

# STATE OF NEBRASKA

## 2016

# ANNUAL PERFORMANCE REPORT

for the  
State of Nebraska  
Housing and Community Development Programs  
Consolidated Plan

Including the Federal Programs:  
(Non-entitlement) Community Development Block Grant (CDBG)  
HOME Investment Partnerships (HOME)  
Emergency Solutions Grant (ESG)  
Housing Opportunities for Persons with AIDS (HOPWA)  
And the State Programs:  
Homeless Shelter Assistance Trust Fund (HSATF)  
Nebraska Affordable Housing Trust Fund (NAHTF)

July 1, 2016 – June 30, 2017

Department of Economic Development  
Division of Housing and Community Development  
Housing, Community Development, & Economic Development Programs



DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Homeless Services & HOPWA Services Programs



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Below is a summary of the application due date regarding any of the CD programs. For additional information please visit the Department’s website at <http://www.opportunity.nebraska.gov>

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<b>ACRONYM LIST</b>	
<b>AIDS</b>	<b>Acquired Immune Deficiency Syndrome</b>
<b>APR</b>	<b>Annual Performance Report</b>
<b>CDBG</b>	<b>Community Development Block Grant</b>
<b>CFR</b>	<b>Code of Federal Regulations</b>
<b>CHAS</b>	<b>Comprehensive Housing Affordability Strategy</b>
<b>CHDO</b>	<b>Community Housing Development Organization</b>
<b>CNF</b>	<b>Community Need Factors</b>
<b>CoC</b>	<b>Continuum of Care</b>
<b>CR</b>	<b>Comprehensive Revitalization</b>
<b>CRD</b>	<b>Community and Rural Development</b>
<b>CWSRF</b>	<b>Clean Water State Revolving Fund</b>
<b>DED or NDED</b>	<b>Nebraska Department of Economic Development</b>
<b>DEQ</b>	<b>Nebraska Department of Environmental Quality</b>
<b>DHHS or NDHHS or HHS</b>	<b>Nebraska Department of Health and Human Services</b>
<b>DTR</b>	<b>Downtown Revitalization</b>
<b>DWSRF</b>	<b>Drinking Water State Revolving Fund</b>
<b>ED</b>	<b>Economic Development</b>
<b>ESG</b>	<b>Emergency Solutions Grant</b>
<b>HCDA</b>	<b>Housing and Community Development Act</b>
<b>HIV</b>	<b>Human Immunodeficiency Virus</b>
<b>HMIS</b>	<b>Homeless Management Information System</b>
<b>HOPWA</b>	<b>Housing Opportunities for Persons With AIDS</b>
<b>HSATF</b>	<b>Homeless Shelter Assistance Trust Fund</b>
<b>HUD</b>	<b>U. S. Department of Housing and Urban Development</b>
<b>LMA</b>	<b>Low to Moderate Income Area Benefit</b>
<b>LMC</b>	<b>Low to Moderate Income Limited Clientele</b>
<b>LMH</b>	<b>Low to Moderate Income Housing</b>
<b>LMI</b>	<b>Persons with Low to Moderate Income</b>
<b>LMJ</b>	<b>Low to Moderate Income Jobs</b>
<b>MOU</b>	<b>Memorandum of Understanding</b>
<b>NAHP</b>	<b>Nebraska Affordable Housing Program</b>
<b>NAHTF</b>	<b>Nebraska Affordable Housing Trust Fund</b>
<b>NCHH</b>	<b>Nebraska Commission on Housing and Homelessness</b>
<b>NDO</b>	<b>Nonprofit Development Organization</b>
<b>NHAP</b>	<b>Nebraska Homeless Assistance Program</b>
<b>NIFA</b>	<b>Nebraska Investment Finance Authority</b>
<b>NMIS</b>	<b>Nebraska Management Information System</b>
<b>NOFA</b>	<b>Notice of Funding Availability</b>
<b>PW</b>	<b>Public Works</b>
<b>RFA</b>	<b>Request For Applications</b>
<b>RLF</b>	<b>Revolving Loan Fund</b>
<b>TA</b>	<b>Technical Assistance</b>
<b>USDA</b>	<b>U.S. Department of Agriculture</b>
<b>WW</b>	<b>Water Wastewater</b>
<b>WWAC</b>	<b>Water Wastewater Advisory Committee</b>

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## **CR-05 - GOALS AND OUTCOMES - 91.520(a)**

### **Progress the state has made in carrying out its strategic plan and its action plan. 91.520(a): Strategic Plan & Action Plan Progress**

*This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.*

The State made significant progress during the 2016 Program Year in carrying out its strategic plan and action plan for the CDBG, HOME, HTF, ESG, and HOPWA programs. Several project awards were made for these programs and “actual accomplishments” are identified within this section of the CAPER. In addition, through the State’s use of Nebraska Affordable Housing Trust Fund (NAHTF) and Homeless Shelter Assistance Trust Fund (HSATF) resources the State was able to award additional funds and produce additional accomplishments.

Nebraska progress on the strategic and action plan is discussed below in an overview, or summary. Included in the overview are the significant activities conducted underneath and within the uniquely identified Nebraskan Priority Needs of Community Development, Economic Development, Housing, Homeless Services, and HOPWA Services identified in the State of Nebraska 2015-2019 Consolidated Plan of the State of Nebraska. 2016 program year project activities have successfully supported at least one objective and one outcome as described in the five-year consolidated plan, in addition to the more detailed objectives under each state priority need described in the annual action plan for this program year.

#### **The Three Overarching Nebraskan Objectives Guiding Proposed Activities:**

- Provide Decent Housing
- Provide a Suitable Living Environment
- Expand Economic Opportunity

#### **The Three Overarching Nebraskan Outcomes Illustrating Benefits of Activities funded by CDBG, HOME, HTF, ESG and HOPWA Programs:**

- Improved Availability/Accessibility
- Improved Affordability
- Improved Sustainability

“The primary national CDBG objective is to develop viable urban communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for low- and moderate-income persons (LMI).” The State of Nebraska’s 2015 CDBG program furthered this national



objective through investing in quality communities and quality projects designed to meet the objectives for three priorities: Community Development, Economic Development, and Housing.

During the 2016 Program Year, the Nebraska Department of Economic Development awarded fifty-three (53) grants in the amount of \$9,921,975 CDBG and CDBG Revolving Loan Funds were distributed within the Community Development, Economic Development, and Housing Categories.

## **Community Development**

The 2016 Annual Action Plan for the 2016 Program Year Community Development Program activities supported at least one objective and one outcome as described in the 2015-2019 Consolidated Plan.

The Community Development Program Priority is measured in the CR-05 Accomplishments Table under two goal outcome indicators, the first listed in the CR-05 Table is “Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit. The unit of measure for this indicator is “Persons Assisted.” The second goal outcome indicator is Façade Treatment/Business Building Rehabilitation. The unit of measure for this indicator is “Business.” As Nebraska continues to shift toward utilizing the IDIS eCon Planning Suite more efficiently and fully, the project activities will be tracked and documented in that table and others in the CAPER for a more efficient and smoother annual reporting process.

Under the Community Development priority need, the objective of providing a suitable living environment and the outcomes of improved availability /accessibility and improved sustainability are supported by:

- Comprehensive Development (CD): Eight(8) grants were awarded for \$229,200
- Downtown Revitalization (DTR): Fifteen (15) grants were awarded for \$3,006,550, of which:
  - Seven (7) were DTR Phase One projects
  - Eight (8) were DTR Phase Two projects
- Planning: Eleven (11) grants awarded for \$284,625
- Public Works: Four (4) grants awarded for \$1,000,000
- Water – Waste Water: Four (4) grants awarded for \$887,600

The projects that were implemented will assist 102,109 persons, which exceeded the goal outcome indicator of 55,500 persons served for this program year. 58,609 low and moderate income persons will be assisted of the 102,109 total persons will be assisted as a result of these awards. 112 businesses will be assisted under the second priority of Community Development through the downtown revitalization program category awards. This exceeded the goal outcome indicator of 35 businesses assisted during the program year. Some major initiatives and highlights that were proposed and executed throughout the 2016 program year included:

Eight Comprehensive Development Phase One projects which included planning studies in the communities of Columbus, Fremont, Kearney, Nebraska City, Norfolk, Scottsbluff, Wayne, and York, to ensure readiness and success of plan implementation in the future. This was the first year of projects of the Comprehensive Development Program Category, which now assists and serves communities in a new way, while also replacing the former CR and CIS program categories under CDBG. 47,361 persons will be assisted by CD awards, 27,058 of which are low and moderate income individuals.

Downtown Revitalization Phase One projects were awarded in Albion, Cambridge, Gretna, Norfolk, Seward, Wausa, and York which initiate planning studies for future DTR projects. In addition, eight DTR Phase Two projects were awarded in Crete, Kearney, Minden, Creighton, Neligh, Beatrice, and Superior during the 2016 Program Year. DTR P1 project awards will assist 31,517 persons, 17,827 being low and moderate income individuals, while the DTR P2 projects will assist 112 businesses in Nebraska.

Many other projects were awarded and implemented under the Planning, Public Works, and Water-Waste Water Program Categories. The Planning category assisted persons in eleven different communities to create and/or update comprehensive plans, housing studies, street improvement studies, downtown revitalization and drainage studies. The communities of Blue Hill, Burwell, Ewing, and Ravenna were assisted with public works project awards, including street improvements, expanding existing storm sewer infrastructure, and storm sewer improvements. Terrytown, Oakdale, Hubbell, and Ohioa were awarded CDBG funds for water/sewer improvements. The PP, PW, and WW category awards will assist a total of 18,416 persons, with 11,246 low and moderate income individuals.

The Community Development Program Priority is measured in the CR-05 Accomplishments Table under two goal outcome indicators, the first listed in the CR-05 Table is “Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit. The unit of measure for this indicator is “Persons Assisted.” The second goal outcome indicator is Façade Treatment/Business Building Rehabilitation. The unit of measure for this indicator is “Business.” As Nebraska continues to shift toward utilizing the IDIS eCon Planning Suite more efficiently and fully, the project activities will be tracked and documented in that table and others in the CAPER for a more efficient and smoother annual reporting process. CDBG Program Category activities such as under Planning, Public Works, and Water Waste Water fall under the first goal outcome indicator, whereas the Comprehensive Development and Downtown Revitalization Program Categories contain a mixture of activities that are reported under both of these goal outcome indicators.

## **Economic Development**

Four (4) Economic Development projects were awarded during the Program Year, for a total awarded of \$1,694,000, using the CDBG Revolving Loan Fund. These were located in Madison County, Lyons, Falls City, and Sidney. The goal outcome indicators for the Economic Development and Tourism Programs for 2015-2019 of the Consolidated Plan and for 2016 of this program year of creating/retaining 500 jobs during the timeframe of 2015-2019, with 100 of those created /retained in the 2016 program year was met by 70%, as 70 jobs will be created/retained from these awards for low to moderate income individuals in Nebraska. This is due to the fact that only a partial amount of Economic Development funds were utilized during the Program Year to award new ED projects to assist in creating and/or retaining jobs for low to moderate income individuals, which will result in only 70 jobs being created/retained, and of those, 39 jobs will be created/retained for LMI individuals.

The outcome indicator of assisting 35 businesses during the timeframe of 2015-2019, with 7 of those businesses that will be assisted within the 2016 program year was not met, with 0 grants awarded under that unit of measure of assisting businesses. This is due to the fact that no Economic Development funds were utilized during the Program Year to award new ED projects to assist businesses, which resulted in no newly awarded businesses assisted for the Program Year. Businesses are assisted indirectly, however, under the other unit of measure for the ED Priority.

Brownville and Dawson County both implemented Tourism projects during the program year, which will assist 4,814 persons, 2,478 of which are low and moderate income persons.

The above narrative discusses awards and projected accomplishments for the Community Development Program, as the majority of projects are completed over a twenty-four month period, and not within twelve months. Please see the CDBG Program Narrative and the Financial Status update for B-16-DC-31-0001 for further details attached to this 2016 CAPER/Annual Performance Report concerning the CDBG Program.

## **Affordable Housing**

There were a number of housing projects which were awarded HOME funds during this program year under the Housing Priority Need, fulfilling the objectives of providing decent housing and providing a suitable living environment, with the outcomes of improved availability/accessibility, improved affordability, and improved sustainability of housing in Nebraska. Seven (7) projects are Rental Housing projects. One of those projects, known as the Skyline Apartments project in Norfolk, with the grantee *Midwest Housing Development Fund*, is jointly funded with HOME and HTF funds. The aspect of that project which focused on rental rehabilitation for extremely low income households was funded with HTF. Another of those rental housing projects is also jointly funded, but with HOME and NAHTF funds by the grantee, *Building a Better Tomorrow*, also located in Norfolk, Nebraska.

Two (2) HOME projects this program year are Home Buyer projects, and are also HOME CHDO projects. One is with Neighborworks Northeast Nebraska which will complete purchase, rehab, and resale for 7 households at or below 80% AMI, serving the counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne. The other project is with Neighborworks Lincoln, and will complete single-family new construction with down payment assistance and demolition in Lincoln, Nebraska, assisting 4 households at or below 80% AMI.

The Rental Housing projects included working with awardees such as the Housing Development

Corporation of Hastings, Nebraska, which will complete a rental rehabilitation of 16 units to the Meadows Townhomes, including 9 HOME units for households at or below 60% AMI. Another rental rehabilitation project was the Midwest Housing Development Fund project, which is located in Norfolk, which rehabilitated 92 units, of which four (4) are HOME units, that will rent at or below 50% AMI. There were three (3) projects that constructed new rental units by the awardees Midwest Housing Development Fund, Building a Better Tomorrow, and Wayne Community Housing Development Corporation. These included 48 units (including four (4) HOME units at 60% AMI) in Norfolk; fifteen (15) units (including 3 HOME units at or below 60% AMI) in Wayne; and in Kearney, thirty-two (32) newly constructed units (including 4 HOME units at or below 60% AMI).

The Home Buyer projects awarded HOME funding during this program year included a CHDO project by NeighborWorks Lincoln of new construction and down-payment assistance and demolition in Lincoln, assisting four (4) households at or below 80% AMI; and another CHDO project by NeighborWorks Northeast Nebraska of purchase rehabilitation and resale, assisting seven (7) households, at or below 80% AMI, within the counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne.

A project Nebraska DED considers a highlight this program year is the Skyline Apartments (formerly known as the Odd Fellow Manor) project in Norfolk, Nebraska. It is a \$14.1 million project, consisting of \$500,000.00 HTF funds (from the CRANE Set-Aside funding), \$500,000.00 of HOME funds, and \$13.1 million in leveraged funds. The project will rehab 92 rental units with 4 of them designated as Housing Trust Fund (HTF) Units which will assist households at or below 30% AMI, and 4 designated as HOME units which will assist households at or below 50% AMI. Skyline Apartments serves low-income special needs and elderly tenants. This project has a multitude of funding sources within the project. The Skyline Apartments were in dire need of rehabilitation for the elderly tenants living there to ensure their safety and for the future viability of the overall structures use and need within the community.

The state invests in rehabilitation of existing housing for low and moderate-income homeowners using a regional and community level service delivery system, and utilizes NAHTF and CDBG dollars for those activities. Six (6) grants for owner-occupied rehabilitation were awarded CDBG dollars for approximately \$2,120,000, resulting in 62 units rehabilitated. Eight (8) grants for homeowner-occupied housing

rehabilitation were awarded using NAHTF funds, for a total of \$2,262,335 and resulting in 91 units rehabilitated.

## **Analysis of Consolidated Plan Affordable Housing Strategies**

HOME, CDBG, and NAHTF resources were distributed according to the various categories identified in the 2016 Annual Action Plan. The plan explained that the production of new units would be completed primarily through HOME resources that would be utilized through the NIFA/DED Low Income Housing Tax Credit (LIHTC) Application Cycle which utilizes LIHTCs and HOME funds in order to provide additional affordable housing. In addition, NAHTF resources were also to be considered to be used for this activity. As planned, HOME resources were utilized for the production of new units, as 95 new rental units were constructed (with 11 HOME units included) and 11 homebuyer housing units added, 7 which were PRR, and 4 which were new homebuyer units constructed using HOME. In addition, NAHTF resources were also utilized, producing 12 new rental units constructed and 149 homebuyer units added. The types of assistance which NAHTF assisted with included new construction, down-payment assistance, rehabilitation, and purchase, rehabilitation, and resale activities, all of which contributed to additional units being newly added or made available in communities across Nebraska.

In general, 160 homebuyer units were added using HOME and NAHTF funds; 155 homeowner housing units were rehabilitated; 23 new rental units were constructed using HOME and NAHTF funding; 17 rental units were rehabilitated, 4 of those units being funded by HTF, the other 13 units by HOME funding; and there were 5 operating funding awards using NAHTF funding, which were awarded to Neighborworks Northeast Nebraska, Habitat for Humanity of Omaha, Southwest Nebraska Community Betterment Corporation, Lincoln County Community Development Corporation, and The Housing Development Corporation.

The 2016 Annual Action Plan discussed that the rehabilitation of existing units would be completed primarily through CDBG resources within the Owner Occupied Rehabilitation Program administered by the Nebraska Affordable Housing Program. Additional rehabilitation activities could occur through the NIFA/DED Low Income Housing Tax Credit (LIHTC) Application Cycle which utilizes LIHTCs and HOME funds in order to provide additional affordable housing. In addition, NAHTF resources may also be used

for this activity. As planned, CDBG resources were utilized for rehabilitation of an existing 64 units. NAHTF resources were used for additional rehabilitation activities involving 91 homeowner-occupied units.

It was anticipated that additional households would be supported through NAHTF projects which would likely include those that involve acquisition of existing units, which did occur, through the purchase, rehabilitation, and resale of 37 units this program year. In addition, based on previous findings within the "Opening Doors: 10 Year Plan to Prevent and End Homelessness in the State of Nebraska" there was found a significant need for the development of additional Permanent Supportive Housing throughout the state. Collaboration and partnerships should continue to be developed and evaluated to address these continued needs and to serve persons who are homeless or at risk of homelessness. The collaboration of the initial organizing and networking to strengthen partnerships both within the State of Nebraska Departments and among interested community agencies and organizations concerning PSH has continued during the 2016 Program Year. The Nebraska Commission on Housing and Homelessness assists in this effort, and includes the objectives of developing ongoing, specific policies and program recommendations to the Governor which address affordable housing and homelessness/ near-homelessness issues, including identifying and monitoring the implementation of models of community-based affordable housing production and homeless/ near-homeless programs; progressive housing and homelessness/near homelessness policies, plans and courses of action; and continuum of care-based models which provide supportive services for persons who are homeless or at risk of becoming homeless and to monitor implementation of those recommendations.

In general, 160 homebuyer units were added using HOME and NAHTF funds for an outcome of 640% of the annual goal; 211 homeowner housing units were rehabilitated using CDBG and NAHTF funds for an outcome of 422% of the annual goal. Twenty-three (23) new rental units were constructed using HOME and NAHTF funding for an outcome of 32.86% of the annual goal. This is due to the fact that only \$3,887,325 of NAHTF and HOME funds were utilized during the Program Year to assist in constructing new rental units, resulting in 23 rental units being constructed. Seventeen (17) rental units were rehabilitated, 4 of those units being funded by HTF, the other 13 units by HOME funding, resulting in an outcome of 56.67% of the annual goal. This is due to \$1,200,000 of HOME and HTF funds being utilized to rehabilitate rental units, resulting in 17 rental units being rehabilitated. There were 5 operating funding awards using NAHTF funding, which were awarded to Neighborworks Northeast Nebraska,

Habitat for Humanity of Omaha, Southwest Nebraska Community Betterment Corporation, Lincoln County Community Development Corporation, and The Housing Development Corporation.

## **Nebraska Homeless Assistance**

Utilizing the HUD supported best practice of the “Housing First” model, there has been a notable trend by subrecipients of reallocating funding for homeless services from short-term emergency shelter to the expansion of Rapid Rehousing programs and Homelessness Prevention programs. In addition, several NHAP funded agencies are currently in the process of reallocating existing transitional housing projects to Rapid Rehousing programs. This shift has resulted in a higher than expected number of households moving into permanent housing through Rapid Rehousing, reducing the number of individuals served in emergency shelter. For FY16, the number of households assisted through Rapid Rehousing was expected to be 452 but in actuality the number of household provided assistance was 1247, which exceeded the expectation by over 275%. The move towards the Rapid Rehousing model reduced the numbers served in emergency shelter from an expected number of 7,224 to 3,471, 48% of the expected percentage completed. The actual numbers of households served through Homelessness Prevention was 894, a reduction of the expected number of 1,483.

The “expected” outcomes were based on the Consolidated Plan developed in 2015. The increase in “actual outcomes” demonstrates the significant shift in the focus of homelessness services in Nebraska. With the reallocation of programs to co-inside with the “Housing First” model that is being employed by many agencies across the state and the increased collaboration between agencies through the Coordinated Entry system, it is expected that the trend towards a higher number of households served through financial assistance and services through Rapid Rehousing will continue into the indeterminate future. It is noted that there also has been a trend towards diversion services to prevent households from entering homelessness which may result in a higher utilization of Homelessness Prevention.



The victim service providers are also in the process of updating their data collection system to align with HUD required data. For the current CAPER, NHAP had to manually gather and combine victim service providers' data with the data of HMIS users. This year, the data was much more accurately accounted for by utilizing a data submission form that was developed by NHAP but all non-HMIS users have the expectation that data will be submitted in the same format as HMIS users in subsequent years.

DHHS also continued to collaborate with other federal funders (VOCA, VAWA, FVSPA, and SASP) which provide funding to victim service providers who operate emergency shelters. The coordination efforts were part of developing a strategic plan to maximize the impact of funding to support programs who serve individuals who are in need of shelter due to fleeing domestic violence. Additional collaboration also occurred within DHHS divisions which provide financial assistance to near-homeless individuals.

In this past reporting year, the State of Nebraska ESG and CoC subrecipient agencies with the guidance of a Coordinated Entry program coordinator were developing an expanded Coordinated Entry system to include Rapid Rehousing programs. The "live date" for all Rapid Rehousing programs, including those programs for Transition Aged Youth (TAY), was October 1, 2017.

NHAP has been working directly with victim service providers who utilize an alternative data collection system and the Coordinated Entry team has developed protocols to ensure referrals can be made to open the doors to housing programs without jeopardizing the confidentiality protection under the Violence Against Women Act (VAWA). Victim service providers will be the introduction to the RR and/or the Most Vulnerable Review Team (MVRT) list with the option of a client-centered focused approach to allow the victim's right to choose the housing option that is most applicable and safe for the survivor.

## **HTF**

The National Housing Trust Fund (NHTF) is a new affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe,

and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families.

The National Housing Trust Fund (HTF) was established under Title I of the Housing and Economic Recovery Act (HERA) of 2008, Section 1131 (Public Law 110-289). Section 1131 of HERA amended the Federal Housing Enterprises Financial Safety and Soundness Act of 1992 (12 U.S.C. 4501 et seq.) to add a new section 1337, entitled “Affordable Housing Allocation” and a new section 1338 entitled “Housing Trust Fund.”

The HTF allocation plan is an annual submission to HUD that describes how the State will distribute the HTF funds, including how it will use the funds to address its priority housing needs. The allocation plan also describes what activities may be undertaken with HTF funds and how recipients and projects will be selected. The State of Nebraska, Department of Economic Development (DED) has been designated by the Governor as the Nebraska recipient of National Housing Trust Fund (HTF) resources from the U.S. Department of Housing and Urban Development (HUD).

The State of Nebraska HTF Allocation Plan contains three funding Set-Asides. There is a CRANE Set-Aside, a Targeted Needs Set-Aside, and a Continuum of Care Set-Aside. These set-asides have the potential to address homelessness with HTF funding depending on the project. All of these projects serve individuals at or below 30% of Area Median Income (AMI). The Targeted Needs Set-Aside is for projects that have a targeted population to serve that community. An example of a targeted needs project could be one that assists youth aging out of the foster care system who could be at risk for becoming homeless. The Continuum of Care Set-Aside focus directly on projects to assist the homeless or persons at risk of becoming homeless. The Omaha CoC, Lincoln CoC, and the Balance of State CoC will each be awarded \$500,000 for projects in their region for homeless populations through the HTF Program.

During the 2016 Program Year, \$500,000 was awarded for the Skyline Apartments project under the CRANE Set-Aside. The project will rehab 92 rental units with 4 of them designated as Housing Trust Fund Units and 4 designated as HOME units. Skyline Apartments serves low-income special needs and elderly tenants. This project has a multitude of funding sources within the project, which have resulted in a \$14.1

million project. The Skyline Apartments were in dire need of rehabilitation for the elderly tenants living there to ensure their safety and for the future viability of the overall structures use and need within the community.

## **HOPWA Services**

Nebraska's HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 2.5 FTE's case management staff to provide direct HOPWA services. In addition, NAP's Compliance Supervisor works closely with the NDHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska's ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska's HOPWA Program to ensure timely quality services are providing through utilizing there agency's statewide office structure.

The Nebraska AIDS Project Interim Executive Director, London Woolman, is located in the Omaha NAP office.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the 2016 Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period. During the reporting period, the program served 90 households; 68 with short-term rent/mortgage assistance, 21 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 16 with tenant-based rental assistance. There were 15 duplicate households; having received both short-term rent/mortgage

assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving ten clients to sixteen. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2016 subgrant with NAP did continue to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are “somewhat adherent” or “very adherent” in accessing ongoing HIV health care with 97% of HOPWA clients “somewhat adherent” or “very adherent” to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

The Table below describes HOPWA 2016 goals and outcomes.

<b>HOPWA Performance Planned Goal and Actual</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
		<b>HOPWA Assistance</b>		<b>Leveraged Households</b>		<b>HOPWA Funds</b>	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	12	16			\$137,419.98	\$105,978.12
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	NA	NA	NA		NA	NA
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)	NA	NA	NA		NA	NA
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA		NA	NA
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA		NA	NA
4.	Short-Term Rent, Mortgage and Utility Assistance	45	68			\$70,898.00	\$74,614.59
5.	Permanent Housing Placement Services	13	21			\$3,000.00	\$9,800.00
6.	Adjustments for duplication (subtract)	0	15				
7.	<b>Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)</b>	70	90			\$211,317.98	\$190,392.71

<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	NA				NA	NA
9.	Stewardship Units subject to 3 or 10 year use agreements	NA					
10.	<b>Total Housing Developed</b> <b>(Sum of Rows 8 &amp; 9)</b>						
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	45	90			\$102,960.00	\$103,983.49
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0			0	0
13.	<b>Total Supportive Services</b> <b>(Columns a. – d. equal the sum of Rows 11 a. &amp; b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. &amp; 11b.)</b>	45	90			\$102,960.00	\$103,983.49
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	60	60			\$8,000.00	\$14,228.55
15.	<b>Total Housing Information Services</b>	60	60			\$8,000.00	\$14,228.55

<b>Grant Administration and Other Activities</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$17,255.00	\$14,122.13
17.	Technical Assistance (if approved in grant agreement)					NA	NA
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$11,112.00	\$9,274.49
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$19,767.02	\$18,697.42
20.	<b>Total Grant Administration and Other Activities</b> <b>(Sum of Rows 16 – 19)</b>					\$48,134.02	\$42,094.04
<b>Total Expended</b>						<b>[2] Outputs: HOPWA Funds Expended</b>	
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					<b>Budget</b>	<b>Actual</b>
						\$370,412.00	\$350,698.79

## **Addressing Priorities and Objectives through Use of Funds**

**Assess how the State's Use of Funds, particularly CDBG, addresses the Priorities and Specific Objectives Identified in the Plan, giving special attention to the highest priority activities identified: Use of Funds, Plan Priorities & Specific Objectives, & Identified Highest Priority Activities**

The State of Nebraska 2016 Program Year was successful in many ways of addressing the priority needs and specific objectives identified in the 2015-2019 Consolidated Plan and the 2016 Annual Action Plan, specifically those highest priority activities identified in the CR-05 Accomplishments Table. State and Federal resources, particularly CDBG funds, allocated for the Community Development Priority Need, the Economic Development Priority Need, and the Housing Priority Need, the HOPWA Services Priority Need, and the Homeless Services Priority Need were utilized to fund and support projects which were determined by Nebraska DED and Nebraska DHHS to address these priorities. Particularly, projects utilizing CDBG funds were awarded and activities conducted within the approved use of funds, state priorities and specific objectives upheld and reinforced by the State of Nebraska 2015–2019 Consolidated Plan, the State of Nebraska 2016 Annual Action Plan, and activities authorized in Section 105(a) of the amended 1974 Housing and Community Development Act that meet national CDBG objectives.

### **Community Development Priority Need**

Community Development Priority Need was developed in order to strengthen Nebraska communities through community development programs and services in order to provide a stable platform for economic development. The CR-05 Accomplishments Table describes how Program Year 2016 addressed two specific objectives of this priority. In general, \$5,407,975 was awarded for 38 projects under the community development categories of CD, DTR, PP, PW, and WW, which supported the two goal outcomes of the community development program.

