PW-003	Peru	Street Improvements	\$250,000
14-PW-004	Phillips	Street Improvements	\$186,800
14-PW-005	Plainview	Library	\$250,000
14-PW-006	Scribner	Street/Water Main Improvements	\$250,000
14-PW-007	South Sioux City	Street Improvements	\$250,000
14-PW-008	Wakefield	Street Improvements	\$250,000
		TOTAL CDBG AWARDED	\$1,779,800

2014 CDBG PW Awards

Nebraska Department of Economic Development
Housing and Community Development Division



Communities served by PW projects

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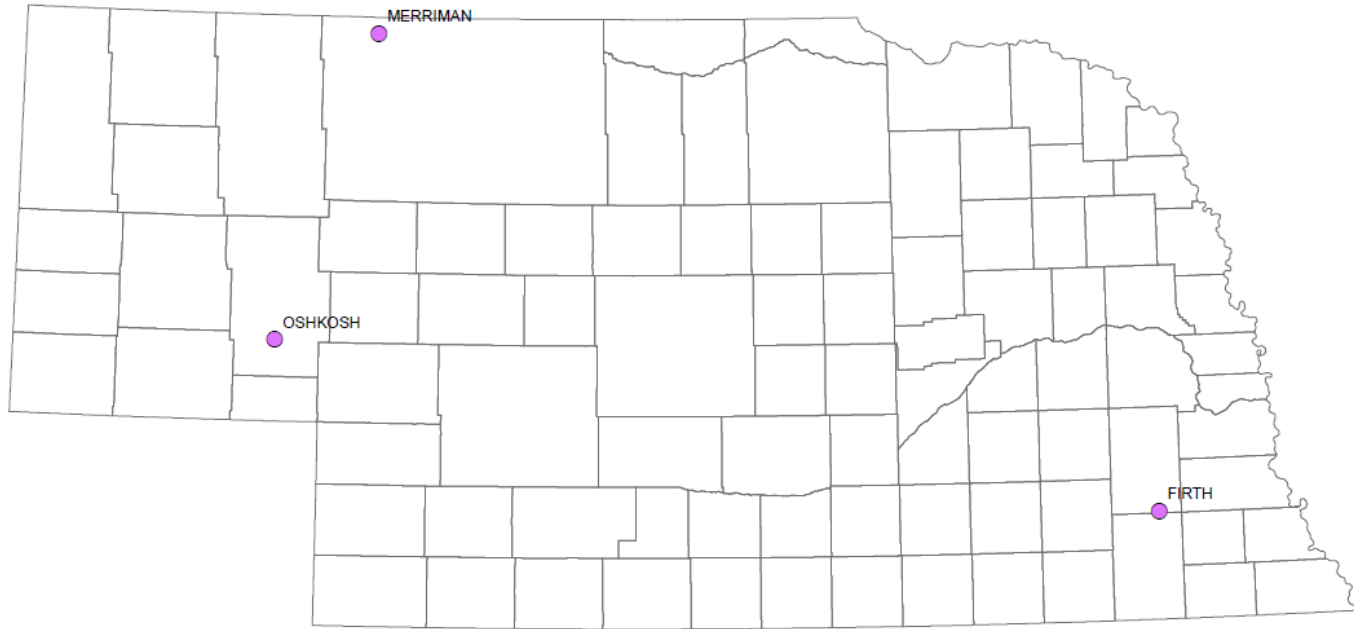


**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Water / Wastewater Category**

Project Number	Applicant	Project Activities	Amount Awarded
14-WW-001	Merriman	Water Sewer Improvements	\$250,000
14-WW-002	Firth	Sewer Improvements	\$250,000
14-WW-003	Oshkosh	Water Improvements	\$250,000
		TOTAL CDBG AWARDED	\$750,000

2014 CDBG WW Awards

Nebraska Department of Economic Development
Housing and Community Development Division



Communities served by WW projects

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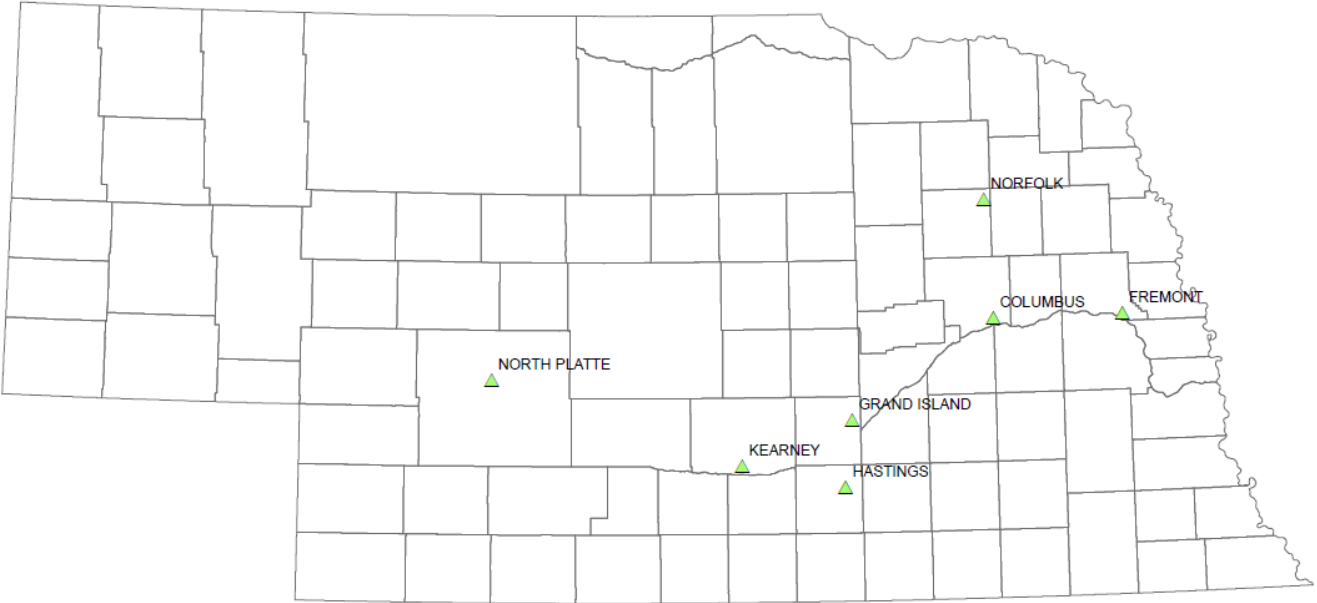


**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Comprehensive Revitalization Category**

Project Number	Applicant	Project Activities	Amount Awarded
14-CR-001	North Platte	Street & Storm Sewer Improvements	\$150,000
14-CR-002	Grand Island	Street & Sewer Improvements	\$225,000
14-CR-003	Fremont	Housing Owner Occupied Rehab & Housing Rental Rehabilitation	\$124,000
14-CR-004	Kearney	Street Improvements	\$150,000
14-CR-006	Columbus	Housing Purchase, Rehab, & Resale	\$150,000
14-CR-007	Norfolk	Street Improvements & Housing Rental Rehabilitation	\$150,000
14-CR-008	Hastings	Street, Sidewalk, & Curb Improvements	\$150,000
14-CR-101	North Platte	Street & Sewer Improvements	\$287,000
14-CR-103	Fremont	Street & Sidewalk Improvements	\$185,000
14-CR-104	Kearney	Street & Sidewalk Improvements	\$150,000
14-CR-107	Norfolk	Water & Sewer Improvements	\$287,000
14-CR-108	Hastings	Street Improvements	\$287,000
		TOTAL CDBG AWARDED	\$2,295,000

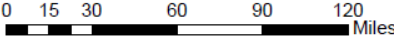
2014 CDBG CR Awards

Nebraska Department of Economic Development
Housing and Community Development Division



Communities served by CR projects

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**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Comprehensive Investment & Stabilization Category**

Project Number	Applicant	Project Activities	Amount Awarded
			*There were no CIS projects awarded during the 2014 Program Year
		TOTAL CDBG AWARDED	\$0

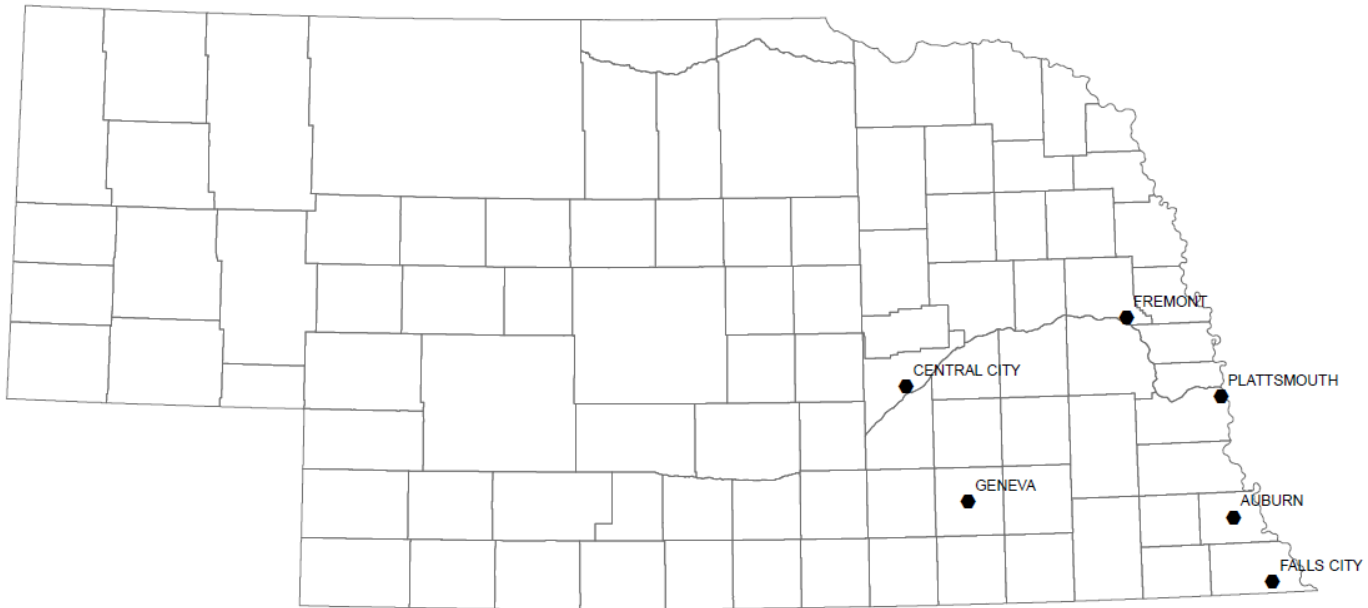
**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Downtown Revitalization Category**

Phase I

Project Number	Applicant	Project Activities	Amount Awarded
14-DTR-001	Plattsmouth	Downtown Assessment Plan	\$20,250
14-DTR-002	Central City	Downtown Assessment Plan	\$30,000
14-DTR-004	Fremont	Downtown Assessment Plan	\$6,750
14-DTR-005	Geneva	Downtown Assessment Plan	\$5,350
14-DTR-006	Falls City	Downtown Assessment Plan	\$6,500
14-DTR-007	Auburn	Downtown Assessment Plan	\$6,500
		TOTAL CDBG AWARDED	\$75,350

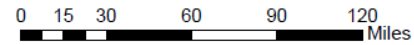
2014 CDBG DTR Awards

Nebraska Department of Economic Development
Housing and Community Development Division

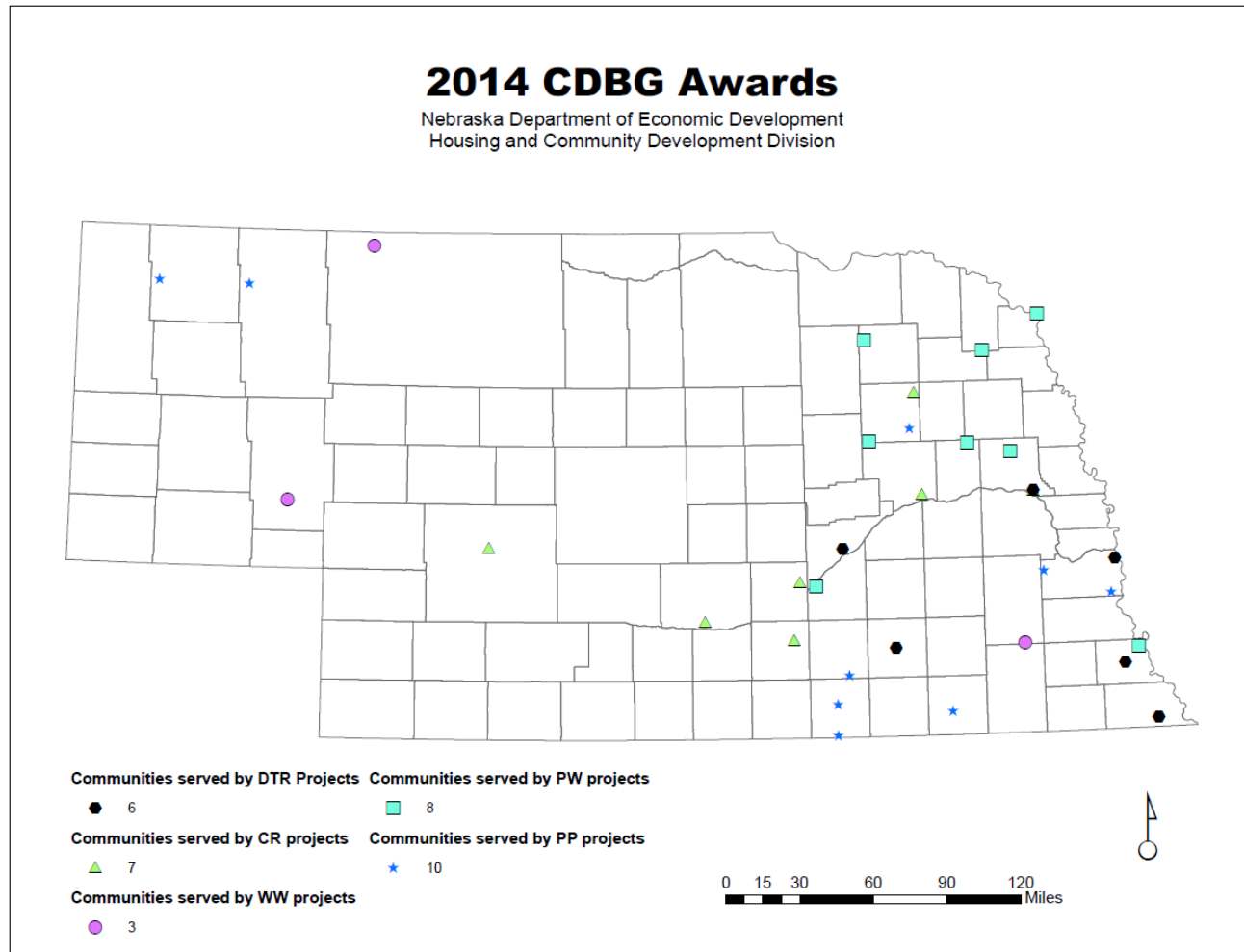


Communities served by DTR Projects

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Below is a summary of all the communities that received CDBG funding within the Community Development Priority. As the summary table demonstrates, there was a significant distribution of projects throughout Nebraska in 2014.



