

2017

# CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

STATE OF NEBRASKA CONSOLIDATED PLAN FOR  
HOUSING AND COMMUNITY DEVELOPMENT

**July 1, 2017 - June 30, 2018**

NEBRASKA

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DEPT. OF ECONOMIC DEVELOPMENT

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## Acronym List

AIDS	Acquired Immune Deficiency Syndrome
APR	Annual Performance Report
CDBG	Community Development Block Grant
CFR	Code of Federal Regulations
CHAS	Comprehensive Housing Affordability Strategy
CHDO	Community Housing Development Organization
CNF	Community Need Factors
CoC	Continuum of Care
CR	Comprehensive Revitalization
CRD	Community and Rural Development
CWSRF	Clean Water State Revolving Fund
DED	Nebraska Department of Economic Development
DEQ	Nebraska Department of Environmental Quality
DHHS	Nebraska Department of Health and Human Services
DTR	Downtown Revitalization
DWSRF	Drinking Water State Revolving Fund
ED	Economic Development
ESG	Emergency Solutions Grant
HCD	Housing and Community Development Act
HIV	Human Immunodeficiency Virus
HMIS	Homeless Management Information System
HOPWA	Housing Opportunities for Persons With AIDS
HSATF	Homeless Shelter Assistance Trust Fund
HUD	U. S. Department of Housing and Urban Development
LMA	Low to Moderate Income Area Benefit
LMC	Low to Moderate Income Limited Clientele
LMH	Low to Moderate Income Housing
LMI	Persons with Low to Moderate Income
LMJ	Low to Moderate Income Jobs
MOU	Memorandum of Understanding
NAHP	Nebraska Affordable Housing Program
NAHTF	Nebraska Affordable Housing Trust Fund
NCHH	Nebraska Commission on Housing and Homelessness
NDO	Nonprofit Development Organization
NHAP	Nebraska Homeless Assistance Program
NIFA	Nebraska Investment Finance Authority
NMIS	Nebraska Management Information System
NOFA	Notice of Funding Availability
PW	Public Works
RFA	Request For Applications
RLF	Revolving Loan Fund
TA	Technical Assistance
USDA	U.S. Department of Agriculture
WW	Water Wastewater
WWAC	Water Wastewater Advisory Committee

## CR-05 - Goals and Outcomes

### *Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)*

*This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year*

The State made significant progress during the 2017 program year in carrying out its strategic plan and action plan for the CDBG, HOME, HTF, ESG, and HOPWA programs. Several project awards were made for these programs and “actual accomplishments” are identified within this section of the CAPER. In addition, through the State’s use of Nebraska Affordable Housing Trust Fund (NAHTF) and Homeless Shelter Assistance Trust Fund (HSATF) resources, the State was able to award additional funds and produce additional accomplishments.

Nebraska’s progress on the strategic and action plan is discussed below in an overview. Included in the overview are the significant activities conducted underneath and within the uniquely identified Priority Needs of Community Development, Economic Development, Housing, Homeless Services, and HOPWA Services identified in the State of Nebraska 2015-2019 Consolidated Plan. The 2017 program year project activities have successfully supported at least one objective and one outcome as described in the five-year consolidated plan, in addition to the more detailed objectives under each state priority described in the annual action plan for this program year.

#### Three Overarching Objectives Guiding Proposed Activities:

- Provide Decent Housing
- Provide a Suitable Living Environment
- Expand Economic Opportunity

#### Three Overarching Outcomes Illustrating Benefits of Activities funded by CDBG, HOME, HTF, ESG and HOPWA Programs:

- Improved Availability/Accessibility
- Improved Affordability
- Improved Sustainability

“The primary national CDBG objective is to develop viable urban communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for low- and moderate-income persons (LMI).” The State of Nebraska’s 2017 CDBG program furthered this objective through investing in quality communities and quality projects designed to meet the objectives for three priorities: Community Development, Economic Development, and Housing.

During the 2017 Program Year, the Nebraska Department of Economic Development awarded fifty-five grants in the amount of \$13,355,457 CDBG and CDBG Revolving Loan Funds were distributed within the Community Development, Economic Development, and Housing Categories.

## Community Development

The Annual Action Plan for the 2017 Program Year Community Development Program activities supported at least one objective and one outcome as described in the 2015-2019 Consolidated Plan.

The Community Development Program Priority is measured in the CR-05 Accomplishments Table under two goal outcome indicators, the first listed in the CR-05 Table is “Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit. The unit of measure for this indicator is “Persons Assisted.” The second goal outcome indicator is Façade Treatment/Business Building Rehabilitation. The unit of measure for this indicator is “Business.” As Nebraska continues to shift toward utilizing the IDIS eCon Planning Suite more efficiently and fully, the project activities will be tracked and documented in that table and others in the CAPER for a more efficient and smoother annual reporting process.

Under the Community Development priority need, the objective of providing a suitable living environment and the outcomes of improved availability /accessibility and improved sustainability are supported by:

- Comprehensive Development (CD): Seven (7) grants were awarded for \$2,450,000
- Downtown Revitalization (DTR): Ten (10) grants were awarded for \$3,500,000
- Planning: Nine (9) grants awarded for \$249,492
- Public Works: Ten (10) grants awarded for \$2,382,365
- Water/Wastewater: Three (3) grants awarded for \$712,600

The projects that were implemented will assist a total of 128,237 persons, which exceeded the goal outcome indicator of 55,500 persons served for this program year. 67,737 low and moderate income persons will be assisted as a result of these awards. 38 businesses will be assisted under the second priority of Community Development through the downtown revitalization program category awards. This exceeded the goal outcome indicator of 35 businesses assisted during the program year. Some major initiatives and highlights that were proposed and executed throughout the 2017 program year included:

Seven Comprehensive Development Phase Two projects which included implementation of the studies created in the program year 2016 in the communities of Columbus, Fremont, Kearney, Nebraska City, Norfolk, Wayne, and York. This was the second year of projects of the Comprehensive Development Program Category, which now assists and serves communities in a new way, while also replacing the former categories of Comprehensive Revitalization (CR) and Comprehensive Investment Stabilization (CIS) under CDBG. 46,033 persons will be assisted by CD awards, 27,277 of which are low and moderate income individuals.

Ten DTR projects were awarded to Albion, Cambridge, Chappell, Geneva, Hastings, Norfolk, North Platte, Seward, Wausa, and York. DTR project awards will assist 38 businesses in Nebraska.

Many other projects were awarded and implemented under the Planning, Public Works, and Water/Wastewater categories. The Planning category assisted persons in nine different communities to create and/or update comprehensive plans, housing studies, street improvement studies, downtown revitalization and drainage studies. The communities of Elba, Hay Springs, Madison, Nelson, North Loup, South Sioux City, Utica, Wolbach, Wymore, and Wynot were assisted with public works project awards, including street improvements, expanding existing storm sewer infrastructure, and storm sewer improvements. Lynch, Scotia, and Comstock were awarded CDBG funds for water/sewer improvements. These category awards will assist a total of 15,519 persons, with 9,668 low and moderate income

individuals.

### Economic Development

Two (2) Economic Development projects were awarded during the program year, for a total award of \$1,010,000, using the State CDBG Revolving Loan Fund. These were located in Merrick County and Norfolk. The goal outcome indicators for the Economic Development and Tourism Development categories for 2015-2019 of the Consolidated Plan and for 2017 of this program year of creating/retaining 500 jobs during the timeframe of 2015-2019, with 100 of those to be created/retained in the 2017 program year was not met. This is due to the fact that only a partial amount of Economic Development funds were utilized during the program year to award new Economic Development projects to assist in creating and/or retaining jobs for low to moderate income individuals, which will result in only 40 jobs being created/retained, and of those, 22 jobs will be created/retained for LMI individuals.

The outcome indicator of assisting 35 businesses during the timeframe of 2015-2019, with seven of those businesses that will be assisted within the 2017 program year was not met, with two grants awarded under that unit of measure of assisting businesses. This is due to the fact that a limited amount of Economic Development funds were utilized during the program year to award new Economic Development projects to assist businesses, which resulted in two newly awarded businesses assisted for the program year. Businesses are assisted indirectly, however, under the other unit of measure for the Economic Development Priority.

Ogallala implemented a Tourism Development project during the program year, which will assist 3,288 persons, 2,901 of which are low and moderate income persons and 1 business.