Community Development Program	Goal Outcome Indicator		CD	DTR	PP	PW	WW	Total
	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	CDBG Funds Awarded	\$229,200	\$1,606,550	\$284,625	\$1,000,000	\$887,600	\$4,007,975
	Facade treatment/business building rehabilitation	CDBG Funds Awarded		\$1,400,000				\$1,400,000
Totals		\$229,200	\$3,006,550	\$284,625	\$1,000,000	\$887,600		

Total \$ Awarded under Both Goal Outcome Indicator Categories	\$5,407,975
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The objective of improving the quality of water and wastewater in Nebraska, assisting in developing and financing appropriate infrastructure and facilities for communities and counties that have planned and set priorities for long-term development, and other than infrastructure activities excluding LMH benefit was addressed by project activities including Planning, Public Works, Water/Waste Water, Downtown Revitalization Phase Two projects or aspects of DTR projects which focus on public facilities and infrastructure, and comprehensive development categories. \$4,007,975 CDBG dollars were awarded for this first goal outcome of community development.

Of this amount, approximately \$1,000,000 was awarded for four (4) Public Works projects, approximately \$887,600 awarded for four (4) Water/Waste Water projects, and approximately \$284,625 was awarded for 11 Planning projects. Approximately \$229,200 was awarded for eight (8) Comprehensive Development projects. Concerning DTR, approximately \$1,606,550 was awarded for this first goal outcome of the Community Development Program and implemented within eleven (11) of the total 15 Downtown Revitalization projects. Seven (7) DTR projects concentrated on the initial step of creating a downtown



revitalization plan, utilizing \$185,550 CDBG dollars, while four (4) more also included activities that fall under this first goal outcome, for which \$828,750 CDBG dollars were used.

The DTR Program Category provides outcomes for both of the two community development goal outcomes identified in the 2015 Consolidated Plan. Total awarded for all DTR projects was \$3,006,550. For this goal outcome, DTR served 31,517 people, 17,827 individuals of which are low-moderate income. The activity of removing architectural barriers, such as is conducted to meet ADA requirements while implementing a development project, is the activity which assisted persons through DTR. Eleven (11) DTR projects fulfilled the first priority of community development. The DTR activities of those eleven projects during this program year for this priority were public facilities, removal of architectural barriers, public infrastructure, and DTR planning, which utilized \$1,022,300 of direct project costs, and total CDBG for 11 projects were \$1,226,550. In general, the outcome measure for this first community development priority was met by 100.26%, as 70,151 persons were assisted of the 55,000 anticipated in the consolidated plan.

The second primary state objective for the Community Development Priority Need, is investing in quality projects that are identified in a formal community development plan; to compliment or support related community investments; and to leverage maximum private and/or other investment; and to have reasonable plans for long-term operation and maintenance was supported by funding basic Nebraskan community needs in commercial rehabilitation, including facade treatment and business building rehabilitation, that are essential to the success of downtown revitalization across the state. The project activities include downtown revitalization phase-two projects which focus on commercial rehabilitation, including facade treatment and business building rehabilitation. Eight (8) grants were awarded that included commercial rehabilitation activity, of approximately \$1,400,000 CDBG funds. This second community development outcome measure was met by 286%, assisting 112 businesses of the 35 anticipated in the 2015-2019 Consolidated Plan.

## **Economic Development Priority Need**

Economic Development Priority Need includes the need to foster the competitiveness of Nebraska's business and industrial sector, and as a result, assist in the economic development of Nebraska's communities and people. Although the goal outcome indicators were not completely met. The first priority of utilizing CDBG funds to invest in public facilities and improvement activities that make economic opportunities available to low and moderate income persons, by creating and/or retaining jobs for low-moderate income individuals was met by 70%, through which 70 jobs were created/retained. The outcome indicator was not met because only these 4 applications were the only ones awarded. This objective was addressed in Nebraska by other state programs.

The second economic development priority outcome indicator of promoting the retention and expansion of existing businesses in Nebraska, and the startup of new businesses in Nebraska, and the immigration of out-of-state businesses relocating or expanding into Nebraska of assisting 7 businesses was not met. This is because there were no applications submitted under this priority. This objective were addressed in Nebraska by other state programs. There were four (4) awards of Economic Development grants during the Program Year.

The four (4) Economic Development projects awarded during the program year were located in Madison County, Lyons, Falls City, and Sidney, for a total CDBG RLF funds awarded of \$1,694,000. Total projects costs including matching dollars totaled \$5,617,697. The Madison County project used \$121,000 of CDBG RLF funds for the expansion of Weiland, Inc., a specialty door manufacturer, which includes the construction of a new building and purchase of equipment, and the creation/retention of 10 jobs for low-moderate income individuals. Lyons was awarded \$263,000 of CDBG RLF funds for an expansion for Brehmer Manufacturing, which also resulted in the creation/retention of 10 jobs for low-moderate income individuals. Falls City was awarded \$305,000 CDBG RLF funds to assist Great West T/ Falls City Foods in purchasing a building and equipment, resulting in the creation/retention of 20 jobs for low-moderate income individuals. Sidney was awarded \$1,005,000 CDBG RLF funds to assist Lukjan Metal Products, Inc., and Kelly and Korda Great Plains, LLC, with the acquisition of an existing building in Sidney, Nebraska, resulting in the creation/retention of 30 jobs for low-moderate income individuals.

The City of Brownville and Dawson County were each awarded \$350,000 for Tourism Development projects, making a total of \$700,000 CDBG funds awarded for tourism projects this program year. These projects supported priority one of the community development program. One project is focused on the renovation of the Brownville Concert Hall, and the other project is focused on the Dawson County Fairgrounds. The Brownville project is aiding in the elimination and prevention of slums and blight and assisting 835 proposed beneficiaries, including 428 low to moderate income individuals. The Dawson County project is assisting 3,979 proposed beneficiaries, including 2,050 low to moderate income individuals.

The Financial Status and National Objectives Part I in the Attachments of this Annual Performance Report display the accomplishments on an actual basis, as loaded into IDIS by the end of the Program Year, June 30, 2017. As is the case every year with Community Development Programs across the nation, projects are awarded under various Program Categories throughout the twelve-month program year, which follows the State Fiscal Year in Nebraska of July 1 – June 30, annually. Of the projects described in this report, those with loaded activities into the IDIS system by that date are summarized in the Financial Status and National Objectives Report, and listed in the 2016 State PER. The information includes:

- DTR: 3 Projects, with project activities of \$77,550 and local admin of \$9,000
- ED: 2 Projects, with project activities of \$374,000 and local admin of \$10,000 of CDBG RLF
- PP: 8 Projects, with project activities of \$184,125 and local admin of \$24,000
- TD: 1 Project, with project activities of \$335,000 and local admin of \$15,000

Total: 14 projects, \$970,675 project activities and \$58,000 local admin

In addition, \$839,125 CDBG funds were used during the Program Year to benefit low-moderate income individuals, which is an 86% LMI rate, above that of the required 70% benefit to LMI over the current 2016,2017, 2018, three-year aggregate period agreed upon with HUD. DED believes it is on track with this requirement and will continue to oversee that process.

\$779,803 in CDBG Program Income revenue was received during the Program Year.

## **Affordable Housing Priority Need**

The State's Housing Priority Need includes the need to respond to regional needs for affordable, decent, safe, and appropriate housing as part of balanced economic development in Nebraska. This Priority Need includes 4 Objectives that have been developed in order to meet the Housing Priority of which the goals and objectives were met in the Program Year, as can be seen in the table above concerning proposed versus actual outcomes for specific housing outcome measures in the consolidated plan.

The first housing objective of promoting additional affordable rental housing and the preservation of affordable rental housing in selected markets has a Program Year 2016 outcome indicator of 70 units, which was surpassed by grants awarded, resulting in 23 units, for 11 beneficiaries at or below 80%, and 12 at or below 60% of AMI of their community. Awarded dollars during this 2016 Program Year for rental units constructed totaled approximately just over \$3,887,325, of which approximately \$1,621,060 HOME dollars, and \$2,266,265 NAHTF dollars. This outcome is 32.86% of the goal set in the Consolidated Plan and that is a result of the number and type of projects applied for during this program year.

The second housing objective of promoting the rehabilitation of rental units, which was an additional housing objective newly included the 2016 Annual Action Plan and the 2015-2019 Consolidated Plan, and created with the 2016 Substantial Amendment, has an outcome indicator of 30 units. The addition of the National Housing Trust Fund activities will support the priorities and objectives of this Affordable Housing priority by assisting with rehabilitation of rental units for extremely low-moderate income individuals (at or below 30% AMI). This program year the actual outcome was that 17 household housing rental units were rehabilitated. \$700,000 HOME funds were awarded and \$500,000 HTF funds awarded, for a total of \$1,200,000 funds awarded to achieve this accomplishment. The goal was only met by 57.67%, and that is a result of the number and type of projects that were applied for during this program year.

The third housing objective of promoting additional households into homeownership by expanding affordable homeownership opportunities, or adding homeowner (also called homebuyer) housing as described in the above table, has a PY2016 outcome indicator of 25 units, which was surpassed by adding 160 household units. This is 640% of the Consolidated Plan goal. This is a result of communities applying for projects and justifying a need for this type of project in Nebraska. An approximate amount slightly

over \$5,571,400 was awarded in grants during this 2016 Program Year on this objective, of which approximately \$450,000 were HOME dollars and approximately \$5,171,400 were NAHTF dollars.

The fourth housing objective of promoting housing preservation by improving the quality of Nebraska's existing affordable housing through homeowner housing rehabilitation has a PY2016 outcome indicator of supporting 50 household units. This was surpassed by rehabilitating 155 homeowner housing units with NAHTF funds for 52 households at or less than 120% AMI, 103 households at or less than 100% AMI, and 59 households at or less than 80% AMI of their communities. In addition, 56 household homeowner units were rehabilitated under owner-occupied rehabilitation projects with CDBG funds, making a total outcome of 211 homeowner housing units rehabilitated. Fifty-six owner households at or below 80% AMI of their community were assisted with CDBG funds. This outcome is 422% of the Consolidated Plan accomplishment goal. This outcome is a result of the need expressed through project application and justification of community need by communities across Nebraska. Awarded dollars during this 2016 Program Year totaled approximately \$4,382,335, which NAHTF funded slightly more than half of those dollars with approximately \$2,262,335, with CDBG providing the remaining funding of approximately \$2,120,000.

## **HTF**

The National Housing Trust Fund (NHTF) is a new affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families. During the 2016 Program Year, \$500,000 of HTF funds was awarded to support a CRANE Set-Aside project, Skyline Apartments, which resulted in 4 household units for extremely low-income (at or below 30% AMI) households. This project supports an elderly and special needs population historically utilizing these apartments.

The four objectives in sum resulted in an outcome of 43 affordable housing grants awarded in Program Year 2016, utilizing approximately \$15,041,000 State and Federal resources, of which were approximately \$2,120,000 CDBG, \$2,721,000 HOME, and \$9,700,000 NAHTF dollars. These resources were utilized to address the priority need in addition to addressing the specific objectives associated with the priority need.

### **Nebraska Homeless Assistance Priority Need**

The array of homeless and at-risk of homelessness services has evolved in accordance with HUD guidance, best practices and a focus on client-centered services. The Nebraska Homeless Assistance Program (NHAP) has developed Homeless Services Priority Needs in order to ensure appropriate services for individuals who are homeless or at risk of becoming homeless through the use the combined resources of the Emergency Solutions Grant (ESG) with the matching funds provided through the Homeless Shelter Assistance Trust Fund (HSATF) for all eligible services within the limitations set by ESG regulations, state statute, and guided by local CoC direction.

The Homeless Services Priority needs will be aligned with the prioritization as established through the Coordinated Entry protocols. Subrecipient agencies provide the following services; Street Outreach, Emergency Shelter/Transitional Housing, Homelessness Prevention, Rapid Re-Housing, and HMIS activities that are directly related to the provision of NHAP funded activities.

The NHAP homeless service priority needs includes 2 primary objectives:

- Provide appropriate shelter and/or housing to people who are homeless or at risk of homelessness.
- Provide needed services to people who are homeless or at risk of homelessness

Addressing homelessness is a critical issue for the State of Nebraska. The Nebraska Homeless Assistance Program utilized ESG funds and HSATF resources in order to address the Priority Need as outlined above to the ultimate end goal of achieving and sustaining “functional zero” of homelessness for all individuals in Nebraska through the coordination and efficient use of services.

A major accomplishment that was achieved in the past program year is that Nebraska was able to achieve

“functional zero” for Veteran’s homelessness in the Balance of State in Nebraska as verified by the United States Interagency Council on Homelessness through the combined efforts of the NHAP/ESG, VASH and CoC funds.

Although the trend is moving to a Coordinated Entry model to rapidly move program participants into permanent housing, emergency and transitional shelters will remain a needed service indefinitely throughout the State, either due to emergency domestic violence situations, restrictive participant income eligibility limits for Rapid Rehousing (RR) and Homeless Prevention (HP) programs, the need for short-term shelter beds for the working poor, serving transition aged youth, lack of affordable housing in many communities and/or participant need for intensive case management and services in preparation for independent housing. However, agencies have been making increased efforts to evaluate the array of resources in their communities to meet the housing solution needs of the populations they serve and to collaborate within their region through the participation in the regional Balance of State Continuum to provide seamless and expedited services from emergency shelter to permanent housing solutions. DHHS continues to provide technical assistance and promote coordination to streamline entry points between homeless service agencies in order to ensure continuity of care for homeless or risk of homeless within each of the regions throughout the State.

### **HOPWA Services Priority Need**

The HOPWA Services Priority Need is intended to ensure appropriate emergency and/or permanent housing and services for people who are homeless or at imminent risk of becoming homeless by distributing funds to a project sponsor in order to meet the needs of persons living with HIV/AIDS. This priority includes one primary objective that includes providing housing assistance and related supportive services to low income persons with HIV/AIDS and their families and enable low income persons with HIV/AIDS to achieve stability in housing, reduce risks of homelessness, and increase access to health care; HOPWA Services Program utilization of of HOPWA resources in order to address the priority and specific associated objectives; and placing individuals into housing and connecting them to healthcare by utilizing

Permanent Housing Placement and Tenant-Based Rental Assistance, in addition to other assistance beneficiaries for which they are determined eligible.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period. During the reporting period, the program served 90 households; 68 with short-term rent/mortgage assistance, 21 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 16 with tenant-based rental assistance. There were 15 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving ten clients to sixteen. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.



Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2016 subgrant with NAP did continue to require case management staff to complete the “Getting to Work” Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are “somewhat adherent” or “very adherent” in accessing ongoing HIV health care with 97% of HOPWA clients “somewhat adherent” or “very adherent” to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

Nebraska’s HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting

individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the NE HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care.

Nebraska's HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHCPC) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The NE HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various

HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

**Program Year and Strategic Plan To Date Accomplishment Table (Tables 1 and 2 in IDIS combined):**

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals

Goal	Category	Source Amount /	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Development Program	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	277,500	153,879	55.45%	55,500	102,109	183.98 %
Community Development Program	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	175	144	82.29%	35	112	320.00%
Economic Development Program	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	500	70	14.00%	100	70	70.00%
Economic Development Program	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	35	0	0.00%	7	0	0.00%
HOPWA Program	Homeless Non-Homeless Special Needs	HOPWA: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	60	26	43.33%	12	16	133.33%

HOPWA Program	Homeless Non-Homeless Special Needs	HOPWA: \$	Other	Other	225	142	63.11%	45	68	151.11%
Housing Program	Affordable Housing	CDBG: \$0 / HOME: \$1,621,060 / National Housing Trust Fund: \$0 / Nebraska Affordable Housing Trust Fund: \$2,266,265	Rental units constructed	Household Housing Unit	250	161	64.40%	70	23	32.86%
Housing Program	Affordable Housing	CDBG: \$0 / HOME: \$700,000 / National Housing Trust Fund: \$500,000 / Nebraska Affordable Housing Trust Fund: \$0	Rental units rehabilitated	Household Housing Unit	120	17	14.17%	30	17	56.67%

Housing Program	Affordable Housing	CDBG: \$ 2,120,000 / HOME: \$400,000 / National Housing Trust Fund: \$0 / Nebraska Affordable Housing Trust Fund: \$5,171,400	Homeowner Housing Added	Household Housing Unit	125	269	215.20%	25	160	640.00%
Housing Program	Affordable Housing	CDBG: \$2,120,000 / HOME: \$0 / National Housing Trust Fund: \$0 / Nebraska Affordable Housing Trust Fund (NAHTF): \$2,262,335	Homeowner Housing Rehabilitated	Household Housing Unit	250	302	120.80%	50	153	306.00%

Nebraska Homeless Assistance Program (NHAP)	Homeless	ESG: \$936,884/ HSATF- Homeless Shelter Assistance Trust Fund: \$2,925,000	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2260	3338	147.70%	452	1,247	275.88%
Nebraska Homeless Assistance Program (NHAP)	Homeless	ESG: \$936,884/ HSATF: Homeless Shelter Assistance Trust Fund: \$2,446,469	Homeless Person Overnight Shelter	Persons Assisted	36,120	7,689	21.29%	7,224	3,471	48.05%
Nebraska Homeless Assistance Program (NHAP)	Homeless	ESG: \$936,884 / HSATF: / Homeless Shelter Assistance Trust Fund: \$2,446,469	Homelessness Prevention	Persons Assisted	7,415	2,490	33.58%	1483	894	60.28%

Table - Accomplishments – Program Year & Strategic Plan to Date

## CR-10 - RACIAL AND ETHNIC COMPOSITION OF FAMILIES ASSISTED

Describe the families assisted (including the racial and ethnic status of families assisted).  
91.520(a)

### Narrative

The chart in CR-10 within IDIS does not include fields to enter client responses for “bi-racial” categories and “multiple races” and client refusal to provide race and ethnicity information.

### CDBG

A description of the racial and ethnic composition of families assisted, per the table in IDIS with CDBG funds is below.

CDBG - IDIS Chart

Race:	
White	84,294
Black or African American	862
Asian	236
American Indian or American Native	436
Native Hawaiian or Other Pacific Islander	20
Total	85,848

Ethnicity:	
Hispanic	10,127
Not Hispanic	75,721

This chart in IDIS, however, does not take into account bi-racial, and multi-racial categories. Projects utilizing CDBG funds reported in addition to the race categories above, that there were no bi-racial individuals assisted as of June 30, 2017. There were in addition to the above chart, however, 1,523 “Multi-racial” individuals which are a part of the composition of families assisted by CDBG funds, of which 14 individuals reported as also Hispanic.



The chart below describes a slightly more accurate account of the composition of families assisted with CDBG funds as of June 30, 2017. Please note also that this data is not fully reported from each CDBG project until each project is finished and all reporting has been completed. The majority of CDBG projects contain a 24-month timeline and contract.

<b>Composition of Families assisted with CDBG funds by Race and Ethnicity Reported by Individuals Assisted as of 6-30-17</b>			
Race:	Totals by Race	Also Reported as Hispanic	Non-Hispanic
White	84,294	10,056	74,238
Black or African American	862	69	793
Asian	236	0	236
American Indian or American Native	436	1	435
Native Hawaiian or Other Pacific Islander	20	1	19
Multiracial	1,523	14	1,509
<b>Totals</b>	<b>87,371</b>	<b>10,141</b>	<b>77,230</b>

Ethnicity:	
Hispanic	10,141
Not Hispanic	77,230
<b>Total Individuals</b>	<b>87,371</b>

## HOME

Data is reported for 54 individuals which assist in describing the composition of families that received assistance from HOME funds this program year. Fifty-four (54) individuals reported as White under racial category, with 1 individual reporting as Hispanic of the 54. This lack of reporting during the 2016 Program Year is a result of a severe shortage of staff and staff-turnover on the Housing Team in DED. Three new staff members have been hired and the Department anticipates reporting to increase as a result during the next Program Year.

## NHAP

The ESG race and ethnicity data in CR-10 will not capture the total number of families served. This is due to HMIS and the comparable data base, which is utilized by the victim service providers, both having additional race classifications (“multiple races” and “other”) and the option for client refusal to provide race and/or ethnicity information. Therefore, the totals provided in CR-10 do not include participant responses for “multiple races”, “other”, and “client refused information/does not know” as recorded by subrecipients. For a specific breakdown of the race and ethnicity data, the total number of all individuals for all race and ethnicity categories is reported in the attached eCart CAPER BoS Annual Reporting Tool. However, the eCart reporting tool does not include data from victim services providers who utilize alternative data collection systems due to reasons outlined on the narrative screen of CR-65 in the 2016 CAPER report. Demographic data from victim services agencies who utilize alternative data collection systems is reflected in the CAPER report through manual additions to the reported data provided through HMIS.

NOTE: As per guidelines provided by HUD, NHAP is submitting the CAPER data through the eCart Reporting Tool directly into IDIS rather than through the SAGE system. This was due to the eCart data having been compiled prior to the release of the new HUD data requirements and the release of the SAGE reporting system. The CAPER was not submitted prior to October 1 due to a request for an extension with a collaborating agency. In subsequent program years, NHAP will be reporting the ESG data through the SAGE system.

## HOPWA

The HOPWA race and ethnicity data in the CR-10 table in IDIS will not capture the complete data concerning the racial and ethnic composition of families assisted or the total number of individuals within those families assisted because the table does not include the bi-racial category of “Black/African American & White” which is reported as 4 HOPWA eligible individuals, with 1 individual who benefitted from the HOPWA housing subsidy assistance in addition because of another household member who reported as an eligible HOPWA individual, making a total of 5 for that racial category. Hispanic and Latino ethnicity was not reported within that category. The absence of this data from the table results in a total of 161 individuals as displayed in the IDIS table, as seen below.

HOPWA: Racial and Ethnic Composition of families assisted	
White	94
Black or African American	60
Asian	2
American Indian or American Native	5
Native Hawaiian or Other Pacific Islander	0
Total	161
Ethnicity:	
Hispanic	0
Not-Hispanic	161

The complete HOPWA 2016 reporting on Race and Ethnicity, however, includes a bi-racial category, in addition to a break-out between HOPWA eligible beneficiaries and all other beneficiaries. It is found in the 2016 HOPWA CAPER in Part 7, Section 2. Beneficiaries, Chart C. The chart below has been revised to show the totals of racial and ethnic reporting for HOPWA in the last two columns. The summed total of columns [A] and [C] equals the total number of beneficiaries reported in Section 2, Chart a., Row 4 of the 2016 HOPWA CAPER, which is 166.

**CR-15 – RESOURCES AND INVESTMENTS** 91.520(a)

**Identify the resources made available**

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	Public - federal	\$10,325,658	\$9,921,975
HOME	Public - federal	\$2,721,060	\$4,303,382
HOPWA	Public - federal	\$370,412	\$350,699
ESG	Public - federal	\$977,804	\$946,631
Other - HSATF	Public - state	\$2,570,433	\$2,446,469
Other - NAHTF	Public - state	\$10,000,000	\$9,700,000
Other - HTF	Public - federal	\$2,700,000	\$500,000
Total Other	Public – federal and State	\$15,270,433	\$9,989,333

**Table - Resources Made Available**

**Narrative**

The above Table represents the resources made available and the resources expended for Program Year 2016.

**CDBG**

\$10,325,658 in federal CDBG funds was made available, which includes \$9,545,855 of 2016 CDBG funds made available to potential applicants. The B-16-DC-31-001 CDBG 2016 Grant of \$9,944,180 includes \$9,866,828 2016 CDBG allocation and \$127,352 of carry-over from 2015 CDBG dollars. \$398,325 in CDBG funds were obligated for State Administration from the total 2016 CDBG allocation of \$9,994,180 from HUD. In addition, \$779,803 of CDBG Program Income was generated during the program year, making a total of \$10,325,658 CDBG Resources Made Available.

2016 CDBG Grant Allocation	\$9,866,828
Total 2015 CDBG Grant Carry-Over	\$127,352
Total 2016 CDBG Grant Allocation	\$9,944,180

Total State General Administration	\$398,325
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Total 2016 CDBG Dollars	\$9,545,855
2016 CDBG Program Income	\$779,803

Total CDBG Resources Made Available	\$10,325,658
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As of June 30, 2017, \$1,028,675 CDBG dollars were obligated to recipients, as reported on the State PER. Please see State PER attached to the 2016 State CAPER. The 2016 State PER lists 14 CDBG projects as of June 30, 2017:

			<b>Activities</b>	<b>Admin</b>	<b>Total CDBG</b>
1	16PP006	Crete	\$27,000	\$3,000	\$30,000
2	16PP007	Crookston	\$11,250	\$3,000	\$14,250
3	16TD002	Dawson County	\$335,000	\$15,000	\$350,000
4	16PP004	Fairbury	\$27,000	\$3,000	\$30,000
5	16DTR003	Gretna	\$23,550	\$3,000	\$26,550
6	16ED003	Lyons	\$258,000	\$5,000	\$263,000
7	16ED001	Madison County	\$116,000	\$5,000	\$121,000
8	16PP010	Pender	\$27,000	\$3,000	\$30,000
9	16PP009	Red Cloud	\$27,000	\$3,000	\$30,000
10	16PP005	Thurston	\$20,250	\$3,000	\$23,250
11	16DTR010	Wausa	\$27,000	\$3,000	\$30,000
12	16PP008	Wolbach	\$17,625	\$3,000	\$20,625
13	16PP011	Wood River	\$27,000	\$3,000	\$30,000
14	16DTR011	York	\$27,000	\$3,000	\$30,000

Total	Total	Total	Total CDBG
14 Projects (2 ED, 3 DTR, 8 PP, 1 TD)	\$715,675	\$58,000	\$1,028,675

As documented in the State PER displayed above with supporting documentation attached to the CAPER, \$1,028,675 was obligated in CDBG funds on CDBG projects throughout the 2016 Program Year. That includes \$131,550 on Slum/Blight projects, and \$58,000 on project general administration. This does not include the total CDBG amount awarded for projects for FY16, it only accounts for 1 Economic Development project, 3 Downtown Revitalization projects, 8 Planning projects and 1 Toursim project. This is due to the timing of the CDBG grant award to Nebraska, which affects the timing of project awards and loading of projects into the State financial database by 6-30-17.

This does not account for the total number of 53 CDBG projects awarded during the 2016 Program Year, and is due to a number of factors, including a delay in award to the State by HUD of the 2016 CDBG federal grant, current shifting internal DED processes, delays in awarding CDBG funds to subrecipients within Nebraska, and significant turn-over of staff on the Finance Team and HCD Team of NDED, all affecting the timing of data entry of projects into the IDIS financial database by the end of the Program Year. Total

CDBG and CDBG RLF award dollars for the 2016 Program Year in sum are \$9,921,975, after all sub-grants have been accounted for through the State financial database with contract begin dates occurring between the dates of 7-1-16 and 6-30-17. See table below for CDBG dollars obligated during the 2016 Program Year by CDBG Program Category under the Community Development and Economic Development Programs. In addition, \$2,120,000 CDBG dollars were awarded for homeowner-occupied housing rehabilitation under 6 grants awards. Please see the attachment to the CAPER for a more detailed explanation of 2016 CDBG Awards and dollars obligated to projects during this program year.

	CD	DTR	ED	PP	PW	TD	WW	Totals
<b># of 2016 CDBG Awards</b>	8	15	4	11	4	2	4	48
<b>Total CDBG Awards</b>	\$229,200	\$3,006,550	\$1,694,000	\$284,625	\$1,000,000	\$700,000	\$887,600	\$7,801,975
<b>Total CDBG project costs</b>	\$252,000	\$2,758,550	\$1,674,000	\$252,125	\$900,000	\$670,000	\$812,000	\$7,318,675
<b>Total CDBG local admin</b>	\$30,000	\$248,000	\$20,000	\$32,500	\$100,000	\$45,000	\$75,600	\$551,100
<b>Total Local Match</b>	\$94,800	\$1,521,934	\$7,317,697	\$93,475	\$1,376,123	\$219,000	\$1,133,456	\$11,756,485

\$7,801,975 CDBG and CDBG RLF dollars were awarded during the 2016 Program Year for 48 subgrants.

\$2,120,000 CDBG dollars awarded for 6 CDBG OOR grants, resulting in 62 rehabilitated housing units.

## HOME

The federal resources of HOME funds was made available under the Nebraska Affordable Housing Program in the amount of \$2,721,060, which includes \$600,000 for HOME CHDO projects and \$2,121,060 for other HOME projects. The HOME match liability for the current Federal Fiscal Year of \$315,302.72 was exceeded with the amount of \$680,265 NAHTF funds used for projects which met the HOME match rules and regulations concerning HOME Match. The two projects included a HOME project of rental new construction by the grantee "Building a Better Tomorrow", which provides rental new construction of 48 units with 4 units as HOME units, with three units containing 3-bedrooms and one unit containing 4

bedrooms in Norfolk, Nebraska in Madison County. These units will be rented at or below 60% AMI. The other project is also a rental new construction project, by grantee "Holy Name Housing Corporation", which utilizes \$500,000 NAHTF funds. 18 units of new rental construction is included, known as Adams Park Senior Cottages, for single family households with 2-bedroom and 3-bedroom units, with 3 units serving at or below 60% AMI in Omaha, Nebraska in Douglas County.