Section Four:
Economic Development Priority

Economic Development Priority Statement & Objectives

ECONOMIC DEVELOPMENT PRIORITY: FOSTER THE COMPETITIVENESS OF NEBRASKA’S BUSINESS AND INDUSTRIAL SECTOR—AND AS A RESULT—ASSIST IN THE ECONOMIC DEVELOPMENT OF NEBRASKA’S COMMUNITIES AND PEOPLE.

The State of Nebraska’s Objectives developed to meet the Economic Development Priority include:

Objective One: Promote the retention and expansion of existing businesses in Nebraska, and the startup of new businesses in Nebraska, and the immigration of out-of-state businesses relocating or expanding into Nebraska.

Objective Two: Invest in public facilities and improvement activities that make economic opportunities available to low and moderate income persons.

Objective Three: Invest in effective and affordable tourist attractions (for profit or nonprofit) in quality communities that will result in visitor spending, generate jobs, and promote long-term economic development.

Objective Four: Undertake planning activities that may include but are not limited to: a feasibility study, an environmental review, preliminary site planning, site predevelopment, market analysis and a marketing plan; all in preparation for a larger project.

Actions taken during the 2014 Program Year

Objectives and measurable actions taken between July 1, 2014 and June 30, 2015, are listed below:

The State of Nebraska's performance indicators for each Objective developed to meet the Economic Development Priority are outlined in the following table:

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
EO-1	Availability/Accessibility of Economic Opportunity						
JOB CREATION AND RETENTION ACTIVITIES							
EO-1.1	PROMOTE THE RETENTION AND EXPANSION OF EXISTING BUSINESSES IN NEBRASKA AND THE STARTUP OF NEW BUSINESSES IN NEBRASKA, AND THE IMMIGRATION OF OUT-OF-STATE BUSINESSES RELOCATION OR EXPANDING INTO NEBRASKA.	CDBG	Number of jobs created or retained.	2010	160	238	100%
				2011	160	292	100%
				2012	160	0	0%
				2013	160	35	22%
				2014	160	40	25%
			Number of jobs created or retained that were designated for LMI persons.	2010	82	122	100%
				2011	82	153	100%
				2012	82	0	0%
				2013	82	19	23%
			Amount of public or private funds leveraged.	2010	\$8,500,000	\$42,500,000	100%
				2011	\$8,500,000	\$28,964,026	100%
				2012	\$8,500,000	\$0	0%
				2013	\$8,500,000	\$1,218,000	14%
2014	\$8,500,000	\$4,100,045	48%				
PUBLIC FACILITIES AND IMPROVEMENT ACTIVITIES							
EO-1.2	INVEST IN PUBLIC FACILITIES AND IMPROVEMENT ACTIVITIES THAT MAKE ECONOMIC OPPORTUNITIES AVAILABLE TO LOW AND MODERATE INCOME PERSONS.	CDBG	Number of jobs created or retained.	2010	29	37	100%
				2011	29	0	0%
				2012	29	0	0%
				2013	29	3	10%
				2014	29	0	0%
			Number of jobs created or retained that were designated for LMI persons.	2010	15	19	100%
				2011	15	0	0%
				2012	15	0	0%
				2013	15	2	13%
2014	15	0	0%				
TOURISM DEVELOPMENT ACTIVITIES							
EO-1.3	INVEST IN EFFECTIVE AND AFFORDABLE TOURIST ATTRACTIONS (FOR PROFIT OR NONPROFIT) IN QUALITY COMMUNITIES	CDBG	Amount of public or private funds leveraged through tourism development activities.	2010	\$200,000	\$450,450	100%
				2011	\$200,000	\$287,181	100%
				2012	\$200,000	\$2,307,000	100%
				2013	\$200,000	\$0	0%
				2014	\$200,000	\$259,880	100%
			Number of jobs	2010	4	6	100%

	THAT WILL RESULT IN VISITOR SPENDING, GENERATE JOBS, AND PROMOTE LONG-TERM ECONOMIC DEVELOPMENT.		created or retained.	2011	4	5	100%
				2012	4	0	0%
				2013	4	0	0%
				2014	4	0	0%
OTHER	Other Objectives						
PLANNING ACTIVITIES							
OTHER-1	UNDERTAKE PLANNING ACTIVITIES THAT MAY INCLUDE BUT ARE NOT LIMITED TO: A FEASIBILITY STUDY, AN ENVIRONMENTAL REVIEW, PRELIMINARY SITE PLANNING, SITE PREDEVELOPMENT, MARKET ANALYSIS AND A MARKETING PLAN; ALL IN PREPARATION FOR A LARGER PROJECT.	CDBG	Amount of public and private funds leveraged for planning projects.	2010	\$225,000	\$105,000	47%
				2011	\$225,000	\$0	0%
				2012	\$225,000	\$150,000	67%
				2013	\$225,000	\$0	0%
				2014	\$225,000	\$0	0%

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
EO-1	Availability/Accessibility of Economic Opportunity				
JOB CREATION AND RETENTION ACTIVITIES					
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of jobs created or retained.	2010	160	238	100%	NA
	2011	160	292	100%	NA
	2012	160	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2013	160	35	22%	Less ED funds were utilized during the Program Year which resulted in less jobs being created through the use of CDBG funds for the Program Year.
	2014	160	40	25%	Less ED funds were utilized during the Program Year which resulted in less jobs being created through the use of CDBG funds for the Program Year.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
EO-1	Availability/Accessibility of Economic Opportunity				
JOB CREATION AND RETENTION ACTIVITIES					
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of jobs created or retained that were designated for LMI persons.	2010	82	122	100%	NA
	2011	82	153	100%	NA
	2012	82	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2013	82	19	23%	Less ED funds were utilized during the Program Year which resulted in less LMI jobs being created through the use of CDBG funds for the Program Year.
	2014	82	22	26%	Less ED funds were utilized during the Program Year which resulted in less LMI jobs being created through the use of CDBG funds for the Program Year.
Amount of public or private funds leveraged.	2010	\$8,500,000	\$42,500,000	100%	NA
	2011	\$8,500,000	\$28,964,026	100%	NA
	2012	\$8,500,000	0	0%	Less ED funds were utilized during the Program Year which resulted in no leverage being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2013	\$8,500,000	\$1,218,000	14%	Less ED funds were utilized during the Program Year which resulted in no leverage being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2014	\$8,500,000	\$4,100,045	48%	Less ED funds were utilized during the Program Year which resulted in no leverage being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
EO-1	Availability/Accessibility of Economic Opportunity				
	PUBLIC FACILITIES AND IMPROVEMENT ACTIVITIES				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of jobs created or retained.	2010	29	37	100%	NA
	2011	29	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2012	29	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2013	29	3	10%	More ED resources were provided for non-public facilities and improvements projects, and therefore less jobs were created within this category.
	2014	29	0	0%	More ED resources were provided for non-public facilities and improvements projects, and therefore less jobs were created within this category.
Number of jobs created or retained that were designated for LMI persons.	2010	15	19	100%	NA
	2011	15	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2012	15	0	0%	Less ED funds were utilized during the Program Year which resulted in no jobs being created through the use of CDBG funds for the Program Year. Additional State resources were utilized for various initiatives but these resources did not include CDBG funds.
	2013	15	2	13%	More ED resources were provided for non-public facilities and improvements projects, and therefore less LMI jobs were created within this category.
	2014	15	0	0%	More ED resources were provided for non-public facilities and improvements projects, and therefore less LMI jobs were created within this category.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
EO-1	Availability/Accessibility of Economic Opportunity				
TOURISM DEVELOPMENT ACTIVITIES					
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Number of jobs created or retained.	2010	4	6	100%	NA
	2011	4	5	100%	NA
	2012	4	0	0%	There were 2 projects funded within the Program Year, but neither had a National Objective of Job Creation but instead came in under Slum and Blight and Limited Clientele. The projects leveraged over \$2.4 million in resources.
	2013	4	0	0%	No tourism projects were funded during the 2013 Program Year. Therefore the actual number completed was below the expected number.
	2014	4	0	0%	The tourism projects funding in 2014 met a CDBG National Objective for Limited Clientele and therefore did not create or retain any jobs.

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
OTHER	Other Objectives				
PLANNING ACTIVITIES					
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Amount of public and private funds leveraged for planning projects.	2010	\$225,000	\$105,000	47%	There was less leverage within program activities due to less projects with ED Planning Activities being awarded within the Program Year.
	2011	\$225,000	0	0%	There was less leverage within program activities due to less projects with ED Planning Activities being awarded within the Program Year.
	2012	\$225,000	\$150,000	0%	There was less leverage within program activities due to less projects with ED Planning Activities being awarded within the Program Year. There was only one single ED project awarded during the Program Year.
	2013	\$225,000	\$0	0%	There was no leverage within program activities due to that no ED Planning Activities were awarded within the Program Year.
	2014	\$225,000	\$0	0%	There was no leverage within program activities due to that no ED Planning Activities were awarded within the Program Year.

**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Economic Development Category**

Project Number	Applicant	Benefitting Business	Amount Awarded
14-ED-002	Minden	Royal Engineered Composites	\$605,000
14-ED-004	York	York Cold Storage	\$267,955
		TOTAL CDBG AWARDED	\$872,955

**Nebraska Department of Economic Development
Community Development Block Grant Program
2014 Awards Tourism Development Category**

Project Number	Applicant	Project Description	Amount Awarded
14-TD-001	Fairbury	Bonham Theatre Renovation	\$225,000
14-TD-002	Nebraska City	Lied Lodge & Conference Center Renovation	\$238,400
		TOTAL CDBG AWARDED	\$463,400

Section Five:
HOME Program Report

HOME Program Performance Report

Analysis of Consolidated Plan HOME Strategies

HOME funds were distributed according to the various categories identified in the approved State of Nebraska 2014 Annual Action Plan.

Results of On-Site Inspections of Affordable Rental Housing

Five (5) inspections of affordable rental housing projects were conducted during the 2014 Program Year. All projects met minimum property standards at the time of the visit.

The annual rent and income reporting revealed that 82 of 111 rental projects met compliance with occupancy requirements. The Department staff is working on obtaining compliance for the other 29 rental projects. Additional training and on-site visits are planned.

Affirmative Marketing and Minority Outreach

We are continuing to pursue information and assistance in this area. DED Affordable Housing Program fund recipient program guidelines are reviewed to provide assistance on affirmative marketing strategies. All DED designated CHDOs are required to have an affirmative marketing plan and all HOME/LIHTC (Low Income Housing Tax Credit) projects also have an affirmative marketing plan within their applications.

The outreach effort for Minority, Women and Disabled Owned Business Enterprises (MBE/WBE/DBE) includes a requirement to solicit responses to Requests for Proposals and bids from such entities by state recipients as part of their procurement process. A state recipient must have an approved procurement process and monitoring is done by staff to insure that the recipient is following their approved process.

Additional information will be provided within the Final 2014 CAPER as additional information for this section was being compiled during the completion of the Proposed CAPER.

HOME Monitoring Visits Conducted July 1, 2014 - June 30, 2015

Recipient	Award #	Type	Visit Date	HOME Units	Total Units	Location	Affordability Period	Findings
Blue Valley Community Action	95CH00101	Rental NC	7/8/2014	4	8	Crete	20	1 Finding: Property Standards
Blue Valley Community Action	01CH00104	Rental NC	7/8/2014	7	16	Crete	20	1 Finding: Property Standards
Blue Valley Community Action	96CH00102	Rental NC	7/15/2014	4	8	Wymore	20	1 Finding: Property Standards
Stuart Village Manor, Inc.	95OE08801	Rental NC	8/5/2014	2	4	Stuart	20	No Findings
Midwest Housing Initiatives, Inc.	06OERH4111	Rental NC	8/13/2014	5	28	Grand Island	20	No Findings

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HOME Match Report

Match Contributions for Federal Fiscal Year (yyyy) 2014

Part I Participant Identification

1. Participant No. (assigned by HUD) M14-SG310100		2. Name of the Participating Jurisdiction STATE OF NEBRASKA		3. Name of Contact (person completing this report) HEATHER VOORMAN	
5. Street Address of the Participating Jurisdiction 301 CENTENNIAL MALL SOUTH, 4 TH FLOOR, PO BOX 94666				4. Contact's Phone Number (include area code) 402-471-3775	
6. City LINCOLN		7. State NE	8. Zip Code 68509-4666		

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$ 37,262,917.50	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 2,697,100.00	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 39,960,017.50
4. Match liability for current Federal fiscal year		\$ 720,037.33
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 40,680,054.83

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
SEE MATCH LOG								

HOME MATCH LOG (October 1, 2013 - September 30, 2014)

1. Project Number	2. Date of Commitment	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
09OEHP8079A	3/6/2014	\$ 66,025.00						\$ 66,025.00
09OEHP8079B	5/5/2014	\$ 81,516.00						\$ 81,516.00
10OEHP1078A	3/10/2014	\$ 113,620.00						\$ 113,620.00
10OEHP2090A	2/4/2014	\$ 57,100.00						\$ 57,100.00
10OEHP2090B	2/4/2014	\$ 32,000.00						\$ 32,000.00
10OEHP2090C	5/12/2014	\$ 24,000.00						\$ 24,000.00
10OEHP2090	9/3/2014	\$ 26,333.00						\$ 26,333.00
10OEHP2090	9/3/2014	\$ 32,280.00						\$ 32,280.00
10OEHP2090	9/3/2014	\$ 60,000.00						\$ 60,000.00
10OEHP3022A	5/7/2014	\$ 82,741.60						\$ 82,741.60
10OEHP3022B	5/7/2014	\$ 52,795.52						\$ 52,795.52
10OEHP3022C	5/7/2014	\$ 39,699.52						\$ 39,699.52
10OEHP3022D	4/16/2014	\$ 64,864.25						\$ 64,864.25
10OEHP3022E	4/16/2014	\$ 85,605.00						\$ 85,605.00
10OEHP3022F	4/16/2014	\$ 92,050.06						\$ 92,050.06

HOME MATCH LOG (October 1, 2013 - September 30, 2014) (Continued)

1. Project Number	2. Date of Commitment	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
10OEHP3022G	5/19/2014	\$ 60,500.00						\$ 60,500.00
10OEHP3022H	5/19/2014	\$ 77,233.39						\$ 77,233.39
11CHHP4043	3/28/2014	\$ 62,675.00						\$ 62,675.00
12CHHP2001B	6/6/2014	\$ 39,900.00						\$ 39,900.00
12CHHP2001C	3/4/2014	\$ 84,596.68						\$ 84,596.68
12CHHP5003B	12/20/2013	\$ 47,000.00						\$ 47,000.00
12CHHP5003C	4/16/2014	\$ 47,300.00						\$ 47,300.00
12CHHP5003D	4/16/2014	\$ 82,000.00						\$ 82,000.00
12CHHP5003E	11/13/2013	\$ 73,000.00						\$ 73,000.00
12CHHP5003F	9/15/2014	\$ 59,000.00						\$ 59,000.00
12CHHP5015A	12/20/2013	\$ 115,500.00						\$ 115,500.00
12CHHP5015B	12/17/2013	\$ 112,041.00						\$ 112,041.00
12CHHP5015C	12/17/2013	\$ 68,000.00						\$ 68,000.00
12CHHP5015D	12/20/2013	\$ 73,000.00						\$ 73,000.00
12CHHP5015E	12/20/2013	\$ 59,400.00						\$ 59,400.00

