

**PROPOSED**  
Under Public Comment  
(April 19 - May 3, 2023)

# 2021 Consolidated Annual Performance and Evaluation Report (CAPER)

STATE OF NEBRASKA CONSOLIDATED PLAN FOR  
HOUSING AND COMMUNITY DEVELOPMENT

**July 1, 2021 - June 30, 2022**

**NEBRASKA**

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DEPT. OF ECONOMIC DEVELOPMENT

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## Acronym List

AIDS	Acquired Immune Deficiency Syndrome
APR	Annual Performance Report
BoS CoC	Balance of State Continuum of Care
CDBG	Community Development Block Grant
CFR	Code of Federal Regulations
CHAS	Comprehensive Housing Affordability Strategy
CHDO	Community Housing Development Organization
CNF	Community Need Factors
CoC	Continuum of Care
CR	Comprehensive Revitalization
CRD	Community and Rural Development
DED	Nebraska Department of Economic Development
DEE	Nebraska Department of Environmental Quality and Energy
DHHS	Nebraska Department of Health and Human Services
DTR	Downtown Revitalization
ED	Economic Development
ESG	Emergency Solutions Grant
HCDCA	Housing and Community Development Act
HIV	Human Immunodeficiency Virus
HMIS	Homeless Management Information System
HOME	HOME Investment Partnerships Program
HOPWA	Housing Opportunities for Persons With AIDS
HSATF	Homeless Shelter Assistance Trust Fund
HTF	National Housing Trust Fund
HUD	U. S. Department of Housing and Urban Development
LMA	Low to Moderate Income Area Benefit
LMC	Low to Moderate Income Limited Clientele
LMH	Low to Moderate Income Housing
LMI	Persons with Low to Moderate Income
LMJ	Low to Moderate Income Jobs
MOU	Memorandum of Understanding
NAHTF	Nebraska Affordable Housing Trust Fund
NCHH	Nebraska Commission on Housing and Homelessness
NDO	Nonprofit Development Organization
NHAP	Nebraska Homeless Assistance Program
NIFA	Nebraska Investment Finance Authority
NMIS	Nebraska Management Information System
NOFA	Notice of Funding Availability
PW	Public Works
RFA	Request For Applications
RLF	Revolving Loan Fund
TA	Technical Assistance
USDA	U.S. Department of Agriculture
WW	Water Wastewater
WWAC	Water Wastewater Advisory Committee

## CR-05 - Goals and Outcomes

*Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)*

*This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year*

This is the second year of the 2020 – 2024 Consolidated Plan cycle and many of the accomplishments are associated to goals outlined in the 2015 – 2019 Consolidated Plan. Those accomplishments reported in the 2021 program year, associated to the previous Consolidated Plan, are integrated into the CR-05 accomplishment table below. The 2020 – 2024 Consolidated Plan goals include:

- 1) Enhance Public Facilities and Infrastructure;
- 2) Encourage Economic Development;
- 3) Support Vital Public Services;
- 4) Increase Availability of Affordable Housing;
- 5) Reduce Homelessness; and
- 6) Assist Person with HIV/AIDS.

The 2021 CAPER will highlight projects allocation of funds and accomplishments achieved during this program year (July 1, 2021 – June 30, 2022).

### Enhance Public Facilities and Infrastructure

The annual goals to enhance public facilities and infrastructure activities other than Low/Moderate Income Housing Benefit through Persons Assisted and the goal set to address Façade Treatment/business building rehabilitation was not achieved due to the impact of the COVID-19 pandemic. The pandemic directly impacted the availability of construction contractors needed to complete the public facility and infrastructure projects as well as the supply chain for the materials made available. Subrecipients requested additional time to complete activities. The CDBG Program granted extensions on these projects, and accomplishments will be demonstrated on future CAPER reporting.

### Encourage Economic Development

The primary needs of the economic development include: job creation and expansion; and tourism development. A wide variety of activities are funded that primarily serve LMI persons throughout the state.

The goals of Job Creation/Retention were not met due to several factors: the Covid-19 pandemic and finding people to fill the open positions.

The CDBG Program exceeded the goal Business Assisted, this is due to the commercial rehabilitation program within the CDBG Funding Opportunity (Downtown Revitalization). When building the proposed goals numbers within the 2020 – 2024 Consolidated Plan, the CDBG program included the commercial rehabilitation accomplishments (HUD Matrix Goal 14E) to achieve this goal.

### Support Vital Public Services

The CDBG Program made funding available within the Youth Job Training funding opportunity, however, due to the COVID-19 pandemic on the State school systems, this funding was not accessed so the goal was not achieved. Schools were doing hybrid systems of both online and in-person learning which made

it difficult to implement this program since it's success rate is dependent on in-person learning. The CDBG Program continues funding access to this opportunity in future years to accomplish this goal.

### Increase Availability of Affordable Housing

HOME, CDBG, HTF, and NAHTF resources were distributed to achieve the goals associated with the Priority Need – Increase availability of Affordable Housing. Below is a table identifying the funding source and the amount of units assisted with the associated goal.

Housing Program Goal	Annual Goal	Funding Source				Total
		HOME	HTF	CDBG	NAHTF	
Rental Units Constructed	60	17	4	0	0	21
Rental Units Rehabilitated	20	0	10	0	0	10
Homeowner Housing Units Added	120	0	0	0	109	109
Homeowner Housing Units Rehabilitated	124	0	0	50	61	111
<b>Total</b>	<b>324</b>	<b>17</b>	<b>14</b>	<b>50</b>	<b>170</b>	<b>251</b>

None of the housing program goals were met during the 2021 program year. The impact of COVID-19 on construction projects continues to mirror those experienced by the housing industry – stalled projects, supply chain delays, cost of materials and dramatic shortage in the workforce. The labor shortages and supply chain challenges brought on by COVID-19 created immediate consequences, but their impact will last exponentially longer. The pandemic had major impact on the schedules of the projects as it led to the delay of projects. The challenges faced by project managers during the coronavirus pandemic included difficulty in project schedules, reporting and controlling the project budget.

### Reduce Homelessness

In Fiscal Year 2021 (FY21), NHAP received \$1,006,505 in ESG for the BoS and utilized \$993,114.57 of HSATF within the BoS as a match.

- Of this amount, NHAP retained \$74,487.88 in ESG and \$75,000 in matching HSATF for administrative activities.
- NHAP released a request for applications to provide emergency shelter (ES), grandfathered transitional housing (TH), homelessness prevention (HP), and rapid rehousing (RRH) services in the BoS CoC. NHAP conducts a two-year application cycle. The first year of the cycle is open to new applicants, and the second year is only open to applicants selected for funding in year one. FY21 was open to any homeless service provider that met the eligibility criteria for funding. NHAP received 25 applications for the BoS and all applicants were funded. These agencies were awarded \$2,480.73 of FY20 carryover, \$872,717.12 in FY21 ESG funding, and \$888,755.57 in matching HSATF funds. Per the request for applications, when considering funding requests for FY21, NHAP emphasized the continuity of homeless assistance services offered in each region, coordination of system services, and participation in the BoS CoC. During FY21, subrecipient agencies served 4,024 individuals and 2,211 households through ES, HP, and RRH activities.
- NHAP awarded ESG and matching HSATF funds to one agency, the University of Nebraska's Center for Children, Families, and the Law (CCFL), to be the HMIS lead for the Bos CoC. CCFL was awarded \$58,300 in ESG and \$10,000 in matching HSATF funds for the BoS.
- NHAP also provided \$19,359 in matching HSATF funds to one agency, CCFL, for the BoS CoC planning grant. In 2018, CCFL became the recipient of the Housing and Urban Development's CoC Planning Grant for the BoS CoC at the mutual request of CCFL and DHHS. Before 2018, DHHS was the recipient of HUD's CoC Planning Grant. Providing matching funds to CCFL is a continuation of the long-standing use of HSATF funds. The technical assistance and planning provided by CCFL

helped providers receive \$2.5 million in CoC funding for permanent supportive housing, RRH, and TH projects in Nebraska.

The final distribution of funding across regions, identified in the “ESG & Matching HSATF Regional Funding Distribution” table below, was consistent with the proposed distribution of ESG and matching HSATF funds.

**Table 1 - ESG & Matching HSATF Regional Funding Distribution**

Region	Number of Providers	FY20 ESG Carryover	FY21 ESG Regional Awards	HSATF Match Regional Awards	Total HSATF and ESG
Panhandle (Region 1)	3		\$91,196.80	\$116,559.26	\$207,756.06
North Central (Region 2)	5		\$172,507.89	\$175,903.51	\$348,411.40
South Central (Region 3)	5		\$214,265.16	\$206,379.73	\$420,644.89
Southeast (Region 4)	7		\$232,508.92	\$219,694.24	\$452,203.16
Northeast (Region 5)	5	\$2,480.73	\$162,238.35	\$170,218.83	\$334,937.91
HMIS	1		\$58,300	\$10,000.00	\$68,300
BoS CoC Planning Grant Matching HSATF	1			\$19,359	\$19,359
Administration	0		\$75,487.88	\$75,000.00	\$150,487.88
<b>Total</b>	<b>27</b>	<b>\$2,480.73</b>	<b>\$1,006,505.00</b>	<b>\$993,114.57</b>	<b>\$2,002,100.30</b>

For continuity of care, NHAP awarded ESG and matching HSATF funding in each region for multiple allowable ESG activities. The “Activities Funded with ESG & Matching HSATF” table below indicates the number of agencies awarded funding in each region to provide ES, HP, and RRH services, as well as agencies awarded funding to support data in the HMIS.

**Table 2 - Activities Funded with ESG & Matching HSATF**

Region	Emergency Shelter	Homelessness Prevention	Rapid Rehousing	Homeless Management Information System
Panhandle (Region 1)	3	2	2	2
North Central (Region 2)	4	2	2	3
South Central (Region 3)	4	3	3	3
Southeast (Region 4)	4	3	3	4
Northeast (Region 5)	5	3	3	1

NHAP received \$8,508,363 in ESG-CV funding in FY20. Of this allocation, NHAP initially:

- Retained \$340,334.52 for administrative activities.
- Awarded \$8,063,821.74 of the ESG-CV funds to agencies to provide homeless services in the BoS to respond to, prepare for, and prevent the spread of COVID-19. Funding was awarded for ES, SO, HP, HMIS, and RRH; and,
- Awarded \$91,257.97 to CCFL for HMIS Lead and Coordinated Entry Activities.

Thus, \$12,948.77 remained unobligated. During FY21, NHAP determined the full set aside of ESG-CV administrative funds was not necessary. NHAP reduced the amount of ESG-CV administrative funds set aside, so the funds could be utilized as aid. NHAP conducted surveys to determine subrecipient ESG-CV spend-down plans in FY21. Three subrecipients elected to return a portion of their ESG-CV allocation. As a result, NHAP released a request for additional funding opportunities to the current ESG-CV subrecipients in the winter of FY21 to redistribute the unused administrative funding, the remaining unobligated funding, and the returned aid funding. Nine subrecipients requested additional funding and each was

awarded additional funds to meet the needs of the communities they serve. CCFL was also awarded additional HMIS funding. NOTE: Some of the funding changes occurred in FY22.

Of the obligated amount (not including administrative expenditures), \$5,921,172.95 was expended as of June 30, 2022. Thus far, ESG-CV subrecipients have rapidly rehoused 677 households, provided HP services to 5,251 individuals, and provided street outreach to 64 individuals experiencing unsheltered homelessness. ES activities continued to be supported at nine emergency shelters with these funds.

Due to the urgent needs brought forth as a result of the pandemic, NHAP continued to dedicate time to collaborating with statewide homeless assistance partners, housing partners, and grant subrecipients to meet the immediate needs of those seeking emergency housing assistance. This assisted in reducing the spread of the COVID-19 virus.

NHAP was significantly below the projected number of households served through TH and RRH and did not reach the projected goal for homeless person's served in overnight shelters. However, NHAP significantly exceeded the projected goal for HP. There are a number of factors which are believed to have contributed to this:

- There was an eviction moratorium, which allowed more households to retain housing.
- Nebraska attempted to maintain low numbers of individuals in ES when possible to minimize the spread of COVID-19.
- NHAP received a significant amount of additional ESG funding to assist households with ES, RRH, and HP. The assistance provided with these funds is not identified above.
- Additional funding sources were available to assist households in retaining their residence, such as the Emergency Rental Assistance Program (ERAP) and the Community Services Block Grant CARES Act funds.

#### Assist Person with HIV/AIDS

Nebraska's HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as the sole project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 4.9 FTE's case management staff to provide direct HOPWA services. In addition, NAP's Compliance Supervisor works closely with the DHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of all HOPWA assistance requests from clients.

Geography has presented challenges in Nebraska's ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska's HOPWA Program to ensure timely quality services are provided through utilizing the agency's statewide office structure. The Nebraska AIDS Project Executive Director is Brent Koster.



*Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)*

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

**Table 3: Accomplishments – Program Year & Strategic Plan to Date**

Need	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Enhance Public Facilities and Infrastructure	Non-Housing Community Development	CDBG / \$32,014,182	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	325,000	44,383	13.66%	65,000	58,696	90%
			Facade treatment/business building rehabilitation	Business	200	70	35%	40	32	80%
Encourage Economic Development	Non-Housing Community Development	CDBG / \$12,695,278	Jobs created/retained	Jobs	200	2	1%	40	31	78%
			Businesses assisted	Businesses Assisted	12	74	616.67%	3	55	1,833%

Need	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Support Vital Public Services	Non-Homeless Special Needs	CDBG / \$1,103,937	Public service activities other than Low/Moderate Income Housing Benefit:	Persons Assisted	180	0	0%	60	0	0%
	Non-Housing Community Development									
Assist Persons with HIV/AIDS	Homeless Non-Homeless Special Needs	HOPWA / \$3,042,005	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	75	27	36%	15	27	180%
			Public Service activities other than LMI Housing Benefit	Persons Assisted	75	167	222.67%	15	118	786.66%
			Homeless Prevention	Persons Assisted	300	66	22%	60	95	158.33%
Increase Availability of Affordable Housing	Affordable Housing	CDBG / \$9,393,467 HOME / \$19,801,150 HTF / \$15,000,000	Rental units constructed	Household Housing Unit	300	70	23.3%	60	21	35.0%

Need	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
		NAHTF / \$45,000,000	Rental units rehabilitated	Household Housing Unit	100	37	37.0%	20	10	50.0%
			Homeowner Housing Added	Household Housing Unit	600	197	32.8%	120	109	90.8%
			Homeowner Housing Rehabilitated	Household Housing Unit	620	242	39.0%	124	111	89.5%
Reduce Homelessness	Homeless	ESG / \$5,049,495 HSATF / \$5,250,000	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	750	199	26.5%	150	66	44%
			Homeless Person Overnight Shelter	Persons Assisted	9,750	3,845	39.4%	1,950	1,808	93%
			Homelessness Prevention	Persons Assisted	4,725	2,354	49.8%	945	1,495	158%

## **Addressing Priorities and Objectives through Use of Funds**

*Assess how the State's Use of Funds, particularly CDBG, addresses the Priorities and Specific Objectives Identified in the Plan, giving special attention to the highest priority activities identified: Use of Funds, Plan Priorities & Specific Objectives, & Identified Highest Priority Activities*

The State of Nebraska 2021 program year was successful in many ways of addressing the priority needs and specific objectives identified in the 2020-2024 Consolidated Plan and the 2021 Annual Action Plan, specifically those highest priority activities identified in the CR-05 Accomplishments Table. State and Federal resources allocated were utilized to fund and support projects which were determined by DED and DHHS to address the priority needs identified. Funds were awarded and activities conducted within the approved use of funds, state priorities and specific objectives upheld and reinforced by the Nebraska Consolidated Plan, the 2021 Annual Action Plan, and activities authorized in Section 105(a) of the amended 1974 Housing and Community Development Act that meet national CDBG objectives.

### **1. Community Development Priority Need**

Community Development Priority Need was developed in order to strengthen Nebraska communities through community development programs and services in order to provide a stable platform for economic development. The objective of improving and developing infrastructure and facilities for communities and counties that have planned and set priorities for long-term development, and other than infrastructure activities excluding LMH benefit was addressed by the awards made during the 2021 program year for the funding opportunities of: Planning, Public Works, Water/Wastewater, Downtown Revitalization, and Tourism Development. To view the awards refer to Appendix 4.1. These projects were awarded and are expected to be completed in a 24 month time period. Accomplishments for these awarded projects will appear in future CAPER documents.

### **2. Economic Development Priority Need**

Economic Development Priority Need includes the need to foster the competitiveness of Nebraska's business and industrial sector, and as a result, assist in the economic development of Nebraska's communities and people. Within the funding opportunities of Economic Development, Tourism Development, and Downtown Revitalization projects were awarded in 2021 to address this need. To view the awards refer to Appendix 4.1. These projects were awarded and expected to be completed in a 24 month time period. Accomplishments for these awarded projects will appear in future CAPER documents.

### **3. Support Vital Public Services**

The primary goals of the Program include: funding public services including youth employment training opportunities that will primarily benefit LMI persons throughout the state. The impact of the Covid-19 pandemic placed this program on hold to provide employment training for youth and public services through the CDBG Program.

### **4. Increase Availability of Affordable Housing**

The State's Housing Priority Need addresses the regional needs for affordable, decent, safe, and appropriate housing as part of balanced economic development in Nebraska. This Priority Need includes four goals that have been developed in order to meet the Housing Priority.

## **Goal 1 – Rental New Construction**

The first housing goal of promoting additional affordable rental housing in selected markets has a Program Year 2021 outcome indicator of 60 units, the actual number of new rental units constructed and/or buildings converted to rental units was 21 in 2021. Refer to Appendix 2 for a list of projects awarded during the 2021 Program Year to address this goal.

#### **Goal 2 – Rental Units Rehabilitated**

The second housing goal of promoting the rehabilitation of rental units has an outcome indicator of 20 units, which was not met by a total of 10 existing rental units being rehabilitated. Refer to Appendix 2 for a list of projects awarded during the 2021 Program Year to address this goal.

#### **Goal 3 – Homeowner Housing Units Added**

The third housing goal of promoting additional households into homeownership by expanding affordable homeownership opportunities, or adding homeowner (also called homebuyer) housing has a 2021 program year outcome indicator of 120 units, the actual number of homeowner housing units added in 2021 was 109 units. Refer to Appendix 2 for a list of projects awarded during the 2021 Program Year to address this goal.

#### **Goal 4 – Homeowner Housing Units Rehabilitated**

The fourth housing objective of promoting housing preservation by improving the quality of Nebraska's existing affordable housing through homeowner housing rehabilitation has a 2021 Program Year outcome indicator of supporting 124 household units. The actual number of homeowner housing units rehabilitated in 2021 was 111 units. Refer to Appendix 2 for a list of projects awarded during the 2021 Program Year to address this goal.

### **5. Reduce Homelessness**

Addressing homelessness continues to be a critical issue for the State of Nebraska. NHAP utilized ESG funds and matching HSATF resources to address the priority needs as outlined in the Annual Action Plan. The following homeless assistance was identified as a priority need:

- Provide appropriate shelter and/or housing to people who are homeless or at risk of homelessness.
- Provide needed services to people who are homeless or are at risk of homelessness.

This means all individuals who become homeless can be served immediately and will be connected with housing through the coordination and efficient use of services.

The array of homeless and at-risk of homelessness services evolved following HUD guidance, best practices, and a focus on client-centered services. NHAP subrecipient agencies provided the following services: ES/TH, HP, RRH, and HMIS activities that were directly related to the provision of NHAP-funded activities. The fundamental goal is for homelessness to be rare, brief, and non-reoccurring.

The homeless assistance priority needs are aligned with the prioritization for RRH as established through the Coordinated Entry protocols. See the full Coordinated Entry manual for Nebraska BoS CoC at: <https://ccfl.unl.edu/community-services-management/coordinated-entry/coordinated-entry-providers>.

Furthermore, the Coordinated Entry Systems have established protocols to ensure that victims of domestic violence can be referred to the Coordinated Entry System anonymously to avoid jeopardizing

the confidentiality protections under the Violence Against Women Act (VAWA). Victim service providers may choose to either complete the Coordinated Entry Assessment Tool and submit to the Coordinated Entry List, have another agency complete the Coordinated Entry Assessment Tool and refer to the Coordinated Entry List, or have the Coordinated Entry Program Manager include the program participant on the Coordinated Entry list anonymously. These options were established to provide survivors with a client-centered approach and to ensure confidentiality.

Although Nebraska has been successful in the utilization of Coordinated Entry to move program participants into permanent housing, emergency, and transitional shelters remain a needed service throughout the State for reasons such as emergency domestic violence situations, transition-aged youth, a lack of affordable housing, and a lack of permanent supportive housing. In FY21, domestic/sexual violence shelters served 1,236 individuals, 767 of which exited to positive housing destinations.

NHAP subrecipient agencies, with the direction of NHAP, established funding priorities and evaluated the array of resources in their communities to meet the housing solution needs of the populations they serve. In addition, the agencies collaborated within their regions through participation in the local and regional coalitions to provide a continuum of services from ES to permanent housing solutions. NHAP coordinated with agencies to identify areas of need and to provide technical assistance. During FY21, as a result of strengthening partnerships during COVID-19, NHAP continued to meet with multiple partners to collaborate and address the homeless situations in Nebraska. These partners included: Nebraska Department of Economic Development; DHHS Public Health; Nebraska Children and Families Foundation; Nebraska Emergency Management Agency; City of Lincoln; City of Omaha; Center for Children Families & the Law (CCFL); and Metro Area Continuum of Care for the Homeless.

#### 6. Assist Persons with HIV/AIDS

The State HOPWA Program project sponsors provided the following housing services during the 2021 program year:

- Short-term rent, mortgage and utility (STRMU) payments;
- Tenant-based rental assistance (TBRA);
- Permanent housing placement (PHP) activities; and
- Supportive services (e.g. housing case management)

Accomplishments and distribution of funds during the 2021 program year are as follows: The State HOPWA Program provided LMI Housing benefits to 127 households during the 2021 program year; 74 of the households were served in the prior year. 27 households received assistance with TBRA, 95 households with STRMU, and 23 With Permanent Housing Placement. Of these households, 18 received Permanent housing placement in combination with either TBRA or STRMU. An additional 118 households were assisted with Public Service activities other than LMI Housing Benefit.

The State HOPWA Program goal is to increase the percentage of individuals in stable housing by identifying resources to supplement HOPWA client incomes and provide non-HOPWA supportive services. The HOPWA program estimated that 90 households would be served through the State HOPWA Program with priority given to persons with low- income. The actual number of households totaled 127 or 140 percent of the estimated goal. Data collected during the 2021 program year reflects that of the 127 clients served, 125 are in permanent, stable housing, and 2 are temporarily stable with a reduced risk of homelessness because of HOPWA housing assistance. Of the total clients assisted, 4 chronically homeless are currently

in a more stable housing arrangement. This number is an increase from the 2 identified in the previous program year.

The State HOPWA Program's assessment of client stability outcomes resulting from short-term emergency housing assistance is that more than 99 percent of households served are in a stable or temporarily stable housing arrangement. Less than 1 percent of clients are in unstable housing arrangements. The overall outcomes for this program year reflect that most eligible clients/households can establish and better maintain suitable stable housing; improved accessibility to health care and other support services; and reduced risk of homelessness among individuals and families living with HIV/AIDS.

The State HOPWA Program places emphasis on the connection between housing and health care with appropriate supportive services that are available through the State HOPWA Program and other funding sources, such as the Ryan White Part B HIV/AIDS Program, in which supportive services that contribute to stable housing are readily available to HOPWA clients. Also, by coordinating HOPWA services with Ryan White Part B HIV/AIDS Program, HOPWA clients are afforded access to supportive services funds under the Ryan White Part B HIV/AIDS Program and state general revenue programs including, but not limited to, medical care, transportation, insurance, dental, counseling services, emergency financial assistance, and housing.

## CR-10 - Racial and Ethnic composition of families assisted

*Describe the families assisted (including the racial and ethnic status of families assisted).*  
91.520(a)

Table 4: IDIS Overall Total Racial and Ethnicity

Race	CDBG	HOME	HOPWA	ESG	HTF
White	20,347	13	66	1,490	14
Black or African American	14	3	57	118	0
Asian	60	0	1	44	0
American Indian or American Native	50	1	1	125	0
Native Hawaiian or Other Pacific Islander	4	0	0	11	0
<b>Total</b>	<b>20,475</b>	<b>17</b>	<b>125</b>	<b>1,788</b>	<b>14</b>
Ethnicity					
Hispanic	372		21	404	0
Not Hispanic	20,103	2	106	1,481	0

### Narrative

The chart in CR-10 within IDIS does not include fields to enter client responses for "bi-racial", "multiple races", or client refusal to provide race and ethnicity information.