## **HOPWA**

The federal resources of HOPWA made available for the HOPWA Program during PY16 was \$370,412. The total amount expended of \$350,699 included in approximate amounts, \$190,393 in total HOPWA housing subsidy assistance, \$103,983 in supportive services, \$14,229 in housing information services, and \$42,094 in grant administration and other activities. Please see the attached 2016 HOPWA CAPER for a more detailed table and description.

## **ESG**

The total federal funding resource of ESG resources of approximately \$977,804 included approximately \$35,989 in carry-over funds from FY 15. The total ESG expended (including HUD FY15) was approximately \$946,631.

## **NAHTF**

The state funding resource of Nebraska Affordable Housing Trust Fund for homelessness prevention activities and affordable housing was made available in the amount of \$10,000,000. \$9,700,000 was expended: \$2,266,265 under the the annual goal of rental units constructed; \$5,171,400 under the annual goal of homeowner housing added; and \$2,262,335 under the annual goal of homeowner housing rehabilitated.

## **HTF**

The federal Housing Trust Fund resource was made available in the amount of \$2,700,000. An investment of \$500,000 HTF was made in the rental rehabilitation project by grantee “Midwest Housing Development Fund” in Norfolk, Nebraska. The 4 HTF units will be rented at or below 30% AMI, and include one 0-bedroom unit and three 3-bedroom units. The project was awarded under the CRANE Set-Aside, yet also serves an elderly population, which includes some individuals with disabilities.

The amount expended under each grant program during the 2016 Program Year is listed in the table above.

While creating the CAPER report, it was noted that the amounts auto-generated by IDIS in the “Resources Made Available” column were totals of the expected amount remaining for the last four years as reported in the ConPlan. DED and DHHS have updated the columns for CDBG, HOME, Other (which includes HSATF, NAHTF, and HTF), ESG, and HOPWA to reflect the actual amounts of resources made available to align with the actual amounts available in FY16.

DED has updated CDBG, HOME, and “Other” funds of HTF and NAHTF to reflect the actual amounts of resources made available to align with the actual amounts available for FY16. It was not possible to create a separate row for HTF. HTF will have a separate row with the new Consolidated Plan of 2020-2024.

DHHS has updated the columns for ESG to reflect the actual amounts of resources made available to align with the actual amounts available for FY16. The total ESG resources of approximately \$977,804 included approximately \$35,989 in carry-over funds from FY 15. The total ESG expended (including HUD FY15) was approximately \$946,631. For FY 17, ESG will have a carryover of FY16 funds in the amount of \$414.15 for direct services and \$30,758.48 in administration.

## **ESG**

As seen in the CR-15, resources and Investments, NHAP well exceeds the 1:1 ESG match requirement through Homeless Shelter Assistance Trust Fund (HSATF) expenditures. See CR-75 for further detail.



## HSATF

The matching “other” funds available in FY16 through HSATF were in the amount of \$2,576,902.19 of which \$2,450,523.37 were expended in the program year. The “other” funds available does not include the HSATF funds awarded to the City of Lincoln or the CoC contractor. Unexpended HSATF funds will be re-disbursed next funding cycle.

The total amount of funding, included ESG and HSATF, expended to support the NHAP projects in FY 16 was \$3,428,327.26 which does not include the HSATF funds awarded to the City of Lincoln or the CoC contractor.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Statewide	100	100	See below

Table – Identify the geographic distribution and location of investments

### Geographic Distribution and Location of Investments Narrative

The State of Nebraska has chosen not to target any particular geographic area for special assistance, but has chosen to allow any non-entitlement community to apply for CDBG funding, dependent on eligible activities and programs, and provides HOME, HTF, ESG, and HOPWA funding throughout the state.

The State of Nebraska distributed development resources in proportion to the development needs of the state. In general, the Nebraska Department of Economic Development and the Nebraska Department of Health and Human Services approved funding for development projects which satisfy specific criteria and fund projects throughout the state. There is no specific target area for funding distribution but program assistance is provided statewide. Nebraska is served by a system of service providers throughout the state. The majority of these service providers are located in the areas where need has been shown according to the Census data available and other economic indicators. Some of these indicators include the community population, where those communities with the largest populations tend to have the greatest number of service providers within any given geographic area.

**NHAP:**

ESG funds have been utilized, along with Homeless Shelter Assistance Trust Fund (HSATF) resources, in order to provide funding for activities that provide a comprehensive approach to address the needs of people who are homeless or at risk of homelessness throughout the State of Nebraska. These activities are intended to: assist in the prevention and alleviation of homelessness; provide temporary and/or permanent housing for persons who are homeless; and to encourage the development of projects that link housing assistance programs with efforts to promote self-sufficiency. As per HUD regulations, ESG funds were allocated among several service categories, ensuring that that no more than 60 percent of the total ESG award was allocated to Street Outreach (SO) and Emergency Shelter (ES) services.

The statewide Continuum of Care (CoC) system has been developed to help ensure that people who are homeless or at risk of homelessness are able to access needed emergency shelter, street outreach, homelessness prevention, and rapid rehousing services in each of the 93 counties in the State of Nebraska. Nebraska is separated into 7 geographical regions, 2 being urban areas which are entitlement cities and therefore receive ESG funding directly from HUD, with the remaining 5 regions receiving ESG funds through the State of Nebraska. In addition to the ESG funds, DHHS allocates the Homeless Assistance Trust Funds (HSATF) using allocation formulas to all 7 regions in Nebraska, which are utilized as matching funds for ESG.

Each year, an allocation formula is calculated and utilized in distributing NHAP grant funds. The formula helped ensure an equitable distribution of funds throughout the entire state. The formula was calculated using a \$50,000 base 'hold harmless' funding amount per geographic Region; the Department of Housing and Urban Development's (HUD) annual Continuum of Care pro rata amounts; and consideration of statewide service continuity. The initial allocation formula was developed with input from the NHAP advisory committee. Due to annual fluctuations in the pro rata need amounts and the need to help ensure statewide continuity of necessary services, should applying the formula result in a decrease in funding from the prior year for the majority of the regions, or a significant increase in one or more regions, adjustments will be made to reduce the impact of the change by region.

## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

The use of federal funds leveraged significant amounts of additional resources (private, state, and local funds) through several of the CDBG, HOME, HTF, ESG, and HOPWA projects throughout the state.

## CDBG

CDBG State Administration funds are matched by the Department as required by HUD and described in the 2016 Annual Action Plan.

NDED Community Development and Economic Development Programs require local match for CDBG project activities. In general, at 25% match to CDBG project costs is required, with the Economic Development Program Category Application Guidelines requiring at least a one-to-one match for CDBG project costs. During the program year, there was a total of \$11,756,485 local matching dollars obligated to projects in communities across Nebraska.

	CD	DTR	ED	PP	PW	TD	WW	Totals
# of 2016 CDBG Awards	8	15	4	11	4	2	4	48
Total Local Match	\$94,800	\$1,521,934	\$7,317,697	\$93,475	\$1,376,123	\$219,000	\$1,133,456	\$11,756,485

The source of these matching dollars varies by project and community, yet all communities are responsible for securing these funds, whether they be from other federal agencies, state agencies, nonprofits, or local monies.

The Water-Waste Water Program Category is one example of a stream-lined process in which applicants submit a pre-application to the State Water-Wastewater Advisory Committee in order to determine which funding sources their community is eligible to receive, and for the State to determine the most efficient way financial resources and human resources can be utilized to ensure a successful project. Other funding agencies for projects involving water and wastewater system improvements include those such as USDA Rural Development, Nebraska DHHS, and Nebraska Department of Environmental Quality.

## HOME

HOME funds were leveraged with Nebraska State Affordable Housing Trust Fund (NAHTF) resources. The NAHTF is state funding that is based on a portion of the documentary stamp taxes from real estate transactions that are transferred to the Nebraska Affordable Housing Trust Fund. The NAHTF will be distributed throughout the state according to the NAHTF Qualified Allocation Plan (QAP). The allocation from the NAHTF varies annually due to the amount of revenue received from the documentary stamp tax. HOME Match requirements of HUD are met through projects eligible under NAHTF that must use HOME rules and regulations. During the program year, \$2,832,427.94 NAHTF dollars were contributed as HOME Match, which well exceeds the Match liability of 12.5% in the amount of \$315,302.72 for Nebraska in 2016. Please reference the HOME Match table and HOME Match Log in CR-15 for further details.

HOME beneficiaries receive a higher quality of life as a result of DED's ability to provide substantial match on HOME projects. Rural communities and those that have limited access to private and local funding sources benefit. The Nebraska Affordable Housing Program collaborates with the Nebraska Investment Finance Authority(NIFA) through the joint Low Income Housing Tax Credit (LIHTC)/HOME/HTF application administered through NIFA's rental application cycles to provide decent housing and a suitable living environment for low and moderate income and homeless individuals in Nebraska. NIFA has a competitive LIHTC and Collaborative Resources Allocation for Nebraska (CRANE) application process for single-family and multi-family rental projects. HOME is awarded through both of those application processes. DED has an application process for Community Housing Development Organizations (CHDO) to apply for the CHDO set-aside every year for homebuyer projects.

Awarded HOME projects layer funding with many different funding sources, some of those resources are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

## **HTF**

HTF funds are leveraged in a few different ways. The HTF CRANE Set-Aside provides funding for affordable rental, multi-family projects in coordination with Nebraska Investment Finance Authority (NIFA) through the joint DED/NIFA application for low income housing tax credit applications within the Collaborative Resource Allocation for Nebraska (CRANE) application cycle. The HTF CRANE Set-Aside utilizes the Collaborative Resources Allocation for Nebraska (CRANE) application process through NIFA. Some examples of possible sources for leveraged dollars for the HTF CRANE Set-Aside are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

The HTF Targeted Needs Set-Aside provides funding for targeted needs housing projects funded with non-LIHTC resources. These pilot projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. Examples of sources for leveraged dollars for the HTF Targeted Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

The HTF Permanent Housing Set-Aside provides funding for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha Continuum of Care; the Lincoln Continuum of Care; and the Balance of State Continuum of Care. Examples of sources for leveraged dollars for the HTF Permanent Housing Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

During the 2016 Program Year, \$500,000 HTF dollars was awarded to Midwest Housing Development Fund under the HTF CRANE Set-Aside in Norfolk. The project has leveraged \$13,568,822 in other resources including: LIHTC, Affordable Housing Tax Credits, Federal Home Loan Bank Affordable Housing Program, Tax Increment Financing, deferred developer fee, owner equity, US Bank Donation, and conventional loan. The project will rehabilitated 92 rental units with 4 rental household units for extremely low income households.

## **NHAP**

ESG funds, through the Nebraska Homeless Assistance Program, were leveraged with Nebraska Homeless Assistance Trust Fund (HSATF) resources. The HSATF is state funding that is based on a twenty five cent set aside on each \$1,000.00 of the value of real estate sold in Nebraska and collected via the documentary tax stamp on real estate sales. Annually HSATF provides on average approximately 3 million dollars to the Nebraska Homeless Assistance Program. HSATF dollars serve as the HUD match funds, which well exceeds the 1:1 requirement. DHHS' ability to provide 100% of the match for ESG subrecipients is a benefit to non-profit agencies in order to provide homeless services, particularly in communities that are rural and/or have limited access to private and local funding sources in their communities.

The Nebraska Homeless Assistance Program (NHAP) collaborates with the Balance of State, Omaha and Lincoln CoCs which assists in seamless provision of services to all homeless individuals across the State of Nebraska, including HUD's Continuum of Care funding which support the Permanent Supportive Housing programs. The Coordinated Entry system is utilized to prioritize the most vulnerable homeless individual/families, and to determine which program best fits the individual's needs. Program participants are referred to the most appropriate housing option which is best to provide the necessary supports.

Lastly, many of the NHAP subrecipients receive additional funding support from a variety of other resources, such as the Community Services Block Grant, federal funding sources other than HUD, local charitable foundations, such as the United Way, to provide a comprehensive array of services to the participants within their homeless programs. These CoC and other federal/State/local funds are not included in the calculations for ESG match for HUD reporting but are considered when DHHS is evaluating financial stability of the organization in the NHAP applicant review process and for DHHS' strategic planning with collaborating agencies.

## HOPWA

Leveraged Funds in the HOPWA Program are the amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery. In-kind Leveraged Resources involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources.

### Source of Leveraging Chart for the HOPWA Program

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution
Public Funding		
Ryan White-Housing Assistance	\$27,304.89	Rent Assistance
Ryan White Part C-University of NE Med Center	\$196,505.05	HIV Care
Housing Choice Voucher Program	\$4,350.00	Section 8 Vouchers
Low Income Housing Tax Credit		
HOME		
Shelter Plus Care		
Emergency Solutions Grant		
Ryan White Part B-AIDS Drug Assistance (ADAP)	\$955,733.45	Medications
Ryan White Part B-Utility Assistance	\$18,827.94	Utility Assistance
Ryan White Part B-Food Assistance	\$5,675.00	Food Vouchers
Ryan White Part B-Transportation Assistance	\$48,572.79	Transportation to access care
Other Public:	\$0.00	
Private Funding		
Grants	\$0.00	
In-kind Resources	\$0.00	

Other Private: NE AIDS Project-Flowers' Fund	\$4,453.14	Housing and utility assistance, supportive services
Other Private:		
Other Funding		
Grantee/Project Sponsor/Subrecipient (Agency) Cash	\$0.00	
Resident Rent Payments by Client to Private Landlord	\$23,204.00	
<b>TOTAL (Sum of all Rows)</b>	<b>\$1,284,626.26</b>	

Nebraska's HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska's HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the NE HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care.

Nebraska's HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHCP) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation.



The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The NE HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

## HOME Match Summary

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$40,319,744.49
2. Match contributed during current Federal fiscal year	\$2,832,427.94
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$43,152,172.43
4. Match liability for current Federal fiscal year	\$ 315,302.72
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$ 42,836,869.71

**Table – Fiscal Year Summary - HOME Match Report**

HOME Match Contribution for the Federal Fiscal Year (FFY) 2016 (October 1, 2015 - September 20, 2016)

1. Project Number	2. Date Project Committed Contribution	3. Cash (Non-Federal Sources)	4. Forgone, Taxes, Fees, Charges	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
13CHHP5016H	12/15/2015	\$ 88,000.00						\$ 88,000.00
13CHHP5016I	12/15/2015	\$ 100,640.00						\$ 100,640.00
13CHHP5016J	12/15/2015	\$ 74,000.00						\$ 74,000.00
13CHHP5016K	12/15/2015	\$ 108,061.00						\$ 108,061.00
13CHHP5016G	12/15/2015	\$ 106,702.00						\$ 106,702.00
12CHHP2001D	11/13/2015	\$ 25,000.00						\$ 25,000.00
12OERH7053	10/14/2015	\$ 975,000.00						\$ 975,000.00
12OERH4056	10/7/2015	\$ 239,500.00						\$ 239,500.00
12TFHP1035F	10/5/2015	\$ 4,186.00						\$ 4,186.00
12TFHP1035G	10/23/2015	\$ 2,757.00						\$ 2,757.00
13TFHO6005C	11/17/2015	\$ 17,630.00						\$ 17,630.00
13TFHO6005D	11/10/2015	\$ 33,335.00						\$ 33,335.00
13TFHO6006B	11/10/2015	\$ 14,275.00						\$ 14,275.00

13TFHO4009A	10/1/2015	\$ 25,000.00						\$ 25,000.00
13TFHO4009B	10/1/2015	\$ 18,670.00						\$ 18,670.00
13TFHO4009C	10/1/2015	\$ 20,860.00						\$ 20,860.00
13TFHO4009D	7/18/2015	\$ 16,560.00						\$ 16,560.00
13TFHO4009E	12/15/2015	\$ 18,002.10						\$ 18,002.10
13TFHO4009F	12/11/2015	\$ 24,981.01						\$ 24,981.01
13TFHO4009G	12/17/2015	\$ 11,210.00						\$ 11,210.00
13TFHO4009H	5/24/2016	\$ 24,000.00						\$ 24,000.00
13TFHO4009I	5/26/2016	\$ 9,140.00						\$ 9,140.00
12TFDH069B	2/1/2016	\$ 10,000.00						\$ 10,000.00
13TFHP5015B	10/2/2015	\$ 646.77						\$ 646.77
13TFHP5015C	9/10/2015	\$ 3,636.98						\$ 3,636.98
13TFHO6006H	8/4/2016	\$ 15,000.00						\$ 15,000.00
13TFHO6006I	7/14/2016	\$ 6,835.00						\$ 6,835.00
13TFHO6005E	1/22/2016	\$ 23,655.00						\$ 23,665.00
13TFHO6005F	5/31/2016	\$ 23,825.00						\$ 23,825.00
15TFHP33023A	6/27/2016	\$ 11,600.00						\$ 11,600.00

12TFHP101043	1/13/2016	\$ 3,000.00						\$ 3,000.00
12TFHP101042	12/21/2015	\$ 300.00						\$ 300.00
12TFHP101040	10/27/2015	\$ 1,050.00						\$ 1,050.00
13OEHO3012F	3/7/2016	\$ 3,522.81						\$ 3,522.81
14CHHP6083A	6/28/2016	\$ 133,714.76						\$ 133,714.76
14CHHP6083B	6/28/2016	\$ 163,122.51						\$ 163,122.51
13OERH1079	2/24/2016	\$ 250,000.00						\$ 250,000.00
13OERH3080	2/24/2016	\$ 225,000.00						\$ 225,000.00
		\$ 2,832,417.94						\$ 2,832,427.94

**HOME MBE/WBE report**

<b>Program Income – Enter the program amounts for the reporting period</b>				
<b>Balance on hand at begin-ning of reporting period</b> \$	<b>Amount received during reporting period</b> \$	<b>Total amount expended during reporting period</b> \$	<b>Amount expended for TBRA</b> \$	<b>Balance on hand at end of reporting period</b> \$
0	0	0	0	0

Table 1 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Number	42	0	0	0	0	42
Dollar Amount	\$91,389.59	0	0	0	0	\$91,389.59
<b>Sub-Contracts</b>						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
<b>Contracts</b>						
Number	42	1	41			
Dollar Amount	\$91,389.59	\$4,875	\$86,514.59			
<b>Sub-Contracts</b>						
Number	0	0	0			
Dollar Amount	0	0	0			

Table - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition		
Parcels Acquired	0	0

Businesses Displaced	0	0
Nonprofit Organizations Displaced	0	0
Households Temporarily Relocated, not Displaced	0	0

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table – Relocation and Real Property Acquisition



**CR-20 - AFFORDABLE HOUSING** 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	<b>One-Year Goal</b>	<b>Actual</b>
Number of Homeless households to be provided affordable housing units.	452	1251
Number of Non-Homeless households to be provided affordable housing units	125	355
Number of Special-Needs households to be provided affordable housing units	57	16
<b>Total</b>	<b>634</b>	<b>1622</b>

Table – Number of Households

	<b>One-Year Goal</b>	<b>Actual</b>
Number of households supported through Rental Assistance	464	2157
Number of households supported through The Production of New Units	70	136
Number of households supported through Rehab of Existing Units	80	170
Number of households supported through Acquisition of Existing Units	25	47
<b>Total</b>	<b>639</b>	<b>2512</b>

Table – Number of Households Supported

**Discuss the Difference between Goals and Outcomes and problems encountered in meeting these goals.**

As the information above demonstrates, a significant number of households are served annually through affordable housing programs. The goals were obtained in all categories of the above table except for the number of Special-Needs households to be provided affordable housing units. This is because projects were not submitted under the Targeted Needs Set-Aside under HTF as a result of the HTF Program still in

the beginning stages of being implemented. Sistenn (16) recipients were served under the HOPWA Program.

In the State of Nebraska, there has been a significant increase from what was projected for the provision of affordable housing and rental assistance for homeless individuals through the expansion of these services using ESG/HSATF funds.

One year goals for the number of “Non-Homeless” are estimated from the number of units proposed within the Housing Program Goal.

One year goals for the number of “Special-Needs” are estimated from the number of households that will be served through the HOPWA Program. The number of households for HOPWA met the projected goals of 16 households served. Please see HOPWA 2016 CAPER for further information.

The one-year goal of supporting households through the production of new units was accomplished through the use of HOME and NAHTF funds through new construction projects of homebuyer and rental units.

The one-year goal of supporting 80 households by rehabilitating existing units exceeded the goal with an outcome of 170 households supported in this manner. This includes an expected outcome of 30 rental units rehabilitated with an actual outcome of 17, and an expected 50 units of homeowner occupied housing rehabilitated with an actual outcome of 153.

As discussed previously, the one-year goal of supporting 25 households through the acquisition of existing units was met with an actual outcome of 47.

One year goals for the number of “Homeless” households supported are based on the prior year’s data for the numbers of households that received TBRA (Tenant Based Rental Assistance) financial assistance including rental application fees, security and utility deposits, last month’s rent, utility payments, and moving costs; and short-term rent assistance through the Nebraska Homeless Assistance Program (NHAP) funds which are a combination of federal HUD ESG and State of Nebraska HSATF funds. The actual outcome, number of individual who received this type of assistance, greatly exceeded expectations due a concerted move to the “Housing First” model. (See CR-05 for additional information).

Rental assistance is provided wholly through NHAP and HOPWA resources that include Tenant Based Rental Assistance (TBRA); financial assistance including rental application fees, security and utility deposits, last month's rent, utility payments, and moving costs; and short-term rent assistance. The number of households for "Rental Assistance" includes the number of households served by both ESG and HOPWA TBRA assistance combined.

The actual outcome exceeded the number of expected one year goal in this category due to several factors. The first one being the surge of NHAP subrecipient agencies utilizing resources to support Rapid Rehousing and Homelessness Prevention Programs rather than emergency shelter programs. Furthermore, the initial goal of 464 households did not include the expected number of households who would be provided rental assistance through NHAP-funded Homelessness Prevention services. HOPWA exceeded their goal of serving homeless and non-homeless special needs populations with rental assistance of 12 by 4 households, making a total of serving 16 households.

## **NHAP**

As the data demonstrates, a significant number of households were served through rental assistance programs through ESG and HSATF funds distributed through NHAP, with a significant increase in homeless individuals receiving rental assistance through the expansion of these services in the State of Nebraska.

One year goals for the number of "Homeless" households supported were based on the prior year's data for the numbers of households that received tenant based rental assistance including rental payments, security deposits, and last month's rent through the Nebraska Homeless Assistance Program (NHAP) funds which are a combination of federal HUD ESG and State of Nebraska HSATF funds. The actual outcome, number of individual who received this type of assistance, greatly exceeded expectations due to a concerted move to the "Housing First" and a Coordinated Entry model where NHAP subrecipients are utilizing resources to support Rapid Rehousing programs rather than emergency shelter programs. (See CR-05 for additional information). All household units that received direct financial assistance by a NHAP funded program were required to meet the definition of affordable housing as evaluated through Fair Market Value and Rent Reasonableness calculations.

A major barrier in the State of Nebraska continued to be the lack of affordable housing. While this is a state-wide phenomenon, rural areas of Nebraska experienced significant issues with finding housing. In several parts of the State, large agricultural factories opened, which in turn, raised the rental costs in the area due to large demand. In other very rural areas, rental housing was very limited and was often rented through word-of-mouth rather than publically listed for rent. Common for both urban and rural areas was that rent increased significantly making it difficult to locate housing that met Fair Market Value and Rent Reasonableness testing.

**Discuss how these outcomes will impact future annual action plans.**

The outcomes discussed for Program Year 2016 reflect that anticipated indicators of outcome measures for affordable housing were useful planning tools for the Nebraska DED and Nebraska DHHS. Therefore future annual action plans will continue to be created in coordination and collaboration with Nebraska partners and stakeholders as discussed in the current consolidated plan.

Regarding the NHAP ESG/HSATF funds, DHHS will continue to evaluate the balance of the needs for Emergency Shelter versus Homelessness Prevention and Rapid Rehousing programs throughout the State of Nebraska due to the significant discrepancy between the expected and actual outcomes for households served.

The Affordable Housing Program of Nebraska DED will continue to utilize outcome measurements to inform decisions and future housing planning, including monitoring outcome results throughout the next Program Year as grants are awarded to evaluate whether anticipated households served under the specific objectives are accurately reflecting the current needs of the Housing Priority in Nebraska. The outcome measurement of the number of households supported through Acquisition of Existing Units will be reviewed throughout the year especially to ensure a more accurate description of current need

## **NHAP**

The Nebraska Homeless Assistance program continues to utilize their advisory body, the Commission on Housing and Homelessness, to search out innovative ideas to provide affordable housing throughout the State of Nebraska. It is through this process, additional collaboration with the Department of Economic Development, who is the recipient of CDBG and HOME grants, collaboration with NHAP funded grantees and input from the Coordinated Entry processes NHAP hopes to help guide the continued development of affordable housing in Nebraska.

Also, due to the introduction of a much more efficient Coordinated Entry process and the significant changes from providing services through emergency assistance to rental assistance, NHAP is considering targeting subrecipients' funding for financial assistance services in supporting/maintaining homeless and near-homeless individuals/families in permanent housing. NHAP will continue to consult with the applicable CoCs to conduct a statewide assessment and to assist in strategic planning to move towards ending homelessness in Nebraska.

In addition, DHHS will continue to evaluate the balance of the needs for Emergency Shelter versus Homelessness Prevention and Rapid Rehousing programs throughout the State of Nebraska due to the significant discrepancy between the expected and actual outcomes for households served. Evaluation will be conducted through review of subrecipient data from both the traditional homeless and victim service providers, on-site and fiscal monitoring of subrecipients and continuation of consultation with the Balance of State (BoS) Continuum of Care (CoC) to ensure proper alignment of program activities and to ensure consistency with program delivery. DHHS is also researching the availability of non-NHAP funded homeless services programs throughout the state and having discussions with other federally funded agencies where subrecipients cross-over between the funding pools to ensure that NHAP funds are maximized to effectively and efficiently meet the needs of homeless individuals throughout the State of Nebraska.

One particular area that NHAP is investigating is other emergency utility assistance programs through DHHS. NHAP is working with subrecipients and other programs to utilize other utility assistance programs which will increase the NHAP funding available for other Rapid Rehousing expenses such as rent and deposits. NHAP has received feedback that due to funding shortages, NHAP subrecipient agencies are unable to provide as many months of rental assistance needed for individuals to re-establish and stabilize

in the community and are working towards ensuring that participants remain on the program for sufficient time through extended rental assistance.

**HOPWA**

HOPWA will continue to utilize outcome measurements to inform decisions and future planning and services for recipients.

**Include the Number of Extremely low-income, Low-income, and Moderate-income Persons Served by each activity where information on income by family size is required to determine the eligibility of the activity.**

<b>Number of Households Served</b>	<b>CDBG Actual</b>	<b>HOME Actual</b>
Extremely Low-income	0	0
Low-income	0	35
Moderate-income	62	
<b>Total</b>	<b>62</b>	35

**Table – Number of Households Served**

**Narrative Information**

The above Table represents the Nebraska Affordable Housing Program data available concerning extremely low-income, low-income, and moderate-income persons served by each activity this Program Year where information on income by family size is required to determine the eligibility of the activity. The table displays housing information associated with CDBG and HOME funds. This narrative will include information associated with funding used by the program of CDBG, HOME, HTF, and NAHTF as it relates to renter and homeowner households assisted in regard to income level through housing projects.

The number of extremely low-income, low-income, and moderate-income persons served by each activity of the Nebraska Affordable Housing Program where information on income by family size is required to determine the eligibility of the activity is documented and tracked through the state and federal fiscal databases, and application process and procedures, among other tools. For the purposes of the table above, the number of households served by CDBG and HOME that fall under extremely low is defined as 30% and below AMI; low-income as between 31% and 80%; and moderate income as between 81% and 100%. There are also housing projects which assisted households which are between 81% - 120% AMI,

funded through the Nebraska Affordable Housing Trust Fund.

During Program Year 2016, there were 38 projects awarded by the Nebraska Affordable Housing Program.

## **HOME**

Seven (7) HOME projects were awarded.

Three (3) HOME projects awarded were for rental new construction, creating 11 HOME household units, to be rented by households at or below 60% AMI.

Two (2) HOME projects awarded were for rehabilitation of rental units, which included one HOME CHDO project. These projects result in the rehabilitation of 13 rental units. Four (4) rental units are to be rented by households at or below 50% AMI, and nine (9) rental units are to be rented at or below 60% AMI.

Two (2) HOME CHDO projects are to add 11 homebuyer units. One project is a purchase, rehab, resale project and the other is for new construction of homeowner (homebuyer) housing with down payment assistance. Both projects assist households at or below 80% AMI.