HOME MATCH LOG (October 1, 2013 - September 30, 2014) (Continued)

1. Project Number	2. Date of Commitment	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
12CHHP5015F	12/20/2013	\$ 83,300.00						\$ 83,300.00
12CHHP5015G	12/20/2013	\$ 57,600.00						\$ 57,600.00
12CHHP5015H	12/20/2013	\$ 150,000.00						\$ 150,000.00
12CHHP5015I	12/20/2013	\$ 70,000.00						\$ 70,000.00
12CHHP5015J	12/20/2013	\$ 103,418.00						\$ 103,418.00
12CHHP5015K	3/10/2014	\$ 58,469.00						\$ 58,469.00
12CHHP5015L	3/10/2014	\$ 56,860.00						\$ 56,860.00
12CHHP5015M	5/12/2014	\$ 77,000.00						\$ 77,000.00
12TFHP1035C	5/27/2014	\$ 3,775.00						\$ 3,775.00
12TFHP1035D	5/1/2014	\$ 2,269.00						\$ 2,269.00
12TFHP6006A	4/14/2014	\$ 15,000.00						\$ 15,000.00
12TFHP6006B	7/31/2014	\$ 10,448.00						\$ 10,448.00
13TFHO4009A	7/22/2014	\$ 12,185.00						\$ 12,185.00
13TFHO4009B	7/31/2014	\$ 25,000.00						\$ 25,000.00
Total \$ \$2,697,100			Total \$ \$2,697,100					

Section Six:

Homeless Services Priority

Homeless Services Priority Statement & Objectives

HOMELESS SERVICES PRIORITY: ENSURE APPROPRIATE EMERGENCY SHELTER AND/OR TRANSITIONAL HOUSING AND SERVICES FOR PEOPLE WHO ARE HOMELESS OR AT IMMINENT RISK OF BECOMING HOMELESS BY DISTRIBUTING EMERGENCY SOLUTIONS GRANT FUNDS AND HOMELESS SHELTER ASSISTANCE TRUST FUNDS.

The State of Nebraska’s Objectives developed to meet the Homeless Services Priority are as follows:

Objective One: Provide appropriate shelter and/or housing to people who are homeless and/or at risk of becoming homeless.

Objective Two: Provide needed services to people who are homeless and/or at imminent risk of becoming homeless.

Actions taken during the 2014 Program Year

Accomplishing these identified objectives requires ongoing collaboration with service providers. The statewide Continuum of Care system helps ensure that people who are homeless or at imminent risk of homelessness are able to access necessary emergency shelter/transitional housing and homelessness prevention services in each of Nebraska’s 93 counties. Nebraskans are served by one of the seven Continuum of Care planning regions (See map on page 6-13).

From the 2014 ESG Balance of State award, the Nebraska Department of Health and Human Services (DHHS) allocated \$736,830.55 (of that \$16,490 was unspent HUD FFY 11 ESG funds and \$9,752.42 was unspent HUD FFY 13 ESG funds reallocated in Fiscal Year 2014) in Emergency Solutions Grant (ESG) funds and an additional \$1,354,638.52 (this does not include the \$349,002.48 awarded to the City of Lincoln, in Nebraska Homeless Shelter Assistance Trust Funds (HSATF) to 35 agencies to provide statewide street outreach, emergency shelter/transitional housing, legal assistance, homelessness assistance and prevention services, and Homeless Management Information System (HMIS) database support. Table I identifies the distribution of the allocated funds between services.

TABLE I: Funding Distribution			
	ESG	HSATF	TOTAL
Street Outreach	\$0	\$85,678.00	\$85,678.00
Shelter	\$128,711.90	\$864,428.08	\$993,139.98
Homelessness Prevention	\$389,911.48	\$162,607.64	\$552,514.12
Rapid Rehousing	\$201,441.82	\$187,520.76	\$388,962.58
HMIS	\$84,172.35	\$90,331.05	\$174,503.40
Services Subtotal	\$804,237.55	\$1,390,565.53	\$2,194,798.08
Administration	\$65,208.45	\$75,000	\$140,208.45
Total	\$869,446.00	\$1,465,565.53	\$2,335,078.53

*An additional \$16,490 unspent FY11 and \$9,752.42 unspent FY13 ESG dollars were added to the FY14 ESG allocation, bring the total ESG dollars awarded out in 2014 to \$804,232.55.

*The City of Lincoln received \$349,927 in HSATF, however it is not reflected in Table I due to permission being given for the City of Lincoln to claim their HSATF as match.

*An additional \$240,000 of HSATF was provided through subgrants with 5 Continuum of Care Regional agencies to handle SOAR activities.

* Legal Aid of Nebraska received \$35,000 HSATF dollars to provide statewide legal assistance to NHAP clients being served.

* CCFL, System Administrator for the BOS HMIS, received \$67,407.00 ESG dollars. The dollar amount is reflected in the ESG HMIS funding distribution in Table I.

* Iowa Institute Administrator for HMIS in Omaha received \$35,927.00 HSATF dollars. The dollar amount is reflected in the HSATF funding distribution in Table I.

* Due to the complexity of the HEARTH regulations for Homeless Prevention and Rapid Rehousing, \$15,889.78 of HSATF dollars for FY14 was returned to the state due to subrecipients' inability to expend the funds.

The State of Nebraska's performance indicators for each Objective developed to meet the Homeless Services Priority are outlined in the following table:

TABLE II: Performance Indicators							
Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
SL-1	Accessibility of Suitable Living Environment						
HOMELESS SERVICES							
SL-1.1	PROVIDE APPROPRIATE SHELTER AND/OR HOUSING TO PEOPLE WHO ARE HOMELESS AND/OR AT IMMINENT RISK OF BECOMING HOMELESS	ESG HSATF	Number of individuals provided emergency or transitional shelter.	2010	12,000	12,609	100%
				2011	12,000	10,691	89%****
				2012	12,000	10,839	90%****
				2013	12,000	7,879	66%****
				2014	12,000	8,094	33%****
DH-2	Affordability of Decent Housing						
HOMELESSNESS PREVENTION ACTIVITIES							
DH-2.1	PROVIDE NEEDED SERVICES TO PEOPLE WHO ARE HOMELESS AND/OR AT IMMINENT RISK OF BECOMING HOMELESS****	ESG HSATF	Number of individuals provided homeless prevention services.	2010	20,000	18,378	92%
				2011	20,000	29,526	100%
				2012	20,000	20,025	100%
				2013	20,000	3,511	18%****
				2014	20,000	3,096	15%****
			Number of individuals not residing in an emergency or transitional shelter that	2010	20,000	17,656	88%
				2011	20,000	29,526	100%
				2012**	53	20,025	100%
				2012	20,000	20,025	100%
				2013	20,000	3,511	18%****

			received essential services.	2014	20,000	3,096	15%****
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RAPID RE-HOUSING ACTIVITIES							
DH-2.2	PROVIDE PERMANENT HOUSING TO PEOPLE WHO ARE HOMELESS	ESG HSATF HPRP (*indicates HPRP funds only)	Number of individuals provided rapid re-housing services.	2010		*862	
				2011		*654	
				2012**	56	1,037	100%
				2012	263	1,037	100%
				2013	434	655	100%
				2014	700	1,673	100%

*Indicates HPRP funds only

**Indicates 12 month time period starting the date of 2nd allocation award from HUD (based on the ARRA Homeless Prevention and Rapid Re-housing Program the costs were \$2,654 a person through December 31, 2011).

***The State of Nebraska’s performance indicators for each Objective were created during the development of the Consolidated Plan in order to meet the Homeless Services Priority. In development of the 2012 AAP, DHHS discovered that there was duplication in the numbers reported for the two Performance Indicators listed for Objective DH-2.1, so the same numbers are listed for both.

****The impact of HPRP and more ESG funds directed toward Homeless Prevention & Rapid Rehousing services has decreased the need for Emergency Shelter.

*****Starting 2013, the actual number reported only includes those individuals who had been served with NHAP funding; prior to 2013, regardless of funding source, all individuals served were reported in the data set.

A. Nebraska Homeless Assistance Program – State Objective

The objective of the 2014-2015 Nebraska Homeless Assistance Program (NHAP) was to assist in the immediate alleviation of homelessness for Nebraska citizens by funding necessary statewide services through the Department of Housing and Urban Development’s (HUD) Emergency Shelter Grant/Emergency Solutions Grant Program (ESG) funds and the Nebraska Homeless Assistance Trust Fund (HSATF). Nebraska’s HSATF monies provided the required ESG match with state HSATF funds. The ESG funds provided approximately 37 percent of the NHAP funding; the HSATF provided approximately 63 percent.

Nebraska strongly supports a collaborative approach to addressing the needs of those people who are homeless or at risk of homelessness through a Continuum of Care process, which was initiated by the U.S. Department of Housing and Urban Development (HUD) in 1994 and revised in 2012. This process promotes coordinated strategic planning for programs that assist families and individuals who are homeless or at imminent risk of homelessness. Nebraska’s Continuums of Care provide an effective community and regional-based perspective and a comprehensive and coordinated housing and service delivery system. NHAP requires subgrantees to be active participants in their local and regional Continuums of Care. All of the regional Continuums have representatives on the Nebraska Commission on Housing and Homelessness (NCHH) State Continuum of Care Committee.

The Nebraska Commission on Housing and Homelessness (NCHH) is the advisory body for the NHAP. Within the NCHH a Standing Committee entitled Continuum of Care Committee exists. The role of this Standing Committee is to provide input to the Commission on policies, procedures and priorities regarding the needs of individuals and families who are experiencing homelessness or who are at risk of homelessness. In the fall of 2014, the Committee convened and worked diligently on the planning and development for the state’s updated 10 Year Plan to Prevent and End Homelessness. This plan was unveiled in January of 2015 and serves as the roadmap for achieving four overarching goals which include: end chronic homelessness; end veteran homelessness; end child, family, and youth homelessness; and final, set a path to reducing overall homelessness.

B. How and What Projects Are Assisted

Within the 2014-2015 Program Year, DHHS, through the Division of Children and Family Services, awarded funds to eligible nonprofit subgrantees that provided emergency shelter/transitional housing and homelessness assistance and prevention services to people who were homeless and at risk of becoming homeless. The funds were allocated based on a formula to ensure an equitable statewide distribution of funds. The formula, developed with input from the State Continuum of Care Committee, provides each of Nebraska’s seven geographic regions with a \$50,000 hold-harmless base, with the remaining funds allocated according to the population, poverty and pro rata amounts for each region. The NHAP has seven regional Continuums of Care, which include all of Nebraska’s 93 counties. These regions are identified in Table III.

TABLE III: Regions		
Region #	Region Name	Counties Represented
1	Panhandle	Sioux, Dawes, Sheridan, Box Butte, Scotts Bluff, Morrill, Garden, Banner, Kimball, Cheyenne, Deuel
2	North Central	Cherry, Keya Paha, Boyd, Brown, Rock, Holt, Blaine, Loup, Garfield, Wheeler, Boone, Platte, Colfax, Custer, Valley, Greeley, Sherman, Howard, Nance, Hall, Merrick, Hamilton
3	Southwest	Grant, Hooker, Thomas, Arthur, McPherson, Logan, Keith, Lincoln, Perkins, Dawson, Buffalo, Chase, Hayes, Frontier, Gosper, Phelps, Kearney, Dundy, Hitchcock, Red Willow, Furnas, Harlan, Franklin
4	Southeast	Polk, Butler, Saunders, Sarpy, York, Seward, Lancaster [Lincoln excluded], Cass, Otoe, Fillmore, Saline, Adams, Clay, Webster, Nuckolls, Thayer, Jefferson, Gage, Johnson, Nemaha, Pawnee, Richardson
5	Northeast	Northeast: Knox, Cedar, Dixon, Dakota, Antelope, Pierce, Wayne, Thurston, Madison, Stanton, Cuming, Burt, Dodge, Washington, Douglas [Omaha excluded]
6	Lincoln	Lincoln
7	Omaha	Omaha

Note: A Map of Nebraska Homeless Assistance Program Regional Continuums of Care may be found at the end of this section.

C. Distribution of Funds

The Nebraska Homeless Assistance Program (NHAP) distributes funds through an open (all eligible entities may apply) competitive grant process every other year. If performance measurement standards are met, subgrantees receive a second year of renewal funding after review of their submitted application. In January of 2014, a closed (only open to current subgrantees) competitive Request for Renewal Funding Applications (RRFA) was publicly posted via the DHHS NHAP website at:

http://dhhs.ne.gov/children_family_services/Pages/fia_nhap_nhap_funding.aspx.

Notice of the RRFA was electronically distributed to current subgrantees as well as to all seven Regional Continuums of Care. For the noncompetitive grant cycle that began on July 1, 2014, grant applications were received in February 2013 and application reviews occurred in April 2014. Subgrantee awards were publicly announced in June 2013.

During the July 1, 2014 - June 30, 2015 grant year, 34 statewide subgrantees provided Continuum of Care services to those who were homeless or at risk of homelessness. Table IV shows the distribution of funds for the 2014 grant cycle. The City of Lincoln, representing Region 6, is not reflected in the 2013 funding distribution table because permission was given to the City to allow for them to use the \$349,002.48 in HSATF funds they had received from the state as match for their ESG award.