The above narrative discusses awards and projected accomplishments for the Economic Development Program, as the majority of projects are completed over a twenty-four month period, and not within twelve months. Please see the CDBG Program Narrative and the Financial Status update for B-17-DC-31-0001 within the 2017 CAPER Appendix for further details concerning the CDBG Program.

### Affordable Housing

There were a number of housing projects which were awarded HOME funds during this program year under the Housing Priority Need, fulfilling the objectives of providing decent housing and providing a suitable living environment, with the outcomes of improved availability/accessibility, improved affordability, and improved sustainability of housing in Nebraska. Seven (7) projects are Rental Housing projects. One of those projects, known as the Eagle Heights project in Omaha, with the grantee Nebraska Urban Indian Health Coalition, is funded with HOME funds. There were two (2) HOME CHDO projects awarded this program year, which are homebuyer projects. One project is with Neighborworks Northeast Nebraska which will complete purchase, rehab, and resale for 4 households at or below 80% AMI, serving the counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne. The other project is with Neighborworks Lincoln, and will complete single-family new construction with down payment assistance in Lincoln, Nebraska, assisting 3 households at or below 80% AMI.

The Rental Housing projects included working with awardees such as the Housing Authority of the City of Holdrege, Nebraska, which will complete a rental new construction of 20 units in the Horizon Estates project, including 3 HOME units for households at or below 60% AMI. Another rental new construction

project was the Ashland Area Economic Development Corporation project, which is located in Ashland, which includes 12 units, of which 3 are HOME units, that will rent at or below 60% AMI. There were four (4) projects that will construct new rental units by the awardees Building A Better Tomorrow (two awards), Midwest Housing Initiatives, and Housing Development Corporation. These included 11 units (including two (2) HOME units at 60% AMI) in Wahoo; sixty (60) units (including 4 HOME units at or below 60%) in Grand Island; thirty (30) units (including 3 HOME units at or below 60%) in Hastings; and in Fairbury, sixteen (16) units (including 3 HOME units at or below 60%).

The state invests in rehabilitation of existing housing for low and moderate-income homeowners using a regional and community level service delivery system, and utilizes NAHTF and CDBG dollars for those activities. Thirteen (13) grants for owner-occupied rehabilitation were awarded CDBG dollars for approximately \$2,705,000, resulting in 86 units rehabilitated. Additionally, through the CDBG Comprehensive Development category, two grants were awarded for purchase, rehab, and resale which enabled an additional nine homes to be rehabbed. The NAHTF program awarded three (3) grants for homeowner-occupied housing rehabilitation were awarded using NAHTF funds, for a total of \$1,005,660 and resulting in 47 units rehabilitated.

#### [Analysis of Consolidated Plan Affordable Housing Strategies](#)

HOME, CDBG, and NAHTF resources were distributed according to the various categories identified in the 2017 Annual Action Plan. The plan explained that the production of new units would be completed primarily through HOME resources that would be utilized through the NIFA/DED Low Income Housing Tax Credit (LIHTC) Application Cycle which utilizes LIHTCs and HOME funds in order to provide additional affordable housing. In addition, NAHTF resources were also to be considered to be used for this activity. As planned, HOME resources were utilized for the production of new units, as 133 new rental units were constructed (with 22 HOME units included) and 7 homebuyer housing units added, 4 which were purchase, rehab, and resale, and 3 which were new homebuyer units constructed using HOME, and 10 new rental units were constructed with National Housing Trust Fund (HTF) resources (with 4 HTF units included). In addition, NAHTF resources were also utilized, producing 43 new rental units constructed and 131 homebuyer units added. The types of assistance which NAHTF assisted with included new construction, down-payment assistance, rehabilitation, and purchase, rehabilitation, and resale activities, all of which contributed to additional units being newly added or made available in communities across Nebraska.

In general, 147 homebuyer units were added using CDBG, HOME, and NAHTF funds; 133 homeowner housing units were rehabilitated; 31 new rental units were constructed using HOME and NAHTF funding; 34 rental units were rehabilitated, 4 of those units being funded by HTF, 22 units by HOME funding, and 43 units through NAHTF; and there were 7 operating funding awards using NAHTF funding, which were awarded to Three Rivers HDC, Holy Name Housing, High Plains Community Development Corporation, Central Nebraska Economic Development, Community Action Partnership of Mid-Nebraska, Southeast Nebraska Community Action Partnership, and Blue Valley Community Action.

The 2017 Annual Action Plan discussed that the rehabilitation of existing units would be completed primarily through CDBG resources within the Owner Occupied Rehabilitation category administered by the Nebraska Affordable Housing Program. Additional rehabilitation activities could occur through the NIFA/DED Low Income Housing Tax Credit (LIHTC) Application Cycle which utilizes LIHTCs and HOME funds in order to provide additional affordable housing. In addition, NAHTF resources may also be used for this activity. As planned, CDBG resources were utilized for rehabilitation of an existing 86 units and 9 units



were added through purchase, rehab, resale activity. NAHTF resources were used for additional rehabilitation activities involving 47 homeowner-occupied units.

It was anticipated that additional households would be supported through NAHTF projects which would likely include those that involve acquisition of existing units, which did occur, through the purchase, rehabilitation, and resale of 30 units this program year. In addition, based on previous findings within the “Opening Doors: 10 Year Plan to Prevent and End Homelessness in the State of Nebraska” there found a significant need for the development of additional Permanent Supportive Housing throughout the state. Collaboration and partnerships should continue to be developed and evaluated to address these continued needs and to serve persons who are homeless or at risk of homelessness. The collaboration of the initial organizing and networking to strengthen partnerships both within the State of Nebraska Departments and among interested community agencies and organizations concerning PSH has continued during the 2017 Program Year. The Nebraska Commission on Housing and Homelessness assists in this effort, and includes the objectives of developing ongoing, specific policies and program recommendations to the Governor which address affordable housing and homelessness/near- homelessness issues, including identifying and monitoring the implementation of models of community-based affordable housing production and homeless/ near-homeless programs; progressive housing and homelessness/near homelessness policies, plans and courses of action; and continuum of care-based models which provide supportive services for persons who are homeless or at risk of becoming homeless and to monitor implementation of those recommendations.

Overall, the State has attained all of the set annual goals to provide affordable housing except for the goal of Rental Units Constructed. 147 homebuyer units were added using CDBG, HOME, and NAHTF funds for an outcome of 588% of the annual goal; 133 homeowner housing units were rehabilitated using CDBG, HOME, NAHTF funds for an outcome of 266% of the annual goal. 31 new rental units were constructed using HOME, HTF, and NAHTF funding for an outcome of 44.28% of the annual goal. \$5,758,322 of NAHTF, HTF and HOME funds were utilized during the Program Year to assist in constructing new rental units, resulting in 31 rental units being constructed, 4 of these units being funded by HTF, and 38 rental units were rehabilitated, resulting in an outcome 126.66% of the annual goal. The goal of rental units constructed was not met, due to the number and type of projects applied for during this program year.

### Nebraska Homeless Assistance

NHAP placed emphasis on utilization of the HUD’s best practice of the “Housing First” model and weighted funding requests in FY17 to support additional Rapid Rehousing and Homelessness Prevention services. In conjunction with the reinvigoration of the Coordinated Entry System, there has been a notable trend by subrecipients of reallocating requests for funding from short-term emergency shelter to the expansion of Rapid Rehousing programs. NHAP also expanded assistance for Homelessness Prevention programs to prevent individuals and families from entering homeless systems. This shift has resulted in a higher than expected number of households moving from homeless situations into permanent housing through Rapid Rehousing or receiving housing stabilization assistance through Homelessness Prevention services and a decrease in persons served through Emergency Shelter than was projected in the Consolidated Plan.

### HTF

The National Housing Trust Fund (HTF) is an affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including

homeless families.

The National Housing Trust Fund (HTF) was established under Title I of the Housing and Economic Recovery Act (HERA) of 2008, Section 1131 (Public Law 110-289). Section 1131 of HERA amended the Federal Housing Enterprises Financial Safety and Soundness Act of 1992 (12 U.S.C. 4501 et seq.) to add a new section 1337, entitled “Affordable Housing Allocation” and a new section 1338 entitled “Housing Trust Fund.”