## **HTF**

One HTF-funded project awarded will result in four (4) rental rehab units to be rented by extremely low income renter households of 30% AMI or below.

## **CDBG**

Six (6) Homeowner Housing Rehabilitation projects were awarded CDBG funds. Sixty-two (62) households were assisted by these projects. These households are at or below 80% AMI.

## **NAHTF**

Twenty-nine projects were awarded with Nebraska Affordable Housing Trust Fund (NAHTF) dollars, of which eight(8) projects were homeowner housing rehabilitated projects, thirteen (13) Homebuyer projects; four (4) Rental projects, and five (5) operating assistance projects. These projects resulted in three (3) renter households at or below 60% AMI assisted; 59 homeowner households at or below 80% AMI assisted; twenty-four (24) renter households at or below 120% AMI assisted; one-hundred and three

(103) homeowner households at or below 100% AMI assisted; fifty-two (52) homeowner households at or below 120% assisted.

Six Homeowner, owner-occupied housing rehabilitation projects were awarded with CDBG funds. These projects resulted in fifty-six (62) homeowner household units at or below 80% AMI assisted and the units rehabilitated.

**HOPWA** reports the area median income(s) for all total HOPWA eligible individuals served with HOPWA housing subsidy assistance in the 2016 HOPWA CAPER:

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	66
2.	31-50% of area median income (very low)	16
3.	51-80% of area median income (low)	8
<b>4.</b>	<b>Total (Sum of Rows 1-3)</b>	<b>90</b>



## **CR-25 - HOMELESS AND OTHER SPECIAL NEEDS** *91.220(d, e); 91.320(d, e); 91.520(c)*

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

### **NHAP**

The State of Nebraska's NHAP funding is focused on assisting the literally homeless in support of reaching the goal of functional zero of homeless individuals in Nebraska. Nebraska's "All Doors Lead Home Coordinated Entry" is tapping the potential to coordinate resources across the state to create an efficient homeless services system which targets the most vulnerable homeless individuals in each geographic region. The goal of coordinated entry is to create a seamless process from reaching out to homeless individuals and working collaboratively towards permanent housing utilizing the "Housing First" model.

DHHS has been establishing an overreaching goal to challenge each region to include a more comprehensive array of agencies and other entities who may have contact with both the sheltered and unsheltered homeless population. NHAP subrecipients were required to participate with their local Continuum of Care which serves as the entry point for coordinated assessment for homeless services in the region. Providers in the CoCs worked closely with other community agencies that encounter unsheltered homeless persons in order to build symbiotic relationships to increase the referral networks to CoC provider agencies when the community agencies encounter unsheltered persons in need of assistance. The Coordinated Entry team has developed lists of agencies or entities that may be in contact with homeless individuals and provided training on referring to publically identified access points for Permanent Supportive housing and Rapid Rehousing programs. Those efforts were being made in hopes of reducing barriers for homeless and at-risk of homeless individuals accessing programs available in the community.

NHAP also continued to fund Street Outreach programs and/or coordinated NHAP funded activities within agencies who offered Street Outreach programs to provide a support system for those individuals that are literally homeless. As such, a portion of funding was designated to street outreach to identify unsheltered individuals and support them with the services they needed to allow them to regain stable

housing in an expedited manner using the “Housing First” model to meet the end goals of reintegration into the community. To measure the effectiveness of Nebraska’s ability to reduce the number of unsheltered individuals and families and end homelessness, the Homeless Point in Time Count (PIT) was used.

For each Outreach program funded by NHAP, performance was measured based on:

1. An increase in the number of contacts with unduplicated individuals made during time of provision of services.
2. An increase in the percentage of persons placed in housing with the goal of permanent housing. Emergency shelter is utilized for the homeless population to be housed immediately if participant’s safety is at risk.
3. An increase in the percentage of persons that increase non-cash and/or cash benefits at program exit (if applicable for program participants are served for a length of time where these goals can be met)

Many of the traditional emergency shelters, which served the literally homeless and were often the front door for chronically homeless and unsheltered individuals, were refocusing their resources towards developing Rapid Rehousing programs in an effort to move individuals quickly from literally homeless to housed with sufficient supports in place to promote the participant’s success. For agencies that did not have the organizational capacity to directly provide Rapid Rehousing programs, they were directed to coordinate closely with agencies within their communities to promote a seamless transition through Coordinated Entry from literally homeless to being permanently housed in an expedited and human-centered manner.

## **HOPWA**

The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program from ten clients to sixteen, with hopes of adding more eligible clients soon.

## Addressing the Emergency Shelter and Transitional Housing Needs of Homeless Persons

### **NHAP**

Emergency shelter and Transitional Housing are a critical part of the homeless service system and will remain a needed service throughout the State for a variety of reasons, but not limited to; restrictive participant income eligibility for RR and HP programs, short-term housing solutions for working poor experiencing temporary barriers to independent housing due to financial shortfalls or those experiencing domestic violence, lack of immediately available fair market housing, individuals exiting substance abuse treatment centers, youth under the age of 25 who have not built rental/credit history, and/or participant need for intensive case management and services prior to independent housing. Shelters provide temporary refuge and often serve as the “front door” or first place of entry to those experiencing homelessness to receive supportive services and access mainstream services. Despite the barriers to entering permanent housing programs, agencies have been making increased efforts collaborate within their region to provide seamless services from emergency shelter to permanent housing solutions.

For NHAP programs funded to support Emergency Shelter Programs, performance was measured based on:

1. Increased bed utilization rate.
2. The average length of stay of the households served decreasing from prior year.
3. Increase in the percentage of discharged households to permanent housing.

For NHAP program funded to support Transitional Housing Programs, performance was measured based on:

1. Increased bed utilization rate.
2. Increase in the percentage of discharged households to permanent housing.
3. Increase in the percentage of discharged households with more cash and/or non-cash benefits.

It has been demonstrated through performance measures and unpredictably low numbers of individuals served in emergency shelters with the offset of higher than expected number of individuals served

through Homelessness Prevention and Rapid Rehousing that Nebraska is working diligently to work towards making instances of homelessness temporary and rare.

**Helping Low-income Individuals and Families avoid Becoming Homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

Throughout Nebraska, many communities had systems in place funded through ESG/HSATF which were targeted towards homeless prevention. Community Action Programs and other non-profits provide wrapped around services to address poverty and NHAP was committed to supporting those efforts. Public benefits such as food stamps (SNAP), TANF, and other benefits offered through DHHS and other community agencies such as Assistance to the Aged, Blind and Disabled programs were just a few examples of services and/or grants distributed state-wide to provide financial assistance to those who were extremely low-income and required assistance in order to stabilize their financial situation to ensure they were able to maintain self-sufficient housing.

NHAP's goal to increase the percentage of households with both more cash and non-cash benefits for all programs participants has helped those low-income families and individuals to decrease the risk of homelessness. In additional support of those efforts, NHAP currently provided funding from the Homeless Shelter Assistance Trust Fund to provide financial support for agencies, designed by their local CoC, to support specialized SOAR case managers to assist homeless individuals in accessing SSI and SSDI benefits if they qualify. NHAP has been reaching out to promote the SOAR program to increase the number of individuals exiting to permanent housing with cash and medical benefits. One of the ways NHAP has focused reducing the number of homeless or near-homeless in our communities was through reaching out to promote the SOAR program to agencies such as the Department of Corrections and DHHS' transition aged youth team to begin the process of applying for benefits prior to program/institution exit.

The State will continue to evaluate policies across the CoC regions in order to help low-income individuals and families to avoid becoming homeless, especially extremely low-income families who are being

discharged for the publicly funded institutions and systems of care, such as health care facilities, mental health facilities, youth facilities, and criminal justice programs. The CoC regions and NHAP subrecipients actively promoted and pursued coordination with community providers and worked towards integrating individuals served with mainstream resources and services through incorporation of agencies into regional CoC to promote coordination of services. Many of the NHAP funded agencies have outreach workers who connected with incarcerated individuals who were in the process of re-entry to begin applications and to establish supports in the community in efforts to reduce homelessness and recidivism. NHAP will continue to work and support the CoCs involvement to provide permanent supported housing options for those individuals at highest risk of becoming homeless through the utilization of the Coordinated Entry process.

#### **HTF**

The National Housing Trust Fund (NHTF) is an affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families. A portion of HTF resources will be used for the creation of additional housing for the targeted needs populations, including populations that may include: children aging out of foster care, and other populations that are extremely low income with housing shortages throughout the state. Preferences will be made for those populations served through the HTF Targeted Needs category.

Nebraska allocates a portion of the State's annual HTF allocation for targeted needs housing projects funded with non-LIHTC resources. These pilot projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. This allocation will be referred to as the "Targeted Needs Set Aside".

#### **HOPWA**

HOPWA assists low-income individuals and families who also are persons with AIDS avoid becoming homeless, especially extremely low-income individuals and families and those who are likely to become homeless after being discharged from publicly funded institutions and systems of care, such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and

institutions. HOPWA provides a number of services and categories of assistance which provide help in areas of housing, health, social services, among others. HOPWA Eligible Individual is the one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household."

A primary goal of Nebraska's HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska's HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers for the RentWise tenant education course, refers for budgeting and life skills classes, and refers for other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program from ten clients to sixteen, with hopes of adding more eligible clients soon.

The HOPWA Program is leading DHHS' efforts to procure a new case management software system for the HOPWA and Ryan White Part B Programs, which will enhance case management services for clients State-wide.

During this Program Year, the HOPWA Program assisted 16 households with Tenant-Based Rental Assistance. The Program also assisted 68 households with Short-term Rent, Mortgage, and Utility Assistance. Short-Term Rent, Mortgage, and Utility (STRMU) Assistance is a time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving ten clients to sixteen. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2016 subgrant with NAP did continue to require case management staff to complete the "Getting to Work" Training Curriculum

(developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are “somewhat adherent” or “very adherent” in accessing ongoing HIV health care with 97% of HOPWA clients “somewhat adherent” or “very adherent” to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The State of Nebraska continued to uphold the expectation NHAP sub-recipients utilize the Housing First model, participate in the Coordinated Entry process and encouraged prioritization of utilizing Rapid Re-Housing programs towards the end goal ending homelessness in Nebraska. Technical assistance continued from the State to support the implementation of Outreach, Homelessness Prevention and Rapid Re-Housing services through NHAP grant awards towards increasing the number of homeless households served.

All NHAP subgrantees had the expectation that they participate in their local Continuums of Care to coordinate resources to ensure that homeless populations with the highest need were identified. By CoC’s



using the coordinated entry system, this allowed for making appropriate housing decisions and more effectively targeted resources to those most in need. Nebraska utilized the VI-SPDAT tool to target the most vulnerable and chronically homeless individuals, youth and families in the State. Higher scores corresponded to greater need and were prioritized on the each of the CoCs housing list for either Permanent Supportive Housing, if the situation warranted, or Rapid Rehousing if less supports were needed. The Coordinated Entry system incorporated transition aged youth as a specialized population which received priority for Transitional Housing or Rapid Rehousing programs, depending on their needs. Consequently, by identifying chronically homeless and the most vulnerable individuals in the state, active coordination between agencies and then making an expedited and appropriate level of intervention, individuals and families experiencing homelessness were served in an efficient manner.

During the next year, NHAP will monitor the length of time households are homeless through HMIS, and then establish targets for agencies to assist households into permanent housing utilizing the “Housing First” model. NHAP continued to monitor subrecipients progress toward increasing the number of households placed in permanent housing and provided technical assistance, resources and supports to agencies. Additionally, a process was established for the HMIS provider to supply monthly reports to NHAP regarding the number of individuals placed on the Coordinated Entry list to compare to the number of individuals entering Rapid Rehousing to ensure that the Coordinated Entry process is being followed by ESG subrecipients according to priority listing as adopted by the CoCs.

With the support of housing stability case management and financial assistance services, NHAP has and will continue to fund subrecipients who work to help keep recently housed individuals from returning to homelessness. The goal to increase the percentage of discharged households with both more cash (either through public assistance, SSI or employment income) and non-cash benefits should help increase the economic security many individuals and families need to successfully maintain self-sufficient housing.

## **HTF**

In addition, through the utilization of HTF funds, and the collaboration with homeless service providers, additional permanent housing can be created for those extremely low income persons throughout the state. This collaboration will continue to include outreach with the Continuum of Care networks throughout Nebraska, including the Omaha CoC, the Lincoln CoC, and the Balance of State.

A portion of HTF funds will be used for the creation and rehabilitation of permanent housing throughout the state. Within this distribution category, preference will be given to persons who are homeless; persons at risk of becoming homeless; and other special needs populations.

Nebraska allocates HTF funds for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha CoC; the Lincoln CoC; and the BoS CoC. This allocation is referred to as the “Permanent Housing Set Aside.”

## **HOPWA**

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Specifically associated with helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, this program assisted 21 households with permanent housing placement, including move-in expenses, security deposit, first month’s rent and/or last month’s rent and supportive services, and 16 with tenant-based rental assistance. In the 2016 HOPWA CAPER there is a tracking mechanism of a table, “Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities).” It is noted in that table that of the 16 households assisted with tenant-based rental assistance, 13 of them continued receiving HOPWA housing subsidy assistance into the next operating year in order to assist with housing stability. There were 15 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement.

Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

In addition, with regard to the prevention of homelessness, and reducing the risks of homelessness, of the 68 households assisted with Short-Term Rent, Mortgage and Utility Assistance (STRMU) Assistance, 20 had an outcome of stable/permanent housing (PH); 44 had an outcome of temporarily stable, with reduced risk of homelessness, and 4 had an outcome of unstable arrangements, with 1 in jail/prison and 3 disconnected from communication. Of the total households that received STRMI Assistance in this operating year, 32 received STRMI assistance in 2 consecutive operating years, and 13 in 3 consecutive operating years. Please see HOPWA CAPER "Assessment of Households that Received STRMI Assistance" table.

## **CR-30 - PUBLIC HOUSING** 91.220(h); 91.320(j)

### **Actions taken to address the needs of public housing**

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

### **Actions taken to provide assistance to troubled PHAs**

The State does partner with housing authorities on some projects. A troubled PHA may try and work with the State in determining ways in which properties owned by the PHA may be improved in order to improve long term viability of properties. No troubled PHAs have worked with the State recently and therefore no additional actions have been taken during this Program Year.

## **CR-35 - OTHER ACTIONS** 91.220(j)-(k); 91.320(i)-(j)

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

MA-40 of the State's 2015-2019 provides detailed information related to barriers to affordable housing. Below is a summary of that information.

The Consolidated Plan regulations require the state to explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing are affected by public policies. Such policies include tax policy affecting land and other property, land use controls, zoning ordinances, building codes, fees and charges, growth limits, and policies that effect the return on residential investment. Further, the state is required to remove or ameliorate negative effects of public policies that serve as barriers to affordable housing.

### **Tax Policy Affecting Land & Other Property**

The State has taken steps to alleviate some of the property tax burden that is a barrier to affordable housing. The majority of property taxes are levied by school districts and in an attempt to reduce some of that burden Nebraska has pushed for the consolidation of schools and in some cases school districts. In addition, the State has devised a State Aid to education formula that subsidizes local school districts, thus reducing local taxes.

In addition, as part of the Tax Reform Act of 1986, the United States Congress created the Low-Income Housing Tax Credit (LIHTC) (IRC Section 42) to promote development of affordable rental housing for low-income individuals and families. To date, it has been the most successful rental housing production program in Nebraska, creating thousands of residences with very affordable rents. The Low-Income Housing Tax Credit, rather than a direct subsidy, encourages investment of private capital in the development of rental housing by providing a credit to offset an investor's federal income tax liability. The amount of credit a developer or investor may claim is directly related to the amount of qualified development costs incurred and the number of low-income units developed that meet the applicable

federal requirements for both tenant income and rents. The Nebraska Investment Finance Authority (NIFA) is designated as Nebraska's housing credit allocation agency.

The Nebraska Department of Economic Development collaborates with NIFA by providing HOME or NAHTF resources to create successful projects. Because the current number of LIHTC's are limited in Nebraska in comparison to previous years there are not enough tax credits in order to finance the number of low income housing projects that are needed within the state. Less credits means less affordable housing projects that can be funded.

In order to obtain more credits, the Tax Reform Act needs to be revised at the national level. A larger number of LIHTCs in Nebraska would reduce one of the negative effects of a public policy that impacts affordable housing in the state.

In 2015 efforts were made within the Nebraska Legislature to revise the method for appraisals made on low income housing tax credit projects. This revised approach, which was signed into law by the Governor in 2015, requires that appraisals by the county assessor utilize a income-approach calculation to determine the actual value of a rent-restricted housing project. See also LB356 from the 2015 Nebraska Unicameral. This improved approach will improve the tax policy in Nebraska for low income affordable housing units and will reduce a current barrier that exists for affordable housing development, which is high tax values on low income generating properties.

## **Land Use Controls & Zoning Ordinances**

In Nebraska, the state government has very limited influence on land use controls. In particular, the state government cannot dictate the content of locally adopted land use regulations, such as local zoning and subdivision regulations; they are a matter of local control. While State law authorizes local governments to adopt comprehensive planning and zoning requirements, it does not dictate the standards to be included in the local regulations. Also, state law does not mandate that counties or municipalities adopt zoning or comprehensive planning. However, many counties and communities have adopted both zoning and planning ordinances in their areas. The authority to adopt local zoning is permissive; whether to adopt zoning and the requirement to be established is up to the local government.

It is the State's view that there should remain a minimum amount of state-imposed laws, regulations, and ordinances in relation to land use controls and zoning ordinances. Because the state has very little control over these local zoning decisions, land use controls, impact fees, etc. changes would be needed at the local level, if it is determined that local land use controls and local zoning is considered a barrier to affordable housing.

## **Building Codes**

The State has adopted the International Building Code and the International Residential Code, both of which impact the way in which housing must be constructed within Nebraska. Local ordinances also impact local building codes within any given community. The types of codes that are enacted at the local level may impact affordable housing and be considered a barrier. Building codes may adversely affect housing production and could increase housing costs. Efforts are needed to ensure that local building codes do not hamper additional investment for affordable housing throughout the state.

Revised building codes that could help low income tenants would be to ensure properties are energy efficient and thus reducing the overall utility costs of low income tenants. The costs of implementing such an approach would have to be evaluated in order to determine if it would be an appropriate public policy that would be used to increase affordable housing in Nebraska.

## **Growth Limits**

In some areas of communities regulatory barriers have been developed which places limits on the number of market rate, rental, and affordable housing that may be located within a given area. These growth limits may be considered a negative public policy that may impact affordable housing. Because many of these limits are made at the local level, the State does not have controls in place that would limit the local control of growth limits in given areas. It is the State's view that there should remain a minimum amount of state-imposed laws, regulations, and ordinances in to growth limits. However, education and outreach are important tools in showing the public that mixed income developments (both market rate and rent-restricted units) are not harmful to communities. This outreach would assist in reducing any negative impacts that growth limits may have on affordable housing.

## **Policies Impact Return on Residential Investment**

The amount of return on a residential investment is impacted by the amount of rents that can be generated within a rental development or the amount that a property can be sold to a homebuyer after a home is constructed or rehabilitated. In many instances because affordable housing does not generate large monthly rents, the return on residential investment is limited. The same is true for homebuyer units that are sold. Because these are moderately priced properties, when these properties are sold, the amount of return on residential investment is also limited.

The State does not have control on the amount of residential return on investment as this is determined at the local level when a property is sold or when it is rented.

## **Other Effects of Public Policy on Affordable Housing & Residential Investment**

Through meetings and discussions with the public during the development of the Consolidated Plan some additional barriers or constraints to the development of affordable housing were determined that included: lack of housing contractors throughout the state; housing construction costs (including labor and materials); residential home values in certain markets; lack of sufficient rental units in some communities; lack of residential units for homebuyers (both low income and market rate) in many communities; lack of infrastructure for development, and ; insufficient establishment and enforcement of building codes. These barriers negatively affect both the private and public sectors in ensuring that proper affordable housing and residential investment is made available within communities throughout the state.

The State continues to evaluate ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded.

The State has little control over the costs of materials and labor, and therefore the overall housing



construction costs, but can work with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.

The State also has little control over the value of residential units in certain markets. Market conditions vary from community to community. In some instances, it may be necessary for additional subsidies to be obtained in order to make it feasible for developers and contractors to build in certain markets.

In terms of lack of residential units (both for rental and homebuyers) the State continues to partner with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.

The State does work to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives including through the Nebraska Affordable Housing Program (NAHP).

Assistance with code enforcement development and implementation is encouraged and implemented in many communities through State programs that include: Comprehensive Revitalization, Comprehensive Investment & Stabilization, and in many other housing initiatives.

### **Actions Taken to Address Obstacles to Meeting Underserved Needs. 91.220(k); 91.320(j)**

The State continued its efforts to meeting underserved needs by providing funding to several organizations throughout the state.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

Other Organizations that the State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

According to 2016 ACS 5-year estimates, approximately 12.4% of the population in Nebraska is below the poverty level. The State of Nebraska recognizes that a single program or emphasis cannot be used to alleviate poverty across the state. The causes of poverty vary widely as do the required actions to reduce the number of poverty-level households. Accordingly, the goals, programs and policies described in this section are intended to work collectively to reduce the number of poverty-level families in the State of Nebraska.

The State, in coordination with non-profit agencies and business, can influence the chances of helping individuals and families move up and out of poverty by supporting local and regional efforts to improve family and individual incomes. The State's goals related to providing decent housing, suitable living environments and expanding economic opportunity can be accomplished through various program strategies and services that will assist in the reduction of families and individuals experiencing poverty.

More specifically, the State will target economic and community development activities to increase the number of high paying jobs in the State. These activities are targeted at low to moderate-income residents

to assist these persons in accessing high paying jobs. State and regional projects and initiatives that will impact the employment and economic levels of employees and residents, such as infrastructure improvements to water and sewer systems, community facilities, downtown commercial rehabilitation, and economic development activities, will create a positive economic environment.

The State also provides affordable housing to persons that are low to moderate-income through the housing programs listed in the following section. All beneficiaries of these programs must be low to moderate income or extremely low-income individuals. While these programs do not directly reduce the number of poverty-level families in the state, they can alleviate the cost burden of housing for households that are already impoverished.

Details about the programs which assist with these anti-poverty goals and policies are included in the following section.

Some programs that assist with this anti-poverty strategy include: CDBG, HOME, ESG, and HOPWA. A summary of the anti-poverty strategy for each of these four programs includes:

**CDBG**—The primary objective of the program includes assisting low income persons throughout the state and this is completed through utilizing CDBG resources for project activities that include owner occupied rehabilitation for low income homeowners; job creation for low income employees of eligible businesses; infrastructure and community facilities improvements in order to assist those communities who have populations with at least 51% of the residents who are low income; and downtown revitalization and community revitalization projects which include primarily improving low income areas of the community or eliminating slum and blight within a community.

**HOME**—Program resources are used to create additional low income rental units for persons at or below 60% of the Area Median Income through the State's coordination with Low Income Housing Tax Credit developments and in assisting low income homebuyers with homeownership opportunities through the State's Purchase, Rehabilitation, Resale (PRR) programs.

**HTF**—The National Housing Trust Fund (HTF) is a new affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe,

and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families.

**ESG**— Shelter, homeless prevention and rapid rehousing services and supports provided from NHAP subrecipients can influence the chances of shifting families and individuals to move up and out of poverty. NHAP funded subrecipients assist families in accessing mainstream public assistance programs (such as TANF, Medicaid, SNAP, etc.), support effective stabilized housing situations and provide skills development to allow individuals to obtain better jobs and become self-sufficient. This coordination between NHAP and the funded subrecipients for the delivery of such services will help reach the plan goals for providing suitable living environments and expanded economic opportunity.

**NHAP**—is located within the Economic Support Unit of DHHS. As CSBG, TANF, SNAP and Child Care are located within the same unit it is easy for administration and program staff to coordinate as needed for the delivery of services to the benefit of individuals who are homeless or at risk of becoming homeless.

**HOPWA**—Program resources serve special needs populations who are in the need of rental assistance of other services.

Also administered by State are two important State-funded resources that include the Nebraska Affordable Housing Trust Fund (NAHTF) and the Homeless Shelter Assistance Trust Fund (HSATF). Both funding sources primarily fund programs that serve low income persons throughout the state.

In addition, the State of Nebraska administers a number of programs designed to reduce the number of individuals and families who live in poverty. Federal funding supports the majority of the programs and State resources supplement those resources. Some of the additional programs that the State provides in order to address the health, well-being, and housing needs of its low income residents includes:

**Childcare Assistance**—State subsidized childcare allows low-income families to work and have the assistance they need in order to pay for their childcare services. DHHS is the lead agency that administers this program which includes childcare subsidy payments and the collaboration with Department of

Education's Head Start Program.

**Food Stamps**—The federal Food Stamp Program helps low income households purchase food and raise their nutrition levels.

**Head Start**—This program provides quality, comprehensive services including education, nutrition, family support, and parent involvement in order to prepare low income children and their families for school.

**Lead-Based Paint Hazard Program**—Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

**Other Organizations** that the State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

Actions were taken during Program Year 2016 to develop institutional structure per 91.220(k) and 91.320(j). SP-40 of the Consolidated Plan provides details on the overall institutional delivery structure through which the State can and will seek to partner, collaborate, and cooperate in order to carry out the intent of the Consolidated Plan. This includes funding through CDBG, HOME, HTF, ESG, and HOPWA which will be used within this institutional structure.

This section of the Consolidated Plan provides a description of the entities and organization in which the State partners in order to carry out its programs.

Within the current Program Year, the State carried out the actions defined within its delivery structure. This included significant outreach and collaboration.

**Actions Taken to Enhance Coordination between Public and Private Housing and Social Service Agencies. 91.220(k); 91.320(j)**

Through significant collaboration the State continued to make outreach efforts between public and private housing and social service agencies. This was completed through the State's participation with these organizations during the funding of its programs. In addition, through consultation with the Nebraska Commission on Housing and Homelessness (NCHH), the State was able to collaborate further with homeless service providers; housing developers; non-profit organizations; and other public and private entities that could provide input and partnership in carrying forward the State's objectives.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

DED recognizes that it can, and where appropriate DED does, take action to overcome impediments to fair housing choice within the State of Nebraska. During the 2016 Program Year, DED staff undertook the following actions:

- Trained approximately 20 community representatives on housing law, including education about the fair housing complaint system, as well as design and construction standards at the CDBG certification training June 5-9, 2017, and one CDBG re-certification training October 18-21, 2016.
- On October 11, 2016, the Nebraska Workforce Housing Forum was held in Kearney, Nebraska at the Younes Conference Center. The event was sponsored by the Nebraska Investment Finance Authority (NIFA), Nebraska Department of Economic Development (NDED), Nebraska Housing Developer's Association (NHDA), Nebraska Public Power District (NPPD), and Midwest Housing Development Fund, Inc. (MHDF). The 112 attendees were welcomed by Kearney Mayor Stanley Clouse and throughout the day were able to hear from a variety of community leaders and

partners regarding the needs and opportunities for workforce housing in communities throughout Nebraska. Ms. Courtney Dentlinger, the Director of the Nebraska Department of Economic Development, was the keynote speaker at lunch. The day concluded with a roundtable discussion led by representatives from NIFA, NDED, and USDA-RD.

- Attended the Realities of Housing Conference on 11-17-2016, hosted by the City of Omaha Mayor's Fair Housing Advisory Group, in Omaha, Nebraska. Topics included "Responsibilities of Housing Providers to Affirmatively Further Fair Housing," and HUD's MEMO on Renting to Those with a Criminal History."
- Organized and presented trainings for HOME and CDBG grant applicants including the NAHTF Application Guideline Workshops on March 1, 2017 and March 7, 2017, and the CDBG Application Guideline Workshop on March 28, 2017. The workshops were held in Lincoln, Nebraska, with videoconferencing satellite sites across Nebraska. Federal rules and regulations concerning furthering fair housing are included in application guidelines content. In addition, training on Limited English Proficiency (LEP) and the Four Factor Analysis requirements for grant applicants and DED staff was included.
- Attended the annual Housing Innovation Marketplace Conference on March 21-22, 2017, which brings together more than 400 community leaders and housing partners from across Nebraska and the country to discuss affordable housing and community development. This conference offers educational sessions, networking opportunities with colleagues and great exhibitors, and creates an environment for financial resources, communities and developers to come together to stimulate the creation of affordable housing development in Nebraska.
- Co-sponsored and attended the The Lincoln Commission on Human Rights Civil Rights Conference, on April 25, 2017, in Lincoln, Nebraska. Four sessions focused on housing. The Housing Legal Update was presented by Heather Ousley, Attorney-Advisor, Region VII, US Department of Housing and Urban Development. Other presentations included "Dangerous Animal Issues and Companion Animals" by Scott Pl Moore, Attorney, Baird Holm; "Housing Challenges and Resources for Rural Nebraska," by Earl Redrick and Paul Bartlett of HUD; "Mixed Company," by Amos Sobotka; and "The Boys in the BunkHouse," by Dan Barry, Author, New York Times Columnist.