TABLE IV: Distribution of Funds				
Nebraska Homeless Assistance Program				
2014 Awards				
(ESG & HSATF)				
Grant #	Applicant Name	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
14-NHAP-101	The DOVES Program – Scottsbluff	\$21,000.59	\$37,993.92	\$131,682.75
14-NHAP-102	Cirrus House – Scottsbluff	\$59,770.91	\$71,911.84	\$131,682.75
Region 1 TOTAL		\$80,771.50	\$109,905.76	\$190,677.26

Grant #	Applicant Name	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
14-NHAP-201	Center for Sexual Assault & DV Survivors - Columbus	\$0	\$29,619.71	\$29,619.71
14-NHAP-202	Bright Horizons – O’Neill	\$21,349.50	\$21,349.50	\$42,699
14-NHAP-203	Crisis Center, Inc. - Grand Island	\$12,596.10	\$29,390.90	\$41,987
14-NHAP-205	Central Nebraska Community Services - Loup City	\$111,000	\$0	\$111,000
14-NHAP-206	Hope Harbor - Grand Island	\$6,388.56	\$116,824.16	\$123,212.72
Region 2 TOTAL		\$151,334.16	\$197,184.27	\$348,518.43

Grant #	Applicant Name	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
14-NHAP-301	Residential Assistance to Families in Transition - Kearney	\$20,779	\$0	\$20,779
14-NHAP-302	Sandhills Crisis Intervention Program	\$0	\$14,768	\$14,768
14-NHAP-303	Parent Child Center - Lexington	\$0	\$35,594	\$35,594
14-NHAP-304	Rape/Domestic Abuse Program	\$0	\$21,896.96	\$21,896.96
14-NHAP-305	The SAFE Center - Kearney	\$0	\$32,500	\$32,500
14-NHAP-307	The Connection Homeless Shelter - N. Platte	\$4,492.34	\$45,422.55	\$49,914.89
14-NHAP-308	Community Action Partnership of Mid-Nebraska - Kearney	\$111,280.90	\$28,719.10	\$140,000
Region 3 TOTAL		\$136,552.24	\$178,900.61	\$315,452.85

Grant #	Applicant Name	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
14-NHAP-401	Community Action Partnership of Lancaster & Saunders Cos	\$2,845.32	\$20,865.68	\$23,711
14-NHAP-403	Spouse Abuse/Sexual Assault Crisis Center - Hastings	\$0	\$25,795.08	\$25,795.08
14-NHAP-404	Catholic Social Services - Hastings	\$11,313.82	\$32,200.88	\$43,514.70
14-NHAP-406	Blue Valley Community Action - Fairbury	\$146,047	\$32,132	\$178,179
14-NHAP-407	Community Action Partnership of Mid Nebraska – SE	\$27,320.72	\$3,182.28	\$30,503
14-NHAP-408	SE NE Community Action - Humboldt	\$25,782	\$0	\$25,782
14-NHAP-410	Hope Crisis Center - Fairbury	\$0	\$72,026	\$72,026
Region 4 TOTAL		\$213,308.86	\$186,201.92	\$399,510.78

Grant #	Applicant Name	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
14-NHAP-501	Care Corps Inc. - Fremont	\$3,053.91	\$98,743.15	\$101,797.06
14-NHAP-502	Crisis Center for Domestic Abuse Sexual Assault - Fremont	\$36,000	\$27,262	\$63,400
14-NHAP-503	Bright Horizons - Norfolk	\$15,090.90	\$53,504.10	\$68,595
14-NHAP-504	Northeast Nebraska Community Action Partnership - Pender	\$100,580.98	\$2,219.02	\$102,800
14-NHAP-505	Haven House - Wayne	\$0	\$42,375	\$42,375
Region 5 TOTAL		\$154,863.79	\$224,103.27	\$378,967.06

Grant #	Applicant Name	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
14-HSATF-701	Siena Francis Shelter - Omaha	N/A	\$42,000	\$42,000
14-HSATF-702	Stephen Center - Omaha	N/A	\$69,698.42	\$69,698.42
14-HSATF-703	Salvation Army	N/A	\$86,189	\$86,189
14-HSATF-708	Catholic Charities - Omaha	N/A	\$28,698.43	\$28,698.43
14-HSATF-709	Heartland Family - Omaha	N/A	\$125,698.43	\$125,698.43
14-HSATF-710	Community Alliance - Omaha	N/A	\$81,360	\$81,360
13-HSATF-713	Together Inc. - Omaha	N/A	\$24,698.42	\$24,698.42
Region 7 Total		N/A	\$458,342.70	\$458,342.70

Statewide Awards	2014 ESG Awards	2014 HSATF Awards	2014 NHAP Awards (TOTAL)
Legal Aid of Nebraska (Legal Services)	\$0	\$35,000	\$35,000
Iowa Institute for Community Alliance (HMIS)	\$0	\$35,927	\$35,927
University of Nebraska-Lincoln (CCFL & HMIS)	\$67,407	\$0	\$67,407
Statewide Awards Total	\$67,407	\$70,927	\$138,334

	2014 ESG	2014 HSATF	2014 NHAP
TOTAL NHAP GRANT AWARDS	\$804,237.55	\$1,425,565.53	\$2,229,803.08

G. Outcomes – HMIS Data

All NHAP-funded subgrantees are required to participate in a Homeless Management Information System (HMIS). The 2014-2015 NHAP grant cycle was the eighth full grant year that NHAP-funded subgrantees reported via this system. Nebraska’s statewide HMIS vendor system is ServicePoint of Bowman, LLC. System administrators assist end users at funded subgrantees.

In February 2015, a competitive NHAP Request For Applications (RFA) was issued for a statewide HMIS. In April 2015, proposals were evaluated by the BoS CoC members. Based on the review teams scores and recommendations, an intent to award notice was issued in June 2015 to the University of Nebraska-Lincoln Center on Children, Families, and the Law (CCFL). Funding to CCFL for this RFA shall be for a period of up to four years. Annual funding renewal during that four year period shall be contingent upon achievement of the requirements of the RFA and the specified objectives outlined in the NHAP subaward agreement.

Nebraska continues to strive to improve the accuracy, completeness and consistency of ESG data. As the knowledge base for understanding how data elements are entered at the subgrantee level and then compiled into HMIS reports, better outcome data will be obtained. From last year’s shelter utilization numbers reported, it was determined the numbers from Region 7 included all agencies in Region 7 regardless of the funding source. This year Region 7 was able

to run the report for shelter utilization numbers which only included Region 7 agencies being funded with NHAP. Through training and ongoing technical assistance provided by Nebraska’s HMIS lead, improvements in the data quality at the subgrantee level continue to be achieved.

Table V reflects the number of homeless individuals receiving ESG-funded services during 2014-15, compared to the prior program year. It also identifies the percentage increase or decrease in the number of individuals served. Region 7 reflects data from Omaha, Nebraska’s largest city. As an entitlement city, Omaha receives their ESG allocation directly from HUD. NHAP provides only state HSATF funding for qualified Omaha programs.

TABLE V: Homeless Individuals Served			
NHAP Region	Number of Homeless Individuals Served		
	2013-2014	2014-2015	% Inc/Dec
1-Panhandle	420	453	+7%
2-North Central	1,089	713	-35%
3-Southwest	504	744	+33%
4-Southeast	248	446	+44%
5-Northeast	615	803	+23%
Total Regions 1-5	2,876	3,164	+9%
7-Omaha	4,969	4,935	-1%
Total All Regions	7,845	8,094	+4%

Table VI reflects the number of individuals at risk of homelessness receiving ESG-funded services during 2014-15, compared to the prior program year. It also identifies the percentage increase or decrease in the number of individuals served. Region 7 reflects data from Omaha, Nebraska’s largest city. As an entitlement city, Omaha receives their ESG allocation directly from HUD. NHAP provides only state HSATF funding for qualified Omaha programs.

TABLE VI: Individuals At Risk of Homeless Served			
NHAP Region	Number At Risk of Homelessness Served		
	2013-2014	2014-2015	% Inc/Dec
1-Panhandle	167	119	-29%
2-North Central	1,100	1,576	+30%
3-Southwest	714	317	-56%
4-Southeast	230	332	+31%
5-Northeast	789	685	-13%
Total Regions 1-5	3,010	2,959	-2%
7-Omaha	501	67	-87%
Total All Regions	3,511	3,096	-12%

Table VII indicates the race of individuals receiving services in NHAP Regions 1-5 and 7, where services were reimbursed by ESG and HSATF funds.

TABLE VII: Race	
RACE	TOTAL
American Indian or Alaska Native	659
Asian	79
Black or African American	2,072
Native Hawaiian or Other Pacific Islander	63
White	7,378
Other Multi-Racial	0
Other	0
Don't Know	235
Refused	0
Missing	0
TOTAL	10,056

Table VIII indicates the ethnicity of individuals receiving services in NHAP Regions 1-5 and 7, where services were reimbursed by ESG and HSATF funds.

TABLE VIII: Ethnicity	
ETHNICITY	TOTAL
Hispanic or Latino	1,576
Not Hispanic or Latino	8,566
Refused	0
Don't Know	230
Missing	0
TOTAL	10,372

Table IX indicates the number of adults and children receiving services in NHAP Regions 1-5 and 7, where services were reimbursed by ESG and HSATF funds.

TABLE IX: Adults and Children	
Adults/Children	TOTAL
Adults	7,426
Children	3,022
Don't Know	15
Missing	0
TOTAL	10,463

Table X indicates the gender of individuals receiving services in NHAP Region 7, where services were reimbursed with only HSATF funds.

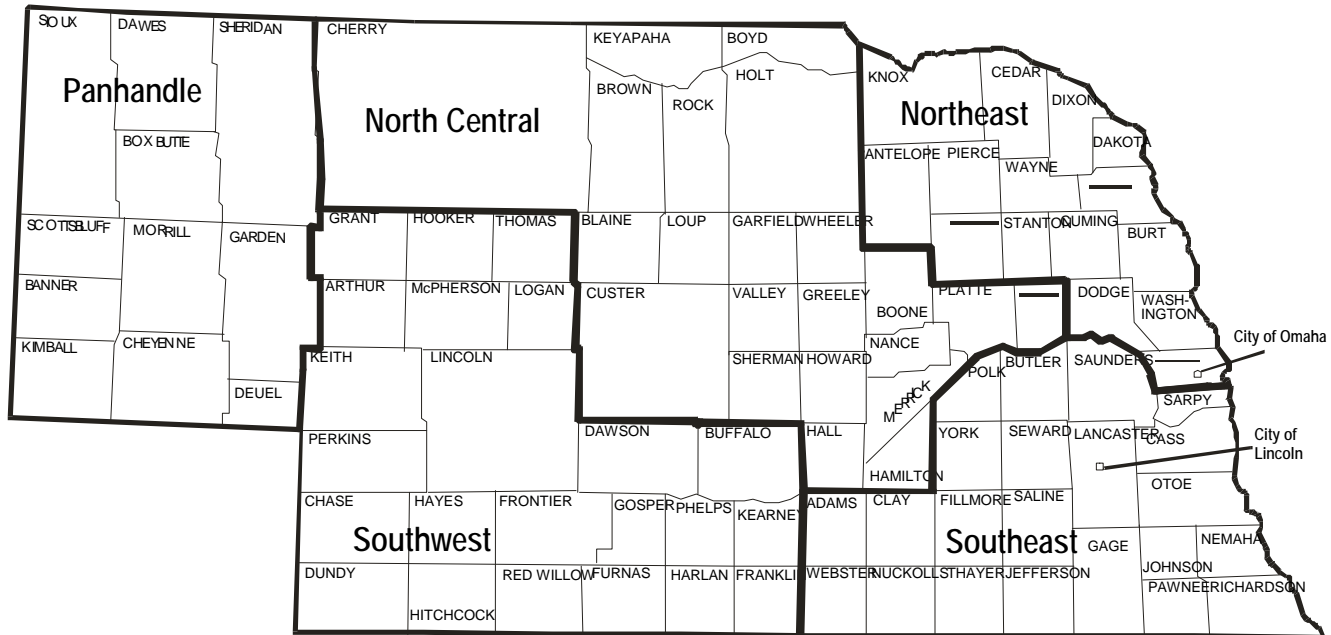
TABLE X: Gender	
Gender	TOTAL
Female	4,312
Male	6,088
Transgendered	20
Don't Know	91
Missing	0
TOTAL	10,711

Table XI indicates the age of individuals receiving services in NHAP Region 7, where services were reimbursed with only HSATF funds.

TABLE XI: Age	
Age	TOTAL
Under 18	2,990
18-24	1,060
25 and over	6,320
Don't Know	3
Missing	0
TOTAL	10,388

The different total amounts for Tables VII-XI are a result of individuals receiving more than one service and/or being served by more than one agency and/or data entry errors.

Map of Nebraska Homeless Assistance Program Regional Continuums of Care



CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEBRASKA
Organizational DUNS Number	808820138
EIN/TIN Number	470491233
Identify the Field Office	OMAHA
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	0
First Name	Tammie
Middle Name	0
Last Name	Scholz
Suffix	0
Title	0

ESG Contact Address

Street Address 1	P.O. Box 95026
Street Address 2	0
City	Lincoln
State	NE
ZIP Code	68509-5026
Phone Number	4024719258
Extension	0
Fax Number	0
Email Address	Tammie.scholz@nebraska.gov

ESG Secondary Contact

Prefix	
First Name	Jodie
Last Name	Gibson
Suffix	
Title	
	CAPER

1

OMB Control No: 2506-0117 (exp. 07/31/2015)

Phone Number 402-471-1069
Extension
Email Address Jodie.gibson@nebraska.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2014
Program Year End Date 06/30/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: The Doves Program
City: Gering
State: NE
Zip Code: 69341,
DUNS Number: 037224370
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$21,000.59

Subrecipient or Contractor Name: Cirrus House, Inc.
City: Scottsbluff
State: NE
Zip Code: 69361,
DUNS Number: 805726098
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$59,770.91

Subrecipient or Contractor Name: Center for SA & DV Survivors
City: Columbus
State: NE
Zip Code: 68602,
DUNS Number: 029855561
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: Bright Horizons
City: O'Neill
State: NE
Zip Code: 68763,
DUNS Number: 180424475
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$21,349.50

Subrecipient or Contractor Name: Crisis Center, Inc.
City: Grand Island
State: NE
Zip Code: 68802,
DUNS Number: 962445409
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$12,596.10

Subrecipient or Contractor Name: Central Nebraska Community Services
City: Loup City
State: NE
Zip Code: 68853,
DUNS Number: 084623198
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$111,000

Subrecipient or Contractor Name: Hope Harbor, Inc.
City: Grand Island
State: NE
Zip Code: 68801,
DUNS Number: 132322673
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$6,388.56

Subrecipient or Contractor Name: RAFT, Inc.
City: Kearney
State: NE
Zip Code: 68848,
DUNS Number: 003982241
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$20,779

Subrecipient or Contractor Name: Sandhills Crisis Intervention Program
City: Ogallala
State: NE
Zip Code: 69153,
DUNS Number: 830235685
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: Parent Child Center
City: Lexington
State: NE
Zip Code: 68850,
DUNS Number: 180430563
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: Rape/Domestic Abuse Program
City: North Platte
State: NE
Zip Code: 69103,
DUNS Number: 180275661
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: The SAFE Center
City: Kearney
State: NE
Zip Code: 68847,
DUNS Number: 795991249
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: The Connection Homeless Shelter, Inc.
City: North Platte
State: NE
Zip Code: 69103,
DUNS Number: 834811622
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$4,492.34

Subrecipient or Contractor Name: Community Action Partnership of Mid-Nebraska - Southwest
City: Kearney
State: NE
Zip Code: 68847,
DUNS Number: 068662444
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$111,280.90

Subrecipient or Contractor Name: Community Action Partnership of Lancaster & Saunders Counties - Southeast

City: Lincoln

State: NE

Zip Code: 68508,

DUNS Number: 072910086

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$2,845.32

Subrecipient or Contractor Name: SA SA Crisis Center

City: Hastings

State: NE

Zip Code: 68901,

DUNS Number: 196177976

Is subrecipient a VAWA-DV provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: Catholic Social Services

City: Hastings

State: NE

Zip Code: 68901,

DUNS Number: 834093981

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: \$11,313.82

Subrecipient or Contractor Name: Blue Valley Community Action, Inc.