Breakdown of each Program's compositions of families assisted are below:

### CDBG

Beneficiaries are recorded once a project is completed. This information was exported from the federal system, IDIS, and does not include the racial and ethnic composition of families assisted through projects that met the National Objective – Low and Moderate Income on an Area Basis (LMA).

Race:	Total
White	20,347
Black or African American	14
Asian	60
American Indian or American Native	50
Native Hawaiian or Other Pacific Islander	4
Multiracial	0
<b>Total</b>	<b>20,475</b>
Ethnicity	
Hispanic	372
Not Hispanic	20,103

## HOME

Data is based upon on the race and ethnicity of families and persons assisted with HOME funds during the program year. Beneficiaries are recorded once a project is completed. The families assisted during this program year was lower than the previous year. The decrease was due to the housing projects were coming off a global pandemic, contractors were facing several challenges such as material shortages and labor inefficiency. The construction industry was trying to figure out how to proceed. The construction industry was faced with gaps in their budgets and had to be resourceful on finding ways to close the financial gaps. The awarded projects were delayed but now are in the completion stages.

Race:	Totals
White	13
Black or African American	3
Asian	0
American Indian or American Native	1
Native Hawaiian or Other Pacific Islander	0
Multiracial	0
<b>Total</b>	<b>17</b>
Ethnicity:	
Hispanic	
Not Hispanic	2

## NHAP

The ESG race and ethnicity data in CR-10 does not reflect the actual number of individuals served. The totals provided in CR-10 do not include participant responses for “Client Doesn’t Know/Refused”, “Data Not Collected”, or “Multiracial” as recorded by NHAP subrecipients. For a specific breakdown of the race and ethnicity data, the total number of all individuals for all race and ethnicity categories is reported in the attached Sage CAPER BoS Annual Reporting Tool.

Race:	Totals
White	1,490
Black or African American	118



Asian	44
American Indian or American Native	125
Native Hawaiian or Other Pacific Islander	11
Multiracial	98
Client Doesn't Know/Client Refused	1
Data Not Collected	0
<b>Total</b>	<b>1,887</b>
<b>Ethnicity:</b>	<b>Totals</b>
Hispanic	404
Not Hispanic	1,481
Client Doesn't Know/Client Refused	1
Data Not Collected	1
<b>Total</b>	<b>1,887</b>

### HOPWA

The HOPWA race and ethnicity data in the CR-10 table in IDIS will not capture the complete data concerning the racial and ethnic composition of families assisted or the total number of individuals within those families assisted because the table does not include those individuals who identify as multi-racial.

Racial and Ethnic Composition of families assisted	
White	66
Black or African American	57
Asian	1
American Indian or American Native	1
Native Hawaiian or Other Pacific Islander	0
Multi-Racial	2
<b>Total</b>	<b>127</b>
<b>Ethnicity:</b>	
Hispanic	21
Not-Hispanic	106

### HTF

Data is based upon on the race and ethnicity of families and persons assisted with HTF funds during the program year. Beneficiaries are recorded once a project is completed and placed in service.

Race:	Totals
White	14
Black or African American	0
Asian	0
American Indian or American Native	0
Native Hawaiian or Other Pacific Islander	0

Multiracial	0
<b>Total</b>	<b>14</b>
<b>Ethnicity:</b>	
Hispanic	0
Not Hispanic	0

## CR-15 - Resources and Investments 91.520(a)

*Identify the resources made available*

**Table 5 - Resources Made Available**

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	\$ 21,042,155	\$10,048,987.14
HOME	public - federal	\$ 4,339,799	\$2,499,257.37
HOPWA	public - federal	\$ 683,130	\$541,595.32
ESG	public - federal	\$ 1,008,985.73	\$919,324.55
HTF	public - federal	\$ 3,126,079	\$4,932,882.07
Other – NAHTF & HSATF	public - state	\$ 11,417,000	\$9,472,392.62

### **Narrative**

The above Table represents the resources made available and the resources expended for Program Year 2021. The column “Resources Made Available” aligns with the amounts available listed within the 2021 Annual Action Plan within Section 15. Other Source of Funds includes State Resources for NAHTF (\$10,300,000) & HSATF (\$1,117,000). The column “Amount Expended During Program Year” identifies all expenditures per program between July 1, 2021– June 30, 2022, regardless of the grant year of funding. Amount Expended During Program Year for Other Category is NAHTF (\$10,300,000) + HSATF (\$993,837.09).

### **CDBG**

\$21,042,155 in federal CDBG funds was made available to potential applicants, which includes carry over funds and program income. These funds were made available to potential applicants. Refer to Appendix 4.1: CDBG Awarded Projects, regarding obligations that occurred in 2021.

During this program year, \$302,873.89 of Revolving Loan Funds (RLFs) were distributed.

### **HOME**

The federal resources of HOME funds was made available in the amount of \$4,339,799. Of this amount, the State awarded \$3,555,000 to eligible projects, refer to Appendix 2 for a full list of projects. During the program year \$2,499,257.37 was expended. The State of Nebraska had no HOME match liability for the 2021PY, however \$875,009.53 was contributed to the state’s HOME Match during the program year 2021. The \$875,009.53 was contributed from NAHTF funds used for projects which met the HOME match rules

and regulations concerning HOME Match. The HOME match provided for the current Federal Fiscal Year is further documented in Table 5 titled “Match Contribution for the Federal Fiscal Year”.

#### HOPWA

The federal resources of HOPWA made available for the HOPWA Program during FY21 was \$683,130.00. The total amount expended of \$541,595.32 included in approximate amounts, \$377,596.83 in total HOPWA housing subsidy assistance, \$121,746.20 in supportive services, and \$42,252.29 in grant administration and other activities. Please see the attached 2021 HOPWA CAPER for a more detailed table and description.

#### HTF

The federal resource of Housing Trust Funds was made available in the amount of \$3,126,079, which included the carry-over from previous years. During the PY2021, a total of \$1,000,000 was awarded to projects. \$4,932,882.07 was expended during the program year.

#### NHAP

In FY21, NHAP received \$1,006,505 in ESG for the BoS and utilized \$993,114.57 of HSATF within the BoS as a match.

- Of this amount, NHAP retained \$74,487.88 in ESG and \$75,000 in matching HSATF for administrative activities.
- NHAP released a request for applications to provide ES, grandfathered TH, HP, and RRH services in the BoS CoC. NHAP conducts a two-year application cycle. The first year of the cycle is open to new applicants, and the second year is only open to applicants selected for funding in year one. FY21 was open to any homeless service provider that met the eligibility criteria for funding. NHAP received 25 applications for the BoS and all applicants were funded. These agencies were awarded \$2,480.73 of FY20 carryover, \$872,717.12 in FY21 ESG funding, and \$888,755.57 in matching HSATF funds. Per the request for applications, when considering funding requests for FY21, NHAP emphasized the continuity of homeless assistance services offered in each region, coordination of system services, and participation in the BoS CoC. During FY21, subrecipient agencies served 4,024 individuals and 2,211 households through ES, HP, and RRH activities.
- NHAP awarded ESG and matching HSATF funds to one agency, CCFL, to be the HMIS lead for the Bos CoC. CCFL was awarded \$58,300 in ESG and \$10,000 in matching HSATF funds for the BoS.
- NHAP also provided \$19,359 in matching HSATF funds to one agency, CCFL, for the BoS CoC planning grant. In 2018, CCFL became the recipient of the Housing and Urban Development's CoC Planning Grant for the BoS CoC at the mutual request of CCFL and DHHS. Before 2018, DHHS was the recipient of HUD's CoC Planning Grant. Providing matching funds to CCFL is a continuation of the long-standing use of HSATF funds. The technical assistance and planning provided by CCFL helped providers receive \$2.5 million in CoC funding for permanent supportive housing, RRH, and TH projects in Nebraska.

NOTE: The \$933,497.85 in the table above (CR-15) consists of: the \$58,300 in ESG HMIS funds: the \$2,480.73 in FY21 ESG funds carried over that were awarded for TH, ES, RRH, and HP; and the \$872,717.12 for FY22 ESG funds that were awarded for TH, ES, RRH, and HP. None of the ESG administrative funds and none of the HSATF funds were included in CR-15. Thus, the \$919,324.55 only represents the amount of ESG expended for HMIS; FY21 (carryover) for TH, ES, RRH, and HP; and FY22 for TH, ES, RRH, and HP.

#### Other: NAHTF

The state funding resource of the Nebraska Affordable Housing Trust Fund was estimated to be made available in the amount of \$10,300,000. \$13,146,950 was awarded. A total of \$8,478,555.53 in Government Aid was expended during the PY2021 through the Nebraska Affordable Housing Trust Fund.

#### CDBG-CV

DED entered into contract with the University of Nebraska – Lincoln, Center on Children, Families, and the Law (UNL-CCFL) for \$6,486,296 in June 2021. UNL-CCFL implemented the delivery and management of the Coronavirus Related Emergency Payments and subcontracted with six providers to disperse the funds throughout the state. The providers are Blue Valley Community Action, Care Corps, Inc., Heartland Counseling Services, Inc., Housing Innovations, LLC, Lutheran Family Services of Nebraska, & Nebraska Children and Families Foundation. Only State Administration funds (\$715,063.91) were expended during July 1, 2021 – June 30, 2022. This funding assisted those individuals that do not meet the requirements within the Nebraska Homeless Assistance Program but do meet the requirements of the CDBG-CV funds. If an individual or family was eligible under the Nebraska Homeless Assistance Program, but funding is not available, the CDBG-CV funds were directed to the provider on behalf of an individual or family. Priority was given to those individuals or families who are at the highest risk of need. The CDBG-CV funds paid for mortgage and utility payments on behalf of an individual/family for costs incurred no earlier than January 21, 2020.

#### HOPWA-CV

\$15,905.03 remaining of HOPWA-CV was expended during July 1, 2021 – June 30, 2022. \$1445.00 was spent on project sponsor Admin costs, \$887.88 on Public Service activities other than LMI Housing Benefit, and \$13,572.15 on Homelessness Prevention.

#### ESG-CV

NHAP received \$8,508,363 in ESG-CV funding in FY20. Of this allocation, NHAP initially:

- Retained \$340,334.52 for administrative activities;
- Awarded \$8,063,821.74 of the ESG-CV funds to agencies to provide homeless services in the BoS to respond to, prepare for, and prevent the spread of COVID-19. Funding was awarded for ES, SO, HP, HMIS, and RRH; and,
- Awarded \$91,257.97 to CCFL for HMIS Lead and Coordinated Entry Activities.

Thus, \$12,948.77 remained unobligated. During FY21, NHAP determined the full set aside of ESG-CV administrative funds was not necessary. Thus, NHAP reduced the amount of ESG-CV administrative funds set aside, so the funds could be utilized as aid. Additionally, three subrecipients elected to return a portion of their ESG-CV allocation. As a result, NHAP released a request for additional funding opportunities to the current ESG-CV subrecipients in the winter of FY21 to redistribute the unused administrative funds, the remaining unobligated funds, and the returned aid funds. Nine subrecipients requested additional funding and each was awarded additional funds to meet the needs of the communities they serve. CCFL was also awarded additional HMIS funding. The additional funds are listed in column “ESG-CV Additional Funds” in the “ESG-CV Subrecipient Allocation” table below. NOTE: Some of the funding changes occurred in FY22.

As of June 30, 2022, ESG-CV subrecipients expended \$5,921,172.95 of the ESG-CV funds. Thus far, ESG-CV subrecipients have rapidly rehoused 677 households, provided HP services to 5,251 individuals, and

provided street outreach to 64 individuals experiencing unsheltered homelessness. ES activities continued to be supported at nine emergency shelters with these funds.

Table 6:

NHAP Subrecipients	ESG-CV Initial Allocation	Allocation Changes	ESG-CV Current Allocation
The DOVES Program	\$149,446.66	0	\$149,446.66
Northwest Community Action Partnership (Panhandle)	\$90,974.16	0	\$90,974.16
Community Action Partnership of Western Nebraska	\$417,343.30	(\$117,271.59)	\$300,071.71
Bright Horizons (O'Neill)	\$44,966.00	\$10,183.56	\$55,149.56
Northwest Community Action Partnership (Cherry Co.)	\$20,000.00	0	\$20,000.00
Central Nebraska Community Action Partnership	\$858,707.46	0	\$858,707.46
Hope Harbor	\$422,548.00	\$95,695.45	\$518,243.45
Salvation Army - Grand Island	\$90,000.00	\$20,382.51	\$110,382.51
The Connection Homeless Shelter	\$300,000.00	\$106,543.46	\$406,543.46
Community Action Partnership of Mid-Nebraska (SW)	\$1,149,076.00	0	\$1,149,076.00
Community Action Partnership of Lancaster and Saunders Counties	\$596,065.22	(\$263,701)	\$332,364.22
Blue Valley Community Action Partnership	\$820,983.00	0	\$820,983.00
Community Action Partnership of Mid-Nebraska (SE)	\$300,000.00	\$290,650.77	\$590,650.77
Southeast Nebraska Community Action	\$28,600.00	(\$26,949.77)	\$1,650.23
Hope Crisis Center	\$51,371.11	\$11,634.14	\$63,005.25
CASA - Maryland Living Center	\$53,004.00	\$12,003.94	\$65,007.94
Care Corps	\$989,938.90	\$75,444.05	\$1,065,382.95
Bright Horizons (Norfolk)	\$87,227.00	\$15,913.00	\$103,140.00
Northeast Nebraska Community Action Partnership	\$392,456.56	0	\$392,456.56
Haven House	\$47,807.29	0	\$47,807.29
Heartland Family Services	\$930,891.95	0	\$930,891.95
Community Alliance	\$222,415.13	0	\$222,415.13
Center on Children, Families, and the Law (CCFL) - HMIS Services	\$91,257.97	\$71,577.80	\$162,835.77
DHHS - Administration	\$340,334.52	(\$291,004.78)	\$49,329.74
DHHS - Unobligated			*\$1,847.23
<b>Total ESG-CV Awarded</b>	<b>\$8,495,414.23</b>	<b>\$11,101.54</b>	<b>\$8,508,363</b>

\* NHAP will likely utilize the unobligated funds for administrative costs.

## Identify the geographic distribution and location of investments

**Table 7 – Identify the geographic distribution and location of investments**

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Statewide	100	100	See Below

### Narrative

The State of Nebraska has chosen not to target any particular geographic area for special assistance, but has chosen to allow any non-entitlement community to apply for CDBG funding, dependent on eligible activities and programs, and provides HOME, HTF, ESG, and HOPWA funding throughout the state.

The State of Nebraska distributed development resources in proportion to the development needs of the state. In general, the DED and the DHHS approved funding for development projects which satisfy specific criteria and fund projects throughout the state. There is no specific target area for funding distribution but program assistance is provided statewide. Nebraska is served by a system of service providers throughout the state. The majority of these service providers are located in the areas where need has been shown according to the Census data available and other economic indicators. Some of these indicators include the community population, where those communities with the largest populations tend to have the greatest number of service providers within any given geographic area.

### NHAP

ESG funds for the BoS CoC, along with matching HSATF resources, provided funding for activities that supported a comprehensive approach to address the needs of people who are homeless or at risk of homelessness. These activities assisted in the prevention and alleviation of homelessness and provided a pathway from temporary to permanent housing for persons who are homeless. All NHAP-funded projects have developed services that link housing assistance programs with services to promote self-sufficiency. As per HUD regulations, ESG funds were allocated among several service categories, ensuring that no more than 60 percent of the total ESG award was allocated to SO and ES services. Funding for ESG activities and their percentage of funding is indicated in the “ESG & Matching HSATF Activity Funding Distribution” table below.

ESG & Matching HSATF Activity Funding Distribution												
ESG Activity	Street Outreach/Shelter		Homeless Prevention		Rapid Rehousing		HMIS		Planning Grant		Administration	
	ESG	HSATF	ESG	HSATF	ESG	HSATF	ESG	HSATF	ESG	HSATF	ESG	HSATF
Funding Source Distribution	\$491,275.16	\$438,409.40	\$253,058.51	\$297,857.31	\$119,855.96	\$93,093.67	\$69,308.22	\$69,395.19	\$0.00	\$19,359.00	\$75,487.88	\$75,000.00
Total Activity Funding	\$929,684.56		\$550,915.82		\$212,949.63		\$138,703.41		\$19,359.00		\$150,487.88	
Percentage of Total Distribution	46.44%		27.52%		10.64%		6.93%		0.97%		7.52%	

The statewide CoC system was developed to help ensure that people who are homeless or at risk of homelessness can access needed ES, SO, HP, and RRH services in each of the 93 counties in the BoS.

Nebraska is separated into seven geographical regions. Two are urban areas which are entitlement cities and therefore, received ESG funding directly from HUD. The remaining five regions received ESG funds through the NHAP program. In addition to the ESG funds, NHAP allocated the HSATF to all seven regions in Nebraska, five of which were utilized as matching funds for ESG for the BoS CoC. The allocation of BoS ESG and HSATF funding per region was based on HUD’s pro rata need calculations and continuity of services.

**Leveraging**

*Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.*

The use of federal funds leveraged significant amounts of additional resources (private, state, and local funds) through several of the CDBG, HOME, HTF, ESG, and HOPWA projects throughout the state.

**CDBG**

CDBG State Administration funds are matched by the Department as required by HUD and described in the 2021 Annual Action Plan.

During July 1, 2021 – June 30, 2022, a total of \$14,034,336.44 local matching dollars were expended to projects across Nebraska. The source of these matching dollars varies by project and subrecipient, yet subrecipients are responsible for securing these funds, whether they be from other federal agencies, state agencies, nonprofits, or local monies.

**HOME**

HOME funds were leveraged with Nebraska Affordable Housing Trust Fund (NAHTF) resources. The NAHTF is state funding that is based on a portion of the documentary stamp taxes from real estate transactions that are transferred to the Nebraska Affordable Housing Trust Fund. The NAHTF are distributed throughout the state according to the NAHTF Qualified Allocation Plan (QAP). The allocation from the NAHTF varies annually due to the amount of revenue received from the documentary stamp tax. HOME Match requirements of HUD are met through projects eligible under NAHTF that must use HOME rules and regulations. During the program year, \$875,009.53 of NAHTF dollars were contributed as HOME Match.

**Table 8 – Fiscal Year Summary - HOME Match Report**

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$43,646,044.18
2. Match contributed during current Federal fiscal year	\$875,009.53
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$44,521,053.71
4. Match liability for current Federal fiscal year	\$0.00
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$44,521,053.71

HOME beneficiaries receive a higher quality of life as a result of DED’s ability to provide substantial match on HOME projects. Rural communities and those that have limited access to private and local funding sources benefit. The Department collaborates with the Nebraska Investment Finance Authority (NIFA)

through the joint Low Income Housing Tax Credit (LIHTC)/HOME/HTF application administered through NIFA's rental application cycles to provide decent housing and a suitable living environment for low and moderate income and homeless individuals in Nebraska. NIFA has a competitive LIHTC and Collaborative Resources Allocation for Nebraska (CRANE) application process for single-family and multi-family rental projects. HOME is awarded through both of these application processes. DED has an application process for Community Housing Development Organizations (CHDO) to apply for the CHDO set-aside every year for homebuyer projects.

Awarded HOME projects layer funding with many different funding sources, some of these resources are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.



**Table 9 – Match Contribution for the Federal Fiscal Year**

Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
18-TFHO-34035A	11/3/2020	\$24,850.00						\$24,850.00
18-TFHO-34035B	4/28/2021	\$6,046.00						\$6,046.00
19-TFHO-34008A	11/12/2020	\$9,900.00						\$9,900.00
19-TFHO-34008B	9/9/2021	\$25,000.00						\$25,000.00
19-TFHO-34008C	6/10/2021	\$25,000.00						\$25,000.00
18-TFHO-36002A	3/18/2021	\$24,999.00						\$24,999.00
18-TFHO-36002B	2/3/2021	\$2,372.00						\$2,372.00
18-TFHO-36002C	2/23/2021	\$19,155.00						\$19,155.00
18-TFHO-36002D	5/6/2021	\$23,727.00						\$23,727.00
18-TFHO-36002E	2/4/2021	\$24,550.00						\$24,550.00
18-TFHO-36002F	12/17/2020	\$24,750.00						\$24,750.00
19-TFHO-33034A	5/18/2021	\$25,000.00						\$25,000.00
19-TFHO-33034B	9/22/2021	\$25,000.00						\$25,000.00
19-TFHO-33034C	9/1/2021	\$25,000.00						\$25,000.00
18-TFHO-33023A	10/22/2020	\$24,889.00						\$24,889.00
18-TFHO-33023B	10/8/2020	\$24,173.00						\$24,173.00
18-TFHO-33023C	10/22/2020	\$24,157.00						\$24,157.00
18-TFHP-18030A	10/13/2020	\$8,825.00						\$8,825.00
18-TFHP-18030B	12/16/2020	\$8,873.00						\$8,873.00
18-TFHP-18030C	5/20/2021	\$2,035.00						\$2,035.00
19-TFHP-27069A	3/12/2021	\$63,253.42						\$63,253.42
19-TFHP-27069B	7/16/2021	\$4,387.69						\$4,387.69
19-TFHP-27069C	4/20/2021	\$13,615.97						\$13,615.97
19-TFHP-27069D	5/20/2021	\$7,105.38						\$7,105.38
19-TFHP-27069E	6/11/2021	\$5,024.75						\$5,024.75
19-TFHP-27069F	12/21/2020	\$37,694.81						\$37,694.81
19-TFHP-27069G	12/11/2020	\$38,881.10						\$38,881.10
19-TFHP-27069H	4/20/2021	\$34,051.92						\$34,051.92
19-TFHP-27069I	11/18/2020	\$48,552.24						\$48,552.24
19-TFHP-27069J	12/7/2020	\$42,214.72						\$42,214.72
19-TFHP-27069K	11/17/2020	\$67,398.93						\$67,398.93
19-TFHP-27069L	12/3/2020	\$33,061.22						\$33,061.22
19-TFHP-27069M	9/13/2021	\$1,384.60						\$1,384.60
19-TFHP-27069N	8/20/2021	\$3,447.25						\$3,447.25
19-TFHP-27069O	11/19/2020	\$96,634.53						\$96,634.53
<b>Total</b>		<b>\$875,009.53</b>						<b>\$875,009.53</b>

## HTF

HTF funds are leveraged in a few different ways. The HTF LIHTC 9% Set-Aside provides funding for affordable rental projects in coordination with Nebraska Investment Finance Authority (NIFA) through the joint DED/NIFA application for low income housing tax credit applications. The HTF LIHTC 9% Set-Aside utilizes the application process through NIFA. Some examples of possible sources for leveraged dollars for the HTF LIHTC 9% Set-Aside are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

The HTF Targeted Needs Set-Aside provides funding for targeted needs housing projects funded with non-LIHTC resources. These projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. Examples of sources for leveraged dollars for the HTF Targeted Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

The HTF Permanent Housing Set-Aside provides funding for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha Continuum of Care; the Lincoln Continuum of Care; and the BoS CoC. Examples of sources for leveraged dollars for the HTF Permanent Housing Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

## NHAP

ESG funds, through the NHAP, were leveraged with HSATF resources. The HSATF is state funding that is collected via the documentary tax stamp on real estate sales. Twenty-five cents are collected for every \$1,000.00 of real estate sold in Nebraska. HSATF funds serve as the ESG match funds for the BoS CoC. The Nebraska Department of Health and Human Services' ability to provide 100% of the match for BoS ESG subrecipients is a benefit to non-profit agencies. The matching funds assist subrecipients in providing homeless services, particularly in communities that are rural or have limited access to private and local funding sources.

NHAP collaborated with the BoS, Omaha, and Lincoln CoCs. This assisted in the seamless provision of services to all homeless individuals across the State of Nebraska, including HUD's CoC funding. The Coordinated Entry System is utilized to prioritize the most vulnerable homeless individuals and to determine which program, either NHAP or CoC funded programs, best fit the individual's needs.

Additionally, many of the NHAP subrecipients received additional funding support from a variety of other resources, such as HUD CoC; Community Services Block Grant; federal funding sources other than HUD; local charitable foundations; and private foundations to provide a comprehensive array of services to the program participants within their homeless programs. The CoC and other federal/State/local funds were considered when NHAP evaluated the financial stability of each subrecipient during the NHAP applicant

review process, as well as during strategic planning.