## **LEP Program**

The Limited English Proficiency Program training materials of the NE DED Affordable Housing Program includes a training video which state staff can use that addresses the basics of the Fair Housing Act and associated information. This video can be used to train housing organizations that have been awarded Federal housing funds.

## **Fair Housing Information**

Fair housing information pamphlets are available to the public at the DED Affordable Housing Program office. An Equal Housing Opportunity icon link to the U.S. Department of Housing and Urban Development website section concerning Fair Housing is posted on the Nebraska DED website.

The current AI is available within the DED website and has additional information regarding actions that are proposed for the upcoming years.

## **Strategy Review**

During the 2016 Program Year, the State reviewed its strategy to affirmatively further fair housing which included:

- Testing, enforcement, and education for landlords and property managers to better understand some barriers to affordable housing;
- Improvements to guidance on barriers to affordable housing and monitoring by DED;
- Educating grantees about potential barriers to affordable housing.

## **Other Actions**

- Evaluating ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded;



- Working with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.
- Partnering with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.
- Working to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives including through the Nebraska Affordable Housing Program (NHAP).
- Assistance with code enforcement development and implementation is encouraged and implemented in many communities through State programs that include: Comprehensive Revitalization, Comprehensive Investment & Stabilization, and in many other housing initiatives.
- The State will continue to improve the housing conditions of persons who are living in substandard housing by assisting within owner occupied rehabilitation and new residential construction of quality affordable housing.
- The State will continue to reduce the risk of lead based paint hazards in aging housing stock by continuing lead based compliance and reduction efforts for houses that are assisted with state and federal resources.

### **Section 3**

The State provided training to grant administrators on Section 3 outreach and responsibilities. In addition, the State modified and provided Certification as a Section 3 Resident and Certification as a Section 3 Business Concern forms made easily accessible on the Department web-site for communities to use for Certification and outreach to Section 3 residents and businesses.

**State's Actions to Increase WBE/MBE Participation** – The state provided guidance and training to administrators and grantees on appropriate procurement and bidding procedures to allow for more WBE/MBE business opportunities.

## **CR-40 - MONITORING** 91.220 and 91.230

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

To ensure that all statutory and regulatory requirements are being met for activities funded with HUD funds, DED and DHHS use various monitoring standards and procedures.

DED and DHHS are responsible for ensuring that grantees under the CDBG, HOME, HTF, ESG, and HOPWA Programs carry out projects in accordance with both federal and State of Nebraska statutory and regulatory requirements. In most cases, these requirements are set forth in the grant contract executed between the State and the Grantee. The State provides maximum feasible delegation of responsibility and authority to grantees under the Programs. Whenever possible, deficiencies are rectified through constructive discussion, negotiation and assistance.

### **CDBG, HOME, and HTF**

DED conducts two basic types of monitoring that is determined by an established “Risk Analysis” process which includes: off-site monitoring (also known as “desktop monitoring”), and on-site monitoring. DED staff regularly review each project to verify that it is proceeding in the manner set forth in the grant agreement and in accordance with applicable laws and regulations. The “Risk Analysis” monitoring plan determines whether a project review is conducted by desktop monitoring or on-site monitoring.

Desktop monitoring is an ongoing process in which the Program Representative, responsible for overseeing the grantee’s project, uses all available information to review the grantee’s performance in carrying out the approved project. This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract Amendments and/or Extensions to the Grant Agreement; Project Status Reports, Requests for a Draw-down of Funds; DED database review; and other supporting documents.

On-site monitoring is a structured review conducted by the Program Representative at the locations where project activities are being carried out, or where project records are being maintained. One on-site

monitoring visit is normally conducted during the course of a project, unless determined otherwise by the “Risk Analysis” process. The “Risk Analysis” components for determining whether a desktop or on-site monitoring review is appropriate includes, but is not limited to: a) the amount of the grant award; b) the length of time since a grantee was monitored; c) the length of time a Program Representative last evaluated a grantee; d) significant outstanding audit issues; e) significant outstanding compliance issues; and f) the types of prior projects monitored.

The monitoring review considers all available evidence of whether a project conformed to an approved Program; whether substantial progress toward program goals had been met; compliance with applicable laws and regulations; and continued capacity of the Grantee and Grant Administrator to carry out the approved Program project.

Checklists are utilized to ensure that all issues are addressed. DED has CDBG and HOME specific checklists based on the types of activities within a given project. The number of times a project is monitored will depend upon the issues that may arise during the desktop or on-site monitoring.

During the 2016 Program Year an update to the CDBG Program Monitoring Plan was created and approved by HUD to be implemented at the beginning of the 2017 Program Year. Current grantees were notified that several updates were included in CDBG monitoring. A CDBG Risk Analysis Tool was developed to enhance the current analysis procedures, which allows staff to conduct more general considerations of overall projects, and additional considerations for scoring grantees. This will aid in determining whether desktop monitoring or on-site monitoring is appropriate. The CDBG Project Status Report was updated to include additional review for national objectives and activity eligibility; project progress and performance, and draw down review. This will ensure that additional desktop and on-site monitoring will be conducted in a timely fashion. Additional financial reviews related to CDBG projects were conducted for review of drop downs (requests for CDBG funds) from grantees. This will further ensure that the comprehensive database record tracks drawdown review documents for CDBG grant’s activity expenditures.

## **NHAP**

DHHS conducted monitoring of the ESG Programs on an on-going basis throughout the program year. Subrecipients are monitored to ensure compliance with ESG regulations and program requirements.

NHAP's general oversight objectives included:

- Reviewed compliance with program regulations
- Avoided the misuse of program funding
- Identified technical assistance and training needs

The NHAP utilized the following monitoring process for the ESG program.

Desk monitoring:

Prior to payment, the NHAP staff reviewed every NHAP reimbursement request submitted by all subrecipients each quarter for: 1) math accuracy; 2) form completeness; and 3) apparent compliance with allowable and reasonable cost requirements.

After each billing quarter was completed, NHAP selected a minimum of two (2) cost items from a minimum of five (5) subrecipients for a more detailed review. Each subrecipient reviewed was required to provide supporting documentation that the costs were incurred, paid and allowable.

On-site visits:

For FY16, NHAP performed 4 on-site monitoring visits, focused on subrecipients with apparent issues, new administrative staff and/or length of time since last review. The agencies that were monitored included an in-depth review of financial policies and procedures, ESG written policies and procedures, shelter inspections and program participant files.

## **HOPWA**

The Nebraska HOPWA Program complies with all terms and conditions, including recordkeeping and reports (which must include racial and ethnic data on participants) for program monitoring and evaluation purposes, as HUD may establish for purposes of carrying out the program in an effective and efficient manner. A case management software system, Provide® by Groupware Technologies, Inc., is used by the Nebraska (NE) DHHS HOPWA Program and all offices of the HOPWA Program Sponsor, the Nebraska AIDS Project (NAP). There are five NAP offices throughout the State of Nebraska, which are located in Lincoln, Kearney, Norfolk, Omaha and Scottsbluff. NAP Case Managers input all client data, including

demographics such as race, ethnicity, and gender, to name a few, into the system. The NE DHHS HOPWA Program utilizes this software system to run reports concerning client demographics.

The NE DHHS HOPWA Program Manager conducts annual on-site audits and file reviews totaling 100% of all client files once per year at each NAP Office. The electronic client profiles in the software system, Provide<sup>®</sup>, and the hard copy client files are both reviewed. The NE DHHS HOPWA Program Manager has remote access to Provide<sup>®</sup> and utilizes a State laptop during the audit to discuss any questions concerning Provide<sup>®</sup> data with NAP Staff.

A second audit and file review is completed in the second-half of the year by the NE DHHS HOPWA Program Manager, which is approximately six months after the full review. This audit includes a random sampling of files totaling a minimum of 30% of all current clients. In previous years the NE DHHS HOPWA Program Manager did conduct two full (100%) file reviews at the State's largest NAP Office located in Omaha, Nebraska.

NAP staff receive both a copy of the completed Excel spreadsheet used by the NE DHHS HOPWA Program Manager while auditing the data and a PDF Word document narrating any findings and required actions as a result of the audit by the NE DHHS HOPWA Program Manager. NAP Staff have sixty days from the date of the report to resolve any issues and respond to the NE DHHS HOPWA Program. If verification of an HIV diagnosis is missing in the client files, an immediate response from NAP staff is required.

The NE DHHS HOPWA Program conducts monthly financial reviews of NAP. These reviews are completed remotely. NAP submits billing documents, salary allotments and income/expense and service logs by email for review by the HOPWA Program Manager. Clarifications and questions are discussed and addressed between the NE DHHS HOPWA Program Manager and the NAP Executive Director through communication by email and/or phone and on an immediate basis when they occur.

## **Citizen Participation Plan 91.105(d); 91.115(d)**

### **Description of the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

Per the State's Citizen Participation Plan, the draft 2016 CAPER was made available to the public for review and comment. Public notices were printed in 3 newspapers throughout the state that included the *Scottsbluff Star Herald*; the *Kearney Hub*; and the *Lincoln Journal Star*. The public notice contained language that addressed if persons required sensory accommodations to contact the community development coordinator, and language in Spanish that also advised to contact the coordinator if sensory accommodations were needed. The public notice was also made available on the DED website and emailed out to various entities throughout the state.

A public comment period was held December 22, 2017 through January 5, 2018 regarding the 2016 CAPER. The official public comment period was completed, and there were no public comments from Nebraskan citizens regarding the CAPER for this 2016 Program Year.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

A new strategy was implemented in the 2016 Program Year of incorporating the Comprehensive Revitalization (CR) and Comprehensive Investment & Stabilization (CIS) Programs into a single competitive Comprehensive Development (CD) Program. The reason for this change in the state's program objectives is based on past experience of DED in discovering that many of the CR and CIS projects were not as successful as anticipated in creating significant revitalization efforts within low income neighborhoods throughout the CR and CIS communities.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

**CR-50 - HOME** 91.520(d)

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

**On-Site Inspections: Affordable Rental Housing**

During the 2016 Program Year there were a number of staff transitions that resulted in DED not being able to complete on-site reviews as had originally anticipated. Some on site reviews were conducted by the State’s partners that included the Nebraska Investment Finance Authority (NIFA) and the tax credit syndicators who invested in the rental properties. The lack of inspections is in the process of being remedied as a result of staff transitions and a review of the required schedule in §92.504(d), which will improve outcomes in the future.

Although the on site reviews were limited, other reviews performed on the properties included: rent and income compliance reporting and draw down reviews.

**Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.**

The following list of 189 housing projects should have been inspected on-site during this program year based upon the schedule in §92.504(d). The reason, as previously stated, is a result of staff transitions and a review of the required schedule in §92.504(d), which will improve outcomes in the future.

<b>GRANTEE NAME</b>	<b>CONTRACT #</b>
Alliance Housing Authority	07OERH1099
Alliance Housing Authority	09OERH1062
ARC of Lincoln	97OE05001
Auburn (City of)	04OE60302
Auburn Affordable Housing, Inc.	94OE08101
Aurora Housing Development Corporation	05OE401
Aurora Housing Development Corporation	97CH01901



Aurora Housing Development Corporation	08OERH4088
Beatrice (Housing Agency of the City of)	04OE60202
Bertrand (Village of)	94OE08701
Bethpage Mission (Mosaic)	94OE08501
Blue Valley Community Action	01CH00102
Blue Valley Community Action	01CH00104
Blue Valley Community Action	04CH60102
Blue Valley Community Action	92CH00101
Blue Valley Community Action	94CH00101
Blue Valley Community Action	95CH00101
Blue Valley Community Action	96CH00101
Blue Valley Community Action	96CH00102
Blue Valley Community Action	97CH00101
Blue Valley Community Action	97CH00102
Blue Valley Community Action	98CH00103
Blue Valley Community Action	99CH00102
Blue Valley Community Action	99CH00103
Blue Valley Community Action	00CH00102
Blue Valley Community Action	01CH00103
Blue Valley Community Action	01CH00104
Blue Valley Community Action	03CH00103
Brideport/Scottsbluff Housing Authority	03OE10302
Burt/Washington County Community Development Corporation	98OE01403
CAP MID	94CH00601
CAP MID	96CH00601
CAP MID (R.A.F.T. Project)	95CH00601
CAP of Western-NE	98CH02403
CAP of Western-NE	98OE02402
Central City Housing Authority	06OERH4110
Central Nebraska Community Service	94CH00701
Central Nebraska Community Service	94CH00702
Central Nebraska Community Service	96CH00701
Clarks (Village of)	93OE08001
Community Action Partnership (LAP)	99OE62102
Community Action Partnership of Western-NE (Indian Center)	92OE00301
Cozad (City of)	97SR09601
Decatur Development Corporation	03OE50702
Dodge (Village of)	93OE08301
Fremont Housing Authority	99OE61902
Gage County Economic Development	00OE07702

Gage County Economic Development	03OE60202
Gage County Economic Development	98OE07701
Gering (City of)	00OE62602
Gering (City of)	02SR10502
Goldenrod Hills Community Action	96CH01601
Gothenburg (City of)	96SR08101
Gothenburg (Housing Authority of)	08OERH3106
Gothenburg Housing Development Corporation	98OE07801
Grand Island Area Housing Corporation	96CH01801
Grand Island Area Housing Corporation	98CH01801
Greater Wahoo Development Foundation	00OE62402
Harvard Townhouse, Inc.	02OE40402
Hastings Good Samaritan Housing	96OE08501
Hastings/Adams Co. Housing Development Corporation	02CH01302
Holdrege (City of)	97SR09701
Holdrege Housing Authority	07OERH4097
Holy Name	05OE701
Housing Agency of the City of Beatrice	04OE60202
Housing Development Corporation	08OERH4105
Indian Center	92OE00301
Keith County Housing Development Corporation	05OE317
LAP Housing Development Corporation	99OE62102
Lexington (Housing Authority of)	08OERH3090
Lincoln (City of)	94SR01002
Lincoln (City of)	96SR01001
Lincoln Civic Housing	06OERH8113
Lincoln County Community Development Corporation	97CH02202
Lincoln County Community Development Corporation	98CH02202
Mid-Nebraska Community Services	94CH00601
Mid-Nebraska Community Services	95CH00601
Mid-Nebraska Community Services	98OE00603
Midwest Housing Initiatives, Inc.	02OE50202
Midwest Housing Initiatives, Inc.	06OERH4111
Midwest Housing Initiatives, Inc.	06OERH6109
Midwest Housing Initiatives, Inc.	07OERH5098
Milligan (Village of)	94OE08401
Native Council on Economic & Community Development	97CH00401
Nebraska Housing Resource	05OE801
New Beginnings Plaza	98OE07901

Norfolk (City of)	94SR01301
Norfolk (City of)	95SR01301
Omaha (City of)	01SR70302
Omaha (City of)	93SR01101
Omaha Economic Development Coropration	O8OERH7108
Orchard (Village of)	97SR09401
Palmer (Village of)	93OE08201
Panhandle Community Services	98CH02403
Panhandle Community Services	98OE02402
Rushville Housing Authority	95OE08301
Saint Paul Housing Authority	95OE08201
Scotts Bluff (Housing Authority of the County of)	03OE10402
Scotts Bluff (Housing Authority)	03OE10302
Scottsbluff/Terrytown/Gering CDC	94CH00901
Sidney (City of)	04SR10102
Snyder (Village of)	98OE08901
Southeast Nebraska Community Action Council	98OE02101
Stuart Village Manor, Inc.	95OE08801
Superior Community Corporation Inc.	96CH00201
Syracuse Housing Development Corporation	99OE62202
Wayne Community Housing Development Corporation	95CH02001
Wayne Community Housing Development Organization	08OERH5089
Greater Loup Valley Activities, Inc.	09OERH2065
Midwest Housing Initiatives	09OERH4064
Housing Authority of Holdrege	09OERH4066
Seward County Housing Corp.	09OERH6063
Cirrus House, Inc	10OERH1085
ST. PAUL HOUSING AUTHORITY	10OERH4079
Housing Development Corp	10OERH4080
Midwest Housing Initiatives, I	10OERH6081
Ashland	10OERH6082
Housing Development Corp	11OERH4050
Omaha Economic Development	11OERH7056
City Impact	11OERH8045
SCOTTS BLUFF COUNTY HA	12OERH1054
Midwest Housing Dv. Fund, Inc.	12OERH3055
Midwest Housing Dv. Fund, Inc.	12OERH4056
The Stephen Center	12OERH7053
Midwest Housing Dv. Fund, Inc.	13OERH1079

Heartland Family Service	13OERH2075
Midwest Housing Dv. Fund, Inc.	13OERH3080
Midwest Housing Dv. Fund, Inc.	13OERH3081
HALL COUNTY HA	14OERH4086
Midwest Housing Dv. Fund, Inc.	14OERH4087
Housing Authority of Holdrege	14OERH4088
Sheltering Tree	14OERH7084
Schuyler Community Development	15OERH10080
Building A Better Tomorrow	15OERH10082
Nebraska Housing Developers Association	15OERH20079
PreservingUS, Inc.	15OERH30081
Northeast Housing Initiative	09CHHP2061
Hastings/Adams CHDC	09CHHP4053
Wayne CHDO	09CHHP5057
CAP of Western NE	09OEHO1075
Northeast NE Econ Devel Distr.	09OEHO2070
Housing Development Corp	09OEHO4052
Northeast Econ Dev., Inc.	09OEHO5058
NeighborWorks Lincoln	09OEHP8079
High Plains Community Develop.	10CHHP1083
LINCOLN COUNTY CDC	10CHHP3077
Community Act. Part. of Mid NE	10CHHP4073
Three Rivers Housing Dev. Corp	10CHHP5031
NeighborWorks Northeast NE	10CHHP5070
Northeast Housing Initiative	10CHHP5071
Nebraska Housing Developers	10OEHO1089
CORE Development, Inc.	10OEHO2075
LINCOLN COUNTY CDC	10OEHO3076
Community Act. Part. of Mid NE	10OEHO4086
Blue Valley Community Action	10OEHO6087
SE NE Community Action Council	10OEHO6088
Western NE Housing Opportunity	10OEHP1078
Central NE Econ. Dev. Inc.	10OEHP2090
McCook Economic Dev Corp	10OEHP3022
Southwest NE Comm. Betterment	10OEHP3084
Fillmore County	10OEHP6034
SE NE Community Action Council	10OEHP6074
NEHI, Inc.	11CHHP2039
Housing Development Corp	11CHHP4043
Three Rivers Housing Dev. Corp	11CHHP5040
Northeast NE Econ Devel Distr.	11OEHO2047

Central NE Econ. Dev. Inc.	11OEHO2052
Community Act. Part. of Mid NE	11OEHO3041
WCNDD	11OEHO3046
Southwest NE Comm. Betterment	11OEHO3051
Sandhills RC&D	11OEHO3053
Housing Development Corp	11OEHO4042
Northeast NE Econ Devel Distr.	11OEHO5048
SE Nebr. Afford. HSG Council	11OEHO6049
NEHI, Inc.	12CHHP2001
LINCOLN COUNTY CDC	12CHHP3013
NEHI, Inc.	12CHHP5003
Three Rivers Housing Dev. Corp	12CHHP5008
NeighborWorks Northeast NE	12CHHP5015
NeighborWorks Northeast NE	13CHHP5016
LINCOLN COUNTY CDC	13OEHO3012
Southwest NE Comm. Betterment	13OEHO3013
Curtis	13OEHO3048
NeighborWorks Northeast NE	14CHHP5081
NeighborWorks Lincoln	14CHHP6083
NeighborWorks Northeast NE	15CHHP15077
NeighborWorks Lincoln	15CHHP18078

### **Listing of HOME properties with physical reviews**

There were no inspections of affordable rental housing projects conducted during the 2016 Program Year. There were 0 HOME inspections of affordable rental housing projects that were due to be inspected during the Program Year that were not completed. As previously stated, the reason for this was due to staff transitions. DED is in the process of remedying the situation. A permanent Housing Manager position has been filled and the Housing Team is in the process of completing any outstanding site visits.

### **Provide an assessment of the jurisdiction's Affirmative Marketing Actions for HOME units. 92.351(b)**

DED requires all HOME recipients to provide written documentation on the actions that will be taken during the course of the project to affirmatively further fair housing. This list of actions is then verified

during monitoring visits that are conducted. In addition, as part of low income housing tax credit (LIHTC) requirements, each recipient is required to provide a marketing plan which describes how the recipient intends to market the rental units to those underserved persons who are less likely to rent the units.

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

There was no HOME program income utilized for these projects.

**Describe other Actions taken to Foster and Maintain Affordable Housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Regarding CDBG Owner-Occupied Rehabilitation housing projects, all grantees receive training in the civil rights rules and regulations during training sessions. Training sessions are reinforced with notices and updates through the CDBG Bulletin, which is published on an as needed basis.

Each grantee's program eligibility criteria are reviewed to determine whether the criteria or methods of administration may have the effect of subjecting individuals to discrimination on the basis of race, color, age, sex, national origin or disability.

Comparisons are made between recent census data regarding those protected classes and the percentages of those protected classes participating and receiving benefits from CDBG funds.

All grantees are required to maintain, on an ongoing basis, and on file permanently, numerical and percentage documentation and information regarding the following:

1. Total beneficiaries in project
2. Total numbers of males/females.
3. Actual number of Whites, Blacks, Hispanics, Asians, Native Americans, and others.
4. Percentages of males, females, minorities, female heads of household, disabled population, and low to moderate income persons receiving benefits from the project funds.

Program representatives are required to consider this information, compared with census data, and determine if there is any indication any person and/or group of persons were denied benefits or employment on the grounds of race, age, sex, or disability.

In addition, the state reviews the grantee's compliance with Section 504 and the Americans with Disabilities Act. Grantees must have conducted a self-evaluation of their facilities and their programs as they relate to the ADA/Section 504 compliance. In addition, the grantee must have on file, and available for review, a transition plan. The grantee must provide evidence that they are complying or making attempts to comply with these regulations.

Updates and notices regarding ADA/Section 504 compliance are made through the CDBG Bulletin.

The State's review of all information gathered through monitoring visits indicates that grantee CDBG programs are being administered in a manner, which does not deny any benefit or employment on the grounds of race, age, sex, national origin and disability.

One activity conducted by Nebraska DED to ensure the absence of discrimination on the grounds of national origin continued to be fulfilled during the 2016 Program Year. The activities were guided by the recent Voluntary Compliance Agreement (VCA) with HUD to oversee compliance concerning beneficiaries who have Limited English Proficiency associated with any project in Nebraska which receives CDBG, HOME or NHTF federal resources administered by Nebraska DED. The VCA was initiated on March 1, 2014 and expired on March 1, 2017. All grant applicants applying for these federal resources must submit a Four Factor Analysis, assessing Limited English Proficiency of the anticipated beneficiaries of a housing project, in addition to a Language Assistance Plan if determined as necessary by the four-factor analysis conducted as part of the application review process.

The Nebraska DED staff reviews these analyses and plans and provides recommendations to the grant applicants regarding any clarifications or missing information needed for compliance under Title VI of the Civil Rights Act of 1964. Applicants are encouraged to ask questions of DED staff and also resubmit their

analyses and plans for final review. Bi-annual reports by DED continue to be submitted to HUD, with a final report outstanding, to be submitted during the 2016 Program Year regarding compliance to and outcomes of the VCA.

Nebraska DED recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety, environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays. Although the agreement was fulfilled in March of 2017, DED staff will use the experience from the agreement with HUD to continue reviewing these analyses and plans as part of the federal grant application process and release of funds process of awardees.

### **Coordination of LIHTC with Development of Affordable Housing 91.320(j)**

Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. Nebraska DED partners with NIFA in joint applications for LIHTC rental projects. DED works closely with NIFA on developing the qualified allocation plan and application for the joint LIHTC/CRANE/HOME funds. During the 2016 Program Year, CRANE applications were reviewed monthly and LIHTC applications were reviewed during the 1 application cycle in May. The applications were scored according to what was set forth in the NIFA Qualified Allocation Plan.

In addition, DED Housing staff attended the NIFA public hearing, public meeting and board meetings during the application process. Review and recommendations by NIFA and DED staff of the applications were conducted and submitted to the NIFA Board and the Nebraska DED Director. All LIHTC awards were voted on and finalized by the NIFA Board and the HOME awards were determined by the Nebraska DED Director by utilizing these reviews and recommendations by informed and trained DED staff.



## CR-55 - HOPWA 91.520(e)

### Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

<b>Number of Households Served Through:</b>	<b>One-year Goal</b>	<b>Actual</b>
Short-term rent, mortgage, and utility assistance payments	40	68
Tenant-based rental assistance	12	16
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
<b>Total</b>	<b>52</b>	<b>84</b>

Table – HOPWA Number of Households Served

### Narrative

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan.

During the reporting period, the program served 90 households; 68 with short-term rent/mortgage assistance, surpassing the Consolidated Annual Plan goal of 40 listed in IDIS, and of the associated outcome number of 45 listed in the 2016 HOPWA CAPER.

The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period, which was surpassed by serving 16 households.

The Nebraska HOPWA Program does not participate in the services of Permanent and Transitional/Short-term Facilities associated with Captioal Development Projects.