The HTF allocation plan is an annual submission to HUD that describes how the State will distribute the HTF funds, including how it will use the funds to address its priority housing needs. The allocation plan also describes what activities may be undertaken with HTF funds and how recipients and projects will be selected. DED has been designated by the Governor as the Nebraska recipient of National Housing Trust Fund (HTF) resources from the U.S. Department of Housing and Urban Development (HUD).

The State of Nebraska HTF Allocation Plan contains three funding Set-Asides. There is a CRANE Set-Aside, a Targeted Needs Set-Aside, and a Continuum of Care Set-Aside. These set-asides have the potential to address homelessness with HTF funding depending on the project. All of these projects serve individuals at or below 30% of Area Median Income (AMI). The Targeted Needs Set-Aside is for projects that have a targeted population to serve that community. An example of a targeted needs project could be one that assists youth aging out of the foster care system who could be at risk for becoming homeless. The Continuum of Care Set-Aside focus directly on projects to assist the homeless or persons at risk of becoming homeless. The Omaha CoC, Lincoln CoC, and the Balance of State CoC will each be awarded \$500,000 for projects in their region for homeless populations through the HTF Program.

During the 2017 Program Year, \$600,000 was awarded to the Canterbury Estates project under the CRANE Set-Aside. The project will construct 10 new rental units with 4 of them designated as Housing Trust Fund Units. Canterbury Estates serves low-income special needs tenants. This project has a multitude of funding sources within the project, which has resulted in a \$2,154,144 project.

#### HOPWA Services

Nebraska’s HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 2.5 FTE’s case management staff to provide direct HOPWA services. In addition, NAP’s Compliance Supervisor works closely with the DHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska’s ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska’s HOPWA Program to ensure timely quality services are providing through utilizing the agency’s statewide office structure. The Nebraska AIDS Project has a new Interim Executive Director: Brent Koster.

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The

program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period. During the reporting period, the program served 108 households; 67 with short-term rent/mortgage assistance, 34 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 18 with tenant-based rental assistance. There were 11 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

The Nebraska HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving twelve-sixteen clients to eighteen, and having just opened up an additional four more spots. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2017 subgrant with NAP did continue to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are "somewhat adherent" or "very adherent" in accessing ongoing HIV health care with 97% of HOPWA clients "somewhat adherent" or "very adherent" to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

The Table below describes HOPWA 2017 goals and outcomes.

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	12	18			\$125,017.76	\$140,962.97
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	NA	NA	NA		NA	NA
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)	NA	NA	NA		NA	NA
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA		NA	NA
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	NA	NA	NA		NA	NA
4.	Short-Term Rent, Mortgage and Utility Assistance	45	67			\$77,117.76	\$86,377.40
5.	Permanent Housing Placement Services	13	31			\$20,000.00	\$23,766.53
6.	Adjustments for duplication (subtract)	0	11				
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	70	105			\$222,135.52	\$251,106.90
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	NA				NA	NA
9.	Stewardship Units subject to 3 or 10 year use agreements	NA					
10.	<b>Total Housing Developed (Sum of Rows 8 &amp; 9)</b>						
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	45	105			\$125,840.22	\$86,453.40
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0			0	0
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	45	105			\$125,840.22	\$86,453.40
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	60	60			\$12,000.00	\$22,648.69
15.	<b>Total Housing Information Services</b>	60	60			\$12,000.00	\$22,648.69
<b>Grant Administration and Other Activities</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$27,489.51	\$24,173.37
17.	Technical Assistance (if approved in grant agreement)					NA	NA
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$12,662.19	\$8,253.68
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$21,945.56	\$21,163.93
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					\$62,097.26	\$53,590.98
<b>Total Expended</b>						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$422,073.00	\$413,799.97

*Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)*

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Development Program	Non-Housing Community Development	CDBG: \$5,799,265	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	277,500	282,116	101.66%	55,500	128,237	231.06%
	Non-Housing Community Development	CDBG: \$2,075,750	Facade treatment/business building rehabilitation	Business	175	182	104%	35	38	108.57%

Economic Development Program	Non-Housing Community Development	CDBG: \$1,010,000	Jobs created/retained	Jobs	500	110	22%	100	40	40%
	Non-Housing Community Development	CDBG: \$1,702,000	Businesses assisted	Businesses Assisted	35	7	17.14%	7	3	42.86%
HOPWA Program	Homeless Non-Homeless Special Needs	HOPWA: \$140,963	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	60	26	43.33%	12	18	150%
	Homeless Non-Homeless Special Needs	HOPWA: \$272,836	Other	Other	225	142	63.11%	45	67	149%

Housing Program	Affordable Housing	CDBG: \$0 HOME: \$2,598,000  HTF: \$600,000  NAHTF: \$860,322	Rental units constructed	Household Housing Unit	250	192	76.8%	70	31	44.28%
	Affordable Housing	CDBG: \$0 HOME: \$319,735  HTF: \$0  NAHTF: \$1,380,265	Rental units rehabilitated	Household Housing Unit	120	55	45.83%	30	38	126.66%
	Affordable Housing	CDBG: \$549,000 HOME: \$542,546 HTF: \$0 NAHTF: \$5,258,300	Homeowner Housing Added	Household Housing Unit	125	416	332.8%	25	147	588.00%
	Affordable Housing	CDBG: \$2,705,000 HOME: \$0 HTF: \$0 NAHTF: \$1,005,660	Homeowner Housing Rehabilitated	Household Housing Unit	250	435	174.0%	50	133	266.00%

Nebraska Homeless Assistance Program (NHAP)	Homeless	ESG: \$ 344,886  Homeless Shelter Assistance Trust Fund: \$608,581	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2260	4321	91.19%	452	457	226.33%
	Homeless	ESG: \$ 237,158  Homeless Shelter Assistance Trust Fund: \$1,326,349	Homeless Person Overnight Shelter	Persons Assisted	36120	11452	31.71%	7224	3563	49.32%
	Homeless	ESG: \$ 344,886  Homeless Shelter Assistance Trust Fund: \$749,967	Homelessness Prevention	Persons Assisted	7415	3865	52.12%	1483	1375	92.72%

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**



## Addressing Priorities and Objectives through Use of Funds

*Assess how the State's Use of Funds, particularly CDBG, addresses the Priorities and Specific Objectives Identified in the Plan, giving special attention to the highest priority activities identified: Use of Funds, Plan Priorities & Specific Objectives, & Identified Highest Priority Activities*

The State of Nebraska 2017 program year was successful in many ways of addressing the priority needs and specific objectives identified in the 2015-2019 Consolidated Plan and the 2017 Annual Action Plan, specifically those highest priority activities identified in the CR-05 Accomplishments Table. State and Federal resources allocated for the Community Development Priority Need, the Economic Development Priority Need, the Housing Priority Need, the HOPWA Services Priority Need, and the Homeless Services Priority Need were utilized to fund and support projects which were determined by DED and DHHS to address these priorities. Funds were awarded and activities conducted within the approved use of funds, state priorities and specific objectives upheld and reinforced by the Nebraska 2015–2019 Consolidated Plan, the 2017 Annual Action Plan, and activities authorized in Section 105(a) of the amended 1974 Housing and Community Development Act that meet national CDBG objectives.

### Community Development Priority Need

Community Development Priority Need was developed in order to strengthen Nebraska communities through community development programs and services in order to provide a stable platform for economic development. The CR-05 Accomplishments Table describes how the 2017 program year addressed two specific objectives of this priority. In general, \$9,042,757 was awarded for 40 projects under the community development categories of CD, DTR, PP, PW, and WW, which supported the two goal outcomes of the community development program.

Community Development Program	Goal Outcome Indicators: CDBG Funds Awarded		Total
	Public Facility or Infrastructure Activities	Facade Treatment/Business Building Rehabilitation	
Comprehensive Development (CD)	\$1,936,000		\$1,936,000
Downtown Revitalization (DTR)	\$1,573,250	\$1,926,750	\$3,500,000
Planning (PP)	\$179,492	\$70,000	\$249,492
Public Works (PW)	\$2,432,065		\$2,432,065
Water/Wastewater (WW)	\$925,200		\$925,200
<b>Total:</b>	<b>\$7,046,007</b>	<b>\$1,996,750</b>	<b>\$9,042,757</b>

The objective of improving and developing infrastructure and facilities for communities and counties that have planned and set priorities for long-term development, and other than infrastructure activities excluding LMH benefit was addressed by project activities within the following CDBG categories: Planning, Public Works, Water/Wastewater, Downtown Revitalization projects, and Comprehensive Development. \$5,799,265 CDBG dollars were awarded for this first goal outcome of community development. Overall, the outcome measure for this first community development priority was met by 231.06%, as 128,237 persons were assisted of the 55,500 anticipated in the consolidated plan.