The funding allocation for each of the NHAP subrecipients is indicated in the “ESG & Matching HSATF Funds Subrecipient Allocation” table below.

Table 10: ESG & Matching HSATF Funds Subrecipient Allocation

NHAP Subrecipients	ESG	HSATF	Total 2021 Award
The DOVES Program	\$0.00	\$30,231.38	<b>\$30,231.38</b>
Northwest Community Action Partnership (Northern Panhandle)	\$0.00	\$52,293.22	<b>\$52,293.22</b>
Community Action Partnership of Western Nebraska	\$91,196.80	\$34,034.66	<b>\$125,231.46</b>
Center for Sexual Assault and Domestic Violence Survivors, Inc.	\$0.00	\$43,133.80	<b>\$43,133.80</b>
Bright Horizons (O’Neill)	\$0.00	\$43,104.70	<b>\$43,104.70</b>
Northwest Community Action Partnership (Cherry County)	\$0.00	\$34,655.26	<b>\$34,655.26</b>
Central Nebraska Community Action Partnership, Inc.	\$77,507.89	\$30,137.17	<b>\$107,645.06</b>
Hope Harbor, Inc.	\$95,000.00	\$24,872.58	<b>\$119,872.58</b>
Residential Assistance for Families in Transition, Inc.	\$34,599.50	\$0.00	<b>\$34,599.50</b>
Dawson County Parent-Child Protective Services, Inc.	\$0.00	\$43,985.36	<b>\$43,985.36</b>
The SAFE Center	\$0.00	\$49,999.27	<b>\$49,999.27</b>
The Connection Homeless Shelter, Inc.	\$99,998.55	\$0.00	<b>\$99,998.55</b>
Community Action Partnership of Mid-Nebraska (Southwest)	\$79,667.11	\$112,395.10	<b>\$192,062.21</b>
Community Action Partnership of Lancaster and Saunders Counties	\$66,584.99	\$25,022.00	<b>\$91,606.99</b>
The Spouse/Sexual Abuse Family Education Center, Inc.	\$0.00	\$29,045.00	<b>\$29,045.00</b>
Blue Valley Community Action, Inc.	\$93,699.93	\$14,149.07	<b>\$107,849.00</b>
Community Action Partnership of Mid-Nebraska (Southeast)	\$72,224.00	\$43,335.51	<b>\$115,559.51</b>
Southeast Nebraska Community Action Partnership, Inc.	\$0.00	\$28,600.00	<b>\$28,600.00</b>
Hope Crisis Center	\$0.00	\$56,657.00	<b>\$56,657.00</b>
CASA of South Central Nebraska: Maryland Living Center	\$0.00	\$22,885.66	<b>\$22,885.66</b>
Care Corps, Inc.	\$164,719.08	\$33,504.53	<b>\$198,223.61</b>
Crisis Center DA/SA, Inc.	\$0.00	\$28,169.00	<b>\$28,169.00</b>
Bright Horizons (Norfolk)	\$0.00	\$31,842.79	<b>\$31,842.79</b>
Northeast Nebraska Community Action Partnership, Inc.	\$0.00	\$59,940.13	<b>\$59,940.13</b>

Haven House Family Services Center	\$0.00	\$16,762.38	<b>\$16,762.38</b>
Center on Children, Families, and the Law (CCFL) for HMIS Services	\$58,300.00	\$10,000*	<b>\$68,300.00</b>
Center on Children, Families, and the Law (CCFL) CoC Planning Match	\$0.00	\$19,359.00	<b>\$19,359.00</b>
Administration	\$75,487.88	\$75,000	<b>\$150,487.88</b>
<b>Total</b>	<b>\$1,008,985.73**</b>	<b>\$993,114.57</b>	<b>\$2,002,100.30</b>

**NOTE:**

\*CCFL's total award from NHAP for HMIS in FY21 was \$100,000, comprised of \$41,700 in HSATF and \$58,300 in ESG. However, CCFL subawards \$31,700 of the HSATF funds to the HMIS Lead for the Omaha Metro Area Continuum of Care for the Homeless. The total above (\$10,000) reflects only HMIS Lead activities performed for the BoS.

\*\*Includes FY 21 ESG funds of \$1,006,505 and carryover from FY20 of \$2,480.63.

**HOPWA**

Leveraged funds in the HOPWA Program are the amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery. In-kind Leveraged Resources involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources.

**Table 11: Source of Leveraging Chart for the HOPWA Program**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution
<b>Public Funding</b>		
Ryan White-Housing Assistance	\$13,756.16	Rent assistance
Ryan White-Other		
Housing Choice Voucher Program		
Low Income Housing Tax Credit		
HOME		
Continuum of Care		
Emergency Solutions Grant		
RW ADAP	\$5,350,524.36	medications
RW Utility Assistance	\$24,636.81	Utility assistance
RW Part B Food	\$41,750.00	Food vouchers
RW OAHS	\$24,948.03	Outpatient/ Ambulatory Health Services
RW Transportation	\$79,207.48	Medical Transportation
<b>Private Funding</b>		
Grants and/or In-kind Resources		
Other Private: NE AIDS Project-Flowers' Fund	\$3731.70	Housing and Utility Assistance, Supportive Services
<b>Other Funding</b>		
Grantee/Project Sponsor (Agency) Cash		
Resident Rent Payments by Client to Private Landlord		
<b>TOTAL (Sum of all Rows)</b>	<b>\$5,538,554.54</b>	

Nebraska's HOPWA Program is collaborates with the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing,

Hepatitis, and HIV Surveillance. The Units works well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the Nebraska HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care.

Nebraska’s HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Cares is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation. Due to the impact of the pandemic, meetings for this group were suspended until the July 7th 2022.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV and AIDS in Nebraska. The membership consists of persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services. This group continued to meet during the COVID-19 pandemic, rotating location across Nebraska. The group meets once per quarter.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

**Table 21 – Program Income**

<b>Program Income – Enter the program amounts for the reporting period</b>				
<b>Balance on hand at beginning of reporting period</b>	<b>Amount received during reporting period</b>	<b>Total amount expended during reporting period</b>	<b>Amount expended for TBRA</b>	<b>Balance on hand at end of reporting period</b>
<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>
0	0	0	0	0

## HOME MBE/WBE report

**Table 12 – Minority Business and Women Business Enterprises**

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	\$10,983,620					
Number	3					
<b>Sub-Contracts</b>						
Number	31		1		2	
Dollar Amount	\$5,545,829		\$443,430		\$954,000	
	Total	Women Business Enterprises	Male			
<b>Contracts</b>						
Dollar Amount	\$10,983,620					
Number						
<b>Sub-Contracts</b>						
Number	31		31			
Dollar Amount	\$5,545,829		\$5,545,829			

**Table 13 – Minority Owners of Rental Property**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 14 – Relocation and Real Property Acquisition**

<b>Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition</b>						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

## CR-20 - Affordable Housing 91.520(b)

*Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.*

**Table 2 – Number of Households**

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units (ESG – RRH)	150	82
Number of Non-Homeless households to be provided affordable housing units (CDBG + home (124) /HTF (200))	324	675
Number of Special-Needs households to be provided affordable housing units (HOWPA TBRA)	15	27
<b>Total</b>	<b>489</b>	<b>784</b>

**Table 3 – Number of Households Supported**

	One-Year Goal	Actual
Number of households supported through Rental Assistance (ESG – RRH (150) + HOPWA TBRA (15))	165	252
Number of households supported through The Production of New Units (New Rental Construction (60) + Homeowner (120))	180	105
Number of households supported through Rehab of Existing Units (Rental Rehab (20) + ORR (124))	144	121
Number of households supported through Acquisition of Existing Units (AAR AP-55)	25	25
<b>Total</b>	<b>514</b>	<b>503</b>

Narrative: The Number of households supported through the Production of New Unites includes HOME (17), HTF (4), and NAHTF (84). The Number of households supported through Rehab of Existing Units includes HOME (0), HTF (10), NAHTF (61), and CDBG (50). The Number of households supported through Acquisition of Existing Units is 25; all supported by NAHTF.

***Discuss the difference between goals and outcomes and problems encountered in meeting these goals.***

As the information above demonstrates, a significant number of households are served annually through affordable housing programs. The goals were obtained in all categories of the above table except for



Number of Households supported through Acquisition of Existing Units. Below is additional information regarding the goals:

**Homeless households to be provided affordable housing units**

NHAP subrecipients assisted individuals in obtaining affordable housing units through RRH with ESG and matching HSATF funds. The number of households served did not meet the identified goal. However, in addition to the 82 households above, NHAP ESG-CV subrecipients provided affordable housing units to 667 homeless households with ESG-CV funding.

**Number of households supported through The Production of New Units**

The goal for the production of new units was not met in Program Year 2021. The pandemic has directly impacted the construction industry, including increased construction costs and the supply and demand issues of construction supplies, along with the construction workforce being negatively impacted. These factors directly impacted the states ability to meet the goal for the production of new units in Program Year 2021, many projects have been delayed that would have normally been completed.

*Discuss how these outcomes will impact future annual action plans.*

The outcomes discussed for program year 2020 reflect anticipated indicators of outcome measures for affordable housing were useful planning tools for the Nebraska DED and Nebraska DHHS. Therefore future annual action plans will continue to be created in coordination and collaboration with Nebraska partners and stakeholders as discussed in the current consolidated plan.

The Nebraska DED will continue to utilize outcome measurements to inform decisions and future housing planning, including monitoring outcome results throughout the next program year as grants are awarded to evaluate whether anticipated households served under the specific objectives are accurately reflecting the current needs of the Housing Priority in Nebraska. The outcome measurement of the number of households supported through Acquisition of Existing Units will be reviewed throughout the year especially to ensure a more accurate description of current need.

NHAP

NHAP will continue to evaluate the needs for ES in comparison to HP and RRH programs throughout the BoS. Data was collected from each awarded subrecipient during the grant funding application process. NHAP collected data throughout the program year that included data from: quarterly reports provided by the traditional homeless and victim service providers who are serving homeless individuals; on-site and fiscal monitoring of subrecipients; continuation of consultation with the CoC programs and funders, including HUD; and the Coordinated Entry System.

Continued evaluation will help to ensure proper alignment of program activities based on identified needs and to ensure consistency with program delivery for RRH services and other ESG-eligible programs within each regional coalition. The collected data will continue to be reviewed to assist NHAP in determining how best to fund regions in the BoS to ensure continuity of care.

NHAP will continue to utilize its advisory body, the Nebraska Commission on Housing and Homelessness, to search out innovative ideas to provide affordable housing throughout the State of Nebraska. Through collaboration with the Department of Economic Development, NHAP-funded grantees, and other homeless program providers, NHAP plans to assist in the identification of the needs and continued development of affordable housing.

## HOPWA

HOPWA will continue to utilize outcome measurements to inform decisions and future planning and services for recipients.

*Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.*

Table 4 – Number of Households Served

Number of Households Served	CDBG Actual	HOME Actual	HTF Actual
Extremely Low-income	3	0	4
Low-income	15	11	0
Moderate-income	32	6	0
<b>Total</b>	<b>50</b>	<b>17</b>	<b>4</b>

### Narrative Information

The above Table represents the data available concerning extremely low-income, low-income, and moderate-income persons served by each activity this Program Year where information on income by family size is required to determine the eligibility of the activity. The CDBG data is from the PR23: CDBG Summary of Accomplishments. The HOME & HTF data is from the completion reports the projects submit following completion of construction and information is gathered from tenants during leaseup of the units. For the purposes of the table above, income levels are defined as follows for each program.

TERM	PROGRAM DEFINITION
<b>EXTREMELY LOW-INCOME</b>	<p><b>CDBG:</b> Households whose incomes is at or below 30% of the median family income for the area.</p> <p><b>HOME:</b> Households whose incomes is at or below 30% of the median family income for the area.</p> <p><b>HTF:</b> a family whose annual income does not exceed 30% of the median family income of a geographic area.</p>
<b>LOW-INCOME</b>	<p><b>CDBG:</b> Households whose income is above 30% and at or below 50% of the median family income for the area.</p> <p><b>HOME:</b> Households whose income is above 30% and at or below 60% of the median family income for the area.</p> <p><b>HTF:</b> a family whose annual income does not exceed 50% of the median income for a geographic area.</p>
<b>MODERATE-INCOME</b>	<p><b>CDBG:</b> Households whose income is above 50% and at or below 80% of the median family income for the area.</p> <p><b>HOME:</b> Households whose income is above 60% and at or below 80% of the median family income for the area.</p> <p><b>HTF:</b> a family whose annual income that exceeds 50% of the median income for a geographic area.</p>

## CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

*Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:*

### ***Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs***

#### NHAP

NHAP focused on assisting homeless individuals to reach the goal of having functional zero homeless individuals in Nebraska. Achieving functional zero homelessness means that the state would have enough resources to immediately divert individuals from homelessness or to rapidly rehouse them. Nebraska's Coordinated Entry System coordinates resources from across the state to create an efficient homeless services system that targets the most vulnerable homeless individuals in each geographic region. The goal of Coordinated Entry is to create a seamless process from reaching out to homeless individuals to working collaboratively towards permanent housing while utilizing the "Housing First" model.

In the FY21 request for application proposal, NHAP requested applicants describe how they collaborated with their community contacts and resources to best serve the individuals and families in their service regions. Responses indicated each region worked collaboratively with entities that may have contact with both the sheltered and unsheltered homeless population. NHAP subrecipients participated with their regional coalitions which serve as the entry point for coordinated assessment for homeless services, including the unsheltered, in the region by providing verification to NHAP prior to the funding award. Providers in the regional coalitions worked closely with legal authorities, such as: the State Patrol; local police departments; County Sheriffs; and Nebraska State Park officials that encounter unsheltered homeless persons to build relationships and increase referral networks. The Coordinated Entry team developed lists of entities, including: public libraries; law enforcement; grocery stores; and laundromats that may be in contact with homeless individuals and provided training on referring to publicly identified access points for permanent supportive housing and RRH programs. Those efforts were made to increase access for individuals experiencing unsheltered homelessness into the homeless services system.

Although not included in the FY21 ESG match, NHAP continued to utilize HSATF to fund Social Security Income (SSI) Social Security Disability Insurance (SSDI) Outreach, Access, and Recovery (SOAR) services in the BoS CoC. SOAR is a national program designed to increase access to the disability income benefit programs administered by the Social Security Administration (SSA) for eligible adults, children, and transition-aged youth who are experiencing or at risk of homelessness and have a serious mental illness, medical impairment, and/or a co-occurring substance use disorder. SOAR seeks to end homelessness through increased access to SSI/SSDI income supports NHAP's SSI/SSDI Outreach, Access, and Recovery (SOAR) case managers in to increase the percentage of households with cash and non-cash benefits has helped those low-income families and individuals to decrease the risk of homelessness.

#### HOPWA

The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and expanded the TBRA (Tenant-Based Assistance Program) Program to serve 27, with hopes of adding more eligible clients soon.

## ***Addressing the emergency shelter and transitional housing needs of homeless persons***

### NHAP

ES and TH are a critical part of the homeless service system for a variety of reasons, such as a lack of short-term housing solutions for the working poor; families experiencing domestic violence; a lack of immediately available fair market housing; individuals exiting substance abuse treatment centers; youth experiencing homelessness; and a lack of permanent supportive housing. Shelters provide temporary refuge and often serve as the “front door” or entry point to the homeless services system.

For NHAP programs funded to support ES and TH programs, performance was measured based on:

- Increased utilization rate;
- Decreased average length of stay of the households served in ES/TH; and,
- Increase in the percentage of discharged households to permanent housing.

NHAP collected quarterly CAPER reports to review the above performance measurements and participated in monthly shelter meetings with the BoS. NHAP provided support and guidance to shelters experiencing needs for assistance.

***Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care, and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs***

### NHAP

The BoS CoC has a discharge planning policy to prevent individuals discharged from publicly funded institutions or systems of care from immediately becoming homeless. Subrecipients of NHAP funds provided HP and RRH services in each regional Continuum. In addition, agencies provided wrap-around services to meet the needs of individuals at-risk of homelessness. The Coordinated Entry “No Wrong Door” process also has shown to be an invaluable referral process for agencies who have contact with homeless or at-risk of homelessness households in communities across the state.

As per HUD regulations, NHAP subrecipients were required to identify and connect program participants to mainstream services to promote economic stability. Public benefits, such as Supplemental Nutrition Assistance Program, Temporary Assistance to Needy Families, Low Income Home Energy Assistance Program, and other poverty reduction programs, are examples of services provided statewide to those who were extremely low-income. NHAP subrecipients assist individuals in connecting to and applying for mainstream services. NHAP utilized the request for application process to determine how subrecipients connected participants to mainstream benefits. Additionally, NHAP utilized the quarterly performance reports to determine changes in services at exit. HMIS data indicates a lesser number of mainstream benefits at exit than at start. In FY22, NHAP will determine why there is a decrease.

NHAP’s goal to increase the percentage of households with cash and non-cash benefits has helped those low-income families and individuals to decrease the risk of homelessness. In additional support of those

efforts, NHAP provided funding from the HSATF for specialized SSI/SSDI Outreach, Access, and Recovery (SOAR) case managers. These case managers assisted homeless individuals in accessing SSI and SSDI benefits which resulted in an increase of cash and non-cash benefits at program exit.

NHAP funds HP, SO, and ES/TH programs that are specifically for youth ages 18-24. The BoS CoC also received a Youth Homeless Demonstration Project grant, which was received by several NHAP-funded agencies.

#### HTF

The National Housing Trust Fund (HTF) is an affordable housing production program that complements existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families. A portion of HTF resources is used for the creation of additional housing for the targeted needs populations, including populations that may include: children aging out of foster care, and other populations that are extremely low income with housing shortages throughout the state. Preferences will be made for those populations served through the HTF Targeted Needs category.

Nebraska allocates a portion of the State's annual HTF allocation for targeted needs housing projects funded with non-LIHTC resources. These projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. This allocation will be referred to as the "Targeted Needs Set Aside".

#### HOPWA

HOPWA assists low-income individuals and families who also are persons with AIDS avoid becoming homeless, especially extremely low-income individuals and families and those who are likely to become homeless after being discharged from publicly funded institutions and systems of care, such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions. HOPWA provides a number of services and categories of assistance which provide help in areas of housing, health, social services, among others. HOPWA Eligible Individual is the one low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household."

A primary goal of Nebraska's HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska's HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing

information services and resource identification. The HOPWA Program funds and refers for the RentWise tenant education course, refers for budgeting and life skills classes, and refers for other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program, with hopes of adding more eligible clients soon.

The HOPWA Program is leading DHHS' efforts to procure a new case management software system for the HOPWA and Ryan White Part B Programs, which will enhance case management services for clients State-wide.

During the reporting period, the program served 28 households for TBRA activities and total of 299 households for Other Activities. Other Activities include: Short-term Rent/Mortgage Assistance (114), Permanent Housing Replacement (36) and Supportive Services (149). Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. There were 29 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement.

The Nebraska HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas). One resources available includes the NAP subgrant which continues to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

***Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again***

#### NHAP

NHAP upheld the expectation that subrecipients adhered to the Housing First model and participate in

the Coordinated Entry process to prioritize the homeless populations with the highest need for the RRH programs. NHAP provided technical assistance, coordination, and funding for HP and RRH services to decrease the number of homeless households and individuals within Nebraska's communities. Before distributing funds, NHAP collected how subrecipients address the Housing First model and verified their participation in the Coordinated Entry process during the FY21 request for application. Any agency that lacked understanding of the Housing First model was provided technical assistance prior to award.

All NHAP subrecipients were required to participate in their regional coalitions to coordinate resources in their community. This participation allowed for use of the Coordinated Entry System. This enabled resources to be efficiently utilized when making housing decisions. Nebraska utilized the VI-SPDAT tool to target the most vulnerable and chronically homeless individuals, youth, and families in the State. Higher scores correspond to greater need. Adults and families were prioritized for permanent supportive housing or RRH, whereas transition-aged youth (TAY) were prioritized for TH or RRH. By identifying the chronically homeless and the most vulnerable individuals in the State, the homeless system helped to expedite and provide appropriate levels of intervention for individuals and families experiencing homelessness.

NHAP-funded subrecipients work to keep recently housed individuals from returning to homelessness. The goal to increase the percentage of discharged households with both more cash (either through public assistance, SSI, or employment income) and non-cash benefits should help increase the economic security many individuals and families need to successfully maintain self-sufficient housing. NHAP incorporated a data collection method in the FY21 request for application, and in quarterly reporting, to determine the number of households who return to homelessness after they have received homeless or at-risk of homelessness services. The review of this data indicates not much change from entry to exit. NHAP will continue to review data, provide more targeted technical assistance, and refine how ESG-funded activities ensure they meet the needs of the program participants.

#### HTF

In addition, through the utilization of HTF funds, and the collaboration with homeless service providers, additional permanent housing can be created for those extremely low income persons throughout the state. This collaboration continues to include outreach with the Continuum of Care networks throughout Nebraska, including the Omaha CoC, the Lincoln CoC, and the Balance of State.

A portion of HTF funds will be used for the creation and rehabilitation of permanent housing throughout the state. Within this distribution category, preference will be given to persons who are homeless; persons at risk of becoming homeless; and other special needs populations.

Nebraska allocates HTF funds for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha CoC; the Lincoln CoC; and the BoS CoC. This allocation is referred to as the "Permanent Housing Set Aside."

#### HOPWA

In FY 21 the State HOPWA Program provided housing assistance to 4 individuals identified as chronically homeless.

## CR-30 - Public Housing 91.220(h); 91.320(j)

### *Actions taken to address the needs of public housing*

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

### *Actions taken to encourage public housing residents to become more involved in management and participate in homeownership*

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

### *Actions taken to provide assistance to troubled PHAs*

DED Housing Program Representatives have developed working relationships with PHAs across the state offering assistance and educating the organizations on programs and resources available through our agency.

The Department is also a member of the Nebraska Chapter of the National Association of Housing and Redevelopment Officials which allows us to stay connected with all PHAs within the state, and be proactive in offering technical assistance. In addition, Department staff are actively involved in the Nebraska Balance of State Continuum of Care allowing us to connect and offer assistance to PHAs.



## CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

*Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)*

### LEP Program

The Limited English Proficiency Program training materials of the DED housing programs includes a training video which state staff can use that addresses the basics of the Fair Housing Act and associated information. This video can be used to train housing organizations that have been awarded Federal housing funds.

### Fair Housing Information

DED recognizes that it can, and when appropriate does, take action to overcome impediments to fair housing choice within the State of Nebraska. Fair housing information pamphlets are available to the public at the DED Housing Program office. An Equal Housing Opportunity icon link to the U.S. Department of Housing and Urban Development website section concerning Fair Housing is posted on the Nebraska DED website.

In April 2022 the Governor and the Department of Economic Development celebrated and promoted Fair Housing month. The Governor signed a proclamation recognizing and promoting April as Fair Housing month. In addition, the Department released three (3) social media posts showcasing successful housing projects funded through DED housing resources and identifying how the projects addressed fair housing. The current Analysis of Impediments to Futhering Fair Housing is available on the DED website and has additional information regarding actions that are proposed for the upcoming years.

MA-40 of the State's 2020-2024 provides detailed information related to barriers to affordable housing. Below is a summary of that information.

The Consolidated Plan regulations require the state to explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing are affected by public policies. Such policies include tax policy affecting land and other property, land use controls, zoning ordinances, building codes, fees and charges, growth limits, and policies that effect the return on residential investment. Further, the state is required to remove or ameliorate negative effects of public policies that serve as barriers to affordable housing.

### Tax Policy Affecting Land & Other Property

The State continues to evaluate avenues to alleviate the property tax burden that is a barrier to affordable housing. The State has previously reviewed the tax structure as it relates to school districts, but will also review other areas of the tax policy that may be revised which reduce property taxes for Nebraskans.

In addition, the State will continue to review the tax policies affecting affordable housing and evaluate the impacts of the legislation under LB356, which revised the method for appraisals made on low income housing tax credit projects that was passed in 2015. The State will review whether the income-approach calculation will reduce the overall taxes on these properties that utilize low income housing tax credits (LIHTCs) and often times also use HOME or HTF or NAHTF resources.