# CR-60 - ESG (ESG RECIPIENTS ONLY) 91.520(g)

## ESG Supplement to the CAPER in *e-snaps*

### For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	NEBRASKA
Organizational DUNS Number	808820138
EIN/TIN Number	470491233
Identify the Field Office	OMAHA
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	North Central Nebraska CoC

##### ESG Contact Name

Prefix	Ms
First Name	Jodie
Middle Name	0
Last Name	Williams
Suffix	0
Title	Economic Assistance Administrator

##### ESG Contact Address

Street Address 1	P.O. Box 95026
Street Address 2	301 Centennial Mall South
City	Lincoln
State	NE
ZIP Code	68509-5026
Phone Number	402471069
Extension	0
Fax Number	402479286
Email Address	jodie.williams@nebraska.gov

##### ESG Secondary Contact

Prefix	Ms
First Name	Nikki
Last Name	Swope
Suffix	0
Title	NHAP Program Coordinator
Phone Number	4024719258
Extension	0
Email Address	nikki.swope@nebraska.gov

#### 2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2016
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Program Year End Date

06/30/2017

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** LEGAL AID OF NEBRASKA

**City:** Lincoln

**State:** NE

**Zip Code:** 68508, 3608

**DUNS Number:** 112132105

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 35000

**Subrecipient or Contractor Name:** THE DOVES PROGRAM

**City:** Gering

**State:** NE

**Zip Code:** 69341, 0098

**DUNS Number:** 037224370

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 519760

**Subrecipient or Contractor Name:** CENTER FOR SEXUAL ASSAULT AND DOMESTIC VIOLENCE  
SURVIVORS

**City:** Columbus

**State:** NE

**Zip Code:** 68601, 4833

**DUNS Number:** 029855561

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 47702

**Subrecipient or Contractor Name:** BRIGHT HORIZONS

**City:** Norfolk

**State:** NE

**Zip Code:** 68702, 1904

**DUNS Number:** 180424475

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 104298

**Subrecipient or Contractor Name:** PARENT-CHILD CENTER  
**City:** Lexington  
**State:** NE  
**Zip Code:** 68850, 1330  
**DUNS Number:** 180430563  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 34829

**Subrecipient or Contractor Name:** RAPE / DOMESTIC ABUSE PROGRAM  
**City:** North Platte  
**State:** NE  
**Zip Code:** 69101, 4061  
**DUNS Number:** 180275661  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 19896

**Subrecipient or Contractor Name:** THE S.A.F.E. CENTER  
**City:** Kearney  
**State:** NE  
**Zip Code:** 68847, 8126  
**DUNS Number:** 795991249  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 35000

**Subrecipient or Contractor Name:** THE CONNECTION HOMELESS SHELTER, INC.  
**City:** North Platte  
**State:** NE  
**Zip Code:** 69101, 4135  
**DUNS Number:** 834811622  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 77598

**Subrecipient or Contractor Name:** COMMUNITY ACTION PARTNERSHIP OF MID-NEBRASKA  
**City:** Kearney  
**State:** NE  
**Zip Code:** 68847, 7440  
**DUNS Number:** 068662444  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 296216

**Subrecipient or Contractor Name:** CENTRAL NEBRASKA COMMUNITY SERVICES

**City:** Loup City

**State:** NE

**Zip Code:** 68853, 8110

**DUNS Number:** 084623198

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 125000

**Subrecipient or Contractor Name:** SOUTHEAST NEBRASKA COMMUNITY ACTION COUNCIL, INC.

**City:** Humboldt

**State:** NE

**Zip Code:** 68376, 0646

**DUNS Number:** 022701825

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 29558

**Subrecipient or Contractor Name:** HOPE CRISIS CENTER - 410

**City:** Fairbury

**State:** NE

**Zip Code:** 68352, 2633

**DUNS Number:** 809425833

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 87380

**Subrecipient or Contractor Name:** CRISIS CENTER FOR DOMESTIC ABUSE / SEXUAL ASSAULT - 502

**City:** Fremont

**State:** NE

**Zip Code:** 68025, 5066

**DUNS Number:** 945637023

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 66000

**Subrecipient or Contractor Name:** NE NEBRASKA COMMUNITY ACTION COUNCIL, INC - 504

**City:** Pender

**State:** NE

**Zip Code:** 68047, 5030

**DUNS Number:** 099565921

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 120000

**Subrecipient or Contractor Name:** CIRRUS HOUSE, INC.  
**City:** Scottsbluff  
**State:** NE  
**Zip Code:** 69361, 3106  
**DUNS Number:** 805726098  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 148695

**Subrecipient or Contractor Name:** CRISIS CENTER, INC. - 203  
**City:** Grand Island  
**State:** NE  
**Zip Code:** 68803, 1740  
**DUNS Number:** 962445409  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 35919

**Subrecipient or Contractor Name:** HOPE HARBOR, INC.  
**City:** Grand Island  
**State:** NE  
**Zip Code:** 68801, 6538  
**DUNS Number:** 132322673  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 143482

**Subrecipient or Contractor Name:** RESIDENTIAL ASSISTANCE TO FAMILIES IN TRANSITION, INC. (RAFT)  
**City:** Kearney  
**State:** NE  
**Zip Code:** 68847, 7440  
**DUNS Number:** 003682241  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 23896

**Subrecipient or Contractor Name:** SPOUSE ABUSE SEXUAL ASSAULT CRISIS CENTER (SASA)  
**City:** Hastings  
**State:** NE  
**Zip Code:** 68901, 5945  
**DUNS Number:** 096177976  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 35629

**Subrecipient or Contractor Name:** CARE CORPS, INC.  
**City:** Fremont  
**State:** NE  
**Zip Code:** 68025, 4903  
**DUNS Number:** 962071577  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 234952

**Subrecipient or Contractor Name:** HAVEN HOUSE FAMILY SERVICES CENTER  
**City:** Wayne  
**State:** NE  
**Zip Code:** 68787, 2272  
**DUNS Number:** 111495934  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 27382

**Subrecipient or Contractor Name:** NORTHWEST COMMUNITY ACTION PARTNERSHIP  
**City:** Chadron  
**State:** NE  
**Zip Code:** 69337, 2296  
**DUNS Number:** 168890309  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 34424

**Subrecipient or Contractor Name:** COMMUNITY ACTION PARTNERSHIP OF LANCASTER AND SAUNDERS COUNTIES  
**City:** Lincoln  
**State:** NE  
**Zip Code:** 68508, 2322  
**DUNS Number:** 072910086  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 122096

**Subrecipient or Contractor Name:** CATHOLIC SOCIAL SERVICES  
**City:** Lincoln  
**State:** NE  
**Zip Code:** 68510, 1122  
**DUNS Number:** 834093981  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 51000

**Subrecipient or Contractor Name:** BLUE VALLEY COMMUNITY ACTION  
**City:** Fairbury  
**State:** NE  
**Zip Code:** 68352, 2624  
**DUNS Number:** 041737594  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 189885

**Subrecipient or Contractor Name:** Center for Children, Families and the Law - University of Nebraska  
**City:** Lincoln  
**State:** NE  
**Zip Code:** 68588, 0009  
**DUNS Number:** 555456995  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 100804



## CR-65 - PERSONS ASSISTED

### Explanation for eCart tool for HMIS users and Victim Service Providers

One struggle experienced by DHHS is combining the data from HMIS users with that of the victim service providers, who utilize a comparable database, into the required HUD data reporting systems. Upon review of the victim service providers' data reporting issues, it was found that there was an unexpected delay with the updates to their software system company (Osnium), which was to be utilized to collect the data for all fields in the eCart tool, thus the system was not functional for most of the fiscal year. Additionally, it was also found that individual victim service agencies were waiting for the pilot testing before they expended their limited resources to update the Osnium system due to concerns that this expense would not promote better data collection as required by HUD.

Despite the challenges, data was collected from the victim service providers and manually included. All victim service agencies were able to provide demographic information and the total numbers served through their programs which is reflected in the data throughout the CAPER report.

NOTE: As per guidelines provided by HUD, NHAP is submitting the FY16 CAPER data through the eCart Reporting Tool rather than through the SAGE system. This was due to that the eCart data was compiled prior to the release of the new HUD data requirements and the release of the SAGE reporting system. In subsequent program years, NHAP will be reporting the ESG data through the SAGE system. NHAP has been in communication with the agency who is working on updating the comparable data base for the victim service providers. The new HUD data standards are in the process of being incorporated and all victim service providers are expected to be able to report data in subsequent years through the SAGE system.

#### 4. Persons Served

##### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	467
Children	421
Don't Know/Refused/Other	5
Missing Information	1
<b>Total</b>	<b>894</b>

Table 2 – Household Information for Homeless Prevention Activities

**4b. Complete for Rapid Re-Housing Activities**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	759
Children	412
Don't Know/Refused/Other	0
Missing Information	76
<b>Total</b>	<b>1,247</b>

Table 3 – Household Information for Rapid Re-Housing Activities

**4c. Complete for Shelter**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	2,389
Children	1,260
Don't Know/Refused/Other	5
Missing Information	10
<b>Total</b>	<b>3,664</b>

Table 4 – Shelter Information

**4d. Street Outreach**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	267
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>267</b>

Table 5 – Household Information for Street Outreach

**4e. Totals for all Persons Served with ESG**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	3,786
Children	2,093
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>5,879</b>

Table 6 – Household Information for Persons Served with ESG

**5. Gender—Complete for All Activities**

	<b>Total</b>
Male	1,685
Female	2,900
Transgender	5
Don't Know/Refused/Other	0
Missing Information	1,289
<b>Total</b>	<b>5,879</b>

Table 7 – Gender Information

## 6. Age—Complete for All Activities

	<b>Total</b>
Under 18	2,093
18-24	539
25 and over	3,247
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>5,879</b>

Table 8 – Age Information

## 7. Special Populations Served—Complete for All Activities

### Number of Persons in Households

<b>Subpopulation</b>	<b>Total</b>	<b>Total Persons Served – Prevention</b>	<b>Total Persons Served – RRH</b>	<b>Total Persons Served in Emergency Shelters</b>
Veterans	188	15	28	145
Victims of Domestic Violence	2,309	109	228	1,972
Elderly	0	0	0	0
HIV/AIDS	22	1	3	18
Chronically Homeless	364	0	74	290
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	604	64	201	339
Chronic Substance Abuse	675	9	55	611
Other Disability	1,398	106	197	1,095
Total (Unduplicated if possible)	5,560	304	786	4,470

Table 9 – Special Population Served

## CR-70 – ESG - ASSISTANCE PROVIDED AND OUTCOMES 91.520(g)

### 8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	192,216
Total Number of bed-nights provided	122,243
Capacity Utilization	64%

Table 10 – Shelter Capacity

### 9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

NHAP:

DHHS consulted with the CoCs to develop performance standards for homeless services based on previous year's data and goals set by each local continuum as in accordance with the Annual Action Plan. Each local CoC guides the distribution of funding for eligible services within the limitations set by ESG standards and need in the community to include the following services: Street Outreach, Emergency Shelter, Homelessness Prevention and Rapid Rehousing. DHHS utilized a survey to determine statewide need for types of homelessness services in each geographical area, evaluated existing resources and feedback from the regional CoC's for nominees to apply for competitive funding for each type of homeless service identified as a need in each region. The CoCs evaluate each potential nominee to apply to DHHS for NHAP funding to provide homeless services based on the performance standards of the agency, the agency's expertise in providing the type of homeless services and the agency's ability to coordinate with other service providers in their respective communities.

As previously mentioned, the primary goals NHAP had for subrecipients included reducing the length of homelessness, reducing returns to homelessness and maintaining/increasing income. These goals are measured through performance report card metrics that have been established by the BoS CoC.

Currently the HUD reports (AAP, CAPER, HIC/PIT and AHAR) are issued annually through data collected from HMIS and compatible data systems and distributed to all CoC and ESG grantees. The State, with contribution of their subrecipients, reviewed these data sets as an evaluation component of how successful NHAP subrecipients were at reaching and achieving program standards.

With the housing stability information collected, the State and BoS CoC jointly reviewed strategies which assisted providers to aid the homeless population in gaining stable housing or maintaining stability in their communities. The ultimate goal of measuring performance standards is to achieve “functional zero” for all homeless populations throughout Nebraska. This past program year, the BoS was able to achieve this goal for Veterans. With the continued collaboration between NHAP and the CoC and Veteran’s programs and continual review of the performance measures, it is the goal that homelessness is ended throughout the State.

As it reported throughout the CAPER and reflected in the data provided, there has been a significant shift in the approach to providing homeless and at risk of homeless services that has been embraced by the State of Nebraska. Since the development of the ConPlan, there has been a significant shift from utilizing emergency shelter to Rapid Rehousing and Homeless Prevention programs. NHAP subrecipients adjusted their priorities to move program participants to maintaining housing stability or to move quickly into permanent housing. These actions resulted in a reduction for lengthy shelter stays for those individuals who did not need extended supports.

## CR-75 – EXPENDITURES

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	203,426
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	93,249
Expenditures for Housing Relocation & Stabilization Services - Services	0	24,633	103,084
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>24,633</b>	<b>399,759</b>

Table 11 – ESG Expenditures for Homelessness Prevention

### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	96,294
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	64,759
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	75,859
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>0</b>	<b>236,912</b>

Table 12 – ESG Expenditures for Rapid Re-Housing

### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	85,964
Operations	0	0	32,197
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>118,161</b>

Table 13 – ESG Expenditures for Emergency Shelter

### 11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	0	0	55,159
Administration	0	11,357	39,878

Table 14 - Other Grant Expenditures

### 11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	0	35,990	849,869

Table 15 - Total ESG Funds Expended

**11f. Match Source**

	<b>2014</b>	<b>2015</b>	<b>2016</b>
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	2,570,433
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>0</b>	<b>0</b>	<b>2,570,433</b>

Table 16 - Other Funds Expended on Eligible ESG Activities

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>
	0	35,990	3,420,302

Table 17 - Total Amount of Funds Expended on ESG Activities



**PART I - FINANCIAL STATUS AND NATIONAL OBJECTIVES**

GRANT NO. B-16-DC-31-0001

REPORTING PERIOD

7/01/16 - 6/30/17

DATA AS OF: June 30, 2017

1. FINANCIAL STATUS

A. TOTAL FUNDS

ALLOCATION \$9,994,180

PROGRAM INCOME \$779,803

B. AMOUNT OBLIGATED TO RECIPIENTS (TOTAL) \$1,028,675

C. AMOUNT DRAWDOWN \$275,965

D. AMOUNT FOR STATE ADMINISTRATION \$398,325

E. AMOUNT FOR TECHNICAL ASSISTANCE \$0

2. NATIONAL OBJECTIVE

A. PERIOD SPECIFIED FOR BENEFIT 2013-2015

B. AMOUNTS USED TO:

1. BENEFIT TO LOW/MODERATE INCOME PERSONS \$839,125

2. PREVENT/ELIMINATE SLUMS/BLIGHT \$131,550

3. MEET URGENT COMMUNITY DEVELOPMENT NEEDS \$0

4. ACQUISITION/REHABILITATION NONCOUNTABLE \$0

5. LOCAL ADMINISTRATION \$58,000

Note: During 2016 Program Year, \$80,064 of previously unexpended B-10-DC-31-0001 TA dollars were expended, and \$40,700 of previously unexpended B-12-DC-31-0001 TA dollars expended.

	HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	IDIS YEAR	ACTIVITY NUMBER	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
RECIPIENT	ALBION															
PROJECT NO	16DTR001															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2015	06300000	SBA	People (Used for	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	BLUE HILL															
PROJECT NO	16PW002															
GRANT STATUS	Release of Funds															
	03K	Street Improvements	N	\$225,000.00	2015	02300000	LMA	People (Used for	936	936	483	52%	0	0	0	0%
	21A	General Program Administration	N	\$25,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	BROWNVILLE															
PROJECT NO	16TD001															
GRANT STATUS	Release of Funds															
	03	Public Facilities and Improvements (General)	N	\$275,000.00	2015	04900000	LMC	People (Used for	835	835	428	51%	0	0	0	0%
	17C	CI Building Acquisition, Construction, Rehabilitation	N	\$52,000.00	2015	00700000	SBA		0	0	0	0%	0	0	0	0%
	17C	CI Building Acquisition, Construction, Rehabilitation	N	\$8,000.00	2015	03800000	LMC		0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$15,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	BRUNSWICK															
PROJECT NO	16PP002															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$22,500.00	2014	06300000	LMA	People (Used for	140	140	75	54%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2014	01810000	LMA		0	0	0	0%	0	0	0	0%
RECIPIENT	BURWELL															
PROJECT NO	16PW003															
GRANT STATUS	Release of Funds															
	03J	Water/Sewer Improvements	N	\$225,000.00	2015	02500000	LMA	People (Used for	1,225	1,225	645	53%	0	0	0	0%
	21A	General Program Administration	N	\$25,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	CAMBRIDGE															
PROJECT NO	16DTR002															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2015	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	COLUMBUS															
PROJECT NO	16CD001															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$23,400.00	2015	06300000	LMA	People (Used for	6,825	6,825	3,755	55%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	CRETE															
PROJECT NO	16PP006															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	06300000	LMA	People (Used for	5,915	5,915	3,255	55%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%

HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	IDIS YEAR	ACTIVITY NUMBER	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
RECIPIENT	CROOKSTON														
PROJECT NO	16PP007														
GRANT STATUS	Award														
	20	Planning	\$11,250.00	2016	06300000	LMA	People (Used for	63	63	39	62%	0	0	0	0%
	21A	General Program Administration	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	DAWSON CO														
PROJECT NO	16TD002														
GRANT STATUS	Release of Funds														
	03	Public Facilities and Improvements (General)	\$330,000.00	2016	04900000	LMC	People (Used for	3,979	3,979	2,050	52%	0	0	0	0%
	17C	CI Building Acquisition, Construction, Rehabilitation	\$5,000.00	2016	03800000	LMC		0	0	0	0%	0	0	0	0%
	21A	General Program Administration	\$15,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	EWING														
PROJECT NO	16PP003														
GRANT STATUS	Release of Funds														
	20	Planning	\$18,500.00	2015	06300000	LMA		454	454	244	54%	0	0	0	0%
	21A	General Program Administration	\$2,500.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	EWING														
PROJECT NO	16PW004														
GRANT STATUS	Release of Funds														
	03J	Water/Sewer Improvements	\$102,000.00	2015	02500000	LMA	People (Used for	454	454	244	54%	0	0	0	0%
	03K	Street Improvements	\$123,000.00	2015	02300000	LMA	People (Used for	454	454	244	54%	0	0	0	0%
	21A	General Program Administration	\$25,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	FAIRBURY														
PROJECT NO	16PP004														
GRANT STATUS	Special Conditions for ROF														
	20	Planning	\$27,000.00	2016	06300000	LMA	People (Used for	3,942	3,942	2,124	54%	0	0	0	0%
	21A	General Program Administration	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	FREMONT														
PROJECT NO	16CD002														
GRANT STATUS	Release of Funds														
	20	Planning	\$27,000.00	2015	06300000	LMA	People (Used for	7,875	7,875	4,515	57%	0	0	0	0%
	21A	General Program Administration	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	GRETNA														
PROJECT NO	16DTR003														
GRANT STATUS	Release of Funds														
	20A	Planning Only (States)	\$23,550.00	2016	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	KEARNEY														
PROJECT NO	16CD003														
GRANT STATUS	Release of Funds														
	20	Planning	\$3,000.00	2015	06300000	LMA	People (Used for	4,930	4,930	3,682	75%	0	0	0	0%

	HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	IDIS YEAR	ACTIVITY NUMBER	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
	21A	General Program Administration	N	\$27,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	LYONS															
PROJECT NO	16ED003															
GRANT STATUS	Release of Funds															
	03	Public Facilities and Improvements (General)	N	\$8,000.00	2016	03800000	LMJ		0	0	0	0%	0	0	0	0%
	18A	ED Direct Financial Assistance to For-Profits	N	\$250,000.00	2016	07000000	LMJ	Jobs	10	10	6	60%	0	0	0	0%
	21A	General Program Administration	N	\$5,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	MADISON CO															
PROJECT NO	16ED001															
GRANT STATUS	Release of Funds															
	18A	ED Direct Financial Assistance to For-Profits	N	\$116,000.00	2016	07000000	LMJ	Jobs	10	10	6	60%	0	0	0	0%
	21A	General Program Administration	N	\$5,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	NEBRASKA CITY															
PROJECT NO	16CD004															
GRANT STATUS	Special Conditions for ROF															
	20	Planning	N	\$27,000.00	2015	06300000	LMA	People (Used for	1,037	1,037	648	62%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	NORFOLK															
PROJECT NO	16CD005															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$23,400.00	2015	06300000	LMA	People (Used for	5,830	5,830	3,240	56%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	NORFOLK															
PROJECT NO	16DTR005															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2015	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	OHIOWA															
PROJECT NO	16WW001															
GRANT STATUS	Release of Funds															
	03J	Water/Sewer Improvements	N	\$208,000.00	2015	03000000	LMA	People (Used for	115	115	73	63%	0	0	0	0%
	21A	General Program Administration	N	\$22,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	PENDER															
PROJECT NO	16PP010															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	RAVENNA															
PROJECT NO	16PW007															
GRANT STATUS	Release of Funds															
	03J	Water/Sewer Improvements	N	\$225,000.00	2014	02500000	LMA	People (Used for	1,360	1,360	695	51%	0	0	0	0%

	HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	IDIS YEAR	ACTIVITY NUMBER	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
	21A	General Program Administration	N	\$25,000.00	2014	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	RED CLOUD															
PROJECT NO	16PP009															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	0630000	LMA	People (Used for	1,020	1,020	529	52%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	SCOTTSBLUFF															
PROJECT NO	16CD007															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$27,000.00	2015	06300000	LMA	People (Used for	14,580	14,580	7,760	53%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	SEWARD															
PROJECT NO	16DTR008															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2015	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	THURSTON															
PROJECT NO	16PP005															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$20,250.00	2016	06300000	LMA	People (Used for	109	109	58	53%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	WAUSA															
PROJECT NO	16DTR010															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2016	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	WAYNE															
PROJECT NO	16CD008															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$23,400.00	2015	06300000	LMA	People (Used for	2,375	2,375	1,260	53%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	WOLBACH															
PROJECT NO	16PP008															
GRANT STATUS	Award															
	20	Planning	N	\$17,625.00	2016	06300000	LMA	People (Used for	248	248	130	52%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	WOOD RIVER															
PROJECT NO	16PP011															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	N	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%

HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	DIS YEAR	ACTIVITY NUMBER	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
RECIPIENT	YORK														
PROJECT NO	16CD009														
GRANT STATUS	Special Conditions for ROF														
	20	Planning	\$27,000.00	2015	06300000	LMA	People (Used for	3,909	3,909	2,198	56%	0	0	0	0%
	21A	General Program Administration	\$3,000.00	2015	01810000			0	0	0	0%	0	0	0	0%
RECIPIENT	YORK														
PROJECT NO	16DTR011														
GRANT STATUS	Release of Funds														
	20A	Planning Only (States)	\$27,000.00	2016	06300000	SBA	Businesses	0	0	0	0%	0	0	0	0%
	21A	General Program Administration	\$3,000.00	2016	01810000			0	0	0	0%	0	0	0	0%

RECIPIENT	HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	IDIS YEAR	PURP	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
RECIPIENT	CRETE															
PROJECT NO	16PP006															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	PL	LMA	People (Used for	5,915	5,915	3,255	55	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	CROOKSTON															
PROJECT NO	16PP007															
GRANT STATUS	Award															
	20	Planning	N	\$11,250.00	2016	PL	LMA	People (Used for	63	63	39	62	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	DAWSON CO															
PROJECT NO	16TD002															
GRANT STATUS	Release of Funds															
	03	Public Facilities and Improvements (General)	N	\$330,000.00	2016	ED	LMC	People (Used for	3,979	3,979	2,050	52	0	0	0	0
	17C	CI Building Acquisition, Construction, Rehabilitation	N	\$5,000.00	2016	ED	LMC		0	0	0	0	0	0	0	0
	21A	General Program Administration	N	\$15,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	FAIRBURY															
PROJECT NO	16PP004															
GRANT STATUS	Special Conditions for ROF															
	20	Planning	N	\$27,000.00	2016	NA	LMA	People (Used for	3,942	3,942	2,124	54	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	GRETNA															
PROJECT NO	16DTR003															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$23,550.00	2016	PL	SBA	Businesses	0	0	0	0	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	LYONS															
PROJECT NO	16ED003															
GRANT STATUS	Release of Funds															
	03	Public Facilities and Improvements (General)	N	\$8,000.00	2016	NA	LMJ		0	0	0	0	0	0	0	0
	18A	ED Direct Financial Assistance to For-Profits	N	\$250,000.00	2016	NA	LMJ	Jobs	10	10	6	60	0	0	0	0
	21A	General Program Administration	N	\$5,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	MADISON CO															
PROJECT NO	16ED001															
GRANT STATUS	Release of Funds															
	18A	ED Direct Financial Assistance to For-Profits	N	\$116,000.00	2016	NA	LMJ	Jobs	10	10	6	60	0	0	0	0
	21A	General Program Administration	N	\$5,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	PENDER															
PROJECT NO	16PP010															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	PL	SBA	Businesses	0	0	0	0	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0

2016 Per Report Based on Funding Year

Total Amount:	\$1,028,675.00	Admin (Use):	15,296	15,296	8,197	0	0	0
Slum/Blight:	\$131,550.00	Admin (Title):	0	0	0	0	0	0
		Admin (Matrix):						

	HUD MATRIX	HUD TITLE	ACTIVITY STATUS	AMOUNT	IDIS YEAR	PURP	NAT OBJ	ACCOMP. NAME	PROP UNITS	PROP BEN TOT	PROP BEN LMI	PROP BEN %	ACT UNITS	ACT BEN TOT	ACT BEN LMI	ACT BEN %
RECIPIENT	RED CLOUD															
PROJECT NO	16PP009															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	NA	LMA	People (Used for	1,020	1,020	529	52	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	THURSTON															
PROJECT NO	16PP005															
GRANT STATUS	Release of Funds															
	20	Planning	N	\$20,250.00	2016	PL	LMA	People (Used for	109	109	58	53	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	WAUSA															
PROJECT NO	16DTR010															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2016	PL	SBA	Businesses	0	0	0	0	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	WOLBACH															
PROJECT NO	16PP008															
GRANT STATUS	Award															
	20	Planning	N	\$17,625.00	2016	PL	LMA	People (Used for	248	248	130	52	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	WOOD RIVER															
PROJECT NO	16PP011															
GRANT STATUS	Award															
	20	Planning	N	\$27,000.00	2016	PL	SBA	Businesses	0	0	0	0	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0
RECIPIENT	YORK															
PROJECT NO	16DTR011															
GRANT STATUS	Release of Funds															
	20A	Planning Only (States)	N	\$27,000.00	2016	PL	SBA	Businesses	0	0	0	0	0	0	0	0
	21A	General Program Administration	N	\$3,000.00	2016	NA			0	0	0	0	0	0	0	0

2016 Per Report Based on Funding Year

Total Amount:	\$1,028,675.00	Admin (Use):	15,296	15,296	8,197	0	0	0
		Admin (Title):	\$58,000.00			0	0	0
Slum/Blight:	\$131,550.00	Admin (Matrrix):	\$58,000.00	0	0	0	0	0

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## PART II

### Relationship of the Use of Funds to the State's Objectives

“The primary national CDBG objective is to develop viable urban communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for low- and moderate-income persons (LMI).” The State of Nebraska’s 2016 CDBG program furthered this national objective through investing in quality communities and quality projects designed to meet the objectives for three priorities: Housing, Community Development, and Economic Development.

During the 2016 Program Year, the Nebraska Department of Economic Development awarded fifty-three (53) grants in the amount of approximately \$9,921,975 CDBG funding and CDBG RLF was distributed within the Community Development, Economic Development, and Housing Categories. A detailed breakdown of these categories included:

**Community Development Category:** The primary state objective for this category is to strengthen Nebraska communities through community development programs and services, which provide a stable platform for economic development. This category includes: Planning, Public Works, Water/Wastewater, Comprehensive Development, and Downtown Revitalization. Forty-two (42) grants were awarded for approximately \$5,407,975 and included:

- Planning: The primary objective is to provide communities the opportunity to solve problems and meet citizens’ needs through a community planning process that assesses community needs, develops options for meeting those needs, and packages work plans or carry out processes that lead to successful projects. In total, eleven (11) grants were awarded for a total of \$284,625.
- Public Works: Four (4) grants were awarded for \$1,000,000.
- Water/Wastewater: Four (4) grants were awarded for \$887,600.
- Comprehensive Development: Eight (8) grants were awarded for \$229,200.
- Downtown Revitalization: Fifteen (15) grants were awarded for \$3,006,550.

**Economic Development Category:** The primary state objective for this category is to foster the competitiveness of Nebraska’s business and industrial sector—and as a result—assist in the economic development of Nebraska’s communities and people. Local governments receive grants to provide appropriate assistance to businesses through working capital and fixed asset loans, loan guarantees, equity investments, job training, or public works grants. Financial assistance to local governments is awarded to diversify the state's economy while leveraging private sector investment, and providing jobs of which at least 51% should be available to low/moderate income persons. Four (4) Economic Development grants totaling approximately

\$1,694,000 of CDBG RLF funds were awarded. Two (2) Tourism Development grants were awarded of CDBG funds totaling \$700,000.

**Housing Category:** The primary state objective of this category is to respond to regional needs for affordable, decent, safe and appropriate housing as part of balanced economic development in Nebraska. The state invests in rehabilitation of existing housing for low and moderate-income homeowners using a regional and community level service delivery system. Six (6) grants were awarded CDBG dollars for approximately \$2,120,000.

The State PER reports different accomplishments than the narrative above does concerning awarded projects during the 2016 Program Year. This is a result of the timing of projects awarded and being loaded into the State financial database, MITAS, and IDIS. The reasons for this are associated with a later distribution of the federal allocation, and numerous DED staff changes in the Department. During the Program Year, the Department re-staffed the entire Finance Team, underwent major revisions of staff on the Housing Team, and lost an experienced staff member of the managerial team while hiring a new staff member also on the Community Development Team.

Please refer to the CDBG Awards lists for a detailed explanation of 2016 awards, and the 2016 PER for detailed information concerning projects loaded in MITAS and IDIS before the end of the Program Year, June 30, 2017. The evaluation of extent of low and moderate income benefit below refers to the State 2016 PER, and not the documented CDBG award list.

As of the end of the 2016 Program Year, the State PER reports that of the \$9,994,180 CDBG dollars allocated to the State of Nebraska, and \$779,803 CDBG Program Income State revenues received, \$1,028,675 was obligated to recipients, and \$275,965 disbursed. The projects reported were:

- Economic Development: 2 projects and associated activities for \$384,000
- Downtown Revitalization: 3 projects and associated activities for \$86,550
- Planning: 8 projects and associated activities for: \$208,125
- Tourism: 1 project and associated activities for: \$350,000

### **Summary of Citizens' Comments**

As described in Section One of the report, there were no citizens' comments during the 2016 Consolidated Annual Performance Report's public comment period.

### **Evaluation of Extent of Low and Moderate Income Benefit**

Evaluation of benefit to low-and-moderate income persons is contained in the summary information on grantees as a part of this report. Of the \$1,028,675 of 2016 funds which had been obligated to projects during the 2016 Program Year, \$55,000 went to grantees as local administration. Of the \$970,675 that went to project activities, \$839,125 was used to benefit low and moderate-income persons. Of the total 2016 allocation available for project awards, so far,

86% has been used to benefit low and moderate-income persons. At end of the 2016 Program Year, the Department had obligated only a small portion of the 2016 allocation, and therefore this percentage will increase as these funds are obligated to projects.

### **Explanation of Nature and Reasons for Changes in Program Objectives**

There were no changes in program objectives, except that the Comprehensive Revitalization and the Comprehensive Investment & Stabilization Program Categories were collapsed into the new Comprehensive Development Program Category.

### **Changes as Results of Experience**

There were no changes as a result of experience.

### **Summary of Technical Assistance Funding**

The State of Nebraska uses its CDBG Technical Assistance funding to produce the Certified CDBG Administrator Training Workshops. As of March 1, 1996, the State of Nebraska requires the formal certification of all persons administering Community Development Block Grant (CDBG) projects in Nebraska. These workshops are conducted annually by the Housing and Community Development Division of DED and are scheduled to coincide with CDBG funding cycles. Anyone seeking the certified administrator designation must complete and pass the written exam. CDBG TA funds went towards conducting Environmental Review Training, Labor Standards, Property Acquisition and Compliance Monitoring for local officials and Certified Administrators. The Housing and Community Development staff conducts the environmental training. CDBG technical assistance (TA) funds were also used in other projects during the program year.