The second primary state objective for the Community Development Priority Need, is investing in quality projects that are identified in a formal community development plan; to compliment or support related community investments; and to leverage maximum private and/or other investment; and to have reasonable plans for long-term operation and maintenance was supported by funding basic Nebraskan community needs in commercial rehabilitation, including facade treatment and business building rehabilitation, that are essential to the success within the CDBG categories of Planning and Downtown Revitalization. The project activities include a focus on commercial rehabilitation, including facade treatment and business building rehabilitation. This second community development outcome measure was met by 108.57%, assisting 38 businesses of the 35 anticipated in the 2015-2019 Consolidated Plan.

### Economic Development Priority Need

Economic Development Priority Need includes the need to foster the competitiveness of Nebraska's business and industrial sector, and as a result, assist in the economic development of Nebraska's communities and people. Although the goal outcome indicators were not met. The first priority of utilizing CDBG funds to invest in public facilities and improvement activities that make economic opportunities available to low and moderate income persons, by creating and/or retaining jobs for low- moderate income individuals did create/retain 40 jobs. The outcome indicator was not met because only 2 applications were awarded. This objective was addressed in Nebraska by other state programs.

The second economic development priority outcome indicator of promoting the retention and expansion of existing businesses in Nebraska, and the startup of new businesses in Nebraska, and the immigration of out-of-state businesses relocating or expanding into Nebraska of assisting 7 businesses was not met. Only 2 applications were submitted and awarded under this priority. This objective was addressed in Nebraska by other state programs.

The two (2) Economic Development projects awarded during the program year were located in Merrick County and Norfolk, for a total CDBG RLF funds awarded of \$1,010,000. Total projects costs including matching dollars totaled \$14,540,060. The Merrick County project used \$305,000 of CDBG RLF funds for the expansion of Preferred Popcorn, LLC., a manufacturer, which includes the construction of a new building and purchase of equipment, and the creation/retention of 10 jobs, of which 6 jobs were for low-moderate income individuals. Norfolk was awarded \$705,000 of CDBG RLF funds for an expansion for ContiTech USA, which also resulted in the creation/retention of 30 jobs, of which 16 jobs were for low-moderate income individuals.

The City of Ogallala was awarded \$346,000 through the Tourism Development category. This projects supported priority one of the community development program. This project is assisting 3,288 proposed beneficiaries, including 2,901 low to moderate income individuals.

For the CDBG Program, the Financial Status and National Objectives Part I within the 2017 CAPER Appendix displays the accomplishments on an actual basis, as loaded into IDIS by the end of the Program Year, June 30, 2018. Funding was awarded under various CDBG Program Categories throughout the twelve-month program year, which follows the State Fiscal Year in Nebraska of July 1 – June 30, annually. Of the projects described in this report, those with loaded activities into the IDIS system by that date are summarized in the Financial Status and National Objectives Report. The information includes:

- CD: 1 project awarded, total funding - \$193,500
- DTR: 3 projects awarded, total funding: \$1,000,000
- HO: 10 projects awarded, total funding: \$1,900,000
- PP: 9 projects awarded, total funding: \$245,446
- PW: 4 projects awarded, total funding: \$768,915
- WW: 2 projects awarded, total funding: \$407,600

Total: 29 projects, \$4,515,461 project activities and \$319,807 for local administration.

A total of \$2,249,423.75 CDBG funds expended benefited low-moderate income individuals during the three-year benefit period (2016, 2017, 2018) for Nebraska’s community development plan. This resulted in 95.23% LMI expenditure rate to date. Nebraska currently exceeds the required 70% LMI benefit expenditure rate period.

### Affordable Housing Priority Need

The State’s Housing Priority Need includes the need to respond to regional needs for affordable, decent, safe, and appropriate housing as part of balanced economic development in Nebraska. This Priority Need includes four Objectives that have been developed in order to meet the Housing Priority of which the goals and objectives were met in the Program Year, as can be seen in Table 1 – Accomplishments – Program Year & Strategic Plan to Date concerning proposed versus actual outcomes for specific housing outcome measures in the consolidated plan.

The first housing objective of promoting additional affordable rental housing in selected markets has a Program Year 2017 outcome indicator of 70 units, which was not surpassed by grants awarded, resulting in 31 units. Awarded dollars during this 2017 Program Year for rental units constructed totaled \$4,058,322, of which \$2,598,000 HOME resources, \$600,000 HTF resources and \$860,322 NAHTF resources. This outcome is 44.28% of the goal set in the Consolidated Plan and that is a result of the number and type of projects applied for during this program year.

The second housing objective of promoting the rehabilitation of rental units has an outcome indicator of 30 units. This program year the actual outcome was that 38 housing rental units were rehabilitated. \$319,735 HOME funds were awarded and \$1,380,265 NAHTF funds awarded, for a total of \$1,700,000 funds awarded to achieve this accomplishment. The goal was met at 126.66%, and that is a result of the number and type of projects that were applied for during this program year.

The third housing objective of promoting additional households into homeownership by expanding affordable homeownership opportunities, or adding homeowner (also called homebuyer) housing as described in the above table, has a 2017 program year outcome indicator of 25 units, which was surpassed by adding 147 household units. This is 588% of the annual goal. This is a result of communities applying for projects and justifying a need for this type of project in Nebraska. \$6,349,846 was awarded in grants during this 2017 Program Year on this objective, of which approximately \$542,546 were HOME funds, approximately \$5,258,300 were NAHTF funds, and \$549,000 were CDBG funds.

The fourth housing objective of promoting housing preservation by improving the quality of Nebraska’s existing affordable housing through homeowner housing rehabilitation has a PY2017 outcome indicator of supporting 50 household units. This was surpassed by rehabilitating 47 homeowner housing units with NAHTF funds and 86 with CDBG funds, making a total outcome of 133 homeowner housing units

rehabilitated. This outcome is 266% of the annual accomplishment goal. This outcome is a result of the need expressed through project application and justification of community need by communities across Nebraska. Awarded dollars during this 2017 Program Year totaled \$3,710,660, which NAHTF funded \$1,005,660, with CDBG providing the remaining funding of approximately \$2,705,000.

The four objectives in sum resulted in an outcome of 54 affordable housing grants awarded in Program Year 2017, utilizing \$15,549,828 State and Federal resources, of which were \$3,254,000 CDBG, \$3,460,281 HOME, \$600,000 HTF, and \$8,748,547 NAHTF dollars. These resources were utilized to address the priority need in addition to addressing the specific objectives associated with the priority need.

### Nebraska Homeless Assistance Priority Need

Addressing homelessness is a critical issue for the State of Nebraska. The Nebraska Homeless Assistance Program utilized ESG funds and HSATF resources in order to address the Priority Needs as outlined in the Annual Action Plan to the ultimate end goal of achieving and sustaining “functional zero” of homelessness for all individuals in Nebraska through the coordination and efficient use of services.

The array of homeless and at-risk of homelessness services evolved in accordance with HUD guidance, best practices and a focus on client-centered services. The Nebraska Homeless Assistance Program (NHAP) developed Homeless Services Priority Needs in order to ensure appropriate services for individuals who are homeless or at risk of becoming homeless through the use the combined resources of the Emergency Solutions Grant (ESG) with the matching funds provided through the Homeless Shelter Assistance Trust Fund (HSATF) for all eligible services within the limitations set by ESG regulations, state statute, and guided by local Continuum of Care regions. NHAP subrecipient agencies provided the following services; Street Outreach, Emergency Shelter/Transitional Housing, Homelessness Prevention, Rapid Re-Housing, and HMIS activities that are directly related to the provision of NHAP funded activities. The fundamental goal as outlined by NHAP is for homelessness to be rare, brief and non-reoccurring.