### Land Use Controls & Zoning Ordinances

The State, because it has very limited influence on land use controls and zoning ordinances, continues to work with local governments to utilize good practices in ensuring the local land use controls and zoning ordinances do not negatively impact affordable housing development, thus removing an additional barrier to affordable housing. The Nebraska Department of Economic Development collaborates with the Nebraska Chapter of the American Planning Association, the Association of Nebraska Counties, and the League of Nebraska Municipalities by attending related events and awarding local units of government funds to update comprehensive plans and zoning ordinances.

### Building Codes

The State continues to assist local governments in ensuring that any building codes enacted at the local level do not have a negative impact on affordable housing. Efforts are needed to ensure that local building codes do not hamper additional investment for affordable housing throughout the state. The State will accomplish this through collaborating with community and county officials in educating them on the use of solid building code policy that will focus on ensuring safe and decent housing for individuals, including low-income persons, but at the same time implementing policies such as energy efficiency, into the codes.

In addition, the DED collaborates with the Nebraska Department of Environment and Energy in ensuring that affordable housing projects meet the property code standards within their developments.

### Growth Limits

The State has limited influence on communities utilizing regulatory barriers which place limits on the number of market rate, rental, and affordable housing that may be located within a given area. The State continues to assist local governments through education and use of good practices to reduce the negative impacts of growth limits within communities. The State collaborates with other entities such as NIFA, Nebraska Commission of Housing and Homelessness to outreach to local units of governments about reducing any negative impacts that growth limits may have on affordable housing.

### Policies Impact Return on Residential Investment

The State does not have control of the amount of residential return on investment, as this is determined at the local level when a property is sold or when it is rented; the State has limited actions it can take to create more favorable policies that may impact return on residential investment. The state has authorized income tax credits that can serve to increase the return on residential investment. In 2016, the Affordable Housing Tax Credit Act passed that provides for a tax credit for owners of affordable housing projects. The first year of eligibility for tax credits under this act will occur in 2019. In 2019, LB86 was passed into law that allows for a \$5,000 income tax credit for taxpayers who purchase primary residences in extremely blighted areas. Grants provided to housing developers, such as LB518 in 2017, the Rural Workforce Housing Investment Act, improves returns on investment by providing \$7.3 million for affordable housing grants in Rural counties.

### Other Effects of Public Policy on Affordable Housing & Residential Investment

The State continues to evaluate additional barriers or constraints to the development of affordable housing that include: lack of housing contractors throughout the state; housing construction costs (including labor and materials); residential home values in certain markets; lack of sufficient rental units in some communities; lack of residential units for homebuyers (both low income and market rate) in many communities; lack of infrastructure for development; and insufficient establishment and enforcement of

building codes. Policies related to these are being evaluated as these barriers negatively affect both the private and public sectors in ensuring that proper affordable housing and residential investment is made available within communities throughout the state.

The State continues to evaluate ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded.

The State has little control over the costs of materials and labor, and therefore the overall housing construction costs, but can work with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.

In terms of lack of residential units (both for rental and homebuyers) the State continues to partner with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.

The Opportunity Act, contained in Tax Cuts and Jobs Act of 2017 provides for capital gains tax relief for many types of investment in distressed communities. Housing projects are among the investment allowed under the act. Nebraska nominated 44 low-income census tracts for consideration as Opportunity Zones, and these were certified by the US Treasury as Opportunity Zones. In 2019, LB 87 was signed into law in Nebraska. This statute provides a priority designation for grants under several DED programs, including the NAHTF.

***Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)***

The State continued its efforts to meeting underserved needs by providing funding to several organizations throughout the state.

***Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)***

Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

Other Organizations that the State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

***Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)***

According to 2015 – 2019 ACS 5-Year Estimates, approximately 11.1% of the population in Nebraska is below the poverty level. The State of Nebraska recognizes that a single program or emphasis cannot be used to alleviate poverty across the state. The causes of poverty vary widely as do the required actions to reduce the number of poverty-level households. Accordingly, the goals, programs and policies described in this section are intended to work collectively to reduce the number of poverty-level families in the State of Nebraska.

The State, in coordination with non-profit agencies and business, can influence the chances of helping individuals and families move up and out of poverty by supporting local and regional efforts to improve family and individual incomes. The State's goals related to providing decent housing, suitable living environments and expanding economic opportunity can be accomplished through various program strategies and services that will assist in the reduction of families and individuals experiencing poverty.

More specifically, the State will target economic and community development activities to increase the number of high paying jobs in the State. These activities are targeted at low to moderate-income residents to assist these persons in accessing high paying jobs. State and regional projects and initiatives that will impact the employment and economic levels of employees and residents, such as infrastructure improvements to water and sewer systems, community facilities, downtown commercial rehabilitation, and economic development activities, will create a positive economic environment.

The State also provides affordable housing to persons that are low to moderate-income through the housing programs listed in the following section. All beneficiaries of these programs must be low to moderate income or extremely low-income individuals. While these programs do not directly reduce the number of poverty-level families in the state, they can alleviate the cost burden of housing for households that are already impoverished.

Details about the programs which assist with these anti-poverty goals and policies are included in the following section.

Some programs that assist with this anti-poverty strategy include: CDBG, HOME, HTF, NHAP, and HOPWA. A summary of the anti-poverty strategy for each of these programs includes:

**CDBG**

The primary objective of the program includes assisting low income persons throughout the state by utilizing CDBG resources for project activities that include owner occupied rehabilitation for low income homeowners; job creation for low income employees of eligible businesses; infrastructure and community facilities improvements in order to assist those communities who have populations with at least 51% of the residents who are low income; and downtown revitalization projects which include primarily improving low income areas of the community or eliminating slum and blight within a community.

**HOME**

Program resources are used to create additional low income rental units for persons at or below 60% of the Area Median Income through the State's coordination with Low Income Housing Tax

Credit developments. In addition, the State assists low income homebuyers at or below 80% of the Area Median Income through homeownership opportunity programs.

#### HTF

The National Housing Trust Fund (HTF) is an affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families.

#### NHAP

NHAP, as the recipient of ESG funds and HSATF, ensures that ES, HP and RRH services are designed to assist households in moving out of poverty. NHAP utilized the FY21 request for applications to ensure that subrecipients were funded to assist families in accessing mainstream public assistance programs, supported stable housing situations, and provided skills development to assist individuals in becoming self-sufficient. NHAP also reviewed quarterly CAPER data to determine how subrecipients were addressing the needs of those living in poverty. Although NHAP subrecipients assisted 4,024 individuals experiencing or at risk of homelessness, data shows that connections to mainstream services may need improvement.

#### HOPWA

Program resources serve special needs populations who are in the need of rental assistance and other services.

In addition, the State of Nebraska administers a number of programs designed to reduce the number of individuals and families who live in poverty. Federal funding supports the majority of the programs and State resources supplement those resources. Some of the additional programs that the State provides in order to address the health, well-being, and housing needs of its low income residents includes:

- Childcare Assistance: State subsidized childcare allows low-income families to work and have the assistance they need in order to pay for their childcare services. DHHS is the lead agency that administers this program which includes childcare subsidy payments and the collaboration with Department of Education's Head Start Program.
- Supplemental Nutrition Assistance Program (SNAP): The federal Supplemental Nutrition Assistance Program (SNAP) helps low income households purchase food and raise their nutrition levels.
- Head Start: This program provides quality, comprehensive services including education, nutrition, family support, and parent involvement in order to prepare low income children and their families for school.
- Lead-Based Paint Hazard Program: Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

- **Other Organizations:** The State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

***Actions taken to develop institutional structure. 91.220(k); 91.320(j)***

Actions were taken during Program Year 2020 to develop institutional structure per 91.220(k) and 91.320(j). SP-40 of the Consolidated Plan provides details on the overall institutional delivery structure through which the State can and will seek to partner, collaborate, and cooperate in order to carry out the intent of the Consolidated Plan. This includes funding through CDBG, HOME, HTF, ESG, and HOPWA which will be used within this institutional structure.

This section of the Consolidated Plan provides a description of the entities and organization in which the State partners in order to carry out its programs. Within the current program year, the State carried out the actions defined within its delivery structure. This included significant outreach and collaboration.

***Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)***

Through significant collaboration, the State continued to make outreach efforts between public and private housing and social service agencies. This was completed through the State’s participation with these organizations during the funding of its programs. In addition, through consultation with the Nebraska Commission on Housing and Homelessness (NCHH), the State was able to collaborate further with homeless service providers; housing developers; non-profit organizations; and other public and private entities that could provide input and partnership in carrying forward the State’s objectives.

***Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)***

CDBG subrecipient’s CDBG Certified Administrators receive training in the civil rights rules and regulations during training sessions. Training sessions are reinforced with notices and updates through the Nebraska DED notifications, which is published on an as needed basis.

Each subrecipient’s program eligibility criteria are reviewed to determine whether the criteria or methods of administration may have the effect of subjecting individuals to discrimination on the basis of race, color, age, sex, national origin or disability.

Comparisons are made between recent census data regarding those protected classes and the percentages of those protected classes participating and receiving benefits from CDBG funds.

All grantees are required to maintain, on an ongoing basis, and on file permanently, numerical and percentage documentation and information regarding the following:

1. Total beneficiaries in project
2. Total numbers of males/females.

3. Actual number of Whites, Blacks, Hispanics, Asians, Native Americans, and others.
4. Percentages of males, females, minorities, female heads of household, disabled population, and low to moderate income persons receiving benefits from the project funds.

Program representatives are required to consider this information, compared with census data, and determine if there is any indication any person and/or group of persons were denied benefits or employment on the grounds of race, age, sex, or disability.

In addition, the State reviews the subrecipient's compliance with Section 504 and the Americans with Disabilities Act. Subrecipients must have conducted a self-evaluation of their facilities and their programs as they relate to the ADA/Section 504 compliance. In addition, the subrecipient must have on file, and available for review, a transition plan. The grantee must provide evidence that they are complying or making attempts to comply with these regulations.

Updates and notices regarding ADA/Section 504 compliance are made through the Nebraska DED notifications.

The State's review of all information gathered through monitoring visits indicates that subrecipient's CDBG programs are being administered in a manner, which does not deny any benefit or employment on the grounds of race, age, sex, national origin, and/or disability.

One activity conducted by Nebraska DED to ensure the absence of discrimination on the grounds of national origin continued to be fulfilled during the 2020 Program Year. All applicants applying for federal resources submit a Four Factor Analysis, assessing Limited English Proficiency of the anticipated beneficiaries of the project activity(ies), in addition to a Language Assistance Plan if determined as necessary by the four-factor analysis conducted as part of the application review process.

DED staff reviews these analyses and plans and provides recommendations to the grant applicants regarding any clarifications or missing information needed for compliance under Title VI of the Civil Rights Act of 1964. Applicants are encouraged to ask questions of DED staff and also resubmit their analyses and plans for final review.

## CR-40 - Monitoring 91.220 and 91.230

*Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements*

To ensure that all statutory and regulatory requirements are being met for activities funded with HUD funds, DED and DHHS use various monitoring standards and procedures.

DED and DHHS are responsible for ensuring that subrecipients under the CDBG, HOME, HTF, ESG, and HOPWA programs carry out projects in accordance with both federal and State of Nebraska statutory and regulatory requirements. In most cases, these requirements are set forth in the contractual agreement executed between the State and the subrecipient. The State provides maximum feasible delegation of

responsibility and authority to grantees under the programs. Whenever possible, deficiencies are rectified through constructive discussion, negotiation and assistance.

## CDBG

DED conducts two basic types of monitoring off-site monitoring (also known as desktop monitoring), and on-site monitoring. DED staff regularly review each project to verify that it is proceeding in the manner set forth in the agreement and in accordance with applicable laws and regulations. Desktop monitoring is an ongoing process in which the program representative, responsible for overseeing the subrecipient's project, uses all available information to review the subrecipient's performance in carrying out the approved project.

This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract Amendments and/or Extensions to the CDBG Agreement; Project Status Reports, Requests for Funds (Drawdown); DED database review; and other supporting documents. Projects funded through the CDBG Planning Category only complete a desktop monitoring.

On-site monitoring is a structured review conducted by the program representative at the locations where project activities are being carried out, or where project records are being maintained. An on-site monitoring visit is normally conducted during the course of a project. The monitoring review considers all available evidence of whether a project conformed to an approved grant contract; whether substantial progress toward program goals had been met; compliance with applicable laws and regulations; and continued capacity of the Subrecipient and Grant Administrator to carry out the approved project. Due to the impact of COVID-19, the Department conducted a limited amount of on-site monitoring visit. The Department expanded the review conducted via desktop.

Checklists are utilized to ensure that all issues are addressed. DED has CDBG specific checklists based on the types of activities within a given project. The number of times a project is monitored will depend upon the issues that may arise during the desktop or on-site monitoring.

DED continues using the CDBG Project Status Report to assist with completing components of the Desktop Monitoring. Additional financial reviews related to CDBG projects were conducted for review of grantee's drawdowns. This further ensures that the comprehensive database record tracks drawdown review documentation for CDBG subrecipient's activity expenditures.

## HOME & HTF

DED conducts three types of monitorings for HOME and HTF projects: continual off-site monitoring, and initial on-site monitoring and ongoing on-site monitoring. During the initial contract period all projects receive continual off-site monitoring, DED staff regularly review each project to verify that it is proceeding in the manner set forth in the grant agreement and in accordance with applicable laws and regulations.

Off-site monitoring is an ongoing process in which the program representative, responsible for overseeing the grantee's projects uses all available information to review the grantee's performance in carrying out the approved project. This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract Amendments and/or Extensions to the Recipient Agreement; Requests for a Draw Down of Funds/Payment Requests; DED database review; and other supporting documents.



An initial on-site monitoring is a structured review conducted by the program representative or a member of the Housing Team at the location where project activities are being carried out and/or where project records are being maintained. All projects receive an on-site monitoring visit prior to closeout. Eleven (11) HOME projects received an initial on-site monitoring visit during the 2021 Program Year.

Rental projects funded through the HOME & HTF program with a long-term affordability are subject to continual on-site monitoring visits from the Department. Continual on-site monitoring visits are conducted by a member of the Housing Team to ensure the recipient is continuing to comply with all program regulations. Twenty-eight (28) projects received a continual on-site monitoring visit from the Department during the 2021 Program Year. For further information on the monitoring visits completed during the 2020 Program Year refer to Section CR-50.

#### NHAP

During FY21, NHAP reassessed its monitoring and technical assistance processes. NHAP began drafting an updated monitoring tool to better align with HUD's "CPD Monitoring Handbook - Chapter 28: Emergency Solutions Grants (ESG) Program". These updates are expected to be completed in FY22. DHHS conducted monitoring of NHAP-funded programs to ensure compliance with ESG regulations and program requirements.

NHAP's general oversight objectives included:

- Reviewing compliance with program regulations;
- Preventing the use of program funding for ineligible costs; and,
- Identifying technical assistance and training needs.

NHAP utilized the following monitoring process for the ESG program:

#### *Desk Monitoring:*

Prior to payment, the NHAP staff reviewed every reimbursement request submitted by all subrecipients each quarter for: accuracy; form completeness; and apparent compliance with allowable and reasonable cost requirements.

NHAP conducted desk monitoring for 18 subrecipients. Each desk monitoring consisted of a review of 25% of expenditures for the reported quarter. Each subrecipient reviewed was required to provide supporting documentation that the costs were incurred, paid, and allowable. Technical assistance was provided regarding allowable costs prior to issuing reimbursement. No corrective actions were required. NHAP is in the process of completing additional desk monitoring for FY21 due to changes in staffing.

NHAP will adjust the NHAP Monitoring Plan to ensure all funded agencies are monitored annually.

#### *On-site/Virtual Visits:*

In FY21, NHAP completed three monitoring visits and initiated three additional to be completed in FY22. The completed visits were conducted remotely due to the COVID-19 pandemic. The monitoring included an in-depth review of financial policies and procedures, ESG written policies and procedures, shelter inspections, and program participant files. The monitors resulted in no findings, four (4) concerns, and three (3) recommendations. The concerns and recommendations have been resolved.

NHAP will adjust the NHAP Monitoring Plan to increase the number of on-site/virtual visits in the next fiscal year.

#### HOPWA

The Nebraska HOPWA Program complies with all terms and conditions, including recordkeeping and reports (which must include racial and ethnic data on participants) for program monitoring and evaluation purposes, as HUD may establish for purposes of carrying out the program in an effective and efficient manner. A case management software system, E2Nebraska by RDE Systems, is used by the DHHS HOPWA Program, Ryan White Part B Program, and all offices of the HOPWA Program Sponsor, the Nebraska AIDS Project (NAP). There are five NAP offices throughout the State of Nebraska, which are located in Lincoln, Kearney, Norfolk, Omaha and Scottsbluff. NAP Case Managers input all client data, including demographics such as race, ethnicity, and gender, to name a few, into the system. The DHHS HOPWA Program utilizes this software system to run reports concerning client demographics.

The DHHS HOPWA Program conducts monthly financial reviews of NAP. These reviews are completed remotely. NAP submits billing documents, salary allotments and income/expense and service logs by email for review by the HOPWA Program Manager. Records of all services provided are entered into the E2Nebraska system. Clarifications and questions are discussed and addressed between the DHHS HOPWA Program Manager and the NAP Executive Director through communication by email and/or phone and on an immediate basis when they occur.

All COVID-19 specific precautions regarding onsite monitoring ended during FY21, The State of Nebraska conducted an onsite audit of NAP's Omaha headquarters on 12/13/2021. NAP staff have resumed in person inspection of HOPWA supported units to confirm they comply with HAB Habitability standards.

### Citizen Participation Plan 91.105(d); 91.115(d)

***Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.***

Per the State's Citizen Participation Plan, the proposed 2021 CAPER was made available to the public for review and comment. The 2021 CAPER was made available electronically on the DED website on April 17, 2023. The public notice was distributed electronically via email and was published in four Nebraska newspapers. The public notice informed the public about the 15 day comment period. The public notice contained language that addressed if persons required sensory accommodations to contact the consolidated plan coordinator, and language in Spanish that also advised to contact the coordinator. See Appendix 5 for public notification & comments.

CR-45 - CDBG 91.520(c)

*Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.*

The direct benefit data from the CDBG-CV program resulted in a total of 305 persons being assisted. The percentage of low and moderate income for those assisted was 43.9%. The CDBG-CV data that was reported in IDIS is below.

Race	Total	Hispanic/Latino
White	284	50
Black/African American	1	0
American Indian/Alaskan Native	1	1
American Indian/Alaskan Native & White	1	0
Black/African American & White	11	0
Other Multi-racial	7	5
<b>Totals</b>	305	56

Income Levels	Total
Extremely Low	23
Low	23
Moderate	88
Non-Low/Moderate	171
<b>Totals</b>	305

*Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?*

No

*[BEDI grantees] Describe accomplishments and program outcomes during the last year.*

## CR-50 - HOME 91.520(d)

***Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations***

***Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.***

### *On-Site Inspections: Affordable Rental Housing*

During the 2021 Program Year DED completed a total of 67 on-site visits this included eleven (11) initial visits, twenty (28) ongoing compliance reviews and twenty-eight (28) unit inspections.

*Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.*

Twenty-eight (28) HOME-Assisted projects received an On-Going Rental Monitoring review during the 2021 Program Year. Projects receiving a monitoring review were evaluated on eight (8) primary areas of their project: Tenant Selection, Property Standards, Rent and Occupancy Requirements, Management and Financial Viability, Affirmative Marketing – Outreach, Affirmative Marketing – Beneficiary Data, Affirmative Marketing – Owner Participation, and Affirmative Marketing – Compliers.

Fourteen (14) of the twenty-eight (28) projects receiving an ongoing rental monitoring review received no findings.

Fourteen (14) of the projects receiving ongoing rental monitoring reviews received the following findings: Tenant Selection (3), Property Standards (11), Rent and Occupancy Requirements (9) Management and Financial Viability (4), Affirmative Marketing – Outreach (2), Affirmative Marketing – Beneficiary Data (2), Affirmative Marketing – Owner Participation (2), and Affirmative Marketing – Compliers(1).

Twenty-eight (28) projects that received On-Going Rental Desktop Monitoring reviews during the 2019 and 2020 Program Years received the required unit inspection. Twenty-one (21) of the twenty-seven (27) projects receiving a unit inspection had no Property Standards findings, whereas the remaining seven (7) projects receiving a unit inspection had Property Standard findings.

Eleven (11) HOME-Assisted projects received an Initial Monitoring visit during the 2021 Program Year by DED staff members. Each project was reviewed on the following areas: Application, Income, Primary Residence, Property Information, Assistance, Costs, Legal Paperwork, Insurance, Loan Terms/Conditions/Program Income, Lead-Based Paint, Work Write-Up/Cost Estimate, Bids, Contractor Eligibility & Contracts, Pre-Construction Conference & Notice to Proceed, Inspections, Warranties/Guarantees, Payment Records & Liens, After-Rehabilitation Value, Financial Management Review (including Internal Control, Cash Management, Accounting Records, and Audits), Compliers, Applicants Requirements, Adequate Procedures, Property Standards, Construction, Fair Housing & Equal Opportunity Policy & FHEO Compliers, Employment Practices, Contractors, Affirmative Marketing

Outreach, Affirmative Marketing Beneficiary Data, Affirmative Marketing Compliers, and Environmental Review. No findings were issued in this monitoring visit.

The following table titled “HOME Monitoring Visits Completed During 2021 Program Year” provides a list of all projects that received an Initial or On-Going Rental On-Site Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) during the 2021 Program Year. The table also details the specific findings issued per project.