CDBG TA funds went towards the support of the Nebraska Chapter of the American Planning Association (APA), training for local planning officials. Approximately \$240 was used to support scholarships for attendees and for training costs not covered by registrations at the Nebraska Chapter APA Institutes in April 2016.

Local Government	Project Number	Activity Number	FEMALE-HEAD	WHITE	BLACK	ASIAN	NATIVE	HAWAII	NATIVE-WHITE	ASIAN-WHITE	WHITE-BLACK	WHITE-BLACK-WHITE	NATIVE-RACIAL	MULTI-RACIAL	WHITE-HISP	BLACK-HISP	ASIAN-HISP	NATIVE-HISP	HAWAII-HISP	NATIVE-HISP	ASIAN-WHITE-HISP	WHITE-HISP-BLACK-HISP	WHITE-HISP	MULTI-RACIAL-HISP
ALBION	16DTR001	06300000	0	1,781	0	0	1	1	0	0	0	0	14	17	0	0	1	1	0	0	0	0	14	
BLUE HILL	16PW002	02300000	0	936	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
BROWNVILLE	16TD001	04900000	0	835	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
BROWNVILLE	16TD001	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
BROWNVILLE	16TD001	00700000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
BRUNSWICK	16PP002	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
BURWELL	16PW003	02500000	0	1,225	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
CAMBRIDGE	16DTR002	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
COLUMBUS	16CD001	06300000	0	6,532	21	10	19	0	0	0	0	243	1,947	0	0	0	0	0	0	0	0	0	0	
COLUMBUS	16CD101	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
COLUMBUS	16CD101	05310000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
COLUMBUS	16CD101	02300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
CRETE	16PP006	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
CROOKSTON	16PP007	06300000	0	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
DAWSON CO	16TD002	04900000	0	3,979	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
DAWSON CO	16TD002	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
DESHLER	16HO3606	05300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
EWING	16PP003	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
EWING	16PW004	02500000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
EWING	16PW004	02300000	0	454	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FAIRBURY	16HO3606	05300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FAIRBURY	16PP004	06300000	0	3,781	11	14	24	1	0	0	0	111	131	0	0	0	0	0	0	0	0	0	0	
FAIRMONT	16HO3606	05300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FREMONT	16CD002	06300000	0	7,875	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FREMONT	16CD102	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FREMONT	16CD102	04900000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FREMONT	16CD102	00910000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FREMONT	16CD102	05410000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
FREMONT	16CD102	02300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
GOTHENBURG	16HO3306	05300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
GRETNA	16DTR003	06300000	0	4,327	27	20	6	0	0	0	0	61	70	0	0	0	0	0	0	0	0	0	0	
KEARNEY	16CD003	06300000	0	4,930	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Local Government	Project Number	Activity Number	FEMALE-HEAD	WHITE	BLACK	ASIAN	NATIVE	HAWAII	NATIVE-WHITE	ASIAN-WHITE	BLACK-WHITE	BLACK-ASIAN	NATIVE-RACIAL	MULTI-RACIAL	WHITE-HISP	BLACK-HISP	ASIAN-HISP	NATIVE-HISP	HAWAII-HISP	NATIVE-WHITE-HISP	ASIAN-WHITE-HISP	BLACK-WHITE-HISP	BLACK-ASIAN-HISP	MULTI-RACIAL-HISP
KEARNEY	16CD103	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KEARNEY	16CD103	04900000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KEARNEY	16CD103	00700000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LYONS	16ED003	07000000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LYONS	16ED003	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MADISON CO	16ED001	07000000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA CITY	16CD004	06300000	0	1,037	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA CITY	16CD104	00700000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA CITY	16CD104	02300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA CITY	16CD104	00900000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NORFOLK	16CD005	06300000	0	5,429	134	0	32	0	0	0	0	0	235	1,091	0	0	0	0	0	0	0	0	0	0
NORFOLK	16CD105	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NORFOLK	16CD105	02300000	0	5,429	134	0	32	0	0	0	0	0	235	1,091	0	0	0	0	0	0	0	0	0	0
NORFOLK	16DTR005	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NORTH PLATTE	16HO3306	05300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OHIOWA	16WW001	03000000	0	230	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PENDER	16PP010	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RAVENNA	16PW007	02500000	0	1,360	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RED CLOUD	16PP009	06300000	0	1,020	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SCOTTSBLUFF	16CD007	06300000	0	13,322	289	151	297	16	0	0	0	0	505	4,850	0	0	0	0	0	0	0	0	0	0
SEWARD	16DTR008	06300000	0	6,738	39	41	25	2	0	0	0	0	119	0	0	0	0	0	0	0	0	0	0	0
SUPERIOR	16PP012	06300000	0	1,820	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
THURSTON	16PP005	06300000	0	109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WALTHILL	16HO1506	05300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAUSA	16DTR010	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAYNE	16CD008	06300000	0	4,612	138	0	0	0	0	0	0	0	0	346	69	0	0	0	0	0	0	0	0	0
WAYNE	16CD108	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAYNE	16CD108	02300000	0	2,306	69	0	0	0	0	0	0	0	0	173	0	0	0	0	0	0	0	0	0	0
WOOD RIVER	16PP011	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
YORK	16CD009	06300000	0	3,909	0	0	0	0	0	0	0	0	0	340	0	0	0	0	0	0	0	0	0	0
YORK	16CD109	03800000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
YORK	16CD109	05310000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Local Government	Project Number	Activity Number	FEMALE-HEAD	WHITE	BLACK	ASIAN	NATIVE	HAWAII	NATIVE-WHITE	ASIAN-WHITE	WHITE-BLACK	WHITE	NATIVE-BLACK	MULTI-RACIAL	WHITE-HISP	BLACK-HISP	ASIAN-HISP	NATIVE-HISP	HAWAII-HISP	NATIVE-WHITE-HISP	ASIAN-WHITE-HISP	WHITE-BLACK-HISP	BLACK-HISP	MULTI-RACIAL-HISP
YORK	16CD109	02300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
YORK	16DTR011	06300000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total for the Year:</b>			0	84,294	862	236	436	20	0	0	0	0	0	1,523	10,056	69	0	1	1	0	0	0	0	14

**PART III – CIVIL RIGHTS SUMMARY  
CIVIL RIGHTS NARRATIVE**

**State’s Review of Civil Rights**

All grantees receive training in the civil rights rules and regulations during training sessions. Training sessions are reinforced with notices and updates through the CDBG Bulletin, which is published on an as needed basis.

Each grantee’s program eligibility criteria are reviewed to determine whether the criteria or methods of administration may have the effect of subjecting individuals to discrimination on the basis of race, color, age, sex, national origin or disability.

Comparisons are made between recent census data regarding those protected classes and the percentages of those protected classes participating and receiving benefits from CDBG funds.

All grantees are required to maintain, on an ongoing basis, and on file permanently, numerical and percentage documentation and information regarding the following:

1. Total beneficiaries in project
2. Total numbers of males/females.
3. Actual number of Whites, Blacks, Hispanics, Asians, Native Americans, and others.
4. Percentages of males, females, minorities, female heads of household, disabled population, and low to moderate income persons receiving benefits from the project funds.

Program representatives are required to consider this information, compared with census data, and determine if there is any indication any person and/or group of persons were denied benefits or employment on the grounds of race, age, sex, or disability.

In addition, the state reviews the grantee’s compliance with Section 504 and the Americans with Disabilities Act. Grantees must have conducted a self-evaluation of their facilities and their programs as they relate to the ADA/Section 504 compliance. In addition, the grantee must have on file, and available for review, a transition plan. The grantee must provide evidence that they are complying or making attempts to comply with these regulations.

Updates and notices regarding ADA/Section 504 compliance are made through the CDBG Bulletin.

The State's review of all information gathered through monitoring visits indicates that grantee CDBG programs are being administered in a manner, which does not deny any benefit or employment on the grounds of race, age, sex, national origin and disability.

**States Actions to Affirmatively Further Fair Housing**

The State of Nebraska recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety,

environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays.

DED's Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. Please refer to the Housing Priority Section of the 2014 Annual Performance Report to review that information for the 2016 Program Year.

DED recognizes that it can, and where appropriate DED does, take action to overcome impediments to fair housing choice within the State of Nebraska. During the 2016 Program Year, DED undertook the following actions:

DED trained community representatives on housing law, including education about the fair housing complaint system, as well as design and construction standards at CDBG certification trainings.

The Housing Priority of the Annual Action Plan addresses how the State attempts to remove barriers to affordable housing. An Analysis of Impediments to Fair Housing Choice (AI) was prepared in support of the *Consolidated Plan*. The State of Nebraska is required by HUD to complete an Analysis of Impediments to Fair Housing Choice; take actions to overcome the effects of any impediments identified; and maintain records reflecting the actions taken in response to the analysis. The purpose of the Analysis of Impediments is to foster a careful examination on a state-wide basis, those factors which restrict or preclude fair housing choice.

In the summer of 2011, the Department of Economic Development (DED) contracted with Western Economic Services (WES) of Portland Oregon to satisfy the first requirement which is to conduct an Analysis of Impediments to fair housing choices outside of the Omaha, Lincoln metro area.

A copy of the entire Analysis of Impediments that has been prepared by WES is available on the DED website at: <https://opportunity.nebraska.gov/wp-content/uploads/2017/09/2011-Analysis-of-Impediments-to-Fair-Housing-Choice-Volume-I.pdf> under the Section titled "Fair Housing Strategy."

Additional information within the Nebraska Fair Housing Strategy Section also includes information from the AI Presentation and information from previous AI's completed by the Department.

In the preparation of the Analysis of Impediments to Fair Housing Choice (AI) Western Economic Services performed both qualitative and quantitative research which included:

- Socio-economic and housing data from the U.S. Census Bureau;
- Employment data from the U.S. Bureau of Labor Statistics;
- Economic data from the U.S. Bureau of Economic Analysis;
- Investment data from the Community Reinvestment Act;
- Home purchase data from the Home Mortgage Disclosure Act; and



- Housing complaint data from the U.S. Department of Housing and Urban Development and the Nebraska Equal Opportunity Commission.

as well as:

- A fair housing survey of 690 stakeholders throughout the area to investigate fair housing issues in the private and public sectors;
- A planning and zoning survey to identify possible barriers to fair housing choice in established zoning and land use decisions; and
- A fair housing forum broadcast to several locations throughout the state to allow public input and reaction to preliminary findings of the AI.

From that research, WES was able to identify nine (9) public sector and seven (7) private sector impediments. These impediments included:

- Refusal to rent by rental property managers
- Lack of local code enforcement
- Lack of local fair housing policies, ordinances, or regulations
- Inadequate fair housing outreach and education activities
- Insufficient establishment and enforcement of building codes regarding special needs housing
- Discriminatory terms and conditions offered in rental markets
- Failure to make reasonable accommodations
- Lack of actions for affirmatively furthering fair housing by CDBG sub-recipients
- Shortage of fair housing services
- Issuance of predatory-type loans in the home purchase markets
- Denial of home purchase loans
- Lack of statewide fair housing cooperation
- Steering in the real estate markets
- Lack of collaboration among fair housing agencies to contribute to the AI process
- Discriminatory terms and conditions relating to sale
- Language barriers in permitting processes

From this information the Department developed a 2011 Analysis of Impediments to Fair Housing Choice Implementation Plan. This two-year plan included measurable and observable objectives that DED would periodically monitor for progress towards the goals of alleviating or eliminating these impediments to fair housing choice.

During the 2012 Program Year, the Department, in coordination with a variety of organizations who had experience working within the fair housing arena and which included: the Nebraska Equal Opportunity Commission (NEOC); the Nebraska Housing Developers Association; High Plains CDC; and Family Housing Advisory Services worked on developing an action plan in which the impediments identified within the AI were prioritized and resources were allocated to a number of activities (See the Sources and Uses Table on following page).

The Department continued to monitor the progress of these activities during the 2013 Program Year and will continue to review the actions taken during the 2014 Program Year as well. The Implementation of the Plan included the Department committed \$200,000 in Administration and Technical Assistance funds and \$75,000 in project funds from the Nebraska Affordable Housing

Trust Fund in each of the next two years to address these impediments. The exact mechanism for the distribution of these funds is yet to be decided, but a proposal is noted in the Fair Housing Table. Some of the actions identified in the Table began in 2012, but accomplishments will not be fully realized until the end of the 2014 Program Year (June 2015).

Overall, the Implementation Plan is intended to be a dynamic plan to address the impediments to Fair Housing Choice as identified in the 2011 AI. The impediments that have been identified as the most pressing have been discussed by the various participating groups as have the proposed actions to be taken. The Department believes there is a general consensus of the goals of the plan although there has been some discussion of who best can carry out some of those actions. One of the unstated impediments, but certainly one of the goals, is to further cooperation and collaboration of the various fair housing organizations.

The Department has requested progress reports from each of the groups identified within the Sources and Uses Table, and will make adjustments to the implementation plan based on those findings. The current status of each of the goals is noted within the Table below.

During the 2016 Program Year, the State continues to include the following actions:

- Evaluating ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded;
- Working with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.
- Partnering with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.
- Working to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives including through the Nebraska Affordable Housing Program (NHAP).
- Assistance with code enforcement development and implementation is encouraged and implemented in many communities through State programs that include: Comprehensive Revitalization, Comprehensive Investment & Stabilization, and in many other housing initiatives.

- The State will continue to improve the housing conditions of persons who are living in substandard housing by assisting within owner occupied rehabilitation and new residential construction of quality affordable housing.
- The State will continue to reduce the risk of lead based paint hazards in aging housing stock by continuing lead based compliance and reduction efforts for houses that are assisted with state and federal resources.

### **Section 3**

The State provided training to grant administrators on Section 3 outreach and responsibilities. In addition, the State modified and provided *Certification as a Section 3 Resident* and *Certification as a Section 3 Business Concern* forms made easily accessible on the Department web-site for communities to use for certification and outreach to Section 3 residents and businesses.

State's Actions to Increase WBE/MBE Participation – The state provided guidance and training to administrators and grantees on appropriate procurement and bidding procedures to allow for more WBE/MBE business opportunities.

2016 CDBG Awards: (contract start dates between 7/1/16 and 6/30/17)

CD Projects	ED & TD projects
42	6

Total CDBG Projects awarded during Program Year **48**  
 Total CDBG Dollars Obligated during Program Year **\$7,801,975.00**

Total CD Projects	8			
Total CDBG Obligated for CD Projects		\$229,200.00		
Total CDBG Dollars for Project Activities		\$252,000.00		
Total Dollars for Local Administration		\$30,000.00		
Total Dollars for Community Development Goal Outcome Indicator (Pub Facility or Infrastructure Activities other than low/moderate income housing benefit of ConPlan		\$229,200		

	Total Proposed Benefit	Total Proposed LMI	
National Objectives			
LMA	47,361	27,058	

#	Project #	Grantee and Location	Contract Begin Date	CDBG Total Amount Awarded	CDBG Activity Amount	Activity Name	National Objective	Accomplishment	Proposed Benefit	Proposed Unit	Proposed LMI
1	16CD001	Columbus	12/12/2016	\$26,400.00	\$3,000.00	general administration			0	0	0
	16CD001	Columbus			\$23,400.00	planning	LMA	People (General)	6,825	6,825	3,755
2	16CD002	Fremont	12/12/2016	\$30,000.00	\$3,000.00	general administration			0	0	0
	16CD002	Fremont			\$27,000.00	planning	LMA	People (General)	7,875	7,875	4,515
3	16CD003	Kearney	12/12/2016	\$30,000.00	\$3,000.00	general administration			0	0	0
	16CD003	Kearney			\$27,000.00	planning	LMA	People (General)	4,930	4,930	3,682
4	16CD004	Nebraska City	12/12/2016	\$30,000.00	\$3,000.00	general administration			0	0	0
	16CD004	Nebraska City			\$27,000.00	planning	LMA	People (General)	1,037	1,037	648
5	16CD005	Norfolk	12/12/2016	\$26,400.00	\$6,000.00	general administration			0	0	0
	16CD005	Norfolk			\$46,800.00	planning	LMA	People (General)	5,830	5,830	3,240
6	16CD007	Scottsbluff	12/12/2016	\$30,000.00	\$3,000.00	general administration			0	0	0
	16CD007	Scottsbluff			\$27,000.00	planning	LMA	People (General)	14,580	14,580	7,760
7	16CD008	Wayne	12/12/2016	\$26,400.00	\$6,000.00	general administration			0	0	0
	16CD008	Wayne			\$46,800.00	planning	LMA	People (General)	2,375	2,375	1,260
8	16CD009	York	12/12/2016	\$30,000.00	\$3,000.00	general administration			0	0	0
	16CD009	York			\$27,000.00	planning	LMA	People (General)	3,909	3,909	2,198

Total DTR Projects	15	7 DTR P1 projects	8 DTR P2 projects									
				<table border="1"> <tr> <td>National Objectives</td> <td>Total Proposed Benefit</td> <td>Total Proposed LMI</td> <td></td> </tr> <tr> <td>LMI</td> <td>31,517</td> <td>17,827</td> <td></td> </tr> </table>	National Objectives	Total Proposed Benefit	Total Proposed LMI		LMI	31,517	17,827	
National Objectives	Total Proposed Benefit	Total Proposed LMI										
LMI	31,517	17,827										
Total CDBG Obligated for DTR Projects				\$3,006,550.00								
Total CDBG Dollars for Project Activities				\$2,758,550.00								
Total Dollars for Local Administration				\$248,000.00								
Total Dollars for Community Development Goal Outcome Indicator of ConPlan by total project (public facilities, removal of architectural barriers, planning, other than low/mod income housing benefit)				\$1,606,550								
Total Dollars for Community Development Goal Outcome Indicator of ConPlan by total project (commercial rehabilitation, including façade treatment)				\$1,400,000								
				<table border="1"> <tr> <td>SBA</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Businesses</td> <td>112</td> <td></td> <td></td> </tr> </table>	SBA				Businesses	112		
SBA												
Businesses	112											

#	Project #	Grantee and Location	Contract Begin Date	CDBG FY16 Amount Awarded	CDBG Amount Awarded by activity	Activity Name	National Objective	Accomplishment	Proposed Benefit	Proposed Unit	Prop LMI
1	15DTR102	Crete	12/22/2016	\$350,000	\$307,000.00	Commercial Rehab	SBA	Businesses	6	0	0
					\$35,000.00	General Administration		0	0	0	
					\$8,000.00	Construction Management	SBA	0	0	0	
2	15DTR104	Kearney	12/22/2016	\$350,000	\$111,000.00	Public Facilities & Improvements	SBA	Businesses	3	0	0
					\$30,000.00	general administration		0	0	0	
					\$159,000.00	removal of architectural barriers	LMC	People (General)	27,565	27,565	12,825
					\$50,000.00	commercial rehabilitation	SBA	Businesses	0	0	0
3	15DTR105	Minden	12/22/2016	\$350,000	\$175,000.00	Public Facilities	SBA	Businesses	11	5	0
					\$20,000.00	general administration		0	0	0	
					\$45,000.00	removal of arch barriers	LMC	People (General)	1,070	1,070	2,505
					\$110,000.00	commercial rehab	SBA	Businesses	0	0	0
4	15DTR106	Creighton	12/22/2016	\$350,000	\$35,000.00	general administration		0	0	0	
					\$8,000.00	construction management	SBA	Businesses	0	0	0
					\$307,000.00	Commercial Rehab	SBA	Businesses	30	0	0
5	15DTR107	Brownville	12/22/2016	\$350,000	\$102,500.00	public facilities	SBA	Businesses	10	0	0
					\$35,000.00	general administration		0	0	0	
					\$8,000.00	infrastructure management	SBA	Businesses	0	0	0
					\$204,500.00	Commercial Rehab	SBA	Businesses	0	0	0
6	15DTR108	Neligh	12/22/2016	\$350,000	\$27,000.00	general administration		0	0	0	
					\$8,000.00	infrastructure management	SBA	Businesses	4	0	0
					\$315,000.00	Commercial Rehab	SBA	Businesses	18	4	0
7	15DTR109	Beatrice	12/22/2016	\$350,000	\$10,000.00	general administration		0	0	0	
					\$340,000.00	Commercial Rehabilitation	SBA	Businesses	22	0	0
8	15DTR111	Superior	1/9/2017	\$350,000	\$35,000.00	general administration		0	0	0	
					\$236,250.00	removal of arch barriers	LMC	People (General)	1,085	1,085	1,820
					\$78,750.00	commercial rehab	SBA	Businesses	4	0	0
9	16DTR001	Albion	11/23/2016	\$30,000	\$3,000.00	general administration		0	0	0	
					\$27,000.00	Planning	SBA	People (General)	1,797	1,797	677

10	16DTR002	Cambridge	11/23/2016	\$30,000	\$3,000.00	general administration			0	0	0
					\$27,000.00	Planning	SBA	Businesses	4	0	0
11	16DTR003	Gretna	11/23/2016	\$26,550	\$3,000.00	general administration			0	0	0
					\$23,550.00	Planning	SBA	Businesses		0	0
12	16DTR005	Norfolk	11/23/2016	\$30,000	\$3,000.00	general administration			0	0	0
					\$27,000.00	Planning	SBA	Businesses		0	0
13	16DTR008	Seward	11/23/2016	\$30,000	\$3,000.00	general administration			0	0	0
					\$27,000.00	Planning	SBA	Businesses		0	0
14	16DTR010	Wausa	11/23/2016	\$30,000	\$3,000.00	general administration			0	0	0
					\$27,000.00	Planning	SBA	Businesses		0	0
15	16DTR011	York	11/23/2016	\$30,000	\$3,000.00	general administration			0	0	0
					\$27,000.00	Planning	SBA	Businesses		0	0

Total PP Projects	11			
		National Objectives	Total Proposed Benefit	Total Proposed LMI
		LMA	12,976	8,274
Total CDBG Obligated for PP Projects	\$284,625.00			
Total CDBG Dollars for Project Activities	\$252,125.00			
Total Dollars for Local Administration	\$32,500.00			
Total Dollars for Community Development Goal Outcome Indicator (Pub Facility or Infrastructure Activities other than low/moderate income housing benefit) of ConPlan		\$284,625		

#	Project Number	Grantee and Location	Contract Begin Date	CDBG Total Amount Awarded	Activity Name	CDBG Activity Amount	National Objective	Accomplishment	Proposed Benefit	Proposed Unit	Proposed LMI
1	16PP002	Brunswick	12/12/2016	\$25,500.00	general administration	\$3,000.00			0	0	0
					planning	\$22,500.00	LMA	People (General)	140	140	75
2	16PP003	Ewing	12/12/2016	\$21,000.00	general administration	\$2,500.00			0	0	0
					planning	\$18,500.00	LMA	People (General)	454	454	244
3	16PP004	Fairbury	12/12/2016	\$30,000.00	general administration	\$3,000.00			0	0	0
					planning	\$27,000.00	LMA	People (General)	3,942	3,942	2,124
4	16PP005	Thurston	12/12/2016	\$23,250.00	general administration	\$3,000.00			0	0	0
					planning	\$20,250.00	LMA	People (General)	109	109	58
5	16PP006	Crete	4/19/2017	\$30,000.00	general administration	\$3,000.00			0	0	0
					Planning	\$27,000.00	LMA	People (General)	5,915	5,915	3,255
6	16PP007	Crookston	4/19/2017	\$14,250.00	general administration	\$3,000.00			0	0	0
					Planning	\$11,250.00	LMA	People (General)	63	63	39
7	16PP008	Wolbach	4/19/2017	\$20,625.00	general administration	\$3,000.00			0	0	0
					Planning	\$17,625.00	LMA	People (General)	248	248	130
8	16PP009	Red Cloud	4/19/2017	\$30,000.00	general administration	\$3,000.00			0	0	0
					Planning	\$27,000.00	LMA	People (General)	1,020	1,020	529
9	16PP010	Pender	4/19/2017	\$30,000.00	general administration	\$3,000.00			0	0	0
					Planning	\$27,000.00	SBA	Businesses	31	0	0
10	16PP011	Wood River	4/19/2017	\$30,000.00	general administration	\$3,000.00			0	0	0
					Planning	\$27,000.00	SBA	Businesses	4	0	0
11	16PP012	Superior	4/19/2017	\$30,000.00	general administration	\$3,000.00			0	0	0
					Planning	\$27,000.00	LMA	People (General)	1,085	1,085	1,820

Total PW Projects	4			
Total CDBG Obligated for PW Projects	\$1,000,000.00			
Total CDBG Dollars for Project Activities	\$900,000.00			
Total Dollars for Local Administration	\$100,000.00			
Total Dollars for Community Development Goal Outcome Indicator (Pub Facility or Infrastructure Activities other than low/moderate income housing benefit) of ConPlan	\$1,000,000			

	Total Proposed Benefit	Total Proposed LMI	
National Objectives			
LMA	3,975	2,067	

#	Project Number	Grantee and Location	Contract Begin Date	Total CDBG Award Amount	Activity Name	CDBG Activity Amt	National Objective	Accomplishment	Proposed Benefit	Proposed Unit	Proposed LMI
1	16PW002	Blue Hill	10/14/2016	\$250,000.00	General Administration	\$25,000.00			0	0	0
					Street Improvements	\$225,000.00	LMA	People (General)	936	936	483
2	16PW003	Burwell	10/14/2016	\$250,000.00	General Administration	\$25,000.00			0	0	0
					Storm Sewers	\$225,000.00	LMA	People (General)	1,225	1,225	645
3	16PW004	Ewing	10/14/2016	\$250,000.00	General Administration	\$25,000.00			0	0	0
					Streets	\$123,000.00	LMA	People (General)	454	454	244
					Storm Sewers	\$102,000.00	LMA	People (General)			
4	16PW007	Ravenna	10/14/2016	\$250,000.00	General Administration	\$25,000.00			0	0	0
					Storm Sewers	\$225,000.00	LMA	People (General)	1,360	1,360	695





2	16ED003	Lyons	5/1/2017	\$263,000.00	\$8,000.00	Infrastructure	LMJ		0	0	0
					\$250,000.00	Direct Fin. Asst. for Profit Business	LMJ	Jobs	10	10	6
3	16ED004	Falls City	5/4/2017	\$305,000.00	\$5,000.00	General Administration			0	0	0
					\$300,000.00	Dir financial assist to For profit busin	LMJ	Jobs	20	20	11
4	17ED001	Sidney	5/31/2017	\$1,005,000.00	\$5,000.00	General Admin			0	0	0
					\$1,000,000.00	Direct Financial Assistance to For-Profi	LMJ	Jobs	30	30	16

Total TD Projects	2				
Total CDBG Dollars Obligated for TD Projects		\$700,000.00			
Total CDBG Dollars for Project Activities		\$662,000.00			
Total CDBG Dollars for Local Administration		\$45,000.00			
Total RLF Dollars for Economic Development Priority 1 of ConPlan (jobs created/retained)				\$0	
Total RLF Dollars for Economic Development Priority 2 of ConPlan (businesses assisted)				\$0	

National Objectives	Total Proposed Benefit	Total Proposed LMI	
LMC	4,814	2,478	

#	Project #	Grantee and Location	Contract Begin Date	Toal CDBG Amount Awarded	ActivityAmt	Activity Name	National Objective	Accomplishment	Proposed Benefit	Proposed Unit	Proposed LMI	
1	16TD001	Brownville	1/17/2017	\$350,000.00	\$52,000.00	Public Facilities	SBA		1	1	1	0
					\$30,000.00	General Administration			0	0	0	
					\$8,000.00	Construction Management	LMC		0	0	0	
					\$275,000.00	Architectural Barriers Removal	LMC	People (General)	835	835	428	
2	16TD002	Dawson County	1/17/2017	\$350,000.00	\$15,000.00	General Administration			0	0	0	
					\$5,000.00	Construction Management	LMC		0	0	0	
					\$330,000.00	Architectural barriers removal	LMC	People (General)	3,979	3,979	2,050	

**FY2016 Nebraska Affordable Housing Program Accomplishments**

<b>2016 Awards: Rental Units constructed</b>	Total Dollars		\$3,887,325		
	HOME	\$1,621,060	HOME projects	Units	11
	NAHTF	\$2,266,265	NAHTF projects	Units	12
	AAP Accomplishment Units				23

Recipient	Award #	Type	Award Amount	Funding Source(s)	HOME Uni	Location
Building a Better Tomorrow	16-OERH-10076	Rental New Construction	\$408,060	HOME	4	Norfolk
	16-OERH-10076	Rental New Construction	\$180,265	NAHTF		Norfolk
Wayne Community Housing Development	16-OERH-30074	Rental New Construction	\$500,000	HOME	3	Wayne
Building a Better Tomorrow	16-OERH-30075	Rental New Construction	\$713,000	HOME	4	Kearney
					NAHTF	
					Units	Location
Building a Better Tomorrow	16-TFRH-15056	Rental New Construction	\$800,000	NAHTF	5	Madison
Holy Name Housing Corp.	16-TFRH-20073	Rental New Construction	\$500,000	NAHTF	3	Omaha
City of Imperial	16-TFRH-33055	Rental New Construction	\$786,000	NAHTF	4	Imperial