The NHAP homeless service priority needs includes two primary objectives:

- Provide appropriate shelter and/or housing to people who are homeless or at risk of homelessness.
- Provide needed services to people who are homeless or at risk of homelessness

The Homeless Services Priority Need will be aligned with the prioritization for Rapid Rehousing as established through the Coordinated Entry protocols. See the full Coordinated Entry manual for NE BoS and Lincoln CoC at: <https://ccfl.unl.edu/community-services-management/coordinated-entry/coordinated-entry-providers>. Coordinated Entry protocols for the Omaha CoC can be found at: <http://www.endhomelessnesstoday.org/Coordinated-Entry.html>

Furthermore, the Coordinated Entry systems have established protocols to ensure that victims of domestic violence can be referred to the Coordinated Entry System anonymously to avoid jeopardizing the confidentiality protection under the Violence Against Women Act (VAWA). Victim service providers may choose to either complete the Coordinated Entry Assessment tool and submit to the Coordinated Entry list, have another agency complete the Coordinated Entry Assessment tool and refer to the Coordinated Entry list or have the Coordinated Entry lead include the program participant into the Coordinated Entry list anonymously. This system was established to allow survivors a client-centered approach to ensure safety.

Although Nebraska has been highly successful in the utilization of Coordinated Entry to rapidly move program participants into permanent housing, emergency and transitional shelters will remain a needed service indefinitely throughout the State, either due to emergency domestic violence situations, serving transition aged youth, or a lack of affordable housing in many communities in Nebraska.

NHAP subrecipient agencies, with the direction of NHAP established funding priorities, evaluated the array of resources in their communities to meet the housing solution needs of the populations they serve and collaborated within their region through the participation in the local and regional Continuums to provide seamless and expedited services from emergency shelter to permanent housing solutions. NHAP continued to provide technical assistance and evaluated funding sources that are available to identify areas of need and promoted coordination to streamline entry points between homeless service agencies in order to ensure continuity of care for homeless or at risk of homeless populations within each of the regions throughout the State.

### HOPWA Services Priority Need

The HOPWA Services Priority Need is intended to ensure appropriate emergency and/or permanent housing and services for people who are homeless or at imminent risk of becoming homeless by distributing funds to a project sponsor in order to meet the needs of persons living with HIV/AIDS. This priority includes one primary objective that includes providing housing assistance and related supportive services to low income persons with HIV/AIDS and their families and enable low income persons with HIV/AIDS to achieve stability in housing, reduce risks of homelessness, and increase access to health care; HOPWA Services Program utilization of HOPWA resources in order to address the priority and specific associated objectives; and placing individuals into housing and connecting them to healthcare by utilizing Permanent Housing Placement and Tenant-Based Rental Assistance, in addition to other assistance beneficiaries for which they are determined eligible.

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period. During the reporting period, the program served 108 households; 67 with short-term rent/mortgage assistance, 34 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 18 with tenant-based rental assistance. There were 11 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

The Nebraska HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving twelve-sixteen clients to eighteen, and having just opened up an additional four more spots. The program

currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at “Highest Need” placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2017 subgrant with NAP did continue to require case management staff to complete the “Getting to Work” Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are “somewhat adherent” or “very adherent” in accessing ongoing HIV health care with 97% of HOPWA clients “somewhat adherent” or “very adherent” to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

Nebraska’s HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the Nebraska HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care. Nebraska’s HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHCCPC) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The Nebraska HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

## CR-10 - Racial and Ethnic composition of families assisted

*Describe the families assisted (including the racial and ethnic status of families assisted).*  
91.520(a)

### Narrative

The chart in CR-10 within IDIS does not include fields to enter client responses for “bi-racial” categories and “multiple races” and client refusal to provide race and ethnicity information.

### CDBG

A description of the racial and ethnic composition of families assisted, per the table in IDIS with CDBG funds is below.

<b>Race:</b>	
White	27,088
Black or African American	0
Asian	0
American Indian or American Native	1
Native Hawaiian or Other Pacific Islander	1
<b>Total</b>	<b>27,090</b>

<b>Ethnicity:</b>	
Hispanic	1,807
Not Hispanic	25,297

This chart in IDIS, however, does not take into account bi-racial, and multi-racial categories. Projects utilizing CDBG funds reported in addition to the race categories above, that there were no bi-racial individuals assisted as of June 30, 2018. There were in addition to the above chart, however, 14 “Multi-racial” individuals which are a part of the composition of families assisted by CDBG funds.

The chart below describes a slightly more accurate account of the composition of families assisted with CDBG funds as of June 30, 2018. Please note also that this data is not fully reported from each CDBG project until each project is finished and all reporting has been completed. The majority of CDBG projects contain a 24-month timeline and contract.



<b>Composition of Families assisted with CDBG funds by Race and Ethnicity Reported by Individuals Assisted as of 6-30-18</b>			
<b>Race:</b>	<b>Totals by Race</b>	<b>Also Reported as Hispanic</b>	<b>Non-Hispanic</b>
White	27,088	1,807	25,281
Black or African American	0	0	0
Asian	0	0	0
American Indian or American Native	1	0	1
Native Hawaiian or Other Pacific Islander	1	0	1
Multiracial	14	0	14
<b>Totals</b>	<b>27,104</b>	<b>1,807</b>	<b>25,297</b>

<b>Ethnicity:</b>	
Hispanic	1,807
Not Hispanic	25,297
<b>Total Individuals</b>	<b>27,104</b>

## HOME

Data is reported for 47 individuals which assist in describing the composition of families that received assistance from HOME funds this program year. Beneficiaries are recorded once a project is completed. The below information reflects the projects completed between July 1, 2017 – June 30, 2018 and the beneficiaries impacted.

<b>Race:</b>	<b>Totals by Race</b>
White	26
Black or African American	7
Asian	9
American Indian or American Native	0
Native Hawaiian or Other Pacific Islander	0
Multiracial	5
<b>Totals</b>	<b>47</b>

<b>Ethnicity:</b>	
Hispanic	5
Not Hispanic	42

## NHAP

The ESG race and ethnicity data in CR-10 will not capture the total number of families served. This is due to HMIS and the comparable data base, which is utilized by the victim service providers, both having the option for client selection of “multi-racial” and client refusal to provide race and/or ethnicity information. Therefore, the totals provided in CR-10 do not include participant responses for “multi-racial”, “client refused information/does not know” and “data not collected” as recorded by subrecipients. For a specific breakdown of the race and ethnicity data, the total number of all individuals for all race and ethnicity categories is reported in the attached Sage CAPER BoS Annual Reporting Tool.

<b>Race:</b>	<b>Totals by Race</b>
White	4,114
Black or African American	988
Asian	29
American Indian or American Native	344
Native Hawaiian or Other Pacific Islander	22
Multiracial	421
<b>Totals</b>	<b>5,918</b>

<b>Ethnicity:</b>	
Hispanic	4,921
Not Hispanic	975

## HOPWA

The HOPWA race and ethnicity data in the CR-10 table in IDIS will not capture the complete data concerning the racial and ethnic composition of families assisted or the total number of individuals within those families assisted because the table does not include the bi-racial category of “Black/African American & White” which is reported as 4 HOPWA eligible individuals, with 1 individual who benefitted from the HOPWA housing subsidy assistance in addition because of another household member who reported as an eligible HOPWA individual, making a total of 5 for that racial category. Hispanic and Latino ethnicity was not reported within that category. The absence of this data from the table results in a total of 161 individuals as displayed in the IDIS table, as seen below.

<b>HOPWA: Racial and Ethnic Composition of families assisted</b>	
White	91
Black or African American	65
Asian	0
American Indian or American Native	0
Native Hawaiian or Other Pacific Islander	0
<b>Total</b>	<b>156</b>

<b>Ethnicity:</b>	
Hispanic	0
Not-Hispanic	156

The complete HOPWA 2017 reporting on Race and Ethnicity, however, includes a bi-racial category, in addition to a break-out between HOPWA eligible beneficiaries and all other beneficiaries. It is found in the 2017 HOPWA CAPER in Part 7, Section 2. Beneficiaries, Chart C. The chart below has been revised to show the totals of racial and ethnic reporting for HOPWA in the last two columns. The summed total of columns [A] and [C] equals the total number of beneficiaries reported in Section 2, Chart a., Row 4 of the 2017 HOPWA CAPER, which is 164.

## CR-15 - Resources and Investments 91.520(a)

### *Identify the resources made available*

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	\$18,662,429	\$422,771
HOME	HOME	\$2,715,273	\$2,402,877
HOPWA	HOPWA	\$422,073	\$413,799
ESG	ESG	\$942,534	\$927,615
Other	Other	\$14,752,648	\$12,813,338

**Table 2 - Resources Made Available**

### *Narrative*

The above Table represents the resources made available and the resources expended for Program Year 2017.