**Table 18: Home Monitoring Visits Completed 2021 Award Year**

Grantee Name	Award #	Type of Monitoring	Visit Date	HOME Units	Total Units	Location	Period of Affordability (Years)	Findings
Alliance Housing Authority	07-OERH-1099	Unit Inspection	4/19/2022	5	18	Alliance	20	No Findings
Alliance Housing Authority	09-OERH-1062	Unit Inspection	4/19/2022	3	18	Alliance	20	No Findings
Ashland Area Economic Development	17-OERH-10095	Initial	10/21/2021	3	12	Ashland	20	4 Findings: Property Standards, Occupancy Requirments, Tenant Eligibility and Leases, LEP
Ashland (City of)	10-OERH-6082	Ongoing	3/14/2022	2	8	Ashland	20	No Findings
Auburn Development Council, INC.	04-OE-603-02	Ongoing	4/8/2022	4	16	Auburn	20	No Findings
Aurora Housing Development Corporation	08-OERH-4088	Ongoing	4/7/2022	3	10	Aurora	20	No Findings
Beatrice (Housing Agency of the City of)	04-OE-602-02	Unit Inspection	3/17/2022	5	11	Beatrice	20	1 Finding: Property Standards
Blue Valley Community Action	01-CH-001-02	Unit Inspection	2/24/2022	8	18	Beatrice & Odell	20	No Findings
Blue Valley Community Action	99-CH-001-03	Ongoing	5/3/2022	4	4	Diller	20	2 Findings: Property Standards, Rent and Occupancy Requirments
Blue Valley Community Action (R20)	01-CH-001-04	Ongoing	5/16/2022	4	16	Crete	20	2 Findings: Property Standards, Rent and Occupancy Requirments
Blue Valley Community Action (R20)	00-CH-001-02	Ongoing	5/26/2022	6	16	York & Geneva	20	1 Finding: Property Standards
Building A Better Tomorrow	16-OERH-10076	Initial	10/6/2021	4	48	Norfolk & Madison	20	5 Findings: Legal and Financial Document, Project and Conctruction Management, Consturction Selection, Tenant Eligibility and Leases, LEP
Building A Better Tomorrow	16-OERH-30075	Initial	10/6/2021	4	32	Kearney	20	6 Findings: Fincial Management Review, Legal and Fincial Documents, Project and Construction Manegment, Construction Selection, Tenant Eligibility and Leases, LEP
Building A Better Tomorrow	17-OERH-10096	Initial	10/6/2021	4	11	Wahoo	20	5 Findings: Legal and Fincial Documents, Project Conctruction Management, Construction Selection, Tenant Eligibility and Leases, LEP
Building A Better Tomorrow	15-OERH-10082	Ongoing	5/17/2022	2	12	David City	20	No Findings
City Impact	11-OERH-8045	Unit Inspection	3/1/2022	4	14	Lincoln	20	No Findings

Community Action Partnership of Mid-Nebraska	98-OE-006-03	Ongoing	5/4/2022	4	5	Stratton, Trenton, Hays Center, Palisade	20	No Findings
Fremont Housing Authority	99-OE-619-02	Ongoing	6/22/2022	7	32	Fremont	20	No Findings
Gering (City of)	02-SR-105-02	Ongoing	5/27/2022	5	49	Gering	20	1 Finding: Management and Financial Viability
Gothenberg Housing Authority	08-OERH-3106	Ongoing	4/14/2022	4	13	Gothenberg	20	No Findings
Greater Loup Valley Activities	09-OERH-2065	Ongoing	5/18/2022	4	12	Ord	20	No Findings
Greater Wahoo Development Foundation	00-OE-624-02	Ongoing	6/1/2022	6	24	Wahoo	20	2 Findings: Property standards, Rent and Occupancy Requirements
Hall County Housing Authority	14-OERH-4086	Unit Inspection	5/5/2022	4	26	Grand Island	20	1 Finding: Property Standards
Holdrege Housing Authority	07-OERH-4097	Unit Inspection	4/13/2022	4	16	Holdrege	20	No Findings
Holdrege Housing Authority	09-OERH-4066	Unit Inspection	4/13/2022	4	18	Holdrege	20	No Findings
Housing Authority of Holdrege	17-OERH-30099	Initial	11/2-5/2021	3	20	Holdrege	20	1 Finding: LEP
Holdrege Housing Authority	14-OERH-4088	Ongoing	4/13/2022	3	16	Holdrege	20	2 Findings: Property Standards, Rent and Occupancy Requirements
Holy Name Housing Corporation	05-OE-701	Ongoing	5/11/2022	4	24	Omaha	20	1 Finding: Property Standards
Housing Development Corporation	02-CH-013-02	Unit Inspection	4/15/2022	5	11	Hastings	20	No Findings
Housing Development Corporation	08-OERH-4105	Unit Inspection	4/26/2022	4	13	Grand Island	20	No Findings
Housing Development Corporation	10-OERH-4080	Unit Inspection	4/26/2022	3	24	Grand Island	20	No Findings
Housing Development Corporation	11-OERH-4050	Unit Inspection	4/26/2022	4	30	Grand Island	20	No Findings
Housing Development Corporation	17-OERH-30097	Initial	11/2-5/2021	3	30	Hastings	20	1 Finding: LEP
Housing Development Corporation	16-CHRH-34079	Initial	11/2-5-2021	9	16	Hastings	15	3 Findings: Legal and Financial Documents, Property Standards, LEP

Keith County Housing Development Corporation	05-OE-317	Unit Inspection	3/23/2022	4	16	Ogallala	20	No Findings
Lincoln Action Program/Community Action Partnership	99-OE-621-02	Unit Inspection	2/25/2022	8	16	Wahoo	20	No Findings
Lincoln Civic Housing	06-OERH-8113	Unit Inspection	2/28/2022	6	76	Lincoln	20	No Findings
Lincoln County Community Development Corporation	97-CH-022-02	Unit Inspection	3/15/2022	16	64	North Platte	20	No Findings
Lexington Housing Authority	08-OERH-3090	Initial	4/14/2022	3	20	Lexington	20	No Findings
Midwest Housing Development Fund, Inc.	10-OERH-4080	Unit Inspection	4/26/2022	3	24	Grand Island	20	No Findings
Midwest Housing Development Fund	16-OERH-10072	Unit Inspection	3/21/2022	4	92	Norfolk	15	1 Finding: Propoerty Standards
Midwest Housing Development Fund	13-OERH-3081	Unit Inspection	4/14/2022	4	32	Gothenburg, Cozad, Lexington	20	No Findings
Midwest Housing Development Fund	18-OERH-20081	Initial	10/28/2022	4	30	Omaha	20	No Findings
Midwest Housing Development Fund	18-OERH-10084	Initial	10/28/2022	4	32	Columbus	20	1 Finding: Propoerty Standards
Midwest Housing Development Fund	13-OERH-1079	Ongoing	4/20/2022	3	11	Seward	20	1 Finding: Property Standards
Midwest Housing Development Fund	14-OERH-4087	Ongoing	5/25/2022	5	18	Kearney	20	No Findings
Midwest Housing Initiative	02-OE-502-02	Unit Inspection	4/19/2022	10	24	South Sioux City	20	No Findings
Midwest Housing Initiative	06-OERH-6109	Unit Inspection	3/18/2022	5	18	York	20	No Findings
Midwest Housing Initiative	09-OERH-4064	Unit Inspection	4/13/2022	3	16	Minden	20	No Findings
Midwest Housing Initiative	10-OERH-6081	Unit Inspection	6/16/2022	3	16	Falls City	20	1 Finding: Property Standards
Midwest Housing Initiative	06-OERH-4111	Ongoing	3/16/2022	5	28	Grand Island	20	No Findings



Native Council on Economic & Community Development	97-CH-004-01	Ongoing	?	20	20	Macy	20	8 Findings: Tenant Selection, Property Standards, Rent and Occupancy Requirements, Management and Financial Viability, Affirmative Marketing – Outreach, Affirmative Marketing – Beneficiary Data, Affirmative Marketing – Owner Participation, and Affirmative Marketing – Compliant
Nebraska Housing Developers Association	15-OERH-20079	Ongoing	9/1/2022	4	36	Omaha	20	3 Findings: Tenant selection, Property Standards and Rent and Occupancy Requirements
Nebraska Housing Resource	05-OE-801	Unit Inspection	2/18/2022	4	12	Lincoln	20	1 Finding: Property Standards
Nebraska Urban Indian Health Coalition	17-OERH-20102	Initial	12/7/2021	4	44	Omaha	20	No Findings
Omaha Economic Development Corporation	08-OERH-7108	Unit Inspection	3/25/2022	4	16	Omaha	20	1 Finding: Property Standards
Omaha Economic Development Corporation	11-OERH-7056	Ongoing	4/27/2022	4	40	Omaha	20	1 Finding: Property Standards
Scotts Bluff County Housing Authority	12-OERH-1054	Ongoing	5/25/2022	3	10	Scottsbluff	20	1 Finding: Management and Financial Viability
Scotts Bluff County Housing Authority	03-OE-103-02	Ongoing	5/25/2022	3	6	Bridgeport	20	No Findings
Scotts Bluff County Housing Authority	03-OE-104-02	Ongoing	5/25/2022	2	6	Gering	20	No Findings
Seward County Housing Corporation	09-OERH-6063	Ongoing	4/1/2022	3	16	Seward	20	No Findings
Sidney (City of)	04-SR-101-02	Unit Inspection	4/18/2022	7	49	Sidney	20	No Findings
Snyder (Village of)	98-OE-089-01	Ongoing	6/30/2022	8	16	Leigh, Snyder	20	7 Findings: Tenant Selection, Property Standards, Rent and Occupancy Requirements, Management and Financial Viability, Affirmative Marketing, Affirmative Marketing Beneficiary, affirmative Marketing Owner Participation
Stephen Center	12-OERH-7053	Ongoing	3/24/2022	4	62	Omaha	20	1 Finding: Rent and Occupancy Requirement
St. Paul Housing Authority	10-OERH-4079	Ongoing	5/19/2022	2	12	Saint Paul	20	No Findings
Wayne Community Housing Development Corporation	08-OERH-5089	Unit Inspection	4/19/2022	2	10	Wayne	20	1 Finding: Property Standards
Wayne Community Housing Development Corporation	16-OERH-30074	Ongoing	6/23/2022	3	15	Wayne	20	1 Finding: Rent and Occupancy Requirements

The following table titled “HOME Monitoring Visits Outstanding for 2021 Program Year” provides a list of six (6) HOME-Assisted projects that should have received an On-Going Rental Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) or Unit Inspection visit during the 2021 Program Year based upon the schedule in §92.504(d). DED Management has developed and implemented a monitoring strategy for the 2022 Program Year to ensure each of these projects receive an on-site monitoring visit or unit inspection between July 1, 2022 - June 30, 2023. The strategy developed will help the Department ensure the agency is in compliance with the schedule outlined in §92.504(d) moving forward.

**Table 19: HOME Monitoring Visits Outstanding for 2021 Program Year  
On-Going Rental Projects**

Grantee Name	Award #	Type of Monitoring Needed	HOME Units	Total Units	Location	Period of Affordability (Years)
Aurora Housing Development Corporation	12-OERH-4056	Ongoing	3	14	Aurora	20
Central City Housing Authority	06-OERH-4110	Unit Inspection	4	12	Central City	20
Central Nebraska Community Services	94-CH-007-02	Ongoing	9	12	Ainsworth, Cairo Greely & O’Neill	20
Gage County Economic Development	00-OER-077-02	Ongoing	7	16	Beatrice	20
Gage County Economic Development	03-OER-602-02	Ongoing			Beatrice	20
Harvard Townhouse, Inc.	02-OER-404-02	Ongoing	10	12	Harvard	20

The following table titled “HOME Projects Needing Completion Inspection” provides a list of thirty-eight (38) HOME-Assisted projects that require a Completion Inspection in accordance with §92.504(d).

**Table 20: HOME Projects Needing Completion Inspection**

Grantee Name	Award #
Community Action Partnership	09OEH01075
High Plains Community	10CHHP1083
Lincoln County Community Development Corporation	10CHHP3077
Blue Valley Community Action	10OEH06087
Central Nebraska Economic Development, Inc.	10OEH2090
McCook Economic	10OEH3022
Cirrus House, Inc.	10OERH1085
Central Nebraska Economic Development, Inc.	11OEH02052
Community Action Partnership of Mid	11OEH03041
West Central Nebraska Development District	11OEH03046
Sandhills Resource C & D	11OEH03053
Housing Development Corporation	11OEH04042
Lincoln County Community Development Corporation	12CHHP3013
NEHI, Inc.	12CHHP5003
Three Rivers Housing	12CHHP5008
Neighborworks Northeast Nebraska	12CHHP5015

**Table 20: HOME Projects Needing Completion Inspection**

<b>Grantee Name</b>	<b>Award #</b>
Midwest Housing Development Fund	12OERH4056
Neighborworks Northeast Nebraska	13CHHP5016
Lincoln County Community Development Corporation	13OEH03012
Southwest Nebraska Community Betterment Corporation	13OEH03013
Curtis	13OEH03048
Heartland Family Service	13OERH2075
Neighborworks Northeast Nebraska	13CHHP5016
Neighborworks Northeast Nebraska	14CHHP5081
Neighborworks Lincoln	14CHHP6083
Sheltering Tree, Inc.	14OERH7084
Neighborworks Northeast Nebraska	15CHHP15077
Neighborworks Lincoln	15CHHP18078
Neighborworks Northeast Nebraska	16CHHP15077
NeighborWorks Lincoln	16CHHP18078
Neighborworks Northeast Nebraska	17CHHP15094
NeighborWorks Lincoln	17CHHP18093
Neighborworks Northeast Nebraska	18CHHP15074
Fremont Housing Agency	18OERH10087
Nebraska Housing Developers Association	18OERH10083
High Plains Community Development Corporation	18CHTA31073
High Plains Community Development Corporation	19CHHP31107
High Plains Community Development Corporation	19CHTA31112

*Listing of HOME properties with physical reviews*

There were sixty-seven (67) inspections of affordable rental housing projects conducted during the 2021 Program Year. The table titled “HOME Monitoring Visits Completed During 2021 Program Year” identifies all projects that received either an Ongoing visit, Initial visit or Unit Inspection review. All projects receiving an Ongoing visit, Initial or Unit Inspection visit received a physical review.

***Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)***

DED requires all HOME recipients to provide written documentation on the actions that will be taken during the course of the project to affirmatively further fair housing. This list of actions is then verified during monitoring visits. In addition, as part of low income housing tax credit (LIHTC) requirements, each recipient is required to provide a marketing plan which describes how the recipient intends to market the rental units to those persons most likely to qualify for the units, but least likely to apply.

***Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics***

There was no HOME Program Income utilized for these projects.

### ***Describe other actions taken to foster and maintain affordable housing 91.220(k)***

During the 2021 Program Year, DED staff undertook the following actions:

- During the month of October 2021, DED held the CDBG Certified Administrator Training virtually. During these seven virtual sessions, DED staff members provided education opportunities to community representatives on federal regulations including: Fair Housing, Limited English Proficiency, Environmental Review, Procurement, and Labor Standards.
- Through the Nebraska Affordable Housing Trust Fund the Department has financial supports and sponsors the HOME NE Program. HOME NE is a statewide network designed to help communities build and sustain their capacity to lead strategic local housing development. HomeNE connects community leaders and resource providers across Nebraska and provides a platform for sharing ideas, best practices, struggles and expert presentations. These opportunities provide monthly interactive calls and webinars and semi-annual gatherings which bring participants together in a friendly/efficient learning environment.

DED recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety, environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays. DED staff continues to review these analyses and plans as part of the application and release of funds process.

#### **Other Actions**

- Evaluating ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded;
- Working with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.
- Partnering with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.
- Working to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives within the affordable housing programs.
- The State will continue to improve the housing conditions of persons who are living in substandard housing by assisting within owner occupied rehabilitation and new residential construction of quality affordable housing.
- The State will continue to reduce the risk of lead based paint hazards in aging housing stock by continuing lead based compliance and reduction efforts for houses that are assisted with state and federal resources.

### Section 3

The State provided training to CDBG Certified Administrators on Section 3 outreach and responsibilities.

#### State's Actions to Increase WBE/MBE Participation

The state provided guidance and training to administrators and grantees on appropriate procurement and bidding procedures to allow for more WBE/MBE business opportunities.

#### ***Coordination of LIHTC with Development of Affordable Housing 91.320(j)***

Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. DED partners with NIFA in joint applications for LIHTC rental projects. DED works closely with NIFA on developing the Qualified Allocation Plan and application for the joint LIHTC/CRANE/HOME/HTF funds. During the 2020 Program Year, CRANE applications were reviewed bi-monthly and LIHTC applications were reviewed during the two application cycles. The applications were scored according to what was set forth in the NIFA Qualified Allocation Plan.

In addition, DED Housing staff attended the NIFA public hearing, public meeting and board meetings during the application process. Review and recommendations by NIFA and DED staff of the applications were conducted and submitted to the NIFA Board and the DED Director. All LIHTC awards were voted on and finalized by the NIFA Board and the HOME/HTF awards were determined by the DED Director by utilizing these reviews and recommendations by informed and trained DED staff.

### CR-55 - HOPWA 91.520(e)

#### ***Identify the number of individuals assisted and the types of assistance provided***

The below table reports on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

**Table 22: HOPWA Number of Households Served**

<b>Number of Households Served Through:</b>	<b>One-year Goal (from AAP)</b>	<b>Actual</b>
Short-term rent, mortgage, and utility assistance payments	60	95
Tenant-based rental assistance	15	27
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
<b>Total</b>	<b>52</b>	<b>122</b>

#### ***Narrative***

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan.

During the reporting period, the program served 120 households; with short-term rent/mortgage assistance, surpassing the Consolidated Annual Plan goal of 60 listed in IDIS.

The program estimated serving 15 households with tenant-based rental assistance (TBRA) during the reporting period, which was surpassed by serving 27 households.

The Nebraska HOPWA Program does not participate in the services of Permanent and Transitional/Short-term Facilities associated with Capital Development Projects.

## CR-56 - HTF 91.520(h)

*Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.*

During the 2021 Program Year, two projects were awarded HTF funding:

1. Jointly with the NIFA Low Income Housing Tax Credit (LIHTC) 9% Set-Aside: \$600,000 was awarded to Sidney Housing Authority that will rehabbed 10 rental units in Sidney, benefitting 4 tenants at or below 30% AMI and Columbus Community Foundation, Inc, that will rehabbed 34 rental units in Columbus, benefitting 10 tenants at or below 30% AMI.

**Table 23: CR-56 HTF Units in HTF activities completed during the period**

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	14	0	0	14	0	14
Homebuyer	0	0	0	0	0	0

## CR-58 – Section 3

**Identify the number of individuals assisted and the types of assistance provided**

**Table 5: Total Labor Hours**

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	31	0	-	-	0
Total Labor Hours	0	0	-	-	0
Total Section 3 Worker Hours	0	0	-	-	0
Total Targeted Section 3 Worker Hours	0	0	-	-	0

**Table 6 Qualitative Efforts - Number of Activities by Program**

<b>Qualitative Efforts - Number of Activities by Program</b>	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>	<b>HOPWA</b>	<b>HTF</b>
Outreach efforts to generate job applicants who are Public Housing Targeted Workers	0	0	-	-	0
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.	0	0	-	-	0
Direct, on-the job training (including apprenticeships).	0	0	-	-	0
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.	0	0	-	-	0
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).	0	0	-	-	0
Outreach efforts to identify and secure bids from Section 3 business concerns.	0	0	-	-	0
Technical assistance to help Section 3 business concerns understand and bid on contracts.	0	0	-	-	0
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.	0	0	-	-	0
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.	0	0	-	-	0
Held one or more job fairs.	0	0	-	-	0
Provided or connected residents with supportive services that can provide direct services or referrals.	0	0	-	-	0
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.	0	0	-	-	0
Assisted residents with finding child care.	0	0	-	-	0
Assisted residents to apply for, or attend community college or a four year educational institution.	0	0	-	-	0
Assisted residents to apply for, or attend vocational/technical training.	0	0	-	-	0
Assisted residents to obtain financial literacy training and/or coaching.	0	0	-	-	0
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.	0	0	-	-	0
Provided or connected residents with training on computer use or online technologies.	0	0	-	-	0
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.	0	0	-	-	0
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.	0	0	-	-	0
Other.	0	0	-	-	0

**Narrative**

For HOME & HTF, the projects that were completed during the program year had contracts that were signed prior to the new Section 3 rule. HOPWA – based on the activities funded, Section 3 was not triggered. ESG & NHAP - CR-58 is not applicable.

## CR-60 - ESG 91.520(g) (ESG Recipients only)

### ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

<b>Recipient Name</b>	NEBRASKA
<b>Organizational DUNS Number</b>	808820138
<b>EIN/TIN Number</b>	470491233
<b>Identify the Field Office</b>	OMAHA
<b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b>	Balance of State Nebraska CoC

##### ESG Contact Name

<b>Prefix</b>	Mr.
<b>First Name</b>	Matt
<b>Middle Name</b>	
<b>Last Name</b>	Thomsen
<b>Suffix</b>	
<b>Title</b>	Program Manager

##### ESG Contact Address

<b>Street Address 1</b>	1955 E Military Ave.
<b>Street Address 2</b>	
<b>City</b>	Fremont
<b>State</b>	NE
<b>ZIP Code</b>	68025
<b>Phone Number</b>	4024179435
<b>Extension</b>	0
<b>Fax Number</b>	4024719286
<b>Email Address</b>	<a href="mailto:matt.thomsen@nebraska.gov">matt.thomsen@nebraska.gov</a>

##### ESG Secondary Contact

<b>Prefix</b>	Ms.
<b>First Name</b>	Niki
<b>Last Name</b>	Tuzicka
<b>Suffix</b>	0
<b>Title</b>	NHAP Program Coordinator
<b>Phone Number</b>	(402) 314-4649
<b>Extension</b>	0



Email Address

[Niki.Tuzicka@nebraska.gov](mailto:Niki.Tuzicka@nebraska.gov)

**2. Reporting Period—All Recipients Complete**

Program Year Start Date 07/01/2021  
Program Year End Date 06/30/2022

**3a. Subrecipient Form – Complete one form for each subrecipient**

Subrecipient or Contractor Name: COMMUNITY ACTION PARTNERSHIP OF WESTERN NEBRASKA City: Gering State: NE Zip Code: 69361, 3106 DUNS Number/UEI: 085816908/XME7FSDMJHN3 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG \$91,196.80; Total Annual Contract \$125,231.46
Subrecipient or Contractor Name: CENTRAL NEBRASKA COMMUNITY ACTION PARTNERSHIP City: Loup City State: NE Zip Code: 68853, 0509 DUNS Number/UEI: 84623198/T9QQFLE33XN5 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG \$77,507.89; Total Annual Contract \$107,645.06
Subrecipient or Contractor Name: HOPE HARBOR City: Grand Island State: NE Zip Code: 68801, 5804 DUNS Number/UEI: 132322673/MQD3UMUF6SH7 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG \$95,000; Total Annual Contract \$119,872.58
Subrecipient or Contractor Name: THE CONNECTION HOMELESS SHELTER City: North Platte State: NE Zip Code: 69103, 4135 DUNS Number/UEI: 834811622/GFF6LFXSKJ26 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG \$99,998.55; Total Annual Contract \$99,998.55

<p>Subrecipient or Contractor Name: COMMUNITY ACTION PARTNERSHIP OF MID-NEBRASKA  City: Kearney  State: NE  Zip Code: 68847, 7440  DUNS Number/UEI: 068662444/EYMJJBUNRW6  Is subrecipient a victim services provider: N  Subrecipient Organization Type: Other Non-Profit Organization  ESG Subgrant or Contract Award Amount: ESG\$151,891.10; Total Annual Contract \$307,621.72</p>
<p>Subrecipient or Contractor Name: RESIDENTIAL ASSISTANCE TO FAMILIES IN TRANSITION, INC. (RAFT)  City: Kearney  State: NE  Zip Code: 68847, 7440  DUNS Number/UEI: 003682241/TRLQYC9QJMN3  Is subrecipient a victim services provider: N  Subrecipient Organization Type: Other Non-Profit Organization  ESG Subgrant or Contract Award Amount: ESG \$34,599.50; Total Annual Contract \$34,599.50</p>
<p>Subrecipient or Contractor Name: CARE CORPS, INC.  City: Fremont  State: NE  Zip Code: 68025, 4903  DUNS Number/UEI: 962071577/UJMYMRS1KCG5  Is subrecipient a victim services provider: N  Subrecipient Organization Type: Other Non-Profit Organization  ESG Subgrant or Contract Award Amount: ESG\$164,719.08; Total Annual Contract \$198,223.61</p>
<p>Subrecipient or Contractor Name: COMMUNITY ACTION PARTNERSHIP OF LANCASTER AND SAUNDERS COUNTIES  City: Lincoln  State: NE  Zip Code: 68508, 2322  DUNS Number/UEI: 072910086/XZKETGP48B35  Is subrecipient a victim services provider: N  Subrecipient Organization Type: Other Non-Profit Organization  ESG Subgrant or Contract Award Amount: ESG \$66,584.99; Total Annual Contract \$91,606.99</p>
<p>Subrecipient or Contractor Name: BLUE VALLEY COMMUNITY ACTION  City: Fairbury  State: NE  Zip Code: 68352, 2624  DUNS Number/UEI: 041737594/JUEZNR7R6K73  Is subrecipient a victim services provider: N  Subrecipient Organization Type: Other Non-Profit Organization  ESG Subgrant or Contract Award Amount: ESG \$93,699.93; Total Annual Contract \$107,849</p>

## CR-65 - Persons Assisted

As per HUD regulations, the CR-65 section has been replaced by the data submitted in the PDF export process in the Sage HMIS repository for the ESG CAPER. See Appendix 1.

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

As per HUD regulations, the CR-70 section has been replaced by the data submitted in the PDF export process in the Sage HMIS repository for the ESG CAPER. See Appendix 1.

## CR-75 – Expenditures

As per HUD regulations, the CR-75 section has been replaced by the data submitted in the PDF export process in the Sage HMIS repository for the ESG CAPER. See Appendix 1.

## HUD ESG CAPER

Grant: **ESG: Nebraska Nonentitlement - NE - Report** Type: **CAPER**

### Report Date Range

7/1/2021 to 6/30/2022

### Contact Information

First Name	Niki
Middle Name	
Last Name	Tuzicka
Suffix	
Title	NHAP Program Coordinator
Street Address 1	1410 M Street
Street Address 2	
City	Lincoln
State	Nebraska
ZIP Code	68508
E-mail Address	niki.tuzicka@nebraska.gov
Phone Number	(402)314-4649
Extension	
Fax Number	

### Project types carried out during the program year

Components	Projects	Total Persons Reported	Total Households Reported
Emergency Shelter	20	1808	1117
Day Shelter	0	0	0
Transitional Housing	3	575	382
<b>Total Emergency Shelter Component</b>	<b>23</b>	<b>2383</b>	<b>1499</b>
Total Street Outreach	0	0	0
Total PH - Rapid Re-Housing	11	146	93
Total Homelessness Prevention	13	1495	619

### Grant Information

#### Emergency Shelter Rehab/Conversion

Did you create additional shelter beds/units through an ESG-funded rehab project	No
Did you create additional shelter beds/units through an ESG-funded conversion project	No

#### Data Participation Information

Are there any funded projects, except HMIS or Admin, which are <u>not listed on the Project, Links and Uploads form</u> ? This includes projects in the HMIS and from VSP	No
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**Project Outcomes**

*Project outcomes are required for all CAPERS where the program year start date is 1-1-2021 or later. This form replaces the narrative in CR-70 of the eCon Planning Suite.*

**From the Action Plan that covered ESG for this reporting period copy and paste or retype the information in Question 5 on screen AP-90: "Describe performance standards for evaluating ESG."**

The primary goals the State strives for NHAP sub-recipients to achieve include reducing the length of homelessness, exits to permanent housing destinations and reducing returns to homelessness.