City: Fairbury

State: NE

Zip Code: 68352,

DUNS Number: 041737594

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: \$146,047

Subrecipient or Contractor Name: Community Action Partnership of Mid-Nebraska - Southeast
City: Kearney
State: NE
Zip Code: 68847,
DUNS Number: 068662444
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$27,320.72

Subrecipient or Contractor Name: Southeast Nebraska Community Action Council, Inc.
City: Humboldt
State: NE
Zip Code: 68376,
DUNS Number: 022701825
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$25,782

Subrecipient or Contractor Name: Hope Crisis Center
City: Fairbury
State: NE
Zip Code: 68352,
DUNS Number: 809425833
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

Subrecipient or Contractor Name: Care Corps, Inc.
City: Fremont
State: NE
Zip Code: 68025,
DUNS Number: 962071577
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$3,053.91

Subrecipient or Contractor Name: Crisis Center for DA SA
City: Fremont
State: NE
Zip Code: 68025,
DUNS Number: 945637023
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$36,138

Subrecipient or Contractor Name: Bright Horizons
City: Norfolk
State: NE
Zip Code: 68702,
DUNS Number: 180424475
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$15,090.90
Subrecipient or Contractor Name: Northeast Nebraska Community Action Partnership
City: Pender
State: NE
Zip Code: 68047,
DUNS Number: 099565921
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$100,580.98

Subrecipient or Contractor Name: Haven House Family Service Center
City: Wayne
State: NE
Zip Code: 68787,
DUNS Number: 110495934
Is subrecipient a VAWA-DV provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: \$0

OMB Control No: 2506-0117 (exp. 07/31/2015)

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	1947
Children	1149
Don't Know/Refused	0
Missing Information	0
Total	3096

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	878
Children	795
Don't Know/Refused	0
Missing Information	0
Total	1673

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	5112
Children	1309
Don't Know/Refused	0
Missing Information	0
Total	6421

Table 3 – Shelter Information

OMB Control No: 2506-0117 (exp. 07/31/2015)

4d. Complete for Street Outreach

Number of Persons in Households	Total
Adults	10
Children	2
Don't Know/Refused	
Missing Information	
Total	12

Table 4—Street Outreach Information

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	7426
Children	3022
Don't Know/Refused	15
Missing Information	0
Total	10463

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	6088
Female	4312
Transgendered	20
Unknown	291
Subtotal	10711

Table 4 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	2990
18-24	1060
Over 24	6320
Don't Know / Refused	93
Missing Information	0
Total	10388

Table 5 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served - Prevention	Total Persons Served - RRH	Total Persons Served - Shelter	Total Persons Served - Outreach	Total
Veterans	72	30	410	0	492
Victims of Domestic Violence	868	645	2221	0	3886
Elderly	62	26	238	0	312
HIV/AIDS	0	7	23	0	27
Chronically Homeless	14	63	692	0	738
Persons with Disabilities					
Severely Mentally Ill	197	241	1414	0	1305
Chronic Substance Abuse	99	111	1340	0	1467
Other Disability	253	260	1209	0	1624
Total (unduplicated, if possible)	646	712	3009	0	4115

Table 6 – Special Population Served

CR-70 – Assistance Provided

8. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nights available	448,067,539
Total Number of bed - nights provided	289,574,143
Capacity Utilization	64.63% 78.55%

Table 7 – Shelter Capacity

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program			
Subtotal Homelessness Prevention	\$227,140.78	\$560,963.79	\$365,393.48*

Table 8 – ESG Expenditures for Homelessness Prevention

*\$12,708.00 ESG FFY14 expenditures were from leftover FY11 ESG award funds NHAP was directed to spend per HUD Regional Office

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Assistance under Emergency Shelter Grants Program			
Subtotal Homelessness Prevention	\$75,422.69	\$317,774.64*	\$209,279.72*

Table 9 – ESG Expenditures for Rapid Re-Housing

*\$1,450.79 ESG FFY14 expenditures were for FFY13 program year activities not paid out until FFY14

*\$3,782.00 ESG FFY14 expenditures were from leftover FFY11 ESG award funds NHAP was directed to spend per HUD Regional Office

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Essential Services			
Operations			
Renovation			
Major Rehab			
Conversion			
Subtotal	\$539,357.74	\$195,920.42	\$127,139.78

Table 10 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Street Outreach	\$16,379.55	\$3,024.01	
HMIS	\$23,736.59	\$82,783.54	\$73,713.15*
Administration	\$61,470.92	\$64,681.08*	\$96,047**

Table 11 - Other Grant Expenditures

*\$9,752.42 paid during FFY14 was FFY13 ESG carry over funds

**Includes admin from FY12 and FY13 grant

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2012	FY 2013	FY 2014
	\$943,508.27	\$1,225,147.88*	\$871,573.13*

Table 12 - Total ESG Funds Expended*\$26,242.42 of FFY14 ESG expenditures were paid from prior FY awards, leaving \$26,242.42 from FFY14 award to be expended in FFY15

11f. Match Source

	FY 2012	FY 2013	FY 2014
Other Non-ESG HUD Funds			
Other Federal Funds			
State Government	\$2,073,642	\$1,225,091.17	\$1,354,638.52
Local Government			
Private Funds			
Other			
Fees			
Program Income			
Total Match Amount			

Table 13 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2012	FY 2013	FY 2014
	\$3,017,150.27	\$2,450,238.65	\$2,226,211.65

Table 14 - Total Amount of Funds Expended on ESG Activities

Expenditures for 2012 and 2013 have been adjusted to reflect all expenditures which had occurred in only in the program year. Prior expenditure information presented included total expenditures for the grant.

Section Seven:

Housing Opportunities for Persons with AIDS Priority

HOPWA Services Priority Statement & Objective

HOPWA SERVICES PRIORITY: ENSURE APPROPRIATE EMERGENCY AND/OR PERMANENT HOUSING AND SERVICES FOR PEOPLE WHO ARE HOMELESS OR AT IMMINENT RISK OF BECOMING HOMELESS BY DISTRIBUTING FUNDING TO PROJECT SPONSOR TO MEET THE NEEDS OF PERSONS LIVING WITH HIV/AIDS.

The State of Nebraska's Objective developed to meet the HOPWA Services Priority is:

Objective One: Provide housing assistance and related supportive services to low income persons with HIV/AIDS and their families and enable low income person with HIV/AIDS to achieve stability in housing, reduce risks of homelessness and increase access to healthcare.

Housing Opportunities for Persons with AIDS (HOPWA) Program

The Housing Opportunities for Persons with AIDS (HOPWA) Program was authorized by the AIDS Housing Opportunity Act, and revised under the Housing and Community Development Act of 1992, to provide States and localities with the resources and incentives to devise and implement long-term comprehensive strategies for meeting the housing needs of low-income persons with acquired immunodeficiency syndrome (AIDS) and related diseases, and their families. Activities of primary importance are providing housing and services that assist this population to obtain and maintain affordable housing where they can maintain complex medication regimens and address HIV/AIDS related problems. Funds are appropriated annually by Congress to the U.S. Department of Housing and Urban Development (HUD) for administration of this program. HOPWA funds are then awarded by a formula allocation to eligible States and Eligible Metropolitan Statistical Areas (EMSAs) that meet the minimum number of cumulative AIDS cases. States and metropolitan areas coordinate use of HOPWA funds with their respective Consolidated Plan, a collaborative process whereby the state or metropolitan area establishes a unified vision for community development actions. Currently, only the State is eligible to receive formula funds in Nebraska.

The State of Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. The HOPWA Program is administered by the Nebraska Department of Health and Human Services (DHHS) and located within the Infectious Disease Prevention and Care Unit within the Division of Public Health. The Infectious Disease Prevention and Care Unit consists of the following programs (see diagram on Section 7 – 11):

- HOPWA
- HIV Prevention
- HIV Surveillance

- Sexually Transmitted Diseases (STD)
- Tuberculosis (TB)
- Hepatitis
- Ryan White Part B

Nebraska's HOPWA Program funds to the Nebraska AIDS Project (NAP) who serves as project sponsor with offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP is the only AIDS Service Organization in Nebraska to provide case management services statewide to persons living with the HIV/AIDS disease. Therefore, grant funds are awarded directly through a sole source contract deviation process. Contact information for NAP's offices can be found in Section 7 – 12.

One of the goals for the HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with incomes above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. The priority population for HOPWA is serving the homeless and near homeless.

A strategy of the HOPWA Program is to continue ongoing support and facilitate the Nebraska AIDS Project's (project sponsor) participation and involvement in the seven (7) regional Continuum of Care (CoC) committees. A significant improvement in collaboration by NAP has proven to be successful in connecting NAP staff to resources previously unaware of.

DHHS had participated on the Statewide Continuum of Care committee as well as on the 10 Year Plan to End Chronic Homelessness Ad Hoc committee. Unfortunately, these committees have ended (at least temporarily), but collaborations/communications have continued. These collaborations were extremely beneficial in helping to ensure the special needs of persons living with HIV/AIDS in Nebraska are represented at both the regional and the state level planning processes.

The HOPWA Program participates in the Nebraska HIV Care and Prevention Consortium (NHCP) which consists of HIV/AIDS medical/service providers, consumers, etc. The NHCP is comprised of both professional and persons impacted by HI/AIDS. The HOPWA Program Manager works closely with the Ryan White All Title committee members to coordinate services for persons living with HIV/AIDS. Due to budget cuts in the Ryan White Part C Program, the HOPWA Program was able to assist HOPWA clients access mental health and substance use services until additional Ryan White Part C funding was reinstated. Ryan White All Titles meetings are held on a quarterly basis.

Actions taken during the 2014 Program Year

Throughout 2013, the HOPWA Program Manager continued to seek out opportunities for additional funding to decrease the impact of housing assistance and supportive services to persons/families living with HIV/AIDS. A priority for the 2014-2015 year will be to continue

seeking additional resources.

In April 2014, the Ryan White Part B Program allocated \$47,311 to the HOPWA Program to assist with emergency rent and tenant-based rental assistance (TBRA). Funds were not expended until the HOPWA 2014 reporting period. These funds assisted the program in many ways. On July 1, 2014, the NE HOPWA Program budgeted \$68,000 for emergency rent/mortgage and \$78,750 for TBRA payments, specifically. As of June 30, 2015, the NE HOPWA Program disbursed all Ryan White funds to Nebraska AIDS Project. This sub-award provided the HOPWA Program sufficient funds to meet the increased need for emergency rent and mortgage assistance.

HOPWA Program activities funded included an array of supportive services; standard and intensive case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification.

HOPWA funds supported the goal of reducing homelessness and increasing housing stability for special needs populations via the following activities:

- Tenant-Based Rental Assistance, approximately \$95,170 allocated to assist 13 households annually
- Short-Term Rent and Mortgage Assistance, approximately \$70,000 to assist 62 households annually
- Supportive Services, approximately \$94,467 allocated to assist 75 households annually
- Permanent Housing Placement, approximately \$9,000 allocated to assist 4 households annually
- Housing Information Services, approximately \$8,000 allocated to assist approximately 75 households annually
- Resource Identification, approximately \$16,030 allocated annually to assist HOPWA staff in identifying and collaborating with outside housing and supportive service resources

The HOPWA Program has prioritized outreach to the chronically homeless and homeless persons/families living with HIV/AIDS to help address their housing and supportive/medical needs.

The State of Nebraska's performance indicators for the Objective developed to meet the HOPWA Services Priority are outlined in the following table:

Specific Objective	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Complete
	Specific Annual Objective						
DH-1	Availability/Accessibility of Decent Housing						
HOMELESS SERVICES AND PREVENTION ACTIVITIES FOR PERSONS WITH HIV/AIDS							
DH-1.1	PROVIDE HOUSING	HOPWA	Number of households	2010	12	48	100%
				2011	12	43	100%

ASSISTANCE AND RELATED SUPPORTIVE SERVICES TO LOW INCOME PERSONS WITH HIV/AIDS AND THEIR FAMILIES AND ENABLE LOW INCOME PERSONS WITH HIV/AIDS TO ACHIEVE STABILITY IN HOUSING, REDUCE RISKS OF HOMELESSNESS AND INCREASE ACCESS TO HEALTHCARE.			receiving tenant-based rental assistance.	2012	12	15	100%
			2013	12	15	100%	
			2014	12	12	100%	
			Amount of funds spent on tenant-based rental assistance.	2010	\$105,000	\$59,797	57%
			2011	\$105,000	\$113,493	100%	
			2012	\$105,000	\$66,964	64%	
			2013	\$105,000	\$60,000	57%	
			2014	\$105,000	\$55,240	52%	
			Number of households receiving short-term rent and mortgage assistance.	2010	30	78	100%
			2011	30	63	100%	
			2012	30	62	100%	
			2013	30	60	100%	
			2014	30	49	100%	
			Amount of funds spent on short-term rent and mortgage assistance.	2010	\$50,000	\$5,967	12%
			2011	\$50,000	\$51,962	100%	
			2012	\$50,000	\$78,810	100%	
			2013	\$50,000	\$78,000	100%	
			2014	\$50,000	\$46,151	92%	
			Number of households receiving supportive services.	2010	50	136	100%
			2011	50	102	100%	
			2012	50	118	100%	
			2013	50	110	100%	
			2014	50	60	100%	
			Amount of funds spent on housing supportive services.	2010	\$94,000	\$99,742	100%
			2011	\$94,000	\$141,111	100%	
			2012	\$94,000	\$94,802	100%	
			2013	\$94,000	\$94,000	100%	
			2014	\$94,000	\$82,135	87%	
			Number of households receiving permanent housing placement.	2010	13	9	69%
			2011	13	9	69%	
			2012	13	8	62%	
			2013	13	8	62%	
			2014	13	11	84%	
			Amount of funds spent on permanent housing placement.	2010	\$10,000	\$3,059	31%
			2011	\$10,000	\$7,814	78%	
			2012	\$10,000	\$8,384	84%	
			2013	\$10,000	\$8,000	80%	
			2014	\$10,000	\$3,145	31%	
			Number of households receiving housing information services.	2010	13	136	100%
			2011	13	102	100%	
			2012	13	118	100%	
			2013	13	110	100%	
2014	13	60	100%				
Amount of funds spent on housing information services.	2010	\$25,000	\$4,313	17%			
2011	\$25,000	\$5,728	23%				
2012	\$25,000	\$2,610	10%				
2013	\$25,000	\$2,600	10%				
2014	\$25,000	\$4,192	16%				

			Amount of funds allocated annually to assist HOPWA staff in identifying and collaborating with outside housing and supportive service resources.	2010	\$5,000	\$0	0%
				2011	\$5,000	\$0	0%
				2012	\$5,000	\$0	0%
				2013	\$5,000	\$0	0%
				2014	\$5,000	\$0	0%

Explanations for Specific Objectives within the above Table where the Actual Number identified was less than 100% of the Expected Number. These objectives included:

Specific Objective	Outcome/Objective				
	Specific Annual Objective				
DH-1	Availability/Accessibility of Decent Housing				
	HOMELESS SERVICES AND PREVENTION ACTIVITIES FOR PERSONS WITH HIV/AIDS				
Performance Indicators	Year	Expected Number	Actual Number	Percent Complete	Reason for Below 100%
Amount of funds spent on tenant-based rental assistance.	2010	\$105,000	\$59,797	57%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
	2011	\$105,000	\$113,493	100%	NA
	2012	\$105,000	\$66,964	64%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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Amount of funds spent on short-term rent and mortgage assistance.	2010	\$50,000	\$5,967	57%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
	2011	\$50,000	\$51,962	100%	NA
	2012	\$50,000	\$78,810	100%	NA
	2013	\$50,000	\$78,000	100%	NA
	2014	\$50,000	\$46,151	92%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.