While creating the CAPER report, it was noted that the amounts auto-generated by IDIS in the “Resources Made Available” column were totals of the expected amount remaining for the last four years as reported in the ConPlan. DED and DHHS have updated the columns for CDBG, HOME, Other (which includes HSATF, NAHTF, and HTF), ESG, and HOPWA to reflect the actual amounts of resources made available to align with the actual amounts available in FY17. It was not possible to create a separate row for HTF. HTF will have a separate row with the new Consolidated Plan of 2020-2024.

### CDBG

\$18,662,429 in federal CDBG funds was made available to potential applicants, which includes \$9,266,983 of 2016 CDBG carry over funds and program income. These made available to potential applicants.

As documented in the 2017 CAPER Appendix, for grant B-17-DC-31-001, a total of \$4,515,461 was obligated using this grant year of funding. The prior CDBG year resources were utilized to obligate the remaining \$8,839,996, grant awards that occurred in the 2017 program year.

Total CDBG and CDBG RLF award dollars for the 2017 Program Year in sum are \$13,355,457, after all sub-grants have been accounted for through the State financial database with contract begin dates occurring between the dates of July 1, 2017 and June 30, 2018. See table below for CDBG dollars obligated during the 2017 Program Year for the CDBG program. Please see the attachment to the CAPER for a more detailed explanation of 2017 CDBG Awards and dollars obligated to projects during this program year.

Program Category	# of 2017 CDBG Awards	Total CDBG Awards	Total CDBG Project Costs	Total CDBG Local Admin
CD	7	\$2,450,000	\$2,215,000	\$235,000
DTR	10	\$3,500,000	\$3,203,000	\$297,000
ED	2	\$1,010,000	1,000,000	10,000
HO	13	\$2,705,000	\$2,490,000	\$215,000
PP	9	\$249,492	\$225,925	\$23,567
PW	10	\$2,382,365	\$2,186,900	\$195,465
TD	1	\$346,000	\$331,000	\$15,000
WW	3	\$712,600	\$654,000	\$58,600
<b>Total</b>	<b>55</b>	<b>\$13,355,457</b>	<b>\$12,305,825</b>	<b>\$1,049,632</b>

## HOME

The federal resources of HOME funds was made available under the Nebraska Affordable Housing Program in the amount of \$2,715,273, which includes \$542,546 for HOME CHDO projects and \$2,917,735 for other HOME projects, there was carry-over from 2016 HOME funds that was awarded in 2017. The HOME match liability for the current Federal Fiscal Year of \$340,132.50 was exceeded with the amount of \$680,265 NAHTF funds used for projects which met the HOME match rules and regulations concerning HOME Match.

## HOPWA

The federal resources of HOPWA made available for the HOPWA Program during PY17 was \$422,073. The total amount expended of \$413,799 included in approximate amounts, \$251,106 in total HOPWA housing subsidy assistance, \$86,453 in supportive services, \$22,648 in housing information services, and \$53,590 in grant administration and other activities. Please see the attached 2017 HOPWA CAPER for a more detailed table and description.

## NHAP

The amount of ESG resources made available for PY17 was \$927,615. Due to the timing of funding cycle, the remaining FY16 ESG administrative funds were drawn in the first part of the PY17 until these funds were fully expended. After FY16 funds were fully obligated then FY17 funds were then allocated for administration costs. Remaining FY17 administration funds were carried over to PY18 allocations.

The matching "other" resources made available in PY17 include the State of Nebraska Homeless Shelter Assistance Trust Funds (HSATF) in the amount of \$2,902,648. The amount obligated for PY17 totaled \$2,717,565. Unexpended HSATF funds were re-disbursed in PY 18. See CR-75 for detailed information.

## NAHTF

The state funding resource of Nebraska Affordable Housing Trust Fund for affordable housing was made available in the amount of \$9,150,000. \$8,504,547 was awarded: \$2,240,587 under the the annual goal of rental units constructed; \$5,258,300 under the annual goal of homeowner housing added; and \$1,005,660 under the annual goal of homeowner housing rehabilitated.

## HTF

The federal Housing Trust Fund resource was made available in the amount of \$2,700,000. An investment of \$600,000 HTF was made in a rental new construction by grantee “Sidney Housing Authority” in Sidney, Nebraska. The 4 HTF units will be rented at or below 30% AMI, and include two 1- bedroom units and two 2-bedroom units. The project was awarded under the CRANE Set-Aside, yet also serves individuals with disabilities.

### *Identify the geographic distribution and location of investments*

<b>Target Area</b>	<b>Planned Percentage of Allocation</b>	<b>Actual Percentage of Allocation</b>	<b>Narrative Description</b>
Statewide	100	100	See Below

**Table 3 – Identify the geographic distribution and location of investments**

### *Narrative*

The State of Nebraska has chosen not to target any particular geographic area for special assistance, but has chosen to allow any non-entitlement community to apply for CDBG funding, dependent on eligible activities and programs, and provides HOME, HTF, ESG, and HOPWA funding throughout the state.

The State of Nebraska distributed development resources in proportion to the development needs of the state. In general, the DED and the DHHS approved funding for development projects which satisfy specific criteria and fund projects throughout the state. There is no specific target area for funding distribution but program assistance is provided statewide. Nebraska is served by a system of service providers throughout the state. The majority of these service providers are located in the areas where need has been shown according to the Census data available and other economic indicators. Some of these indicators include the community population, where those communities with the largest populations tend to have the greatest number of service providers within any given geographic area.

## NHAP

ESG funds for NE BoS, along with Homeless Shelter Assistance Trust Fund (HSATF) resources, provided funding for activities that supported a comprehensive approach to address the needs of people who are homeless or at risk of homelessness throughout the State of Nebraska. These activities assisted in the prevention and alleviation of homelessness and provided a pathway from temporary to permanent housing for persons who are homeless. All NHAP funded projects have developed services that link housing assistance programs with services to promote self-sufficiency. As per HUD regulations, ESG funds were allocated among several service categories, ensuring that that no more than 60 percent of the total ESG award was allocated to Street Outreach (SO) and Emergency Shelter (ES) services.

The statewide Continuum of Care (CoC) system was developed to help ensure that people who are homeless or at risk of homelessness are able to access needed emergency shelter, street outreach, homelessness prevention, and rapid rehousing services in each of the 93 counties in the State of Nebraska. Nebraska is separated into seven geographical regions, two being urban areas which are entitlement cities and therefore received ESG funding directly from HUD, with the remaining five regions which received ESG funds through the NHAP program. In addition to the ESG funds, NHAP allocated the Homeless Assistance Trust Funds (HSATF) to all seven regions in Nebraska, which were utilized as matching funds

for ESG for the BoC CoC.

### Leveraging

*Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.*

The use of federal funds leveraged significant amounts of additional resources (private, state, and local funds) through several of the CDBG, HOME, HTF, ESG, and HOPWA projects throughout the state.

### CDBG

CDBG State Administration funds are matched by the Department as required by HUD and described in the 2017 Annual Action Plan.

DED Community Development and Economic Development Programs require local match for CDBG project activities. In general, a 25% match to CDBG project costs is required, with the Economic Development Category requiring at least a one-to-one match for CDBG project costs. Match was not required in the Owner Occupied Rehabilitation category. During the program year, there was a total of \$20,665,551 local matching dollars obligated to projects in communities across Nebraska.

CDBG Category	# of 2017 CDBG Awards	Total Local Match
Comprehensive Development	7	\$892,057
Downtown Revitalization	10	\$1,508,600
Economic Development	2	\$14,540,060
Owner Occupied Rehabilitation	13	\$47,274
Planning	9	\$90,508
Public Works	10	\$2,288,852
Tourism Development	1	\$299,000
Water/Wastewater	3	\$999,200
<b>Total</b>	<b>55</b>	<b>\$20,665,551</b>

The source of these matching dollars varies by project and community, yet all communities are responsible for securing these funds, whether they be from other federal agencies, state agencies, nonprofits, or local monies.

The Water/Wastewater category is one example of a stream-lined process in which applicants submit a pre-application to the State Water/Wastewater Advisory Committee in order to determine which funding sources their community is eligible to receive, and for the State to determine the most efficient way financial resources and human resources can be utilized to ensure a successful project. Other funding agencies for projects involving water and wastewater system improvements include USDA Rural Development, DHHS, and Nebraska Department of Environmental Quality.

