



DISCLOSURE OF LEGAL PROCEEDINGS

Name of Grant Application:

Name of Grant Applicant:

Name of Individual Completing this Form:

Individual's Role at Applicant's Corporation:

Applicants and its officers, including all individuals with financial management responsibilities, must disclose information about any and all proceedings that are ongoing or reached final disposition within the most recent 10-years that:

1. Is or was in connection with the award or performance of a grant, cooperative agreement, or procurement Award Agreement from the State of Nebraska of the Federal Government; or
2. Is one of the following:
 - a. A criminal proceeding that resulted in a conviction under verdict or plea;
 - b. A civil proceeding that resulted in a finding of fault and liability and payment of a monetary fine, penalty, reimbursement, restitution, or damages;
 - c. An administrative proceeding that resulted in a finding of fault and liability and your payment of a fine, penalty, reimbursement, restitution, or damages;
 - d. A bankruptcy proceeding; or
 - e. Any other criminal, civil, or administrative proceeding if:
 - (i) It could have led to an outcome described in paragraph 2(a)-(c) of this subsection;
 - (ii) It had a different disposition arrived at by consent or compromise with or without an acknowledgment of fault on your part; and
 - (iii) This requirement to disclose information about the proceeding does not conflict with applicable laws and regulations.

I, _____, have not / have been a party to one or more of the legal proceedings listed above.

If answered in the affirmative, please provide a narrative explaining the nature of the proceeding, including but not limited to, the date, case number, name and location of the Court, and the final disposition.

Signature

Date