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Number of households receiving permanent housing placement.	2010	13	9	69%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.

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	2012	13	8	62%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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	2011	\$10,000	\$7,814	78%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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	2013	\$10,000	\$8,000	80%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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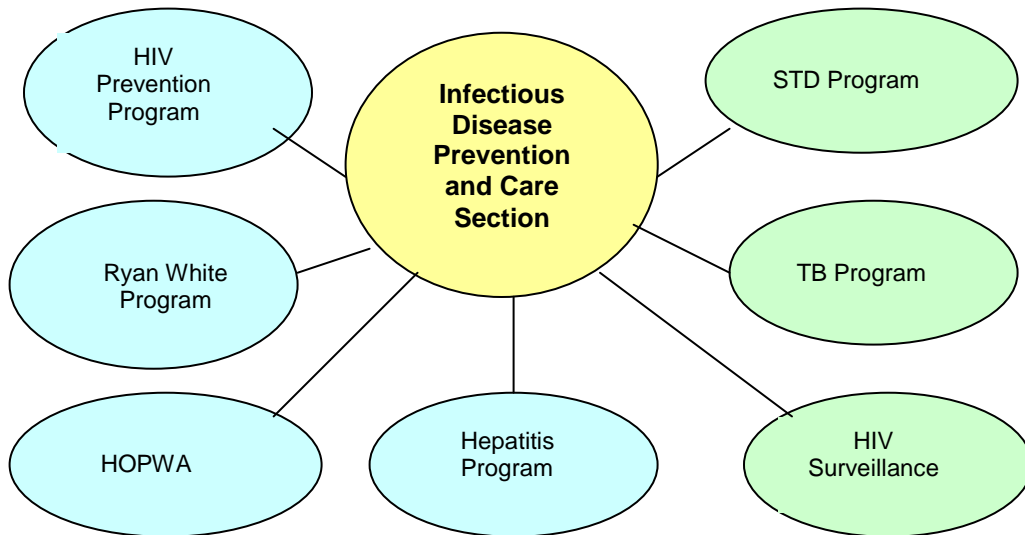
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	2012	\$25,000	\$2,610	10%	The Ryan White Part B Program has provided additional funding to the HOPWA Program that has allowed DHHS to stay under budget and maintain supportive services provided to clients. Since there is no guarantee that the Ryan White Part B Program will be able to provide additional funding from year-to-year, the annual action plan data represents the estimated number of clients and activity funding in the event the HOPWA Program does not receive additional assistance.
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DIAGRAM OF NDHHS INFECTIOUS DISEASE PREVENTION AND CARE UNIT





Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER**) Measuring Performance Outcomes**

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	12
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	49
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	60

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment,

insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number NEH14F999		Operating Year for this report From (mm/dd/yy) 07/01/2014 To (mm/dd/yy) 06/30/2015		
Grantee Name Nebraska Department of Health and Human Services				
Business Address		301 Centennial Mall South P.O. Box 95026		
City, County, State, Zip		Lincoln	Lancaster	NE 68509-5026
Employer Identification Number (EIN) or Tax Identification Number (TIN)		47-0491233		
DUN & Bradstreet Number (DUNs):		808820138	Central Contractor Registration (CCR): Is the grantee's CCR status currently active <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
Congressional District of Grantee's Business Address		First		
*Congressional District of Primary Service Area(s)		First Second Third		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Lincoln Omaha Kearney Norfolk Scottsbluff		Counties: Lancaster Douglas Buffalo Madison Scotts Bluff
Organization's Website Address www.dhhs.ne.gov		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Nebraska AIDS Project		Parent Company Name, if applicable NA	
Name and Title of Contact at Project Sponsor Agency	Jordan Delmundo, Executive Director		
Email Address	jordand@nap.org		
Business Address	250 So. 77 th Street, Suite A		
City, County, State, Zip,	Omaha, Douglas, NE, 68114		
Phone Number (with area code)	402-552-9260		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	47-0786622	Fax Number (with area code) 402-552-9251	
DUN & Bradstreet Number (DUNs):	180380412		
Congressional District of Project Sponsor's Business Address	Second		
Congressional District(s) of Primary Service Area(s)	First, Second, Third		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Omaha, Lincoln, Kearney, Norfolk, Scottsbluff	Counties: Douglas, Lancaster, Buffalo, Madison, Scotts Bluff	
Total HOPWA contract amount for this Organization for the operating year	\$280,037.19		
Organization's Website Address	www.nap.org		
Is the sponsor a nonprofit organization? X Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? X Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information – NOT APPLICABLE

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information – NOT APPLICABLE

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name				Parent Company Name, if applicable
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	Cities:	Counties:		
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. Nebraska's HOPWA Program is administered by the Nebraska Department of Health and Human Services (NDHHS)-Division of Public Health- Infectious Disease Prevention Unit. (Link to organizational chart - <http://dhhs.ne.gov/Org%20Charts/PH.pdf>). The structure of having the HOPWA Program co-located with STD Prevention, Refugees Resettlement, Ryan White Part B, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance within this Unit supports information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of Nebraska's HOPWA Program.

Nebraska's HOPWA Program consists of a 1.0 FTE Program Manager. Judy Anderson is the HOPWA Program Manager and her office is located at the Nebraska State Office Building. Ms. Anderson's contact information is as follows:

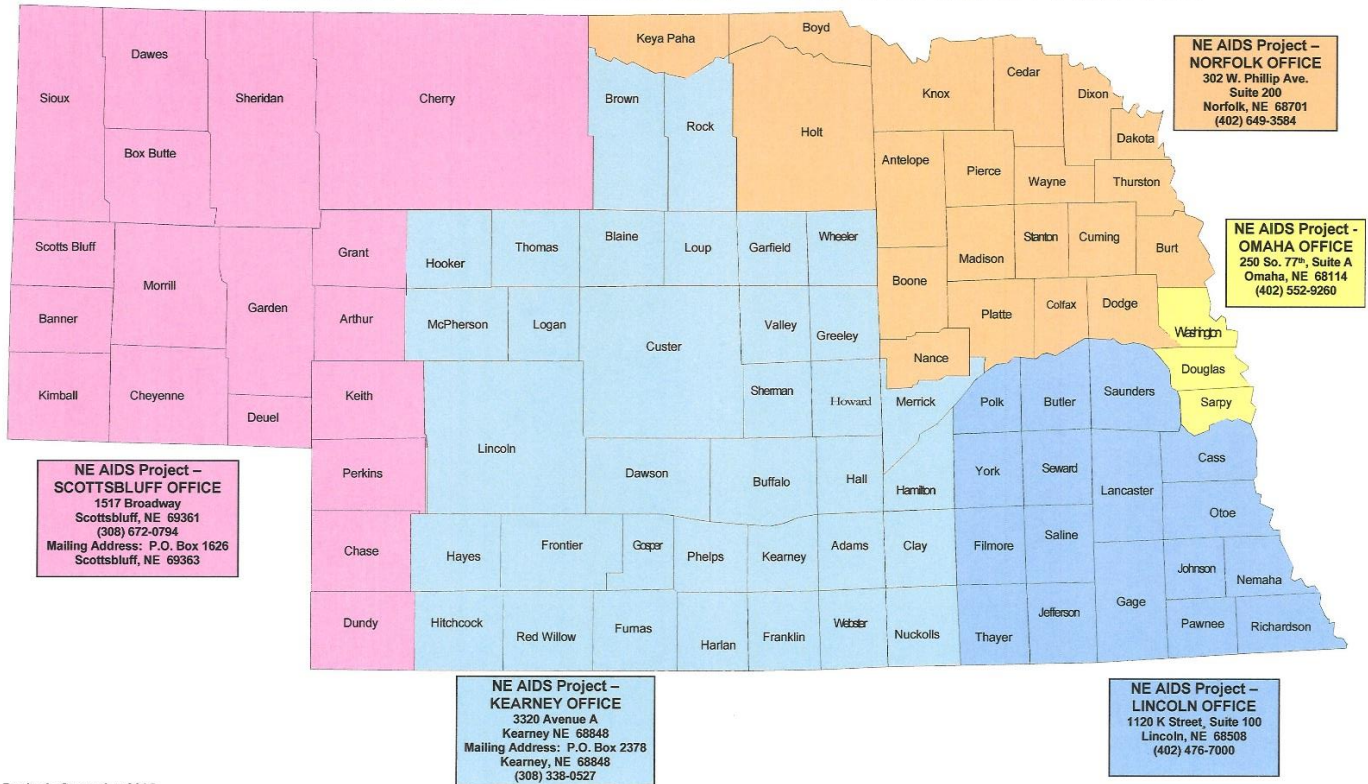
Judy Anderson, HOPWA Program Manager
Nebraska Department of Health and Human Services
301 Centennial Mall South
P.O. Box 95026
Lincoln, NE 68509-5026
402-471-0937
judy.anderson@nebraska.gov

Nebraska's HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 2.5 FTE's case management staff to provide direct HOPWA services. In addition, NAP's Compliance Supervisor works closely with the NDHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. As you move west across the state, the counties become less populated.

In August 2015, Nebraska AIDS Project hired a new Executive Director, Jordan Delmundo, who is located in the Omaha NAP office. Mr. Delmundo's contact information is as follows:

Jordan Delmundo, Executive Director
Nebraska AIDS Project
250 So. 77th Street, Suite A
Omaha, NE 68114
402-552-9260
jordand@nap.org

NEBRASKA AIDS PROJECT - COVERAGE AREAS



Revised: September 2015

A primary goal of Nebraska’s HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with incomes above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance are providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska’s HOPWA Program funds an array of supportive services including standard and intensive case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month’s rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners.

In August 2015, Mr. Jordan Delmundo, was promoted to Executive Director at NAP. Mr. Delmundo is competent and well-suited for this position.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 57 households statewide with housing and supportive services. During the reporting period, the program served 60 households.

Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance.

The program estimated serving 12 household with tenant-based rental assistance (TBRA) during the reporting period. The program met this goal by serving 12 households. This activity was under-budget partially due to Ryan White Part B funding awarded to the program and expended during the reporting period.

The program estimated serving 30 households with emergency rent/mortgage assistance during the reporting period. This goal was exceeded with the program assisting 49 households. The activity was under-budget partially due to a sub-award from the Ryan White Part B Program which was expended during the reporting period.

The program projected serving 13 households with Permanent Housing Placement activities. At program year end, 11 households were assisted with move-in expenses. This activity is essential in assisting clients obtain housing. The program was slightly below estimated household outputs and below budget at program year end.

Housing Information Services were provided to 60 households statewide, slightly over projected estimate of 57. This activity was below estimated budget expenditures at year-end.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The NE HOPWA Program Manager focuses on meeting program and client outcomes. Goals continue to be met and exceeded. This is in part is due to the ability to draw down previous year grant funds set aside when the competitive award ended.

A review of the TBRA budget and program/client rent portion ratios for this activity will allow the program to serve an additional 3-4 households in FY 2015. The program currently has a waiting list for TBRA. Placement is based on first-come, first serve. However, priority placement is provided to individuals/households with little or no income, homeless, individuals discharging from institution, prison, etc. with no housing options and persons ineligible for Section 8 housing vouchers. An increase in funding to enhance the tenant-based rental assistance (TBRA) activity continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

A goal for FY 2014 was to identify appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources were identified, however, after reviewing the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development), I believe this is an optimum starting point for the NE HOPWA Program and the clients we serve. Our FY 2015 sub-grant with NAP will require case management staff complete the curriculum. An overview of the curriculum reports positive employment outcomes for clients. Knowledge of HIV/AIDS appears to be an important component in understanding the context of employment and alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Encouraging NE data reveals 96% of HOPWA clients access their primary care from HIV service providers (only 1.5% utilize a hospital emergency room for primary care). In addition, 96% of HOPWA clients are "somewhat adherent" or "very adherent" in accessing ongoing HIV health care with 97% of HOPWA clients "somewhat adherent" or "very adherent" to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing stable housing, providing supportive service needs, and connecting individuals to health care services including medications. Utilizing valuable evidence-based practices in the operation of the NE HOPWA Program, staff are presented various strategy options to assist clients in obtaining/maintaining housing, reducing their risks of homelessness, and improving access to health care.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The NE HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager would benefit from resuming collaborations with various HIV related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons. Clear data/information obtained from collaborations with HIV/AIDS specific committees ensures proper representation of HOPWA/HIV+ persons at the NCHH and 10-Year Plan to End Homelessness committees.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

None at this time.

c.

Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

in response to barriers, and recommendations

mmendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations: An expansion in the allowable parameters of funding would support grantees utilizing HOPWA funding for the purpose of purchasing inexpensive household items such as beds/mattresses. Clients who are homeless, out of care, and face many barriers are given priority for housing services. Staff focus on locating housing for clients and strive to maintain their housing but it is difficult to take a client/household to a new residence with only limited items and resources. Basic furnishings, such as a bed/mattress, are necessary for clients. These are more difficult to obtain because some second hand stores and non-profits do not accept used mattresses for hygienic reasons. However, NAP has been successful in obtaining other household items through donations, etc.

Multiple Diagnoses: NAP case management staff report difficulties in assisting clients with multiple diagnoses obtain and maintain their housing. However, this is a reportable data field in the NE HOPWA Program PROVIDE Case Management software system. Data shows less than 4% of NE HOPWA clients are dually diagnosed (mental health and substance use). The HOPWA Program Manager will work with NAP to ensure clients identified and documented as dually diagnosed are entered and reported correctly in PROVIDE.