## HOME

HOME funds were leveraged with Nebraska State Affordable Housing Trust Fund (NAHTF) resources. The NAHTF is state funding that is based on a portion of the documentary stamp taxes from real estate transactions that are transferred to the Nebraska Affordable Housing Trust Fund. The NAHTF will be distributed throughout the state according to the NAHTF Qualified Allocation Plan (QAP). The allocation from the NAHTF varies annually due to the amount of revenue received from the documentary stamp tax. HOME Match requirements of HUD are met through projects eligible under NAHTF that must use HOME rules and regulations. During the program year, \$402,776.42 NAHTF dollars were contributed as HOME Match, which meets the Match liability of 12.5% in the amount of \$340,132.50 for Nebraska in 2017. Please reference the HOME Match table and HOME Match Log in CR-15 for further details.

HOME beneficiaries receive a higher quality of life as a result of DED's ability to provide substantial match on HOME projects. Rural communities and those that have limited access to private and local funding sources benefit. The Nebraska Affordable Housing Program collaborates with the Nebraska Investment Finance Authority (NIFA) through the joint Low Income Housing Tax Credit (LIHTC)/HOME/HTF application administered through NIFA's rental application cycles to provide decent housing and a suitable living environment for low and moderate income and homeless individuals in Nebraska. NIFA has a competitive LIHTC and Collaborative Resources Allocation for Nebraska (CRANE) application process for single-family and multi-family rental projects. HOME is awarded through both of those application processes. DED has an application process for Community Housing Development Organizations (CHDO) to apply for the CHDO set-aside every year for homebuyer projects.

Awarded HOME projects layer funding with many different funding sources, some of those resources are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

## HTF

HTF funds are leveraged in a few different ways. The HTF CRANE Set-Aside provides funding for affordable rental, single or multi-family projects in coordination with Nebraska Investment Finance Authority (NIFA) through the joint DED/NIFA application for low income housing tax credit applications within the Collaborative Resource Allocation for Nebraska (CRANE) application cycle. The HTF CRANE Set-Aside utilizes the Collaborative Resources Allocation for Nebraska (CRANE) application process through NIFA. Some examples of possible sources for leveraged dollars for the HTF CRANE Set-Aside are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

The HTF Targeted Needs Set-Aside provides funding for targeted needs housing projects funded with non-LIHTC resources. These pilot projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. Examples of sources for leveraged dollars for the HTF Targeted Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

The HTF Permanent Housing Set-Aside provides funding for permanent housing projects for the homeless;



persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha Continuum of Care; the Lincoln Continuum of Care; and the Balance of State Continuum of Care. Examples of sources for leveraged dollars for the HTF Permanent Housing Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

## NHAP

ESG funds, through the Nebraska Homeless Assistance Program, were leveraged with Nebraska Homeless Assistance Trust Fund (HSATF) resources. The HSATF is state funding that is based on a twenty five cent set aside on each \$1,000.00 of the value of real estate sold in Nebraska and collected via the documentary tax stamp on real estate sales. HSATF dollars serve as the ESG match funds for the BoS CoC, which well exceeds the 1:1 requirement. DHHS' ability to provide 100% of the match for BoS ESG subrecipients is a benefit to non-profit agencies in order to provide homeless services, particularly in communities that are rural and/or have limited access to private and local funding sources in their communities.

The Nebraska Homeless Assistance Program (NHAP) collaborated with the Balance of State, Omaha and Lincoln CoCs which assists in seamless provision of services to all homeless individuals across the State of Nebraska, including HUD's Continuum of Care funding. The Coordinated Entry system is utilized to prioritize the most vulnerable homeless individual/families and to determine which program, either ESG/NHAP or CoC funded programs, best fits the individual's needs.

Additionally, many of the NHAP subrecipients received additional funding support from a variety of other resources, such as HUD Continuum of Care, Community Services Block Grant, federal funding sources other than HUD, and local charitable foundations, such as the United Way, to provide a comprehensive array of services to the program participants within their homeless programs. These CoC and other federal/State/local funds were considered when NHAP is evaluating financial stability of the organization in the NHAP applicant review process and for NHAP's strategic planning with collaborating agencies.

## HOPWA

Leveraged funds in the HOPWA Program are the amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery. In-kind Leveraged Resources involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources.

**Source of Leveraging Chart for the HOPWA Program**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution
Public Funding		
Ryan White-Housing Assistance	\$18,931.37	Rent Assistance
Ryan White Part C-University of NE Med Center	\$245,150.47	HIV Care
Housing Choice Voucher Program	\$4,500.00	Section 8 Vouchers
Low Income Housing Tax Credit		
HOME		
Shelter Plus Care		
Emergency Solutions Grant		
Ryan White Part B-AIDS Drug Assistance (ADAP)	\$581,377.65	Medications
Ryan White Part B-Utility Assistance	\$27,453.62	Utility Assistance
Ryan White Part B-Food Assistance	\$3,325.00	Food Vouchers
Ryan White Part B-Transportation Assistance	\$43,696.93	Transportation to access care
Ryan White Part B-Outpatient Ambulatory	\$76,380.00	
Calico:	\$2,142.00	
Community Food Pantries	\$6,325.00	
Private Funding		
Grants	\$0.00	
In-kind Resources	\$0.00	
Other Private: NE AIDS Project-Flowers' Fund	\$5,931.55	Housing and utility assistance, supportive services
Other Private:		
Other Funding		
Grantee/Project Sponsor/Subrecipient (Agency) Cash	\$0.00	
Resident Rent Payments by Client to Private Landlord	\$26,300.00	
<b>TOTAL (Sum of all Rows)</b>	<b>\$1,041,513.50</b>	

Nebraska’s HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the Nebraska HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care.

Nebraska’s HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHCP) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and

representation.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The Nebraska HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$43,325,548.96
2. Match contributed during current Federal fiscal year	\$402,776.42
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$43,728,325.38
4. Match liability for current Federal fiscal year	\$ 340,132.50
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$ 43,388,192.88

**Table 4 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
15TFHP36009A	1/30/2017	\$12,830.00						\$ 12,830.00
15TFHP36009B	4/3/2017	\$14,995.00						\$ 14,995.00
15TFHP36009C	4/20/2017	\$12,250.00						\$ 12,250.00
15TFHP36009D	4/7/2017	\$10,550.00						\$ 10,550.00
15TFHO36007A	3/16/2017	\$12,319.00						\$ 12,319.00
15TFHO36007B	2/23/2017	\$24,930.00						\$ 24,930.00
15TFHO36007C	3/29/2017	\$20,091.00						\$ 20,091.00
15TFHO36007D	2/22/2017	\$24,940.00						\$ 24,940.00
15TFHP36009E	5/9/2017	\$12,615.00						\$ 12,615.00
15TFHP36009F	6/7/2017	\$ 14,680.00						\$ 14,680.00
15TFHP36009G	5/24/2017	\$ 14,880.00						\$ 14,880.00
16TFHP31002A	5/15/2017	\$ 3,170.00						\$ 3,170.00
16TFHP31002B	6/30/2017	\$ 5,000.00						\$ 5,000.00
16TFHP31002C	5/26/2017	\$ 3,129.42						\$ 3,129.42
16TFHP36009H	12/29/2016	\$ 15,770.00						\$ 15,770.00
16TFHP31002D	9/21/2017	\$ 1,202.00						\$ 1,202.00
16TFHP31002E	8/28/2017	\$ 4,541.00						\$ 4,541.00
14CHHP5081(7187)	2/21/2017	\$ 114,072.00						\$ 114,072.00
14CHHP5081(7185)	2/21/2017	\$ 80,812.00						\$ 80,812.00
<b>Total</b>		<b>\$402,776.42</b>						<b>\$402,776.42</b>

Table 5 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report**

<b>Program Income – Enter the program amounts for the reporting period</b>				
<b>Balance on hand at beginning of reporting period</b> \$	<b>Amount received during reporting period</b> \$	<b>Total amount expended during reporting period</b> \$	<b>Amount expended for TBRA</b> \$	<b>Balance on hand at end of reporting period</b> \$
0	0	0	0	0