<b>2016 Awards: Rental Units Rehabilitated</b>	Total Dollars		\$1,200,000		
	HOME	\$700,000	HOME project Units	13	
	HTF	\$500,000	HTF project Units	4	
				AAP Accomplishment Units	17

Recipient	Award #	Type	Award Amount	Funding Source(s)	HOME Uni	Location
Midwest Housing Development Fund	16-OERH-10072	Rental Rehabilitation	\$500,000	HOME	4	Norfolk
Housing Development Corporation	16-CHRH-34079	Rental Rehab:CHDO	\$200,000	HOME	9	Hastings
		HOME CHDO project costs	\$150,000	HOME		
		CHDO organizational operations	\$50,000	HOME		
HTF funded projects					HTF Units	Location
Midwest Housing Development Fund	16-HTFRH-10071	Rental Rehabilitation in coordination with HOME project 16-OERH-10072	\$500,000	HTF	4	Norfolk

<b>2016 Awards: Homeowner Housing Added</b>	Total Dollars	\$5,571,400		
	HOME	\$400,000	HOME project Units	11
	NAHTF	\$5,171,400	NAHTF project Units	149
			AAP Accomplishment Units	160

Recipient	Award #	Type	Award Amount	Funding Source(s)	HOME Uni	Location
NeighborWorks NE	16-CHHP-15077	Purchase, Rehab, Resale	\$200,000	HOME	7	NE Counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton, or Wayne
		HOME CHDO project costs	\$150,000	HOME		
		CHDO organizational operations	\$50,000	HOME		
NeighborWorks Lincoln	16-CHHP-18078	New Construction with Down Payment Assistance	\$200,000	HOME	4	Lincoln
		HOME CHDO project costs	\$180,000	HOME		
		CHDO organizational operations	\$20,000	HOME		

NAHTF funded projects:

					Units	Location
NeighborWorks Northeast Nebraska	16-TFHP-15007	Purchase, Rehab, Resale	\$600,000	NAHTF	14	NE Counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton, and Wayne
Three Rivers Housing Development Corporation	16-TFHP-15050	Purchase, Rehab, Resale	\$346,500	NAHTF	7	Arlingotn, Blair, Fort Calhoun, Herman, and Kennard in Washington County; Bancroft, Beemer, West Point, and Wisner in Cuming County; Craig, Decatur, Lyons, Oakland, and Tekamah in Burt County; Dodge, Hooper, Fremont, Nickerson, North Bend, and Scribner in Dodge County
Neighborhoods, Inc. dba NeighborWorks Lincoln	16-TFHP-18028	Down Payment Assistance with Rehab	\$220,000	NAHTF	8	Lancaster County
Neighborhoods, Inc. dba NeighborWorks Lincoln	16-TFHP-18029	New Construction with Down Payment Assistance	\$547,500	NAHTF	4	Lancaster County
Neighborhoods, Inc. dba NeighborWorks Lincoln	16-TFHP-18053	New Construction with Down Payment Assistance and DEMO	\$500,000	NAHTF	12	Lincoln
Habitat for Humanity of Omaha, Inc. (PRR)	16-TFHP-27038	Purchase, Rehab, Resale	\$500,000	NAHTF	16	Omaha
Habitat for Humanity of Omaha, Inc. (NC)	16-TFHP-27039	New Construction with DEMO	\$500,000	NAHTF	16	Omaha
City of Omaha	16-TFHP-27057	New Construction with Down Payment Assistance	\$480,000	NAHTF	4	Omaha
City of Omaha	16-TFHP-27059	New Construction with Down Payment Assistance	\$600,000	NAHTF	9	Omaha

High Plains Community Development Corp., Inc.	16-TFHP-31002	Down Payment Assistance	\$90,000	NAHTF	12	Box Butte, Dawes, Morrill, Sheridan, and Sioux Counties in Nebraska.
Western Nebraska Housing Opportunities	16-TFHP-31042	Down Payment Assistance with Rehab	\$130,000	NAHTF	34	Scottsbluff
Housing Development Corporation	16-TFHP-34044	Purchase, Rehab, Resale	\$400,000	NAHTF	3	Grand Island
Southeast Nebraska Community Action Partnership, Inc.	16-TFHP-36019	Down Payment Assistance with Rehab	\$257,400	NAHTF	10	NE Counties of Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, and Sarpy

<b>2016 Awards: Homeowner Occupied Housing Rehabilitated</b>	Total Dollars	\$4,382,335		
	NAHTF	\$2,262,335	NAHTF project Units	91
	CDBG	\$2,120,000	CDBG project Units	62
			AAP Accomplishment Units	153

Recipient	Award #	Type	Award Amount	Funding Source(s)	Units	Location
Habitat for Humanity of Omaha, Inc.	16-TFHO-27036	homeowner occupied housing rehab	\$636,500	NAHTF	42	Omaha
City of Omaha	16-TFHO-27058	homeowner occupied housing rehab	\$283,500	NAHTF	9	Omaha
Central Nebraska Economic Development, Inc.	16-TFHO-32009	homeowner occupied housing rehab	\$250,000	NAHTF	8	Counties of Blaine, Boyd, Brown, Cherry, Custer, Garfield, Greeley, Holt, Keya Paha, Loup, Rock, Sherman, Valley, and Wheeler in Nebraska
Southwest Nebraska Community Betterment Corporation	16-TFHO-33014	homeowner occupied housing rehab	\$198,750	NAHTF	6	Palisade
Southwest Nebraska Community Betterment Corporation	16-TFHO-33015	homeowner occupied housing rehab	\$231,875	NAHTF	7	Grant, Madrid, Elsie, and Venango in Perkins County
Lincoln County Community Development Corporation	16-TFHO-33026	homeowner occupied housing rehab	\$250,000	NAHTF	8	Brady, Hershey, Maxwell, North Platte, Sutherland, Wallace, and Wellfleet in Lincoln County
City of Superior	16-TFHO-34035	homeowner occupied housing rehab	\$250,000	NAHTF	7	Superior
City of Fairbury	16-TFHO-36012	homeowner occupied housing rehab	\$161,710	NAHTF	4	Fairbury

**CDBG funded projects**

					Units	location
Village of Walthill	16-HO-15069	homeowner occupied housing rehab	\$298,125	CDBG	9	Walthill
City of North Platte	16-HO-33066	homeowner occupied housing rehab	\$397,500	CDBG	12	North Platte
City of Gothenburg	16-HO-33067	homeowner occupied housing rehab	\$397,500	CDBG	12	Gothenburg
City of Deshler	16-HO-36062	homeowner occupied housing rehab	\$265,000	CDBG	8	Deshler
Village of Fairmont	16-HO-36063	homeowner occupied housing rehab	\$430,625	CDBG	13	Fairmont
City of Fairbury	16-HO-36064	homeowner occupied housing rehab	\$331,250	CDBG	8	Fairbury

**NAHTF Operating 2-Year \$60,000 Awards (FY2016 award for \$30,000 award, which can be renewed for an additional year in FY2017 for an additional \$30,000)**

NeighborWorks Northeast Nebraska	16-TFTA-15008	Operating funds	\$60,000.00	NAHTF	0	Norfolk
Habitat for Humanity of Omaha, Inc.	16-TFTA-27037	Operating funds	\$60,000.00	NAHTF	0	Omaha
Southwest Nebraska Community Betterment Corporation	16-TFTA-33016	Operating funds	\$60,000.00	NAHTF	0	Grant
Lincoln County Community Development Corporation	16-TFTA-33027	Operating funds	\$60,000.00	NAHTF	0	North Platte
Housing Development Corporation	16-TFTA-34045	Operating funds	\$60,000.00	NAHTF	0	Hastings



### Notice of Public Comment Period

The Nebraska Department of Economic Development (DED) will conduct a public comment period December 22, 2017 through January 5, 2018 to take remarks of citizens and local government officials regarding the *Nebraska Consolidated Plan's Proposed 2016 Annual Performance Report (APR)*.

This document allows public review and comment on accomplishments during the 2016 Program Year (July 1, 2016 through June 30, 2017), including but not limited to:

1. The Department's performance of community and economic development, planning, housing, homeless, and special needs objectives;
2. Distribution and use of approximately \$9,944,180 million in Community Development Block Grant (CDBG) funds, \$3,023,400 million in HOME funds, \$941,814 in Emergency Solutions Grant (ESG) funds, \$370,412 in Housing Opportunities for Persons With Aids (HOPWA) funds; \$3 million in Homeless Shelter Assistance Trust Fund (HSATF) funds, and \$10 million in Nebraska Affordable Housing Trust Fund (NAHTF) funds, including the amounts used for activities benefiting persons of low-and moderate-income; and
3. The State's performance in minimizing persons displaced because of its CDBG activities and assistance given to persons displaced because of such activities.

The proposed APR will be available on December 22, 2017 on the Department's website at:

<https://opportunity.nebraska.gov/grow-your-community/reports-plans/>

Submit written comments to Abigail B. Anderson, Nebraska Department of Economic Development, P.O. Box 94666, Lincoln, NE 68509-4666, or by e-mail to [abigail.anderson@nebraska.gov](mailto:abigail.anderson@nebraska.gov). Comments will be accepted December 22, 2017 through January 5, 2018. Persons requiring sensory accommodations (including Braille, large print, or recorded materials) should contact Abigail B. Anderson.

Los individuos no hablan Ingles y los individuos con discapacidad podran solicitar las ayudas y servicios auxilianteres necesarios para la participacion de contacto con el Departamento de Desarrollo Economico, P.O. Box 94666, Lincoln, Nebraska 68509-4666, o [abigail.anderson@nebraska.gov](mailto:abigail.anderson@nebraska.gov).



# **Housing Opportunities for Persons with AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

**Updated 9/18/17**

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**OMB Number 2506-0133 (Expiration Date: 12/31/2017)**

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The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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### PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
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### PART 3: Accomplishment Data: Planned Goals and Actual Outputs

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1. Housing Stability: Permanent Housing and Related Facilities
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- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA,PHP, STRMU, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred, however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.,

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

#### **Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	16
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	0
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	0
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	0
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	68
5.	<b>Adjustment for duplication (subtract)</b>	4
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	80

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s). **HOPWA**

**Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement Services, Facility-Based Housing and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities

that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

# Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

### 1. Grantee Information

<b>HUD Grant Number</b> NEH14F999		<b>Operating Year for this report</b> <i>From (mm/dd/yy)</i> 07/01/2016 <i>To (mm/dd/yy)</i> 06/30/2017		
<b>Grantee Name</b> Nebraska Department of Health and Human Services				
<b>Business Address</b>		301 Centennial Mall South P.O Box 95026		
<b>City, County, State, Zip</b>		Lincoln	Lancaster	NE 68509-5026
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		47-0491233		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		808819957	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
<b>*Congressional District of Grantee's Business Address</b>		First		
<b>*Congressional District of Primary Service Area(s)</b>		First    Second    Third		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Lincoln Omaha Kearney Norfolk Scottsbluff	Counties: Lancaster Douglas Buffalo Madison Scottsbluff	
<b>Organization's Website Address</b>  www.dhhs.ne.gov		<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b>		

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Project Sponsor Agency Name</b> Nebraska AIDS project		<b>Parent Company Name, if applicable</b> NA	
<b>Name and Title of Contact at Project Sponsor Agency</b>	London Woolman, Interim Executive Director		
<b>Email Address</b>	londonw@nap.org		
<b>Business Address</b>	250 So. 77 <sup>th</sup> Street, Suite A		
<b>City, County, State, Zip,</b>	Omaha, Douglas, NE, 68114		
<b>Phone Number (with area code)</b>	402-552-9260		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	47-0786622	<b>Fax Number (with area code)</b> 402-552-9251	
<b>DUN &amp; Bradstreet Number (DUNs):</b>	180380412		
<b>Congressional District of Project Sponsor's Business Address</b>	Second		
<b>Congressional District(s) of Primary Service Area(s)</b>	First, Second, Third		
<b>City(ies) <u>and</u> County(ies) of Primary Service Area(s)</b>	Cities: Omaha, Lincoln, Kearney, Norfolk, Scottsbluff		
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$302,153.02	Counties: Douglas, Lancaster, Buffalo, Madison, Scottsbluff	
<b>Organization's Website Address</b>  www.nap.org	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		

### 3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists the project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Subrecipient Name</b>	NA			<b>Parent Company Name, if applicable</b>	
	NA				
<b>Name and Title of Contact at Subrecipient</b>	NA				
<b>Email Address</b>	NA				
<b>Business Address</b>	NA				
<b>City, State, Zip, County</b>	NA	NA	NA	NA	
<b>Phone Number (with area code)</b>	NA			<b>Fax Number (include area code)</b>	
	NA				
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	NA				
<b>DUN &amp; Bradstreet Number (DUNs):</b>	NA				
<b>North American Industry Classification System (NAICS) Code</b>	NA				
<b>Congressional District of Subrecipient's Business Address</b>	NA				
<b>Congressional District of Primary Service Area</b>	NA				
<b>City (ies) and County (ies) of Primary Service Area(s)</b>	NA			NA	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	NA				



#### 4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

Sub-recipient Name	NA		Parent Company Name, if applicable NA	
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency	NA			
Email Address	NA			
Business Address	NA			
City, County, State, Zip	NA	NA	NA	NA
Phone Number (included area code)	NA		Fax Number (include area code) NA	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	NA			
DUN & Bradstreet Number (DUNs)	NA			
North American Industry Classification System (NAICS) Code	NA			
Congressional District of the Sub-recipient's Business Address	NA			
Congressional District(s) of Primary Service Area	Cities: NA			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities: NA		Counties: NA	
Total HOPWA Subcontract Amount of this Organization for the operating year	NA			
Organization's Website Address  NA	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No NA  If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No NA  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No NA  If yes, explain in the narrative section how this list is administered.			

## **5. Grantee Narrative and Performance Assessment**

### **a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. Nebraska's HOPWA Program is administered by the Nebraska Department of Health and Human Services (NDHHS)-Division of Public Health- Infectious Disease Prevention Unit. (Link to organizational chart -<http://dhhs.ne.gov/Org%20Charts/PH.pdf>). The structure of having the HOPWA Program co-located with STD Prevention, Refugees Resettlement, Ryan White Part B, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance within this Unit supports information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of Nebraska's HOPWA Program.

Nebraska's HOPWA Program consists of a 1.0 FTE Program Manager. The HOPWA Program is housed within the Infectious Disease Prevention Unit and both are located within the state office building.

Lois Versaw, HOPWA Program Manager  
Nebraska Department of Health and Human Services  
301 Centennial Mall South  
P.O. Box 95026  
Lincoln, NE 68509-5026  
402-471-0164  
[lois.versaw@nebraska.gov](mailto:lois.versaw@nebraska.gov)

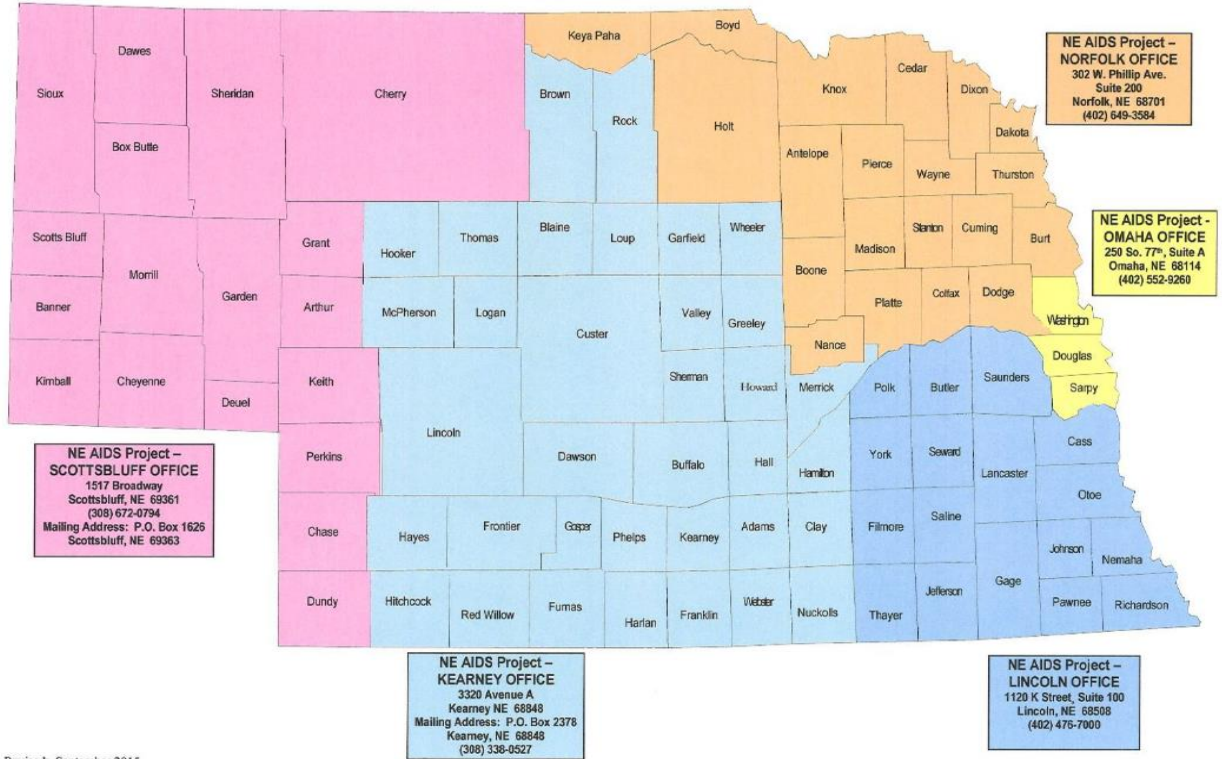
Heather Krieger, Program Manager II, Infectious Disease Prevention Unit  
Nebraska Department of Health and Human Services  
301 Centennial Mall South  
P.O. Box 95026  
Lincoln, NE 68509-5026  
402-471-0193  
[heather.krieger@nebraska.gov](mailto:heather.krieger@nebraska.gov)

Nebraska's HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 2.5 FTE's case management staff to provide direct HOPWA services. In addition, NAP's Compliance Supervisor works closely with the NDHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska's ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska's HOPWA Program to ensure timely quality services are providing through utilizing there agency's statewide office structure. The Nebraska AIDS Project Interim Executive Director, London Woolman, is located in the Omaha NAP office.

London Woolman, Interim Executive Director  
Nebraska AIDS Project  
250 So. 77th Street, Suite A  
Omaha, NE 68114  
402-552-9260  
[londonw@nap.org](mailto:londonw@nap.org)

## NEBRASKA AIDS PROJECT - COVERAGE AREAS



Revised: September 2015

A primary goal of Nebraska’s HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services. Nebraska’s HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month’s rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers for the RentWise tenant education course, refers for budgeting and life skills classes, and refers for other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program from ten clients to sixteen, with hopes of adding more eligible clients soon.

The HOPWA Program is leading DHHS’ efforts to procure a new case management software system for the HOPWA and Ryan White Part B Programs, which will enhance case management services for clients State-wide.

In late May 2017 Ms. London Woolman took over as Interim Executive Director at NAP following the departure of the Executive Director. NAP’s Executive Director position has not yet been permanently filled, but Ms. Woolman continues to display solid understanding, passion and commitment to the cause and its work and has represented NAP very well both as their accountant and human resources supervisor.

## **b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period. During the reporting period, the program served 90 households; 68 with short-term rent/mortgage assistance, 21 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 16 with tenant-based rental assistance. There were 15 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged. Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving ten clients to sixteen. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability. Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2016 subgrant with NAP did continue to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals. Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are "somewhat adherent" or "very adherent" in accessing ongoing HIV health care with 97% of HOPWA clients "somewhat adherent" or "very adherent" to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Nebraska’s HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the NE HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care.

Nebraska’s HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHCPC) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation. The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The NE HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Nebraska’s HOPWA Program has benefitted from the technical assistance provided by Heather Rhoda, Senior Analyst, Housing and Community Development with the Cloudburst Group and will pursue continuing such if available. The Nebraska HOPWA Program did request recent technical assistance from HUD in regards to review of a draft HOPWA Manual the program has developed. The Nebraska HOPWA Program is still waiting for a response from HUD Technical Assistance whether technical assistance for this project may be provided.

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations: An expansion in the allowable parameters of funding would support grantees utilizing HOPWA funding for the purpose of purchasing inexpensive household items. Clients who are homeless, out of care, and face many barriers are given priority for housing services. Staff focus on locating housing for clients and strive to maintain their housing but it is difficult to take a client/household to a new residence with only limited items and resources. Basic

furnishings, such as a bed/mattress, are necessary for clients. These are more difficult to obtain because some second hand stores and non-profits do not accept used mattresses for hygienic reasons. However, NAP has been successful in obtaining other household items through donations, etc.

Housing Affordability and Rent Determination/Fair Market Rents: Statewide, and especially in Western Nebraska, locating safe and affordable housing is difficult. Housing is limited and often not safe and appropriate when affordable. Housing that meets or below FMR is often not suitable or hard to find.

Multiple Diagnoses: NAP case management staff report difficulties in assisting clients with multiple diagnoses to obtain and maintain their housing. However, this is a reportable data field in the NE HOPWA Program PROVIDE Case Management software system. Data shows less than 4% of NE HOPWA clients are dually diagnosed (mental health and substance use). The HOPWA Program Manager will work with NAP to ensure clients identified and documented as dually diagnosed are entered and reported correctly in PROVIDE.

Criminal Justice History/Rent History and Housing Availability: In Western Nebraska, safe, affordable housing is very limited and hard to find. HOPWA clients with a criminal justice history or a poor rental history experience further significant difficulties in obtaining housing and securing employment following incarceration and/or seeking new housing.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

There are no significant trends identified or being addressed through HOPWA at this time.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

There have been none during this reporting period. The HOPWA Program will work towards the creation and dissemination of a programmatic satisfaction and needs survey this FY2017 year and is in the process of completing a Request for Proposal for a new case management software system that will enable the program to easily complete evaluations and assessments of various services provided. The HOPWA Program is working with the whole of the Nebraska Infectious Disease Prevention Unit to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

*Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

*Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

**1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	835
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2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	135
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	700
• Assistance with rental costs	600
• Assistance with mortgage payments	100
• Assistance with utility costs.	0
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	0

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

## PART 2: Sources of Leveraging and Program Income

### 1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

#### A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
<b>Public Funding</b>			
Ryan White-Housing Assistance	\$27,304.89	Rent Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part C-University of NE Med Center	\$196,505.05	HIV Care	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program	\$4,350.00	Section 8 Vouchers	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-AIDS Drug Assistance (ADAP)	\$955,733.45	Medications	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Utility Assistance	\$18,827.94	Utility Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Food Assistance	\$5,675.00	Food Vouchers	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Transportation Assistance	\$48,572.79	Transportation to access care	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$0.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Private Funding</b>			
Grants	\$0.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources	\$0.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: NE AIDS Project-Flowers' Fund	\$4,453.14	Housing and utility assistance, supportive services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Other Funding</b>			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	\$0.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$23,204.00		
<b>TOTAL (Sum of all Rows)</b>	<b>\$1,284,626.26</b>		



## 2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

### A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	0

### B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	0

**End of PART 2**

### PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**Note:** The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

#### 1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	12	16			\$137,419.98	\$105,978.12
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	NA	NA	NA		NA	NA
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)	NA	NA	NA		NA	NA
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA		NA	NA
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA		NA	NA
4.	Short-Term Rent, Mortgage and Utility Assistance	45	68			\$70,898.00	\$74,614.59
5.	Permanent Housing Placement Services	13	21			\$3,000.00	\$9,800.00
6.	Adjustments for duplication (subtract)	0	15				
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	70	90			\$211,317.98	\$190,392.71
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	NA				NA	NA
9.	Stewardship Units subject to 3 or 10 year use agreements	NA					
10.	<b>Total Housing Developed</b> (Sum of Rows 8 & 9)						
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	45	90			\$102,960.00	\$103,983.49
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0			0	0
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	45	90			\$102,960.00	\$103,983.49
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	60	60			\$8,000.00	\$14,228.55
15.	<b>Total Housing Information Services</b>	60	60			\$8,000.00	\$14,228.55

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$17,255.00	\$14,122.13
17.	Technical Assistance (if approved in grant agreement)					NA	NA
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$11,112.00	\$9,274.49
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$19,767.02	\$18,697.42
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					\$48,134.02	\$42,094.04
<b>Total Expended</b>							
						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$370,412.00	\$350,698.79

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

*Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.*

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	0	0
3.	Case management	90	\$101,332.15
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	0	0
9.	Life skills management (outside of case management)	0	\$60.00
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	20	\$2,591.34
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	110	
16.	<b>Adjustment for Duplication (subtract)</b>	20	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	90	\$103,983.49

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance (STRMU). In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	68	\$74,614.59
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	5	\$16,500.00
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	\$0.00
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	63	\$58,114.59
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	\$0.00
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	\$0.00
g.	Direct program delivery costs (e.g., program operations staff time)		\$0.00

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	16	13	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	3	
			9 Death		<i>Life Event</i>
<b>Permanent Supportive Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Transitional/ Short-Term Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
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**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
68	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	20	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	44	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	0	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	1	
	Disconnected	3	
	Death	0	<i>Life Event</i>
	1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			13

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, Permanent Housing Placement and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

<b>Total Number of Households</b>	
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, Facility-Based Housing, and Master Leasing	105
b. Case Management	90
c. Adjustment for duplication (subtraction)	105
<b>d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a. &amp; b. minus Row c.)</b>	<b>90</b>
<b>2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:</b> Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
<b>b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance</b>	<b>0</b>

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

<b>Categories of Services Accessed</b>	<b>[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>Outcome Indicator</b>
1. Has a housing plan for maintaining or establishing stable on-going housing	90	0	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	88	0	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	88	0	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	90	0	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	90	0	<i>Sources of Income</i>

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children’s Health Insurance Program (SCHIP), or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul>
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**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	38	0

**End of PART 4**



**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	13	0	3	0
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	13	0	3	0

<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	19	45	4	0
<b>Total HOPWA Housing Subsidy Assistance</b>	19	45	4	0

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s) NA	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name NA	Date Facility Began Operations (mm/dd/yy) NA

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name: NA	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	NA	NA

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	NA
Site Information: Project Zip Code(s)	NA
Site Information: Congressional District(s)	NA
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list NA <input type="checkbox"/> Not confidential; information can be made available to the public NA
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	NA

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: NA	Signature & Date (mm/dd/yy) NA
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) NA	Contact Phone (with area code) NA

**End of PART 6**

**Part 7: Summary Overview of Grant Activities**

**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement, and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

<b>Individuals Served with Housing Subsidy Assistance</b>	<b>Total</b>
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	90

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

<b>Category</b>		<b>Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance</b>
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	61
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	0
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	0
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	1
12.	Rented room, apartment, or house	25
13.	House you own	2
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	0
15.	Hotel or motel paid for without emergency shelter voucher	1
16.	Other	0
17.	Don’t Know or Refused	0

18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	90
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**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual.*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	90
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	2
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	74
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	166

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	14	7	0	0	21
3.	31 to 50 years	36	15	0	0	51
4.	51 years and Older	9	9	0	0	18
5.	<b>Subtotal (Sum of Rows 1-4)</b>	<b>59</b>	<b>31</b>	<b>0</b>	<b>0</b>	<b>90</b>
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
6.	Under 18	17	24	0	0	41
7.	18 to 30 years	6	8	0	0	14
8.	31 to 50 years	4	5	0	0	9
9.	51 years and Older	6	6	0	0	12
10.	<b>Subtotal (Sum of Rows 6-9)</b>	<b>33</b>	<b>43</b>	<b>0</b>	<b>0</b>	<b>76</b>
<b>Total Beneficiaries (Chart a, Row 4)</b>						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	<b>92</b>	<b>74</b>	<b>0</b>	<b>0</b>	<b>166</b>

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	2	0	3	0
2.	Asian	1	0	1	0
3.	Black/African American	38	0	22	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	45	0	49	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	4	0	1	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	90	0	76	0
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</i>					

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	66
2.	31-50% of area median income (very low)	16
3.	51-80% of area median income (low)	8
4.	<b>Total (Sum of Rows 1-3)</b>	90

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

NA
----

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: <span style="float: right;">Date Completed:</span>
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = <span style="float: right;">Total Units =</span>
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public





**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased or Operated by Project Sponsor or Subrecipient**  
Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		

d.	Other Activity (if approved in grant agreement) <b>Specify:</b>		
e.	<b>Adjustment to eliminate duplication (subtract)</b>		
f.	<b>TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)</b>		