Criminal Justice History/Housing Availability: In Western Nebraska, safe, affordable housing is limited. HOPWA clients with a criminal justice history experience significant difficulties in obtaining housing and securing employment following incarceration. The case manager in Scottsbluff continues to support these clients through attempts to collaborate with landlords and employers.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

There are no significant trends identified or being addressed through HOPWA at this time.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

None during this reporting period.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	810
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	380
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	400
• Assistance with rental costs	230
• Assistance with mortgage payments	20
• Assistance with utility costs.	150
	(Note: Utility assistance is not funded by HOPWA formula grant)
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	30

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
X	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
X	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White Part B - Rental Assistance	\$8,942	Rent Assistance	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B – Sub-Award to NE HOPWA Program	\$47,311	Rent Assistance and Tenant-Based Rent	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B – AIDS Drug Assistance (ADAP)	\$233,904	Medications	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Ryan White Part A and C – University of NE Med Center	\$97,680	HIV Care	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Housing Choice Voucher Program	\$4,337	Section 8 Vouchers	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Project Sponsor: Calico Support Group	\$1,080	Financial Assistance	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Ryan White Part B – Utility Assistance	\$7,169	Housing Utility Assistance	X Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B – Food Assistance	\$4,395	Food Vouchers	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Ryan White Part B – Transportation Assistance	\$9,531	Transportation to Access Care	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Ryan White Part C – Community Action Partnership of Western Nebraska (CAPWN)	\$6,100	HIV Care	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: NE AIDS Project – Flowers Fund	\$4,248	Food/Personal Care Pantries, Transportation	<input type="checkbox"/> Housing Subsidy Assistance X Other Support
Other Private:	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$16,728		
TOTAL (Sum of all Rows)	\$441,425		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	12	12			104,550	55,240
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	NA	NA	NA			
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	NA	NA	NA			
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA			
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA			
4.	Short-Term Rent, Mortgage and Utility Assistance	30	49			77,433	46,151
5.	Permanent Housing Placement Services	13	11			9,000	3,145
6.	Adjustments for duplication (subtract)	0	12				
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	57	60			190,983	104,536
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	NA					
9.	Stewardship Units subject to 3 or 10 year use agreements	NA					
10.	Total Housing Developed (Sum of Rows 8 & 9)	NA					
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	57	60			112,967	82,135
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			NA	NA
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	57	60			112,967	82,135
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	57	60			8,000	4,192
15.	Total Housing Information Services	57	60			8,000	4,192

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					16,030	11,952
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					10,710	9,882
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					18,320	15,855
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					45,060	37,689
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$357,010	\$228,552

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	60	\$79,740
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation	35	2,395
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	95	
16.	Adjustment for Duplication (subtract)	35	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	60	\$82,135

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

THE NE HOPWA PROGRAM DOES NOT PROVIDE UTILITY ASSISTANCE – THE HOPWA PROGRAM COLLABORATES WITH NE RYAN WHITE PART B TO PROVIDE THIS ASSISTANCE TO HOPWA HOUSEHOLDS

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	49	\$46,151
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	6	\$12,940
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	43	\$31,202
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		\$2,009

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	12	11	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown	1	Life Event
			9 Death		
Permanent Supportive Housing Facilities/ Units	NA		1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown		Life Event
			9 Death		

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	NA		1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/unknown		Life Event
			9 Death		
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			NA		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year. Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
49	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	2	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	44	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	1	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected	2	
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).	24		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).	12		

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	72
b. Case Management	60
c. Adjustment for duplication (subtraction)	12
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	60
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	58	NA	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	58	NA	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	59	NA	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	59	NA	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	56	NA	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	14	NA

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	11		1	
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance	11		1	

Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	2	45	2	
Total HOPWA Housing Subsidy Assistance	2	45	2	

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

NOT APPLICABLE

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	60

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	39
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	0
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	0
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	16
13.	House you own	1
14.	Staying or living in someone else's (family and friends) room, apartment, or house	4
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	0
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	60

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	60
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	1
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	56
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	117

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	6	3	0	0	9
3.	31 to 50 years	29	12	1	0	42
4.	51 years and Older	4	5	0	0	9
5.	Subtotal (Sum of Rows 1-4)	39	20	1	0	60
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	16	20	0	0	36
7.	18 to 30 years	3	6	0	0	9
8.	31 to 50 years	2	2	0	0	4
9.	51 years and Older	4	4	0	0	8
10.	Subtotal (Sum of Rows 6-9)	25	32	0	0	57
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	64	52	1	0	117

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	2	0	3	0
2.	Asian	1	0	1	0
3.	Black/African American	27	0	14	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	29	0	37	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	1	0	1	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	1	0
11.	Column Totals (Sum of Rows 1-10)	60	0	57	0

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	50
2.	31-50% of area median income (very low)	7
3.	51-80% of area median income (low)	3
4.	Total (Sum of Rows 1-3)	60

NOT APPLICABLE

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

NOT APPLICABLE

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

Section Eight:

Other Actions

Report on Other Actions

Address Obstacles to Meeting Under-Served Needs

The State of Nebraska has identified the limitations on financial resources and human resource capacities as an obstacle to meeting under-served needs in delivering services to people who are living in poverty. As a result several actions have been taken. Antipoverty programs have been identified and the State has identified partners and resources to work together in the most efficient manner with available programs. The State administers a number of programs designed to reduce the number of individuals and families who live in poverty. Federal and state funding sources partner together to fund the following anti-poverty programs and committees that address the states underserved needs: Childcare Assistance, Every Woman Matters Program, Food Stamps, Head Start, TANF, Community Service Block Grants, Energy Assistance, Nebraska Commission on Housing and Homelessness, Continuum of Care Committee, Competitive Federal Housing Funds, Transitional Housing Services, Nebraska Homeless Assistance Program, Nebraska Investment Finance Authority, Low-Income Housing Tax Credits, Nebraska Family Housing Advisory Services, and the Lead-based Paint Hazards Program.

Affordable Housing

The Department of Economic Development (DED) has identified that a great need exists in available housing stock that is affordable. Two primary issues exist: housing availability and affordability. In 2000 Census, about 150,000 households in Nebraska had a housing problem. Also, because many housing units in Nebraska were built prior to 1980, the risk of lead based paint hazards is a significant problem. DED has identified the critical need to make strategic and focused investments with the limited Nebraska Affordable Housing Program resources. One item that addressed this need was the implementation of the Nebraska Affordable Housing Trust Fund (NAHTF). The NAHTF is a state financial resource developed in 1996 to increase the supply and improve the quality of affordable housing in Nebraska. LB 1322 was signed into law on April 17, 1996, and supported by the Nebraska Affordable Housing Commission, DED and the Nebraska Investment Finance Authority which worked with representatives from the League of Nebraska Municipalities, the Nebraska Bankers Association, the Nebraska Homebuilders Association, the State Chamber of Commerce and other for-profit and nonprofit housing development organizations. Additionally, LB 516 was signed into law in 2001, reauthorizing the NAHTF. As stated by Governor Mike Johanns in his January 11, 2001 State of the State Address, the “Affordable Housing Trust Fund is helping to address the need for adequate and affordable housing in communities all across Nebraska – especially in our rural areas.”

The NAHTF was created:

- To address the need for affordable housing as identified by the Department.
- To provide a flexible housing resource to enhance economic development.
- To serve the lowest income individuals for the longest period of time.
- To provide matching funds for federal resources.

The NAHTF has proven to be a beneficial resource for the people of the State of Nebraska. During the 2014 Program Year alone, the Department awarded \$8,570,575 for 24 projects throughout the state.

Homelessness and Other Special Needs

In order to serve the needs of people who are homeless and near homeless more effectively, the Nebraska Department of Health and Human Services (DHHS) combined the Homeless Shelter Assistance Trust Fund (HSATF) and the Emergency Shelter Grant (ESG) Program application process into a single program area. The combined process and application is referred to as the Nebraska Homeless Assistance Program (NHAP).

In 1992 the Legislature created the Homeless Shelter Assistance Trust Fund by the passage of LB 1192. The bill increased the transfer tax to the seller of real estate by twenty-five cents on each \$1,000 of value from \$1.50 to \$1.75. The revenue is collected by County Registers of Deeds, remitted to the Nebraska Revenue Department and deposited into the Homeless Shelter Assistance Trust Fund.

In 2001, the Nebraska Legislature passed LB516, which transferred the State Homeless Shelter Assistance Trust Fund to the Nebraska Department of Health and Human Services from the Nebraska Department of Economic Development. At that time, Governor Johanns requested that HUD transfer the administration of the Emergency Shelter Grant Program for the Nebraska Balance of State (excludes entitlement cities) to the Department of Health and Human Services as well. HUD granted this request, and on September 1, 2001, both the State Homeless Shelter Assistance Trust Fund and the HUD Emergency Shelter Grant Program became the responsibility of the Nebraska Department of Health and Human Services. In 2011, the Emergency Shelter Grant Program became known as the Emergency Solutions Grant Program. In 2013, Lincoln (Region 6) became an ESG entitlement city and received its award directly from HUD. Omaha (Region 7) is also an ESG entitlement city. NHAP provides only HSATF funding for services provided in Lincoln and Omaha.

HSATF provides the required State match for receipt of ESG funding. During the 2014 Program Year, HSATF funding provided approximately \$2,100,000 in match, which exceeded the ESG Program dollar-for-dollar matching requirement and represented aid and administration.

Legislation passed during the 2013 legislative session transferred an additional \$250,000 to the HSATF in 2013 and an additional \$250,000 in 2014. NHAP awarded these funds during the two-year grant cycle beginning July 1, 2014.

Homelessness and Serious Mental Illness

Providing services for people with mental illness has also been identified as an underserved need. The Housing Related Assistance Program was started as part of the Nebraska Behavioral Health Reform. The program is authorized to serve adults who are very low-income with Serious Mental Illness (SMI). In the first year of the program, the

priority was to serve adults who are extremely low income who are ready to be discharged from an inpatient mental health commitment or in related situations. This priority continued during the 2014 program year in addition to several other identified focus areas for consumer benefit. The NHAP Coordinator participates on the Behavioral Health Housing Related Assistance quarterly conference calls.

Non-housing Community Development Plan

A need for educating local government and planning officials in Federal and State statutes as well as land use and environmental issues was recognized. In order for community development projects to have a positive impact on a community, local officials must have a better understanding and acknowledgement of these Federal and State Statutes.

The Department conducted trainings attended by approximately 49 individuals from municipalities and/or organizations throughout the state for CDBG administration. Training sessions included the all-inclusive CDBG certification and re-certification, environmental, construction/labor standards, and property acquisition. All awarded municipalities were required to send a local representative to a one-day training to review the local municipality's responsibility regarding the CDBG award.

The Nebraska Chapter of the American Planning Association (APA) in collaboration with the Nebraska Planning and Zoning Association (NPZA) and the Heritage Nebraska Main Street Program conducted a 3-day statewide institute on land use management issues attended by more than approximately 250 persons. Those in attendance represented several communities preparing and implementing planning projects funded through CDBG Program.

Actions to Foster and Maintain Affordable Housing; to Remove Barriers to Affordable Housing; and Overcome Impediments to Fair Housing

The State of Nebraska recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety, environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays.

DED's Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. Please refer to the Housing Priority Section of the 2014 Annual Performance Report to review that information for the 2014 Program Year.

DED recognizes that it can, and where appropriate DED does, take action to overcome impediments to fair housing choice within the State of Nebraska. During the 2012 and 2013 Program Years, DED undertook the following actions:

DED trained approximately 50 community representatives on housing law, including education about the fair housing complaint system, as well as design and construction standards at CDBG certification trainings.

DED actively participated on the Fair Housing Conference planning committee to ensure that appropriate training and educational opportunities were offered to communities and other representatives across the state regarding fair housing and the barriers to fair housing choice.

The Housing Priority of the Annual Action Plan addresses how the State attempts to remove barriers to affordable housing. An Analysis of Impediments to Fair Housing Choice (AI) was prepared in support of the *Consolidated Plan*. The State of Nebraska is required by HUD to complete an Analysis of Impediments to Fair Housing Choice; take actions to overcome the effects of any impediments identified; and maintain records reflecting the actions taken in response to the analysis. The purpose of the Analysis of Impediments is to foster a careful examination on a state-wide basis, those factors which restrict or preclude fair housing choice.

In the summer of 2011, the Department of Economic Development (DED) contracted with Western Economic Services (WES) of Portland Oregon to satisfy the first requirement which is to conduct an Analysis of Impediments to fair housing choices outside of the Omaha, Lincoln metro area.

A copy of the entire Analysis of Impediments that has been prepared by WES is available on the DED website at: <http://www.neded.org/community/community-info/documentslibrary-a-forms> under the Section titled Nebraska Fair Housing Strategy.

Additional information within the Nebraska Fair Housing Strategy Section also includes information from the AI Presentation and information from previous AI's completed by the Department.

In the preparation of the Analysis of Impediments to Fair Housing Choice (AI) Western Economic Services performed both qualitative and quantitative research which included:

- Socio-economic and housing data from the U.S. Census Bureau;
- Employment data from the U.S. Bureau of Labor Statistics;
- Economic data from the U.S. Bureau of Economic Analysis;
- Investment data from the Community Reinvestment Act;
- Home purchase data from the Home Mortgage Disclosure Act; and
- Housing complaint data from the U.S. Department of Housing and Urban Development and the Nebraska Equal Opportunity Commission.

as well as:

- A fair housing survey of 690 stakeholders throughout the area to investigate fair housing issues in the private and public sectors;
- A planning and zoning survey to identify possible barriers to fair housing choice in established zoning and land use decisions; and
- A fair housing forum broadcast to several locations throughout the state to allow public input and reaction to preliminary findings of the AI.

From that research, WES was able to identify nine (9) public sector and seven (7) private sector impediments. These impediments included:

- Refusal to rent by rental property managers
- Lack of local code enforcement
- Lack of local fair housing policies, ordinances, or regulations
- Inadequate fair housing outreach and education activities
- Insufficient establishment and enforcement of building codes regarding special needs housing
- Discriminatory terms and conditions offered in rental markets
- Failure to make reasonable accommodations
- Lack of actions for affirmatively furthering fair housing by CDBG sub-recipients
- Shortage of fair housing services
- Issuance of predatory-type loans in the home purchase markets
- Denial of home purchase loans
- Lack of statewide fair housing cooperation
- Steering in the real estate markets
- Lack of collaboration among fair housing agencies to contribute to the AI process
- Discriminatory terms and conditions relating to sale
- Language barriers in permitting processes

From this information the Department developed a 2011 Analysis of Impediments to Fair Housing Choice Implementation Plan. This two year plan included measurable and observable objectives that DED would periodically monitor for progress towards the goals of alleviating or eliminating these impediments to fair housing choice.

During the 2012 Program Year, the Department, in coordination with a variety of organizations who had experience working within the fair housing arena and which included: the Nebraska Equal Opportunity Commission (NEOC); the Nebraska Housing Developers Association; High Plains CDC; and Family Housing Advisory Services worked ad developing an action plan in which the impediments identified within the AI were prioritized and resources were allocated to a number of activities (See the Sources and Uses Table on following page).

The Department continued to monitor the progress of these activities during the 2012 Program Year and continued to review the actions taken during the 2013 Program Year as well. The Implementation of the Plan included the Department committing \$200,000 in Administration and Technical Assistance funds and \$75,000 in project funds from the Nebraska Affordable Housing Trust Fund in each of the next two years to address these

impediments. The exact mechanism for the distribution of these funds is yet to be decided, but a proposal is noted in the Fair Housing Table. Some of the actions identified in the Table began in 2012, but accomplishments will not be fully realized until the end of the 2013 Program Year (June 2014), and even may run through the 2014 Program Year.

Overall, the Implementation Plan is intended to be a dynamic plan to address the impediments to Fair Housing Choice as identified in the 2011 AI. The impediments that have been identified as the most pressing have been discussed by the various participating groups as have the proposed actions to be taken. The Department believes there is a general consensus of the goals of the plan although there has been some discussion of who best can carry out some of those actions. One of the unstated impediments, but certainly one of the goals, is to further cooperation and collaboration of the various fair housing organizations.

The Department has requested progress reports from each of the groups identified within the Sources and Uses Table, and will make adjustments to the implementation plan based on those findings. The current status of each of the goals is noted within the Table below.