A performance report card with performance metrics has been established by the BoS CoC. Currently a report is issued through HMIS or comparable database for the victim service providers and distributed annually to all CoC and ESG grantees. NHAP has also incorporated these performance measurements into the annual application process as an evaluation component of the success of NHAP sub-recipients in reaching and achieving program standards. All NHAP subrecipients, including the City of Lincoln and the City of Omaha who are entitlement cities but receive NHAP Homeless Assistance Trust Funds, are required to submit their performance measures which are utilized to determine funding decisions.

Part of the performance measures specifically relate to housing stability and increasing economic self sufficiency. With the housing stability information collected, the State and BoS CoC will jointly review and work to develop strategies which can help providers assist the homeless gain stable housing.

*Based on the information from the Action Plan response previously provided to HUD:*

**1. Briefly describe how you met the performance standards identified in A-90 this program year. If they are not measurable as written type in N/A as the answer.**

In fiscal year 2021 (FY21), NHAP sub-recipients were required to submit their ESG-funded performance metrics through a quarterly generated Consolidated Annual Performance and Evaluation Report (CAPER). NHAP reviewed the submitted CAPERs to ensure sub-recipients were on track to meet NHAP's primary goals to reduce the length of homelessness and exits to permanent housing destinations. During FY21, no technical assistance or corrective action was issued. NHAP participated in weekly and bi-weekly meetings with the BoS CoC providers to discuss challenges and successes in assisting those experiencing homelessness to gain stable housing.

**2. Briefly describe what you did not meet and why. If they are not measurable as written type in N/A as the answer.**

N/A

**OR**

**3. If your standards were not written as measurable, provide a sample of what you will change them to in the future? If they were measurable and you answered above type in N/A as the answer.**

N/A





## ESG Information from IDIS

As of 12/9/2022

FY	Grant Number	Current Authorized Amount	Funds Committed By Recipient	Funds Drawn	Balance Remaining	Obligation Date	Expenditure
2021	E21DC310001	\$1,006,505.00	\$1,006,505.00	\$993,837.09	\$12,667.91	8/11/2021	8/11/2023
2020	E20DC310001	\$1,009,899.00	\$1,009,899.00	\$1,009,899.00	\$0	7/30/2020	7/30/2022
2019	E19DC310001	\$976,692.00	\$976,692.00	\$976,692.00	\$0	8/27/2019	8/27/2021
2018	E18DC310001	\$942,140.00	\$942,140.00	\$942,140.00	\$0	8/22/2018	8/22/2020
2017	E17DC310001	\$942,120.00	\$942,120.00	\$942,120.00	\$0	10/19/2017	10/19/2019
2016	E16DC310001	\$941,814.00	\$941,814.00	\$941,814.00	\$0	8/22/2016	8/22/2018
2015	E15DC310001	\$948,440.00	\$948,440.00	\$948,440.00	\$0	9/30/2015	9/30/2017
Total		\$8,383,219.00	\$8,383,219.00	\$8,370,551.09	\$12,667.91		

### Expenditures

2022 No 2021 Yes 2020 No 2019 No 2018 No 2017 No

#### FY2021 Annual ESG Funds for

#### Homelessness Prevention

Non-COVID

Rental Assistance	46,538.94
Relocation and Stabilization Services - Financial Assistance	31,399.72
Relocation and Stabilization Services - Services	172,639.12
Hazard Pay ( <i>unique activity</i> )	
Landlord Incentives ( <i>unique activity</i> )	
Volunteer Incentives ( <i>unique activity</i> )	
Training ( <i>unique activity</i> )	

#### Homeless Prevention Expenses

250,577.78

#### FY2021 Annual ESG Funds for

#### Rapid Re-Housing

Non-COVID

Rental Assistance	44,155.94
Relocation and Stabilization Services - Financial Assistance	11,009.35
Relocation and Stabilization Services - Services	57,122.10
Hazard Pay ( <i>unique activity</i> )	
Landlord Incentives ( <i>unique activity</i> )	
Volunteer Incentives ( <i>unique activity</i> )	
Training ( <i>unique activity</i> )	

#### RRH Expenses

112,287.39

#### FY2021 Annual ESG Funds for

#### Emergency Shelter

Non-COVID

Essential Services	161,901.93
Operations	325,915.04
Renovation	0.00
Major Rehab	0.00
Conversion	0.00
Hazard Pay ( <i>unique activity</i> )	
Volunteer Incentives ( <i>unique activity</i> )	
Training ( <i>unique activity</i> )	

#### Emergency Shelter Expenses

487,816.97

#### FY2021 Annual ESG Funds for

#### Temporary Emergency Shelter

Non-COVID

Essential Services	
Operations	
Leasing existing real property or temporary structures	
Acquisition	
Renovation	
Hazard Pay ( <i>unique activity</i> )	



Volunteer Incentives (unique activity)  
 Training (unique activity)  
 Other Shelter Costs  
**Temporary Emergency Shelter Expenses**

**FY2021 Annual ESG Funds for**

**Street Outreach**

**Non-COVID**

Essential Services	0.00
Hazard Pay (unique activity)	0.00
Volunteer Incentives (unique activity)	0.00
Training (unique activity)	0.00
Handwashing Stations/Portable Bathrooms (unique activity)	0.00
<b>Street Outreach Expenses</b>	<b>0.00</b>

**FY2021 Annual ESG Funds for**

**Other ESG Expenditures**

**Non-COVID**

Cell Phones - for persons in CoC/YHDP funded projects (unique activity)	
Coordinated Entry COVID Enhancements (unique activity)	
Training (unique activity)	
Vaccine Incentives (unique activity)	
HMIS	67,667.07
Administration	75,487.88
<b>Other Expenses</b>	<b>143,154.95</b>

**FY2021 Annual ESG Funds for**

**Non-COVID**

<b>Total Expenditures</b>	<b>993,837.09</b>
Match	949,814.57

**Total ESG expenditures plus match** **1,943,651.66**

**Total expenditures plus match for all years**

**Sources of Match**

	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016	FY2015
Total regular ESG plus COVID expenditures brought forward	\$1,006,505.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for COVID brought forward	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for regular expenses which requires a match	\$1,006,505.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match numbers from financial form	\$930,455.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match Percentage	92.44%	0%	0%	0%	0%	0%	0%

**Match Source**      **FY2022**    **FY2021** **FY2020** **FY2019** **FY2018** **FY2017** **FY2016** **FY2015**

Other Non-ESG HUD Funds		0.00						
Other Federal Funds		0.00						
State Government		930,455.57						
Local Government		0.00						
Private Funds		0.00						
Other		0.00						
Fees		0.00						
Program Income		0.00						
<b>Total Cash Match</b>	<input type="text"/>	<input type="text" value="930,455.57"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>
Non Cash Match		0.00						
<b>Total Match</b>	<input type="text"/>	<input type="text" value="930,455.57"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>



## Appendix 2: PY2021 DED Housing Awarded Projects

### New Construction Rental Units Approved for funding in Program Year 2021

Award #	Recipient	Source	Type	Award Amount	Units	Location
210ERH10048	Emerald View Apartments, LLC	HOME	New Constructon MF Rental	\$500,000.00	5	Lincoln
210ERH30049	State Street Villas, LLC	HOME	New Construction MF Rental	\$500,000.00	4	Grand Island
21HTFRH10050	Foxtail Central LP	HTF	New Constructon MF Rental	\$500,000.00	3	Lexington
21HTFRH30051	Avenue 25 Villas, LLC	HTF	New Construction MF Rental	\$500,000.00	3	Central City
21TFRH36024	Midwest Housing Initiatives (Porter Estates II)	NAHTF	New Construction MF Rental	\$670,000.00	4	Lincoln
21TFRH35034	Wakefield Development Corporation (Perry Prjct)	NAHTF	New Construction MF Rental	\$500,000.00	30	Wakefield
21TFRH35033	Wakefield Development Corporation	NAHTF	Conversion MF Rental	\$590,000.00	4	Wakefield
21TFRH35017	City of Pierce	NAHTF	New Construction MF Rental	\$594,000.00	6	Pierce
21TFRH27014	Holy Name Housing Corporation	NAHTF	New Construction SF Rental	\$550,000.00	3	Omaha
21TFRH27013	Holy Name Housing Corporation	NAHTF	New Construction SF Rental	\$550,000.00	3	Omaha
21TFRH18003	Good Neighbor Community Center (Antelope Square)	NAHTF	New Construction MF Rental	\$560,000.00	152	Lincoln

Funding Source	Award	Units
HOME	\$2,000,000.00	15
NAHTF	\$4,014,000.00	202
HTF	\$0.00	0
<b>Total</b>	<b>\$6,014,000.00</b>	<b>217</b>

### Rehab Rental Units Approved for funding in Program Year 2021

Award #	Recipient	Source	Type	Award Amount	Units	Location
21TFRH33030	Southwest Nebraska Community Betterment Corporation	NAHTF	Rehabilitation MF Rental	\$205,750.00	6	Grant

Funding Source	Award	Units
HOME	\$0.00	0
NAHTF	\$205,750.00	6
HTF	\$0.00	0
<b>Total</b>	<b>\$205,750.00</b>	<b>6</b>

### Homeowner Occupied Housing Rehabilitation Approved for Funding in Program Year 2021

Award #	Recipient	Source	Type	Award Amount	Units	Location
21TFHO36028	Southeast Nebraska Community Action Partnership	NAHTF	Rehabilitation	\$315,000.00	10	Counties of Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, Sarpy
21TFHO36016	Hebron	NAHTF	Rehabilitation	\$567,000.00	18	Hebron
21TFHO34022	The Housing Development Corp.	NAHTF	Rehabilitation	\$288,000.00	8	Harvard
21TFHO32020	Core Development	NAHTF	Rehabilitation	\$315,000.00	12	Villages of Brunswick, Chambers, Clearater, Elgin, Ewing, Inman, Neilligh, Oakdale, Orchard, Page, Royal
21TFHO27012	Habitat for Humanity of Omaha, Inc.	NAHTF	Rehabilitation	\$500,000.00	30	Counties of Douglas and Sarpy
21TFHO16002	Syracuse	NAHTF	Rehabilitation	\$567,000.00	18	Syracuse
21TFHO16001	Cedar Bluffs Education Foundation	NAHTF	Rehabilitation	\$567,000.00	18	Cedar Bluffs
21HO36036	Blue Springs	CDBG	Rehabilitation	\$315,000.00	10	Blue Springs
21HO36035	Beatrice	CDBG	Rehabilitation	\$547,000.00	18	Beatrice
21HO35041	Wayne	CDBG	Rehabilitation	\$315,000.00	10	Wayne
21HO35037	Hubbard	CDBG	Rehabilitation	\$315,000.00	10	Hubbard
21HO18040	Waverly	CDBG	Rehabilitation	\$547,000.00	18	Waverly
21HO18038	Malcolm	CDBG	Rehabilitation	\$315,000.00	10	Malcolm
21HO16039	Talmage	CDBG	Rehabilitation	\$315,000.00	10	Talmage

Funding Source	Award	Units
NAHTF	\$3,119,000.00	114
CDBG	\$2,669,000.00	86
<b>Total</b>	<b>\$5,788,000.00</b>	<b>200</b>

## Appendix 2: PY2021 DED Housing Awarded Projects

### Homeowner Housing Approved in Program Year 2021

Award #	Recipient	Source	Type	Award Amount	Units	Location
21CHHP15042	NeighborWorks Northeast Nebraska	HOME	New construction with buyer subsidy	\$500,000.00	2	Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne County
21CHHP31044	NeighborWorks Lincoln	HOME	New construction with buyer subsidy	\$500,000.00	3	Lincoln
21CHHP18046	High Plains Community Development	HOME	New construction with buyer subsidy	\$500,000.00	2	Alliance
21TFHP15007	NeighborWorks Northeast Nebraska	NAHTF	Purchase Rehab Resale with buyer subsidy	\$500,000.00	13	Counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton, Wayne
21TFHP15008	Three Rivers Housing Development Corporation	NAHTF	Purchase Rehab Resale with buyer subsidy	\$360,000.00	9	Burt County, Villages of Craig, Decatur; Cities of Lyons, Oakland, Tekamah
21TFHP17004	Habitat for Humanity of Omaha	NAHTF	Purchase Rehab Resale with buyer subsidy	\$300,000.00	5	Bellevue
21TFHP18005	NeighborWorks Lincoln	NAHTF	New construction with buyer subsidy	\$500,000.00	4	Lincoln
21TFHP18006	NeighborWorks Lincoln	NAHTF	Homebuyer Assistance with Rehab	\$500,000.00	22	Lincoln
21TFHP27010	Habitat for Humanity of Omaha, Inc.	NAHTF	New construction with buyer subsidy	\$500,000.00	12	Counties of Douglas and Sarpy
21TFHP27011	Habitat for Humanity of Omaha, Inc.	NAHTF	Purchase Rehab Resale	\$500,000.00	12	Counties of Douglas and Sarpy
21TFHP32019	City of Valentine	NAHTF	New construction with buyer subsidy	\$500,000.00	3	Cherry
21TFHP33031	Southwest Nebraska Community Betterment Corporation	NAHTF	New construction with buyer subsidy	\$462,200.00	2	Grant
21TFHP35018	City of South Sioux City	NAHTF	New construction with buyer subsidy	\$500,000.00	8	South Sioux City
21TFHP35025	Northeast Housing Initiatives, Inc.	NAHTF	Purchase Rehab Resale with buyer subsidy	\$350,000.00	6	Counties of Antelope, Cedar, Dakota, Dixon, Knox, Pierce
21TFHP35032	Village of Clearwater	NAHTF	New construction with buyer subsidy	\$221,000.00	2	Antelope County, Clearwater Village
21TFHP36027	Southeast Nebraska Community Action Partnership, Inc. (SENCA)	NAHTF	Homebuyer Assistance with Rehab	\$375,000.00	10	Counties of Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, Sarpy

Funding Source	Award	Units
HOME	\$1,500,000.00	7
NAHTF	\$5,568,200.00	108
<b>Total</b>	<b>\$7,068,200.00</b>	<b>115</b>

### Organizational Operating & Technical Assistance Projects Approved for funding in Program Year 2021

Award #	Recipient	Source	Type	Award Amount	Units	Purpose
21CHTA15043	NeighborWorks Northeast Nebraska	HOME	Organizational Operating	\$20,000.00	N/A	Organizational operating funds for NeighborWorks Northeast Nebraska
21CHTA18047	NW Lincoln	HOME	Organizational Operating	\$15,000.00	N/A	Organizational operating funds for NeighborWorks Lincoln
20CHTA31045	High Plains Community Development	HOME	Organizational Operating	\$20,000.00	N/A	Organizational operating funds for High Plains Community Housing
21TFTA15009	Three Rivers Housing Development Corporation	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Capacity Building for Three Rivers Housing Development Corporation
21TFTA27015	Holy Name Housing Corporation	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Capacity Building for Holy Name Housing Corporation
21TFTA31021	High Plains Community Development Corporation, Inc.	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Capacity Building for High Plains Community Development Corporation, Inc.
21TFTA34023	Housing Development Corporation	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Capacity Building for Housing Development Corporation
21TFTA35026	Northeast Housing Initiatives, Inc.	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Capacity Building for Northeast Housing Initiatives, Inc.
21TFTA36029	Southeast Nebraska Community Action Partnership, Inc. (SENCA)	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Capacity Building for Southeast Nebraska Community Action Partnership, Inc.

Funding Source	Award
HOME	\$55,000.00
NAHTF	\$240,000.00
<b>Total</b>	<b>\$295,000.00</b>



# **Housing Opportunities for Persons With AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

**OMB Number 2506-0133 (Expiration Date: 11/30/2023)**

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. Reporting is required for all HOPWA formula grantees. The public reporting burden for the collection of information is estimated to average 41 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD's requirements for reports submitted by HOPWA formula grantees are supported by 42 U.S.C. § 12911 and HUD's regulations at 24 CFR § 574.520(a). Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number. While confidentiality is not assured, HUD generally only releases this information as required or permitted by law.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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**PART 6: Annual Report of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

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**Continued Use Periods.** Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Report of Continued Project Operation throughout the required use periods. This report is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client-level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household

Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, Housing Status or Destination at the end of the operating year, Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Medical Assistance, and T-cell Count. Other HOPWA projects sponsors may also benefit from collecting these data elements. HMIS local data systems must maintain client confidentiality by using a closed system in which medical information and HIV status are only shared with providers that have a direct involvement in the client’s case management, treatment and care, in line with the signed release of information from the client.

**Operating Year.** HOPWA formula grants are annually awarded for a three-year period of performance with three operating years. The information contained in this CAPER must represent a one-year period of HOPWA program operation that coincides with the grantee’s program year; this is the operating year. More than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the CAPER must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also coincide with the operating year this CAPER covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for an additional operating year.

**Final Assembly of Report.** After the entire report is assembled, number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7248, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C., 20410.

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	<b>Tenant-Based Rental Assistance</b>	27
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	0
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	0
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	0
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	0
4.	<b>Short-term Rent, Mortgage, and Utility Assistance</b>	95
5.	<b>Permanent Housing Placement Services</b>	23
6.	<b>Adjustment for duplication (subtract)</b>	<b>18</b>
7.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-5 minus Row 6)</b>	127

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent

Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These are additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration

requirements on program income at 2 CFR 200.307.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations: Per HOPWA regulations at 24 CFR 574.3,** any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.

**SAM:** All organizations applying for a Federal award must have a valid registration active at sam.gov. SAM (System for Award Management) registration includes maintaining current information and providing a valid DUNS number.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52-week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from the person's gender assigned at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.



# Housing Opportunities for Person With AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 11/30/2023)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by 24 CFR 574.3.

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

### 1. Grantee Information

<b>HUD Grant Number</b>  NEH19-F999 NEH20-F999 NEH21-F999 HOPWA-CV	<b>Operating Year for this report</b> From 7/01/2021 To 06/30/2022		
<b>Grantee Name</b> Nebraska Department of Health and Human Services			
<b>Business Address</b>	301 Centennial Mall South PO Box 95026		
<b>City, County, State, Zip</b>	Lincoln	Lancaster	NE 68509-5026
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	47-0491233		
<b>DUN &amp; Bradstreet Number (DUNs)/UEI:</b>	808819957/HKQDEXRXGKL1	<b>System for Award Management (SAM):: Is the grantee's SAM status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide SAM Number:	
<b>Congressional District of Grantee's Business Address</b>	First		
<b>*Congressional District of Primary Service Area(s)</b>	First   Second   Third		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>	Cities: Lincoln   Omaha   Kearney   Norfolk Scottsbluff	Counties: Lancaster   Douglas   Buffalo   Madison Scottsbluff	
<b>Organization's Website Address</b>  www.dhhs.ne.gov	<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee Service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by 24 CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households.

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Project Sponsor Agency Name</b> Nebraska AIDS Project		<b>Parent Company Name, if applicable</b> NA	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Brent Koster, Executive Director		
<b>Email Address</b>	<a href="mailto:brentk@nap.org">brentk@nap.org</a>		
<b>Business Address</b>	250 S. 77 <sup>th</sup> Street, Suite A		
<b>City, County, State, Zip,</b>	Omaha, Douglas, NE 68114		
<b>Phone Number (with area code)</b>	402-552-9258		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	47-0786622	<b>Fax Number (with area code)</b> 402-552-9251	
<b>DUN &amp; Bradstreet Number (DUNs)/UEI:</b>	180380412/ H2Q3V5GJMBT9		
<b>Congressional District of Project Sponsor's Business Address</b>	Second		
<b>Congressional District(s) of Primary Service Area(s)</b>	First, Second, Third		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	<b>Cities:</b> Omaha, Lincoln, Kearney, Norfolk, Scottsbluff	<b>Counties:</b> Douglas, Lancaster, Buffalo, Madison, Scottsbluff	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$748,181		
<b>Organization's Website Address</b>	www.nap.org		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

**5. Grantee Narrative and Performance Assessment**

**a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD’s website. *Note: Text fields are expandable.*

Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. Nebraska’s HOPWA Program is administered by the Nebraska Department of Health and Human Services (NDHHS)-Division of Public Health- Health Promotion Unit. (Link to organizational chart <https://dhhs.ne.gov/Pages/public-health.aspx> ). Having the HOPWA Program co-located in the same Unit as STI Prevention and Partner Services Ryan White Part B, HIV Prevention & Surveillance, Hepatitis Prevention & Surveillance, and Tuberculosis Prevention and Control encourages information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of Nebraska’s HOPWA Program. Nebraska’s HOPWA Program consists of a 1.0 FTE Program Manager. A new Program Manager began working for DHHS in October of 2021. The HOPWA Program is housed within the Health Promotion Unit within the state office building. Logan Reynolds supervises the HOPWA program.

Weston Stokey, Ryan White Program Manager Nebraska Department of Health and Human Services 301 Centennial Mall South P.O. Box 95026 Lincoln, NE 68509-5026 402-853-4221 Weston.stokey@nebraska.gov	Logan Reynolds, HOPWA Program Coordinator Nebraska Department of Health and Human Services 301 Centennial Mall South P.O. Box 95026 Lincoln, NE 68509-5026 402-326-0062 Logan.Reynolds@nebraska.gov
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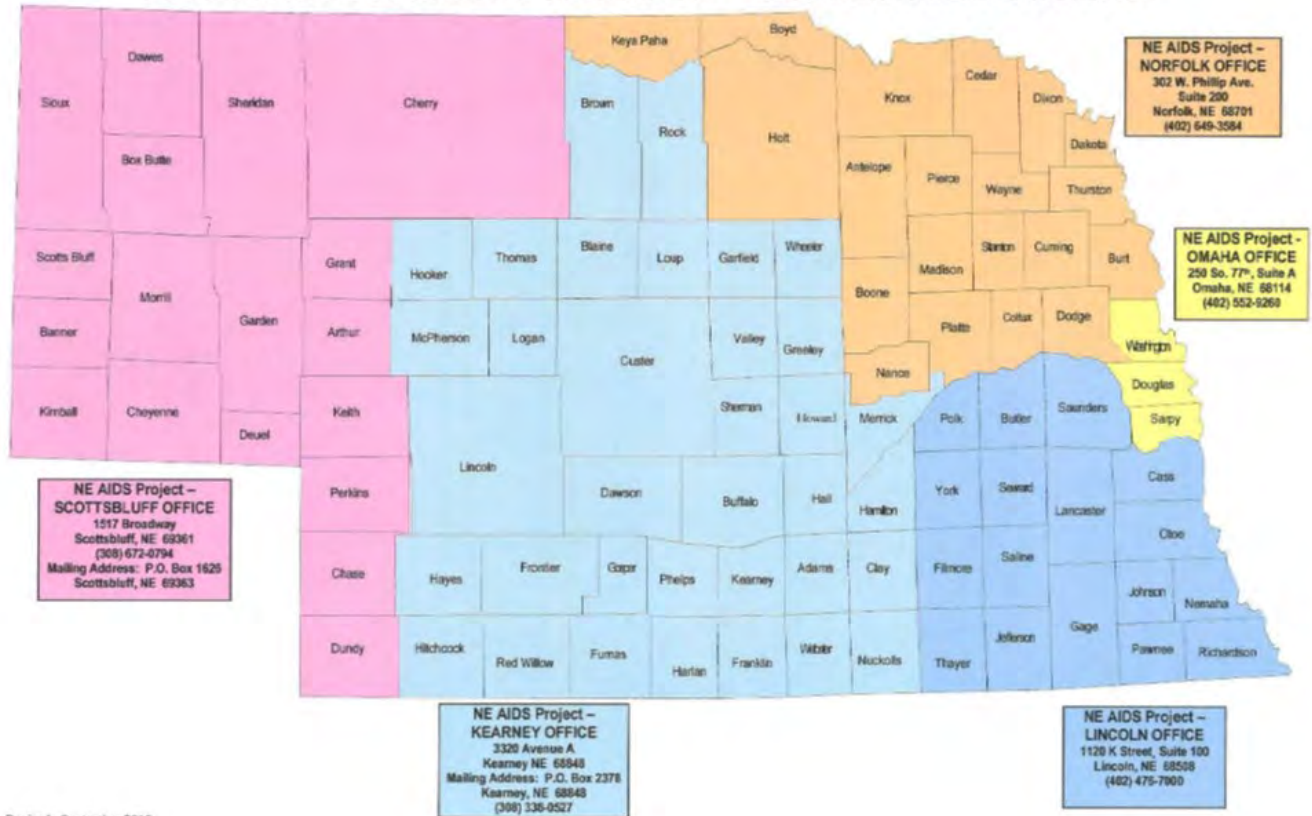
Nebraska’s HOPWA program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 4.9 FTE case management staff to provide direct HOPWA services. In addition, NAP’s Compliance Supervisor works closely with the NDHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska’s ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska’s HOPWA Program to ensure timely quality services are provided utilizing their agency’s statewide office structure.