SOURCES→	CDBG Admin	CDBG TA	HOME Admin	TF Admin	NSP ¹ Admin	TF Project	TOTALS
USES (Activities)							
Fair Housing Testing (Underway)							
NEOC			\$14,000				\$14,000
Family Housing Advisory Services, Inc. ²		\$14,000					\$14,000
High Plains Community Development Corporation, Inc. ³		\$7,000					\$7,000
Fair Housing Training Activities (Planned For)							
Rent Wise Training / Train the Trainer			\$20,000				\$20,000
Rent Wise Community Training Session (5) ⁴	\$15,000						\$15,000
Outreach / Education (Planned For)							
NEOC	\$5,000						\$5,000
Family Housing Advisory Services, Inc.			\$5,000				\$5,000
High Plains Community Development Corporation, Inc.				\$5,000			\$5,000
Financial support Housing.Ne.Gov	\$5,000						\$5,000
Making Homes Accessible / Grant (Underway)						\$75,000	\$75,000
Development of a Guidebook for Property Maintenance Standards and Special Needs Housing (Being Evaluated)		\$15,000	\$15,000	\$15,000			\$45,000
Local policy inventory (Being Evaluated)	\$20,000				\$40,000 ⁵		\$60,000
Fair Housing Conference (financial support) (Completed)		\$10,000					\$10,000
TOTALS:	\$45,000	\$46,000	\$54,000	\$20,000	\$40,000	\$75,000	\$275,000

¹ Can only be used in: (1) Central City; (2) Cozad; (3) Hastings; and (4) Kearney

^{2,3,4} For educational activities related to Cities and Counties

⁵ \$10,000 each, Central City, Cozad, Hastings, and Kearney

Within the 2014 Program Year, several additional actions were completed related to the removal of barriers to affordable housing and these actions included:

- Partially funded the 2014 Civil Rights Conference through \$10,000 in resources.
 - 5 Staff attended the 2014 Civil Rights Conference and participated in Fair Housing Discussion Workshops.
 - Funded a Fair Housing Video produced by the Nebraska Housing Developers Association (NHDA) and Rent Wise. There is a link on our web page at: (<https://www.youtube.com/playlist?list=PLHJz9U7PHMm5XFIHb3a3sgqy9Zo3csE1w>)
 - Provided technical assistance to grantees regarding the Fair Housing Activities required for all housing grants.
 - Provided Fair Housing training at Certified Administrator training.
 - Provided funding to the University for a Fair Housing Study undertaken by Law and Psychology Program.
 - Provided funding to Family Housing Advisory Services for “testing”
 - Provided funding to High Plains CDC for “testing”
 - Provided financial support to Housing.NE.Gov through \$5,000 in resources.
- Provided technical assistance to 7 local government grantees, with multi-year projects, which included staff and administrators for reviewing their local government fair housing actions related to land use policies and regulations, and public awareness focused on fair housing initiatives through their project activities.
 - Provided financial assistance to a local government staff in order to attend “Affirmatively Furthering Fair Housing” training in Kansas City, Missouri on September 18th and 19th, 2014.

Coordination of Low Income Housing Tax Credits with Affordable Housing Development

As an incentive for the provision of affordable, low-income rental housing, Section 42 of the Internal Revenue Service tax code provides for the taking of tax credits against the development cost. The Nebraska Investment Finance Authority (NIFA) allocated these federal tax credits for qualified projects in the State. To better coordinate the use of tax credits with other federal housing programs (e.g. CDBG, USDA-RD housing loans, and HOME); NIFA and DED worked extensively on the development and training for an annual HOME LIHTC set-aside and one application process.

Evaluation and Reduction of Lead-Based Paint Hazards

The office of Environmental Health Hazards and Indoor Air was created after the reorganization of the Department of Health and Human Services (DHHS). This change grouped together several programs including Asbestos, Lead-Based Paint, Childhood Lead Poison Prevention, Adult Blood Lead Epidemiology Surveillance, Radon, Mercury, and the Clean Indoor Air Programs.

Currently, there are approximately 84 lead abatement risk assessors, 4 lead abatement inspectors, 50 lead abatement supervisors and 20 lead abatement workers with active licenses on the list of lead professionals and workers that is maintained by DHHS.

DHHS maintains a website with useful information regarding lead based paint at <http://dhhs.ne.gov/pub/enh/leadpaint/leadindex.htm>.

Efforts to Reduce the Number of Persons Living Below the Poverty Level

The housing strategies and objectives focus on creating and maintaining a supply of affordable, decent housing. Affordable housing is an integral component to keep people off public assistance, since affordable housing (by definition) allows people to spend only 30% or less of their gross monthly income on housing related utilities and rent/housing payments. Such individuals then have more income to devote to other necessities. The State's homeownership programs provide quality homeownership counseling to individuals before homes are purchased in an effort to keep people from moving back below the poverty level once they take on more financial responsibilities.

Jobs paying a living wage are also key to keeping people off of public assistance. Jobs are created and individuals are trained in various skills either directly or indirectly with almost every economic development objective and housing objective in the Annual Action Plan. Every one of the Economic Development Priority's objectives either train individuals for the workforce, or creates or retains jobs. Housing objectives also create jobs because as we increase the amount of financial resources invested in housing projects, we increase the number housing projects that can be completed. More projects mean more construction and rehabilitation, creating more good paying jobs.

Development of an Improved Institutional Structure and Enhancement of Coordination between Public and Private Housing and Social Service Agencies

The Water Wastewater Advisory Committee is made up of representatives from USDA-RD, DED, DEQ and DHHS. The task force met regularly to review water and wastewater projects during the 2014 Program Year. The task force devised strategies for technical assistance and the application process on a case-by-case basis.

In regard to assistance to housing programs, a partnership of State and Federal agencies known as the Affordable Housing Agency Collaboration (AHAC) has been established. Partners in AHAC include the Nebraska Department of Economic Development, the U.S. Department of Housing and Urban Development, Nebraska Investment Finance Authority, the U.S. Department of Agriculture/Rural Development, and the Nebraska State Historical Society.

DED also took many actions to coordinate with agencies providing health, mental health, housing, and supportive services in 2014. Nebraska has a strong network of public, nonprofit, and private organizations to fulfill housing and support service needs. Many

state programs and/or local organizations exist in these areas. Since the needs are many and resources are limited, this network cannot meet all housing and supportive needs.

The State coordinated with many agencies during the 2014 Program Year. Some examples included:

- DED and DHHS coordinated with the Nebraska Commission on Housing and Homelessness. NCHH members solicit participation from state and nonprofit agencies that provide mental health, health, and housing services.
- DED and DHHS involved in State Advisory Committee on Mental Health Services.
- DED worked with Nebraska Economic Development Districts throughout the state.

Fostering Public Housing Resident Initiatives

The State does not operate public housing units. Action in this area was therefore one of advocacy. DED worked with local public housing agencies and supported efforts to increase the involvement of residents in public housing management and provide them with expanded homeownership opportunities. DED consulted with the Nebraska Chapter of the National Association of Housing and Redevelopment Organizations (NAHRO) on these matters.

Leveraging Resources

The State makes every effort to leverage HOME, ESG, and CDBG funds with non-federal resources. In the past, HOME and CDBG funds have been leveraged by significant amounts (and varying types) of private and non-federal public funds.

The match for the HOME program is attained by: 1) requiring that individual grantees and CHDO's report annually the eligible sources of HOME match from their housing projects and programs; 2) the dollars awarded from the NAHTF program that serve income eligible persons.

The Nebraska Homeless Shelter Assistance Trust Fund, which generates more than \$1,000,000 annually from a portion of the state's documentary stamp tax, provides the 100% match for the Emergency Solutions Grant program. In 2014 the total HSATF allocation was \$2,175,000.

Section Nine:
CDBG Program Report

Introduction

This report is submitted according to Section 104(e) of the Housing and Community Development Act and 24 CFR 570.491 which requires grantees to report on the administration of the State and Small Cities Community Development Block Grant Program. This report is submitted annually to the U.S. Department of Housing and Urban Development. All grants made to local governments since 2000 are summarized along with accomplishments and beneficiary data.

Background

Congress established the CDBG program through the Housing and Community Development Act of 1974. The Act sought to streamline the application and review process for several categorical grant and loan programs. It also intended to provide local governments a greater voice in determining the best way to meet community development needs.

According to Federal enabling legislation, the CDBG program's primary objective is the development of viable community by providing decent housing, suitable living environments and expanding economic opportunities, principally for low and moderate income persons. This is achieved through eligible activities which must benefit persons of low and moderate income; prevent or eliminate slums and blight; or meet an urgent community development need. The activities by major grouping are public facilities, housing rehabilitation, housing development, comprehensive community/neighborhood revitalization, economic development, business development, and planning.

Prior to 1982 the program was administered by the U.S. Department of Housing and Urban Development for large urban areas and rural areas. With the enactment of the Housing and Community Development Amendments of 1981, state governments had the option of administering the non-entitlement CDBG program.

Nebraska was one of the 35 states that immediately assumed administration of the CDBG program. In September 1981, Governor Charles Thone directed the Nebraska Department of Economic Development (DED) to establish a State CDBG program. The program was initially designed through an extensive process of local consultation with local governments. The program serves all incorporated municipalities under 50,000 population and all counties--a total of 626 eligible local governments.

Local design for the program continues to take place on an annual basis with the continuation of the extensive process of local consultation.

EXPLANATIONS FOR PERFORMANCE EVALUATION REPORT

The Performance Evaluation Report is divided into sections for each CDBG allocation year, 2000 through 2014. Each part contains information on the State's performance under each grant received from the U.S. Department of Housing and Urban Development for the Community Development Block Grant Program.

OUTLINE FOR EACH SECTION OF THE REPORT

PART I -

Financial Status
National Objectives
Grant Activities Summary

PART II -

Assessment of Relationship of Use of Funds to State Objectives

PART III -

Civil Rights data for program applicants and beneficiaries

PART I - FINANCIAL STATUS AND NATIONAL OBJECTIVES

Grant No.

This is the grant number from the U.S. Department of Housing Development for the Community Development Block Grant to the State of Nebraska. The first two digits following the letter "B" indicate the year of the federal allocation of funds. For example: B-99-DC-31-001 indicates that funds were allocated by the U.S. Department of Housing and Urban development in 1999. Grants awarded to local governments in Nebraska from the 1999 allocation are listed under this grant number.

Data

As required by HUD the information for this report is submitted based on grants awarded through June 30, 2015.

Financial Status

The financial status section of the report summarizes all funds allocated to the state by HUD; program income distributed in the specific grant year; amounts obligated to recipients; amounts drawn down; and amount of allocation to be used for state administration and technical assistance.

National Objective

Each activity, except for administration, must meet a national objective of the Housing and Community Development Act. National objectives are designated as LMI - principally benefits low and moderate-income persons; S/B - prevents or eliminates slums/blight; URG - meets a community development need having a particular urgency. The amounts in this section indicate the amount of each year's allocation, which benefit each of the three national objectives.

National Objectives - Benefit Period

The 1983 amendments to the Housing and Community Development (HCD) Act required that 51% of the aggregate of CDBG funds shall benefit low and moderate income persons for a three year period. The State's initial certification period was 1984-1986. The second benefit period was stated as 1987-1989. The 1987 amendments to the HCD Act required that 60% of the funds benefit lower income persons for a three-year period. The State established the period to be 1988-1990. The Cranston-Gonzalez National Affordable Housing Act of 1990 increased the required benefit to 70%. The current three-year benefit period is 2013-2015. According to 24 CFR 570.484 and the Guide to National Objectives and Eligible Activities for State CDBG Programs, the period during which compliance with the 70 percent benefit requirement will be determined begins on the start date of the first program year which the State has included, and ends when all funds have been expended out of the allocations for the program years the state has included. Therefore, the actual percentage will not be known until all 2013, 2014 and 2015 funds have been expended by the State.

PART II - GRANT ACTIVITIES SUMMARY

This part of the Performance Evaluation Report contains summary data on each grant for each of the years which the state has received an allocation for Community Development Block Grant funds.

Recipient

Identifies the city, town, village, or county awarded grant funds.

Project No

This is the project number assigned by the State of Nebraska.

Grant Status

Reports the current grant's stage of a project. For example: Ashton Project No. 00WW009 is in the Closeout stage in its status. Grant Status runs from the stages, Award to Closeout, with the exception of terminate for projects that have been terminated.

HUD Matrix

List all activities in general categories, for which CDBG funds were received. The activities are presented in general categories as classified by the HUD IDIS Matrix System.

HUD Title

List all the general categories for which CDBG funds were received.

Activity Status

This column is used to indicate new activities, modified budget amounts and completion of an activity. Any grant with new activities since July 1, 2014 will be represented by "N" in this column.

Amount

This column refers to the financial amount approved for each activity. If the project is completed, the actual amount expended is reported. If an activity within a project was funded with money from multiple program years the amount is individually listed with the corresponding year.

IDIS Year

This column refers to the Program Year that the funds for a project originated in.

Purpose

The general purpose for project funding are: ED - Economic Development; PF - Public Facilities; HO - Housing; PL - Planning; and PS - Public Service.

National Objective

Each activity, except for administration, must meet a national objective of the Housing and Community Development Act. The national objective for a project falls under one of three main categories, which are: LMI - principally benefits low and moderate income persons (LMA, LMC, LMH and LMJ); SBA - prevents or eliminates slums/blight; URG - meets a community development need having a particular urgency. The national objective met by each activity is indicated for each grant.

Accomplishment Name

The accomplishment name identifies the type of unit, which is measured. For example, the accomplishment name for housing rehabilitation is "# of housing units" which is the number of housing units rehabilitated.

Proposed and Actual Accomplishments - Units

This number represents the total units proposed or accomplished by the specific grant. For example, there were 15 housing units proposed to be rehabbed under the Alliance 13HO103 grant.

Proposed Beneficiaries – Total and LMI and %

The proposed number of persons benefited under each activity is shown for each grant. The LMI column represents the number of the proposed total beneficiaries that are LMI. The % column gives the percent of the total that is LMI.

Actual Beneficiaries – Total and LMI and %

The actual number of persons benefited under each activity is shown for each grant. The LMI column represents the number of the actual total beneficiaries that are LMI. The % column gives the percent of the total that is LMI.

PART II – NARRATIVE

Part II of the report includes a summary of the relationship of the use of funds to the State's objectives. This is a brief summary, which compares the stated purpose for the use of the CDBG funds in Nebraska with the actual distribution of funds.

PART III - CIVIL RIGHTS

Part III is a listing of all grants made from each grant year and provides Civil Rights characteristics for each activity funded under the grants. A narrative assessment of Civil Rights performance, Fair Housing actions, and Minority and Women-Owned business participation is included with the 2000 through 2014 reports.

Local Government/Project Number

Identifies the city, town, village or county awarded grant funds and the project number assigned by the State of Nebraska.

Activity Number

The activity number, as classified by the Nebraska Department of Economic Development, is shown for each eligible activity.

Beneficiary Data

Beneficiary data is shown using characteristic categories identified by HUD.

Beneficiary data should not be considered final until grants are closed.

**CONTACT HEATHER VOORMAN, CONSOLIDATED PLAN COORDINATOR,
AT DED IN ORDER TO OBTAIN COPIES OF THE PERFORMANCE
EVALUATION REPORTS FOR PROGRAM YEARS 2000 THROUGH 2014.**