The Nebraska AIDS Project Executive Director, Brent Koster, is housed at the NAP’s Omaha location.

Brent Koster  
Nebraska AIDS Project  
250 So. 77th Street, Suite A  
Omaha, NE 68114  
402-552-9260  
brentk@nap.org

## NEBRASKA AIDS PROJECT - COVERAGE AREAS



Revised: September 2015

The primary goal of Nebraska's HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska's HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers to the Rent Wise tenant education course, budgeting and life skills classes, and other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program to 27, with hopes of adding more clients soon.

The HOPWA Program has procured a new case management software system for the HOPWA and Ryan White Part B Programs, which enhance case management services for clients state-wide.

## **b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your operating year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated being able to serve 40 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated being able to serve 20 households with tenant-based rental assistance (TBRA) during the reporting period.

During the reporting period, the program actually served 127 households; 95 with short-term rent/mortgage assistance, 23 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent, and 27 with tenant-based rental assistance. There were 18 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities were provided to 117 households during the reporting period and included standard/intensive case management services, outreach activities/events, and client transportation assistance.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year (FY2020) the TBRA program served 27 households; this number remained consistent with having served 27 households this year (FY2021). Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s) or prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist clients who are able to work to succeed in gaining employment. Resources remain limited in the State (especially in rural areas). The FY2021 subgrant with NAP continued to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development), and this is expected to be a valuable resource and a high priority in the coming years. Use of the curriculum results in positive employment outcomes for clients. Knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Nebraska's HOPWA Program is co-located within the Health Promotion Unit. This Unit includes the STI Prevention and Partner Services, Ryan White Part B, HIV Prevention & Surveillance, Hepatitis Prevention & Surveillance, and Tuberculosis Prevention & Control programs. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska's HOPWA Program.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners. The membership includes Nebraskans living with HIV/AIDS. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery, and feedback on program successes on all aspects of housing, prevention, and care services.

The NAP case managers participate in their local Continuum of Care groups. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Nebraska’s HOPWA Program has benefitted from the technical assistance provided by Cloudburst Group and will pursue continuing such if available.

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations: An expansion in the allowable parameters of funding would support grantees utilizing HOPWA funding for the purpose of purchasing inexpensive household items. Clients who are homeless, out of care, and face many barriers are given priority for housing services. Staff focus on locating housing for clients, but it is difficult to take a client/household to a new residence with only limited items and resources. Basic furnishings, such as a bed/mattress, are necessary for clients. These are more difficult to obtain because some second hand stores and non-profits do not accept used mattresses for hygienic reasons. NAP has been successful in obtaining some other household items through donations, etc.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Housing Affordability and Rent Determination/Fair Market Rents: Statewide, and especially in western Nebraska, locating safe and affordable housing is difficult. Affordable, safe housing remains extremely limited in many areas of Nebraska. Housing that meets, or is below, FMR is often not suitable or hard to find.

**Criminal Justice History/Rent History and Housing Availability:**

In western Nebraska, safe, affordable housing is very limited and hard to find. HOPWA clients with a criminal justice history or a poor rental history experience further significant difficulties in obtaining housing and securing employment following incarceration and/or seeking new housing.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Nebraska continues to see significant disparities in HIV infection rates among minority and unemployed populations. It is important that our state and local agencies continue trying new approaches to improve health outcomes. Simplifying PrEP access and individualized strategies to reengage and retain individuals healthcare and employment could help Nebraska reduce health disparities.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The HOPWA Program worked with the Nebraska Infectious Disease Prevention and Control program to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska. The HOPWA Program continues to work with the HIV Prevention and Surveillance Program in a joint effort to collect,

evaluate, and analyze data regarding quality of services related to counseling and testing, linkage to care, data to care, supportive services, and case management.

**End of PART 1**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
<b>Public Funding</b>			
Ryan White-Housing Assistance	\$13,756.16	Rent assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Continuum of Care	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW ADAP	\$5,350,524.36	Medications	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW Utility Assistance	\$24,636.81	Utility assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW Part B Food	\$41,750	Food vouchers	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW OAHS	\$24,948.03	Outpatient/ Ambulatory Health Services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW Transportation	\$79,207.48	Medical Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Private Funding</b>			
Grants	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:NE AIDS Project Flowers' Fund	\$3731.70	Housing and Utility Assistance, Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Other Funding</b>			
Grantee/Project Sponsor (Agency) Cash	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	0		
<b>TOTAL (Sum of all Rows)</b>	<b>\$5,538,554.54</b>		



**2. Program Income and Resident Rent Payments**

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year**

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	0

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	0

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**1. HOPWA Performance Planned Goal and Actual Outputs**

<b>HOPWA Performance Planned Goal and Actual</b>		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	20	27	0	0	\$325,186.50	\$200,432.41
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	\$0.00	\$0.00
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)	0	0	0	0	\$0.00	\$0.00
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	\$0.00	\$0.00
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	\$0.00	\$0.00
4.	Short-Term Rent, Mortgage and Utility Assistance	40	95	0	0	\$231,228.49	\$154,849.80
5.	Permanent Housing Placement Services	0	23	0	0	\$25,000.00	\$22,314.62
6.	Adjustments for duplication (subtract)	10	18	0	0		
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)	50	127	0	0	\$581,414.99	\$377,596.83
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	\$0.00	\$0.00
9.	Stewardship Units subject to 3- or 10- year use agreements	0	0				
10.	<b>Total Housing Developed</b> (Sum of Rows 8 & 9)	0	0	0	0	\$0.00	\$0.00
<b>Supportive Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	107	118			\$117,819.49	\$121,746.20
11b.	Supportive Services provided by project sponsors that only provided supportive services.	0	0			0	\$0.00
12.	Adjustment for duplication (subtract)	0	0				
13.	<b>Total Supportive Services</b> (Columns a – d equals the sum of Rows 11 a & b minus Row 12; Columns e and f equal the sum of Rows 11a & 11b)	107	118			\$117,819.49	\$121,746.20
<b>Housing Information Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	0	0			\$0.00	\$0.00
15.	<b>Total Housing Information Services</b>	0	0			\$0.00	\$0.00

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$0.00	\$0.00
17.	Technical Assistance (if approved in grant agreement)					\$0.00	\$0.00
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$18,252.00	\$9585.46
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$44,993.00	\$32,666.83
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					\$63,245.00	\$42,252.29
<b>Total Expended</b>							
						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for operating year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$762,479.48	\$541,595.32

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	100	\$103,222.75
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	2	\$2,093.56
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services	1	\$125.00
11.	Mental health services		
12.	Outreach		
13.	Transportation	53	\$16,304.89
14.	Other Activity (if approved in grant agreement). <b>Specify:</b>		
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	156	
16.	<b>Adjustment for Duplication (subtract)</b>	38	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	118	\$121,746.20

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a, enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b, enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c, enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d, enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e, enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f, enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g, report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a, column [1] and the total amount of HOPWA funds reported as expended in Row a, column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b and f, respectively.

**Data Check:** The total number of households reported in Column [1], Rows b, c, d, e, and f equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b, c, d, e, f, and g, equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	95	\$154,849.80
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	6	\$10,867.24
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	1	\$2076.19
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	84	\$140,951.11
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	1	\$578.79
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	3	\$376.47
g.	Direct program delivery costs (e.g., program operations staff time)		0

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	27	26	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	<i>Unstable Arrangements</i>
			7 Jail/Prison	0	
			8 Disconnected/Unknown	1	<i>Life Event</i>
			9 Death	0	
<b>Permanent Supportive Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	<i>Unstable Arrangements</i>
			7 Jail/Prison	0	
			8 Disconnected/Unknown	0	<i>Life Event</i>
			9 Death	0	

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Transitional/ Short-Term Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	<i>Unstable Arrangements</i>
			7 Jail/Prison	0	
			8 Disconnected/unknown	0	

		9 Death	0	Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months		0		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
95	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	92	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	2	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	0	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	1	
	Disconnected	0	
	Death	0	<i>Life Event</i>
	1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			10

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c to adjust for duplication among the service categories and Row d to provide an unduplicated household total.

Line [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b below.

Total Number of Households	
1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	145
b. Case Management	100
c. Adjustment for duplication (subtraction)	118
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Sum of Rows a and b minus Row c)	127
2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors without Housing Subsidy Assistance	0

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report the number of households that demonstrated access or maintained connections to care and support within the operating year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report the number of households that demonstrated improved access or maintained connections to care and support within the operating year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	127	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	127	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	127	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	160	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	123	0	Sources of Income

#### Chart 1b, Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- |  |  |  |
|--|--|--|
| <ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children's Health Insurance Program (SCHIP), or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul> |
|--|--|--|

**Chart 1b, Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	0	0

**End of PART 4**



**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	26		1	
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	26		1	
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	87		1	
<b>Total HOPWA Housing Subsidy Assistance</b>	87		1	

**Background on HOPWA Housing Stability Codes**  
**Stable Permanent Housing/Ongoing Participation**

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used, they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s)	<b>Operating Year for this report</b> From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list  <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	

**End of PART 6**

**Part 7: Summary Overview of Grant Activities**

**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals Who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

<b>Individuals Served with Housing Subsidy Assistance</b>	<b>Total</b>
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	127

**Chart b. Prior Living Situation**

In Chart b, report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a above.

<b>Category</b>		<b>Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance</b>
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	74
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	3
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	2
4.	Transitional housing for homeless persons	0
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	5
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	2
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	1
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	23
13.	House you own	1
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	6
15.	Hotel or motel paid for without emergency shelter voucher	1
16.	Other	0
17.	Don’t Know or Refused	14
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	127

**c. Homeless Individual Summary**

In Chart c, indicate the number of eligible individuals reported in Chart b, Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c do not need to equal the total in Chart b, Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	4

**Section 2. Beneficiaries**

In Chart a, report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b & c on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a, Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a)	127
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	10
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	66
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1, 2, &amp; 3)</b>	203

**b. Age and Gender**

In Chart b, indicate the Age and Gender of all beneficiaries as reported in Chart a directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a, Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a, Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a, Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	5	3	1	0	9
3.	31 to 50 years	43	17	2	0	62
4.	51 years and Older	35	21	0	0	56
5.	<b>Subtotal (Sum of Rows 1-4)</b>	<b>83</b>	<b>41</b>	<b>3</b>	<b>0</b>	<b>127</b>
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
6.	Under 18	15	23	0	0	38
7.	18 to 30 years	9	7	0	0	16
8.	31 to 50 years	7	6	0	0	13
9.	51 years and Older	3	6	0	0	9
10.	<b>Subtotal (Sum of Rows 6-9)</b>	<b>34</b>	<b>42</b>	<b>0</b>	<b>0</b>	<b>76</b>
<b>Total Beneficiaries (Chart a, Row 4)</b>						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	<b>117</b>	<b>83</b>	<b>3</b>	<b>0</b>	<b>203</b>

**c. Race and Ethnicity\***

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a, Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a, Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	1	0	0	0
2.	Asian	1	0	1	0
3.	Black/African American	57	0	44	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	66	21	27	16
6.	American Indian/Alaskan Native & White	1	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	1	0	1	1
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	3	2
11.	Column Totals (Sum of Rows 1-10)	<b>127</b>	<b>21</b>	<b>76</b>	<b>19</b>
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.</i>					

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to <https://www.huduser.gov/portal/datasets/il.html> for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	99
2.	31-50% of area median income (very low)	22
3.	51-80% of area median income (low)	6
4.	<b>Total (Sum of Rows 1-3)</b>	<b>127</b>

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a, Project Site Information, and 2b, Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor Agency Name (Required)**

N/A
-----

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____	
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____	
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	



**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible – Mobility Units - Sensory Units
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor**

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a and 3b, indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance (Sum Rows a through d minus Row e)</b>		

Appendix 4.1: Project Year 2021 CDBG Awarded Projects

Contract Number	Source	Funding Opportunity/Description	Recipient	Award Date	Award
21TD001	CDBG	2021 CDBG Tourism Development	Cherry_County	03/10/2022	\$385,039.00
21PW023	CDBG	2021 CDBG Public Works	Wymore_City	10/18/2021	\$410,000.00
21PW022	CDBG	2021 CDBG Public Works	Wayne_City	10/18/2021	\$303,000.00
21PW020	CDBG	2021 CDBG Public Works	Sprague_Village	10/18/2021	\$432,235.00
21PW018	CDBG	2021 CDBG Public Works	Red Cloud_City	10/18/2021	\$288,750.00
21PW017	CDBG	2021 CDBG Public Works	Pleasanton_Village	10/18/2021	\$435,000.00
21PW015	CDBG	2021 CDBG Public Works	Newcastle_Village	10/18/2021	\$435,000.00
21PW014	CDBG	2021 CDBG Public Works	Loup City_City	10/18/2021	\$435,000.00
21PW013	CDBG	2021 CDBG Public Works	Leshara_Village	10/18/2021	\$335,000.00
21PW007	CDBG	2021 CDBG Public Works	Dannebrog_Village	10/18/2021	\$435,000.00
21PW006	CDBG	2021 CDBG Public Works	Cordova_Village	10/18/2021	\$365,000.00
21PW005	CDBG	2021 CDBG Public Works	Brunswick_Village	10/18/2021	\$327,045.00
21PW004	CDBG	2021 CDBG Public Works	Brownville_Village	10/18/2021	\$309,500.00
21PW003	CDBG	2021 CDBG Public Works	Bellwood_Village	10/18/2021	\$419,660.00
21PW002	CDBG	2021 CDBG Public Works	Anselmo_Village	10/18/2021	\$401,597.00
21PP008	CDBG	2021 CDBG Planning	Red Cloud_City	11/15/2021	\$53,000.00
21PP007	CDBG	2021 CDBG Planning	Orchard_Village	11/15/2021	\$22,000.00
21PP006	CDBG	2021 CDBG Planning	Mullen_Village	11/15/2021	\$35,800.00
21PP005	CDBG	2021 CDBG Planning	Gresham_Village	11/15/2021	\$53,000.00
21PP004	CDBG	2021 CDBG Planning	Burwell_City	11/15/2021	\$33,000.00
21PP002	CDBG	2021 CDBG Planning	Arnold_Village	11/15/2021	\$37,500.00
21HO36036	CDBG	2021 CDBG Owner Occ Rehab	Blue Springs_City	11/18/2021	\$315,000.00
21HO36035	CDBG	2021 CDBG Owner Occ Rehab	Beatrice_City	11/18/2021	\$547,000.00
21HO35041	CDBG	2021 CDBG Owner Occ Rehab	Wayne_City	11/18/2021	\$315,000.00
21HO35037	CDBG	2021 CDBG Owner Occ Rehab	Hubbard_Village	11/18/2021	\$315,000.00
21HO18040	CDBG	2021 CDBG Owner Occ Rehab	Waverly_City	11/18/2021	\$547,000.00
21HO18038	CDBG	2021 CDBG Owner Occ Rehab	Malcolm_Village	11/18/2021	\$315,000.00
21HO16039	CDBG	2021 CDBG Owner Occ Rehab	Talmage_Village	11/18/2021	\$315,000.00
21DTR014	CDBG	2021 CDBG Dwtwn Revitalization	York_City	11/02/2021	\$435,000.00
21DTR011	CDBG	2021 CDBG Dwtwn Revitalization	Seward_City	11/02/2021	\$435,000.00
21DTR007	CDBG	2021 CDBG Dwtwn Revitalization	Hastings_City	11/02/2021	\$435,000.00
21DTR004	CDBG	2021 CDBG Dwtwn Revitalization	Beatrice_City	10/26/2021	\$435,000.00

Appendix 4.1: Project Year 2021 CDBG Awarded Projects

21DTR001	CDBG	2021 CDBG Dwtwn Revitalization	Arapahoe_City	11/02/2021	\$435,000.00
21-03-166	CDBG	2021 CDBG COVID Emergency Pymt	University of Nebraska-Lincoln	06/01/2021	\$1,352,479.96
20WW003	CDBG	2020 CDBG Water/Wastewater	Lodgepole_Village	05/05/2021	\$435,000.00
20WW002	CDBG	2020 CDBG Water/Wastewater	Hayes Center_Village	02/09/2021	\$435,000.00
20WW001	CDBG	2020 CDBG Water/Wastewater	Edison_Village	01/11/2021	\$285,000.00
20TD002	CDBG	2020 CDBG Tourism Dev	Garfield_County	02/05/2021	\$435,000.00
20EMCV013	CDBG	2020 CDBG COVID EmergentThreat	Atkinson_Township	03/15/2022	\$362,600.00
20EMCV012	CDBG	2020 CDBG COVID EmergentThreat	Pender_Village	08/20/2021	\$485,000.00
20EMCV011	CDBG	2020 CDBG COVID EmergentThreat	Madison_City	08/20/2021	\$710,000.00
20EMCV010	CDBG	2020 CDBG COVID EmergentThreat	Cozad_City	08/20/2021	\$274,865.00
20EMCV009	CDBG	2020 CDBG COVID EmergentThreat	Red Cloud_City	07/11/2021	\$475,000.00
20EMCV008	CDBG	2020 CDBG COVID EmergentThreat	Lexington_City	07/11/2021	\$750,000.00
20EMCV007	CDBG	2020 CDBG COVID EmergentThreat	Cozad_City	07/11/2021	\$750,000.00
20EMCV006	CDBG	2020 CDBG COVID EmergentThreat	City of Fremont	07/11/2021	\$462,500.00
20EMCV005	CDBG	2020 CDBG COVID EmergentThreat	Madison_City	07/11/2021	\$100,000.00
20EMCV004	CDBG	2020 CDBG COVID EmergentThreat	City of Fremont	07/11/2021	\$715,000.00
20EMCV003	CDBG	2020 CDBG COVID EmergentThreat	City of Fremont	07/11/2021	\$715,000.00
20EMCV002	CDBG	2020 CDBG COVID EmergentThreat	Schuyler_City	07/11/2021	\$475,000.00
20EMCV001	CDBG	2020 CDBG COVID EmergentThreat	Bloomfield_City	07/11/2021	\$100,000.00
20EDCV004	CDBG	2020 CDBG COVID Economic Dev	Burt_County	11/29/2021	\$347,000.00
20EDCV003	CDBG	2020 CDBG COVID Economic Dev	Peru_City	10/18/2021	\$347,000.00
20EDCV002	CDBG	2020 CDBG COVID Economic Dev	Norfolk_City	07/07/2021	\$1,010,000.00
20ED003	CDBG	2020 CDBG Economic Development	York_City	03/10/2021	\$310,000.00
20ED002	CDBG	2020 CDBG Economic Development	Plattsmouth_City	05/26/2021	\$812,500.00
20ED001	CDBG	2020 CDBG Economic Development	Falls City_City	06/02/2021	\$307,000.00

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2008  
As of 04/04/2023  
Grant Number B08DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$12,348,630.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$12,348,630.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$12,688,895.47
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$12,688,895.47
12)	Set aside for State Administration	\$346,972.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$346,972.00
15)	Set aside for Technical Assistance	\$123,486.00
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$123,486.00
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$346,972.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$346,972.00
32)	Drawn for Technical Assistance	\$123,486.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$123,486.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$12,218,437.47
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$12,218,437.47

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$7,312.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$7,312.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$12,348,630.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$12,348,630.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.06%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,675,618.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,675,618.00
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$12,348,630.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$12,348,630.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	13.57%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,675,618.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$12,348,630.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	13.57%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years \_\_\_\_\_ — \_\_\_\_\_

64) Final PER for compliance with the overall benefit test: [            ]

No data returned for this view. This might be because the applied filter excludes all data.

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2009  
As of 04/04/2023  
Grant Number B09DC310001

04-04-23

11:07

1

**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$12,527,752.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$12,527,752.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$17,202,237.13
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$17,202,237.13
12)	Set aside for State Administration	\$350,555.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$350,555.00
15)	Set aside for Technical Assistance	\$125,277.00
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$125,277.00
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$350,555.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$350,555.00
32)	Drawn for Technical Assistance	\$125,277.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$125,277.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$17,076,960.13
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$17,076,960.13

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$36,995.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$36,995.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$12,527,752.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$12,527,752.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.30%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,138,280.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,138,280.00
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$12,527,752.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$12,527,752.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	17.07%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,787,725.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$12,527,752.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	14.27%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years \_\_\_\_\_ — \_\_\_\_\_

64) Final PER for compliance with the overall benefit test: [            ]

No data returned for this view. This might be because the applied filter excludes all data.

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2010  
As of 04/04/2023  
Grant Number B10DC310001

04-04-23

11:06

1

**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$13,581,017.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$13,581,017.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$12,584,138.85
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$12,584,138.85
12)	Set aside for State Administration	\$371,620.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$371,620.00
15)	Set aside for Technical Assistance	\$135,810.00
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$135,810.00
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$371,620.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$371,620.00
32)	Drawn for Technical Assistance	\$135,810.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$135,810.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$12,584,138.85
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$12,584,138.85

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$13,581,017.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$13,581,017.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%



**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,207,297.98
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,207,297.98
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$13,581,017.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$13,581,017.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	8.89%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$835,677.98
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$13,581,017.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	6.15%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years \_\_\_\_\_ — \_\_\_\_\_

64) Final PER for compliance with the overall benefit test: [            ]

No data returned for this view. This might be because the applied filter excludes all data.

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2011  
As of 04/04/2023  
Grant Number B11DC310001

04-04-23

11:05

1

**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$11,183,238.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$11,183,238.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$10,742,865.75
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$10,742,865.75
12)	Set aside for State Administration	\$323,664.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$323,664.00
15)	Set aside for Technical Assistance	\$111,833.14
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$111,833.14
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$323,664.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$323,664.00
32)	Drawn for Technical Assistance	\$111,833.14
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$111,833.14
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$10,742,865.75
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$10,742,865.75

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$94,441.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$94,441.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$11,183,238.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$11,183,238.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.84%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,250,867.90
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,250,867.90
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$11,183,238.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$11,183,238.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	11.19%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$927,203.90
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$11,183,238.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	8.29%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years \_\_\_\_\_ — \_\_\_\_\_

64) Final PER for compliance with the overall benefit test: [            ]

No data returned for this view. This might be because the applied filter excludes all data.

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 State of Nebraska  
 Performance and Evaluation Report  
 For Grant Year 2012  
 As of 04/04/2023  
 Grant Number B12DC310001

**Part I: Financial Status**

**A. Sources of State CDBG Funds**

1)	State Allocation	\$9,937,502.00
2)	Program Income	
3)	Program income received in IDIS	\$0.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$9,937,502.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$7,346,468.28
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$7,346,468.28
12)	Set aside for State Administration	\$298,750.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$298,750.00
15)	Set aside for Technical Assistance	\$99,375.06
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$99,375.06
18)	State funds set aside for State Administration match	
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$298,750.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$298,750.00
32)	Drawn for Technical Assistance	\$99,375.06
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$99,375.06
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$7,346,468.28
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$7,346,468.28

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$9,937,502.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$9,937,502.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,060,959.92
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,060,959.92
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$9,937,502.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$9,937,502.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	10.68%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$762,209.92
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$9,937,502.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	7.67%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years \_\_\_\_\_ — \_\_\_\_\_

64) Final PER for compliance with the overall benefit test: [            ]

No data returned for this view. This might be because the applied filter excludes all data.

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2013  
As of 04/04/2023  
Grant Number B13DC310001

04-04-23

11:03

1

**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$10,423,511.00
2)	Program Income	
3)	Program income received in IDIS	\$7,975,934.18
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$7,975,934.18
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$18,399,445.18

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$11,046,547.01
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$11,046,547.01
12)	Set aside for State Administration	\$308,470.00
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$308,470.00
15)	Set aside for Technical Assistance	\$104,235.33
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$104,235.33
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$7,975,934.18
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$7,975,934.18
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$308,470.00
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$308,470.00
32)	Drawn for Technical Assistance	\$104,235.33
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$104,235.33
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$11,046,547.01
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$11,046,547.01

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$10,423,511.00
46)	Program Income Received (line 5)	\$7,975,934.18
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$18,399,445.18
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,179,874.06
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,179,874.06
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$10,423,511.00
55)	Program Income Received (line 5)	\$7,975,934.18
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$18,399,445.18
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	6.41%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$871,404.06
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$10,423,511.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	8.36%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2013 — 2015  
 64) Final PER for compliance with the overall benefit test: [ **No** ]

Grant Year	2013	2014	2015	Total
65) Benefit LMI persons and households (1)	9,130,504.20	13,062,971.93	5,963,422.90	28,156,899.03
66) Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments	0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)	9,130,504.20	13,062,971.93	5,963,422.90	28,156,899.03
69) Prevent/Eliminate Slum/Blight	1,287,390.19	2,025,671.81	2,311,832.71	5,624,894.71
70) Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,287,390.19	2,025,671.81	2,311,832.71	5,624,894.71
72) Meet Urgent Community Development Needs	0.00	0.00	0.00	0.00
73) Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)	0.00	0.00	0.00	0.00
75) Acquisition, New Construction, Rehab/Special	0.00	235,795.89	22,553.22	258,349.11
76) Total disbursements subject to overall LMI benefit	10,417,894.39	15,324,439.63	8,297,808.83	34,040,142.85
77) Low and moderate income benefit (line 68 / line 76)	0.88	0.85	0.72	0.83
78) Other Disbursements	1.00	1.00	1.00	3.00
79) State Administration	308,470.00	306,969.00	383,920.06	999,359.06
80) Technical Assistance	104,235.33	103,485.00	0.00	207,720.33
81) Local Administration	628,652.62	1,266,968.82	809,326.33	2,704,947.77
82) Section 108 repayments	0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2014  
As of 04/04/2023  
Grant Number B14DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$10,348,471.00
2)	Program Income	
3)	Program income received in IDIS	\$1,342,294.91
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$1,342,294.91
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$11,690,765.91

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$16,355,612.56
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$16,355,612.56
12)	Set aside for State Administration	\$306,969.00
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$306,969.00
15)	Set aside for Technical Assistance	\$103,485.00
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$103,485.00
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$1,342,294.91
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$1,342,294.91
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$306,969.00
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$306,969.00
32)	Drawn for Technical Assistance	\$103,485.00
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$103,485.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$16,355,612.56
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$16,355,612.56

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$10,348,471.00
46)	Program Income Received (line 5)	\$1,342,294.91
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$11,690,765.91
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%



**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,925,004.66
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,925,004.66
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$10,348,471.00
55)	Program Income Received (line 5)	\$1,342,294.91
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$11,690,765.91
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	16.47%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,618,035.66
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$10,348,471.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	15.64%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2013 — 2015  
 64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2013	2014	2015	Total
65)	Benefit LMI persons and households (1)	9,130,504.20	13,062,971.93	5,963,422.90	28,156,899.03
66)	Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67)	Benefit LMI, other adjustments	0.00	0.00	0.00	0.00
68)	Total, Benefit LMI (sum of lines 65-67)	9,130,504.20	13,062,971.93	5,963,422.90	28,156,899.03
69)	Prevent/Eliminate Slum/Blight	1,287,390.19	2,025,671.81	2,311,832.71	5,624,894.71
70)	Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71)	Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,287,390.19	2,025,671.81	2,311,832.71	5,624,894.71
72)	Meet Urgent Community Development Needs	0.00	0.00	0.00	0.00
73)	Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74)	Total, Meet Urgent Needs (sum of lines 72 and 73)	0.00	0.00	0.00	0.00
75)	Acquisition, New Construction, Rehab/Special	0.00	235,795.89	22,553.22	258,349.11
76)	Total disbursements subject to overall LMI benefit	10,417,894.39	15,324,439.63	8,297,808.83	34,040,142.85
77)	Low and moderate income benefit (line 68 / line 76)	0.88	0.85	0.72	0.83
78)	Other Disbursements	1.00	1.00	1.00	3.00
79)	State Administration	308,470.00	306,969.00	383,920.06	999,359.06
80)	Technical Assistance	104,235.33	103,485.00	0.00	207,720.33
81)	Local Administration	628,652.62	1,266,968.82	809,326.33	2,704,947.77
82)	Section 108 repayments	0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2015  
As of 04/04/2023  
Grant Number B15DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$9,464,002.00
2)	Program Income	
3)	Program income received in IDIS	\$1,463,241.53
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$1,463,241.53
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$10,927,243.53

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$9,080,081.94
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$9,080,081.94
12)	Set aside for State Administration	\$383,920.06
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$383,920.06
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$1,463,241.53
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$1,463,241.53
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$383,920.06
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$383,920.06
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$9,084,581.94
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$9,084,581.94

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$9,464,002.00
46)	Program Income Received (line 5)	\$1,463,241.53
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$10,927,243.53
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,446,934.09
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,446,934.09
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$9,464,002.00
55)	Program Income Received (line 5)	\$1,463,241.53
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$10,927,243.53
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	13.24%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,058,514.03
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$9,464,002.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	11.18%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2013 — 2015  
 64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2013	2014	2015	Total
65)	Benefit LMI persons and households (1)	9,130,504.20	13,062,971.93	5,963,422.90	28,156,899.03
66)	Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67)	Benefit LMI, other adjustments	0.00	0.00	0.00	0.00
68)	Total, Benefit LMI (sum of lines 65-67)	9,130,504.20	13,062,971.93	5,963,422.90	28,156,899.03
69)	Prevent/Eliminate Slum/Blight	1,287,390.19	2,025,671.81	2,311,832.71	5,624,894.71
70)	Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71)	Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,287,390.19	2,025,671.81	2,311,832.71	5,624,894.71
72)	Meet Urgent Community Development Needs	0.00	0.00	0.00	0.00
73)	Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74)	Total, Meet Urgent Needs (sum of lines 72 and 73)	0.00	0.00	0.00	0.00
75)	Acquisition, New Construction, Rehab/Special	0.00	235,795.89	22,553.22	258,349.11
76)	Total disbursements subject to overall LMI benefit	10,417,894.39	15,324,439.63	8,297,808.83	34,040,142.85
77)	Low and moderate income benefit (line 68 / line 76)	0.88	0.85	0.72	0.83
78)	Other Disbursements	1.00	1.00	1.00	3.00
79)	State Administration	308,470.00	306,969.00	383,920.06	999,359.06
80)	Technical Assistance	104,235.33	103,485.00	0.00	207,720.33
81)	Local Administration	628,652.62	1,266,968.82	809,326.33	2,704,947.77
82)	Section 108 repayments	0.00	0.00	0.00	0.00

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 State of Nebraska  
 Performance and Evaluation Report  
 For Grant Year 2016  
 As of 04/04/2023  
 Grant Number B16DC310001

**Part I: Financial Status**

**A. Sources of State CDBG Funds**

1)	State Allocation	\$9,944,180.00
2)	Program Income	
3)	Program income received in IDIS	\$36,179.14
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$36,179.14
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$9,980,359.14

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$9,314,616.61
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$9,314,616.61
12)	Set aside for State Administration	\$398,325.40
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$398,325.40
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$36,179.14
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$36,179.14
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$398,325.40
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$398,325.40
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$9,444,784.91
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$9,444,784.91

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$9,944,180.00
46)	Program Income Received (line 5)	\$36,179.14
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$9,980,359.14
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,409,156.67
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,409,156.67
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$9,944,180.00
55)	Program Income Received (line 5)	\$36,179.14
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$9,980,359.14
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	14.12%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,006,783.21
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$9,944,180.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	10.12%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2016 — 2018

64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2016	2017	2018	Total
65)	Benefit LMI persons and households (1)	7,119,021.74	9,097,901.10	9,870,644.15	26,087,566.99
66)	Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67)	Benefit LMI, other adjustments	0.00	0.00	0.00	0.00
68)	Total, Benefit LMI (sum of lines 65-67)	7,119,021.74	9,097,901.10	9,870,644.15	26,087,566.99
69)	Prevent/Eliminate Slum/Blight	1,637,652.90	1,253,946.91	0.00	2,891,599.81
70)	Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71)	Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,637,652.90	1,253,946.91	0.00	2,891,599.81
72)	Meet Urgent Community Development Needs	0.00	0.00	0.00	0.00
73)	Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74)	Total, Meet Urgent Needs (sum of lines 72 and 73)	0.00	0.00	0.00	0.00
75)	Acquisition, New Construction, Rehab/Special	39,981.64	0.00	0.00	39,981.64
76)	Total disbursements subject to overall LMI benefit	8,796,656.28	10,351,848.01	9,870,644.15	29,019,148.44
77)	Low and moderate income benefit (line 68 / line 76)	0.81	0.88	1.00	0.90
78)	Other Disbursements	1.00	1.00	1.00	3.00
79)	State Administration	398,325.40	291,836.78	457,021.77	1,147,183.95
80)	Technical Assistance	0.00	101,836.79	161,314.80	263,151.59
81)	Local Administration	688,110.27	518,607.08	600,202.21	1,806,919.56
82)	Section 108 repayments	0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2017  
As of 04/04/2023  
Grant Number B17DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$9,789,119.00
2)	Program Income	
3)	Program income received in IDIS	\$9,151,852.37
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$9,151,852.37
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$18,940,971.37

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$8,726,372.70
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$8,726,372.70
12)	Set aside for State Administration	\$291,836.78
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$291,836.78
15)	Set aside for Technical Assistance	\$101,836.79
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$101,836.79
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$1,700,621.37
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$1,700,621.37
26)	Retained by recipients	\$7,451,288.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$7,451,288.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$291,836.78
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$291,836.78
32)	Drawn for Technical Assistance	\$101,836.79
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$101,836.79
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$10,870,455.09
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$10,870,455.09

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$9,789,119.00
46)	Program Income Received (line 5)	\$9,151,852.37
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$18,940,971.37
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,016,846.07
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,016,846.07
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$9,789,119.00
55)	Program Income Received (line 5)	\$9,151,852.37
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$18,940,971.37
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	5.37%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$714,680.64
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$9,789,119.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	7.30%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2016 — 2018

64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2016	2017	2018	Total
65) Benefit LMI persons and households (1)		7,119,021.74	9,097,901.10	9,870,644.15	26,087,566.99
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		7,119,021.74	9,097,901.10	9,870,644.15	26,087,566.99
69) Prevent/Eliminate Slum/Blight		1,637,652.90	1,253,946.91	0.00	2,891,599.81
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		1,637,652.90	1,253,946.91	0.00	2,891,599.81
72) Meet Urgent Community Development Needs		0.00	0.00	0.00	0.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		0.00	0.00	0.00	0.00
75) Acquisition, New Construction, Rehab/Special		39,981.64	0.00	0.00	39,981.64
76) Total disbursements subject to overall LMI benefit		8,796,656.28	10,351,848.01	9,870,644.15	29,019,148.44
77) Low and moderate income benefit (line 68 / line 76)		0.81	0.88	1.00	0.90
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		398,325.40	291,836.78	457,021.77	1,147,183.95
80) Technical Assistance		0.00	101,836.79	161,314.80	263,151.59
81) Local Administration		688,110.27	518,607.08	600,202.21	1,806,919.56
82) Section 108 repayments		0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2018  
As of 04/04/2023  
Grant Number B18DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$10,754,320.00
2)	Program Income	
3)	Program income received in IDIS	\$3,896,419.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$3,896,419.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$14,650,739.00

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$9,807,688.09
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$9,807,688.09
12)	Set aside for State Administration	\$261,314.80
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$261,314.80
15)	Set aside for Technical Assistance	\$161,314.80
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$161,314.80
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$1,846,897.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$1,846,897.00
26)	Retained by recipients	\$2,074,529.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$2,074,529.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$457,021.77
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$457,021.77
32)	Drawn for Technical Assistance	\$161,314.80
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$161,314.80
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$11,882,200.36
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$11,882,200.36

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$10,754,320.00
46)	Program Income Received (line 5)	\$3,896,419.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$14,650,739.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%



**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,344,429.13
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,344,429.13
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$10,754,320.00
55)	Program Income Received (line 5)	\$3,896,419.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$14,650,739.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	9.18%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$728,267.68
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$10,754,320.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	6.77%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2016 — 2018

64) Final PER for compliance with the overall benefit test: [ **No** ]

Grant Year	2016	2017	2018	Total
65) Benefit LMI persons and households (1)	7,119,021.74	9,097,901.10	9,870,644.15	26,087,566.99
66) Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments	0.00	0.00	1,411,354.00	1,411,354.00
68) Total, Benefit LMI (sum of lines 65-67)	7,119,021.74	9,097,901.10	11,281,998.15	27,498,920.99
69) Prevent/Eliminate Slum/Blight	1,637,652.90	1,253,946.91	0.00	2,891,599.81
70) Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,637,652.90	1,253,946.91	0.00	2,891,599.81
72) Meet Urgent Community Development Needs	0.00	0.00	0.00	0.00
73) Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)	0.00	0.00	0.00	0.00
75) Acquisition, New Construction, Rehab/Special	39,981.64	0.00	0.00	39,981.64
76) Total disbursements subject to overall LMI benefit	8,796,656.28	10,351,848.01	11,281,998.15	30,430,502.44
77) Low and moderate income benefit (line 68 / line 76)	0.81	0.88	1.00	0.90
78) Other Disbursements	1.00	1.00	1.00	3.00
79) State Administration	398,325.40	291,836.78	457,021.77	1,147,183.95
80) Technical Assistance	0.00	101,836.79	161,314.80	263,151.59
81) Local Administration	688,110.27	518,607.08	600,202.21	1,806,919.56
82) Section 108 repayments	0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2019  
As of 04/04/2023  
Grant Number B19DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$10,721,667.00
2)	Program Income	
3)	Program income received in IDIS	\$746,122.66
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$746,122.66
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$11,467,789.66

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$10,270,535.76
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$10,270,535.76
12)	Set aside for State Administration	\$299,596.34
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$299,596.34
15)	Set aside for Technical Assistance	\$122,053.67
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$122,053.67
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$319,795.66
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$319,795.66
26)	Retained by recipients	\$426,327.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$426,327.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$299,596.34
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$299,596.34
32)	Drawn for Technical Assistance	\$122,053.67
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$122,053.67
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$8,587,042.92
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$8,587,042.92

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$10,721,667.00
46)	Program Income Received (line 5)	\$746,122.66
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$11,467,789.66
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,204,094.19
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$1,204,094.19
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$10,721,667.00
55)	Program Income Received (line 5)	\$746,122.66
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$11,467,789.66
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	10.50%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$799,908.92
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$10,721,667.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	7.46%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2019 — 2021

64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2019	2020	2021	Total
65)	Benefit LMI persons and households (1)	4,832,919.29	3,521,120.71	1,871,664.97	10,225,704.97
66)	Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67)	Benefit LMI, other adjustments	1,440,322.00	0.00	0.00	1,440,322.00
68)	Total, Benefit LMI (sum of lines 65-67)	6,273,241.29	3,521,120.71	1,871,664.97	11,666,026.97
69)	Prevent/Eliminate Slum/Blight	1,714,140.37	742,606.77	155,149.93	2,611,897.07
70)	Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71)	Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,714,140.37	742,606.77	155,149.93	2,611,897.07
72)	Meet Urgent Community Development Needs	139,029.91	606,071.04	474,859.99	1,219,960.94
73)	Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74)	Total, Meet Urgent Needs (sum of lines 72 and 73)	139,029.91	606,071.04	474,859.99	1,219,960.94
75)	Acquisition, New Construction, Rehab/Special	0.00	0.00	0.00	0.00
76)	Total disbursements subject to overall LMI benefit	8,126,411.57	4,869,798.52	2,501,674.89	15,497,884.98
77)	Low and moderate income benefit (line 68 / line 76)	0.77	0.72	0.75	0.75
78)	Other Disbursements	1.00	1.00	1.00	3.00
79)	State Administration	299,596.34	504,086.58	404,900.24	1,208,583.16
80)	Technical Assistance	122,053.67	122,077.71	158,962.54	403,093.92
81)	Local Administration	460,631.35	298,581.37	188,889.25	948,101.97
82)	Section 108 repayments	0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2020  
As of 04/04/2023  
Grant Number B20DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$11,041,449.00
2)	Program Income	
3)	Program income received in IDIS	\$1,492,518.67
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$1,492,518.67
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$12,533,967.67

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$10,610,205.53
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$10,610,205.53
12)	Set aside for State Administration	\$309,165.76
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$309,165.76
15)	Set aside for Technical Assistance	\$122,077.71
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$122,077.71
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$696,464.67
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$696,464.67
26)	Retained by recipients	\$796,054.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$796,054.00

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$504,086.58
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$504,086.58
32)	Drawn for Technical Assistance	\$122,077.71
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$122,077.71
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$7,372,974.89
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$7,372,974.89

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$11,041,449.00
46)	Program Income Received (line 5)	\$1,492,518.67
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$12,533,967.67
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$895,099.45
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$895,099.45
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$11,041,449.00
55)	Program Income Received (line 5)	\$1,492,518.67
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$12,533,967.67
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	7.14%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$322,779.71
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$11,041,449.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	2.92%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2019 — 2021

64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2019	2020	2021	Total
65) Benefit LMI persons and households (1)		4,832,919.29	3,521,120.71	1,871,664.97	10,225,704.97
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	2,204,595.00	0.00	2,204,595.00
68) Total, Benefit LMI (sum of lines 65-67)		4,832,919.29	5,725,715.71	1,871,664.97	12,430,299.97
69) Prevent/Eliminate Slum/Blight		1,714,140.37	742,606.77	155,149.93	2,611,897.07
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		1,714,140.37	742,606.77	155,149.93	2,611,897.07
72) Meet Urgent Community Development Needs		139,029.91	606,071.04	474,859.99	1,219,960.94
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		139,029.91	606,071.04	474,859.99	1,219,960.94
75) Acquisition, New Construction, Rehab/Special		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit		6,686,089.57	7,074,393.52	2,501,674.89	16,262,157.98
77) Low and moderate income benefit (line 68 / line 76)		0.72	0.81	0.75	0.76
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		299,596.34	504,086.58	404,900.24	1,208,583.16
80) Technical Assistance		122,053.67	122,077.71	158,962.54	403,093.92
81) Local Administration		460,631.35	298,581.37	188,889.25	948,101.97
82) Section 108 repayments		0.00	0.00	0.00	0.00

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U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of Nebraska  
Performance and Evaluation Report  
For Grant Year 2021  
As of 04/04/2023  
Grant Number B21DC310001

04-04-23

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**Part I: Financial Status****A. Sources of State CDBG Funds**

1)	State Allocation	\$11,196,364.00
2)	Program Income	
3)	Program income received in IDIS	\$1,222,638.65
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$1,222,638.65
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$12,419,002.65

**B. State CDBG Resources by Use**

8)	State Allocation	
9)	Obligated to recipients	\$10,753,127.59
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$10,753,127.59
12)	Set aside for State Administration	\$276,928.38
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$276,928.38
15)	Set aside for Technical Assistance	\$158,962.54
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$158,962.54
18)	State funds set aside for State Administration match	\$0.00
19)	Program Income	
20)	Returned to the state and redistributed	\$7,975,934.18
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$7,975,934.18
23)	Returned to the state and not yet redistributed	-\$7,452,679.22
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	-\$7,452,679.22
26)	Retained by recipients	\$699,383.69
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$699,383.69

**C. Expenditures of State CDBG Resources**

29)	Drawn for State Administration	\$404,900.24
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$404,900.24
32)	Drawn for Technical Assistance	\$158,962.54
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$158,962.54
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$3,891,014.34
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$3,891,014.34

**D. Compliance with Public Service (PS) Cap**

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$11,196,364.00
46)	Program Income Received (line 5)	\$1,222,638.65
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$12,419,002.65
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

**E. Compliance with Planning and Administration (P/A) Cap**

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$640,930.49
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$640,930.49
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$11,196,364.00
55)	Program Income Received (line 5)	\$1,222,638.65
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$12,419,002.65
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	5.16%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$163,216.83
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$11,196,364.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	1.46%

**Part II: Compliance with Overall Low and Moderate Income Benefit**

63) Period specified for benefit: grant years 2019 — 2021

64) Final PER for compliance with the overall benefit test: [ **No** ]

	Grant Year	2019	2020	2021	Total
65)	Benefit LMI persons and households (1)	4,832,919.29	3,521,120.71	1,871,664.97	10,225,704.97
66)	Benefit LMI, 108 activities	0.00	0.00	0.00	0.00
67)	Benefit LMI, other adjustments	0.00	0.00	1,200,450.20	1,200,450.20
68)	Total, Benefit LMI (sum of lines 65-67)	4,832,919.29	3,521,120.71	3,072,115.17	11,426,155.17
69)	Prevent/Eliminate Slum/Blight	1,714,140.37	742,606.77	155,149.93	2,611,897.07
70)	Prevent Slum/Blight, 108 activities	0.00	0.00	0.00	0.00
71)	Total, Prevent Slum/Blight (sum of lines 69 and 70)	1,714,140.37	742,606.77	155,149.93	2,611,897.07
72)	Meet Urgent Community Development Needs	139,029.91	606,071.04	474,859.99	1,219,960.94
73)	Meet Urgent Needs, 108 activities	0.00	0.00	0.00	0.00
74)	Total, Meet Urgent Needs (sum of lines 72 and 73)	139,029.91	606,071.04	474,859.99	1,219,960.94
75)	Acquisition, New Construction, Rehab/Special	0.00	0.00	0.00	0.00
76)	Total disbursements subject to overall LMI benefit	6,686,089.57	4,869,798.52	3,702,125.09	15,258,013.18
77)	Low and moderate income benefit (line 68 / line 76)	0.72	0.72	0.83	0.75
78)	Other Disbursements	1.00	1.00	1.00	3.00
79)	State Administration	299,596.34	504,086.58	404,900.24	1,208,583.16
80)	Technical Assistance	122,053.67	122,077.71	158,962.54	403,093.92
81)	Local Administration	460,631.35	298,581.37	188,889.25	948,101.97
82)	Section 108 repayments	0.00	0.00	0.00	0.00

## Appendix 4.4: CDBG CV Financial Summary

IDIS - PR28

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
State of NEBRASKA  
Grant Financial Summary  
As of 04/10/2023

Grant Number B20DW310001

### A. Sources of State CDBG Funds

1) State Allocation
2) Grant funds returned to line of credit
3) Grant funds returned to local account

### B. State CDBG Resources by Use

4) Obligated to recipients
5) Set aside for State Administration
6) Set aside for Technical Assistance

### C. Expenditures of State CDBG Resources

7) Drawn for State Administration
8) Drawn for Technical Assistance
9) Drawn for Section 108 Repayments
10) Drawn for all other activities
11) <i>Disbursed for Coronavirus-related Activities</i>
12) <i>Disbursed for Activities Not related to Coronavirus</i>

### D. Compliance with Public Service (PS) Cap

13) Disbursed in IDIS for ALL PS
14) <i>Disbursed for Coronavirus-related Public Services</i>
15) <i>Disbursed for Public Services Not related to Coronavirus</i>
16) Percent of funds disbursed to date for PS (line 13 / line 1)
17) <i>Percent Disbursed for Coronavirus-related Public Services (line 14 / line 1)</i>
18) <i>Percent Disbursed for Public Services Not related to Coronavirus (line 15 / line 1)</i>

### E. Compliance with Planning and Administration (P/A) Cap

19) Disbursed in IDIS for P/A from Grant
20) Percent of funds disbursed to date for P/A (line 19 / line 1 )

### F. Overall Low and Moderate Income Benefit

21) Drawn to Benefit LMI persons and households (minus noncountable amounts, line 22)
22) Noncountable amounts drawn: Non-LMI Portion of LMH activities
23) Drawn to Prevent/Eliminate Slum/Blight
24) Drawn to Address Urgent Needs
25) Total disbursements subject to overall LMI benefit (sum of lines 21, 22, 23 and 24)
26) Percent Low and moderate income benefit, to date (line 21 / line 25)



**Appendix 4.4: CDBG CV Financial Summary**

DATE: 04-10-23  
 TIME: 17:55  
 PAGE: 1

\$14,130,912.00

\$10,698,681.44
\$706,545.60
\$282,618.24

\$633,078.76
\$0.00
\$5,572,700.37
\$6,205,779.13

\$1,878,672.48
\$1,878,672.48
13.29%
13.29%
0.00%

\$761,970.77
5.39%

\$4,892,117.33
\$551,691.03
\$5,443,808.36
89.87%