**Table 6 – Program Income**

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	\$21,700,583.50	0	0	0	0	\$21,700,583.50
Number	41	0	0	0	0	41
<b>Sub-Contracts</b>						
Number	35	1	0	0	4	30
Dollar Amount	\$5,310,506.16	\$136,960	0	0	\$532,530	\$4,641,016.16
	Total	Women Business Enterprises	Male			
<b>Contracts</b>						
Dollar Amount	\$21,700,583.50	\$400,000	\$21,300,583.50			
Number	41	2	39			
<b>Sub-Contracts</b>						
Number	35	1	34			
Dollar Amount	\$5,310,506.16	\$146,116	\$5,164,390.16			

**Table 7 - Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 8 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition</b>		
Parcels Acquired		0
Businesses Displaced		0
Nonprofit Organizations Displaced		0

Households Relocated, not Displaced	Temporarily					
		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 9 – Relocation and Real Property Acquisition

## CR-20 - Affordable Housing 91.520(b)

*Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.*

	<b>One-Year Goal</b>	<b>Actual</b>
Number of Homeless households to be provided affordable housing units	452	457
Number of Non-Homeless households to be provided affordable housing units	125	336
Number of Special-Needs households to be provided affordable housing units	57	20
<b>Total</b>	<b>634</b>	<b>813</b>

**Table 10 – Number of Households**

	<b>One-Year Goal</b>	<b>Actual</b>
Number of households supported through Rental Assistance	464	473
Number of households supported through The Production of New Units	70	68
Number of households supported through Rehab of Existing Units	80	171
Number of households supported through Acquisition of Existing Units	25	110
<b>Total</b>	<b>639</b>	<b>822</b>

**Table 11 – Number of Households Supported**

*Discuss the difference between goals and outcomes and problems encountered in meeting these goals.*

As the information above demonstrates, a significant number of households are served annually through affordable housing programs. The goals were obtained in all categories of the above table except for the number of Special-Needs households to be provided affordable housing units and the Number of Households supported through the production of new units. This is because no projects were submitted by our applicants for special-needs households and a lack of applications received for the construction of new housing units.

In regards to the provision of services for homeless individuals in the State of Nebraska, there has been a significant increase from what was projected for the provision of affordable housing and rental assistance for homeless individuals through the expansion of these services using ESG/HSATF funds. Rental assistance for homeless individuals is currently being provided through NHAP resources that include



Tenant Based Rental Assistance (TBRA); financial assistance including rental application fees, security and utility deposits, last month's rent, utility payments, and moving costs; and short-term rent assistance.

One year goals for the number of very low income (less than 30% AMI) households supported were based on the prior year's data for the numbers of households that received tenant based rental assistance including rental payments, security deposits, and last month's rent through the Nebraska Homeless Assistance Program (NHAP) funds which are a combination of federal HUD ESG and Nebraska HSATF funds. All household units that received direct financial assistance by a NHAP funded program were required to adhere to the participant eligibility requirements and NHAP subrecipients were required to collect supporting documentation for services provided as outlined by 24 CFR 576. Since the development of the Consolidated Plan, there has been a significant increase in providing rental assistance through the Rapid Rehousing program and an overall decrease in the numbers served through Emergency Shelter.

One year goals for the number of "Special-Needs" are estimated from the number of households that will be served through the HOPWA Program. The number of households for HOPWA met the projected goals of 16 households served. Please see HOPWA 2017 CAPER for further information. The measurement of the number of households provided rental assistance is the total of the households served through the NHAP and HOPWA programs.

A major barrier in the State of Nebraska continued to be the lack of affordable housing, particularly for the population with income of less than 30% AMI and also met HUD guidelines for Fair Market Value and Rent Reasonableness. The lack of affordable housing stock creates significant barriers for individuals moving from homeless situations into maintaining permanent housing after relatively short-term assistance from NHAP funded Rapid Rehousing programs.

One year goals for the number of "Non-Homeless" are estimated from the number of units proposed within the Housing Program Goal.

The one-year goal of supporting households through the production of new units was accomplished through the use of HOME, HTF, and NAHTF funds through new construction projects of homebuyer and rental units.

The one-year goal of supporting 80 households by rehabilitating existing units exceeded the goal with an outcome of 171 households supported in this manner.

As discussed previously, the one-year goal of supporting 25 households through the acquisition of existing units was met with an actual outcome of 110.

***Discuss how these outcomes will impact future annual action plans.***

The outcomes discussed for program year 2017 reflect that anticipated indicators of outcome measures for affordable housing were useful planning tools for the Nebraska DED and Nebraska DHHS. Therefore future annual action plans will continue to be created in coordination and collaboration with Nebraska partners and stakeholders as discussed in the current consolidated plan.

The Affordable Housing Program of Nebraska DED will continue to utilize outcome measurements to inform decisions and future housing planning, including monitoring outcome results throughout the next program year as grants are awarded to evaluate whether anticipated households served under the specific

objectives are accurately reflecting the current needs of the Housing Priority in Nebraska. The outcome measurement of the number of households supported through Acquisition of Existing Units will be reviewed throughout the year especially to ensure a more accurate description of current need.

#### NHAP

Regarding the NHAP ESG/HSATF funds, DHHS will continue to evaluate the balance of the needs for Emergency Shelter versus Homelessness Prevention and Rapid Rehousing programs throughout the State of Nebraska based on the demonstrated need for services. NHAP will continue to evaluate the balance of the needs for homeless and at risk of homeless services programs throughout the State of Nebraska. Evaluation will be conducted through review of subrecipient data from both the traditional homeless and victim service providers; on-site and fiscal monitoring of subrecipients; continuation of consultation with the Continuum of Care programs and funders, including HUD; and data provided from the Coordinated Entry system to ensure proper alignment of program activities based on identified need and to ensure consistency with program delivery for Rapid Rehousing services and other ESG eligible programs within each regional CoC.

The Nebraska Homeless Assistance program will continue to utilize their advisory body, the Commission on Housing and Homelessness, to search out innovative ideas to provide affordable housing throughout the State of Nebraska. It is through this process and collaboration with DED, NHAP funded grantees, other homeless program providers and input from the Coordinated Entry processes through which the State of Nebraska hopes to assist in the identification of the needs and continued development of affordable housing.

#### HOPWA

HOPWA will continue to utilize outcome measurements to inform decisions and future planning and services for recipients.

*Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.*

<b>Number of Households Served</b>	<b>CDBG Actual</b>	<b>HOME Actual</b>
Extremely Low-income	0	0
Low-income	95	29
Moderate-income	0	0
<b>Total</b>	<b>95</b>	<b>29</b>

**Table 12 – Number of Households Served**

### **Narrative Information**

The above Table represents the Nebraska Affordable Housing Program data available concerning extremely low-income, low-income, and moderate-income persons served by each activity this Program Year where information on income by family size is required to determine the eligibility of the activity. The table displays housing information associated with CDBG and HOME funds. This narrative will include information associated with funding used by the program of CDBG, HOME, HTF, and NAHTF as it relates to renter and homeowner households assisted in regard to income level through housing projects.

The number of extremely low-income, low-income, and moderate-income persons served by each activity of the Nebraska Affordable Housing Program where information on income by family size is required to determine the eligibility of the activity is documented and tracked through the state and federal fiscal databases, and application process and procedures, among other tools. For the purposes of the table above, the number of households served by CDBG and HOME that fall under extremely low is defined as 30% and below AMI; low-income as between 31% and 80%; and moderate income as between 81% and 100%. There are also housing projects which assisted households which are between 81% - 120% AMI, funded through the Nebraska Affordable Housing Trust Fund.

During Program Year 2017, there were 54 projects awarded by the Nebraska Affordable Housing Program.

### **HOME**

Nine (9) HOME projects were awarded.

- Six (6) HOME projects awarded were for rental new construction, creating 18 HOME household units, to be rented by households at or below 60% AMI.
- One (1) HOME projects awarded were for rehabilitation of rental units. These projects result in the rehabilitation of 4 rental units to be rented by households at or below 60% AMI.
- Two (2) HOME CHDO projects are to add 7 homebuyer units. One project is a purchase, rehab, resale project and the other is for new construction of homeowner (homebuyer) housing. Both projects assist households at or below 80% AMI.

### **HTF**

One (1) HTF-funded project awarded will result in four (4) rental rehab units to be rented by extremely low income renter households of 30% AMI or below.

## CDBG

Thirteen (13) Homeowner Housing Rehabilitation projects were awarded CDBG funds. Eighty-six (86) households to be assisted by these projects. These households are at or below 80% AMI.

Also, two (2) Comprehensive Development projects were awarded CDBG funds to create a Purchase, Rehab, Resale program. An additional nine (9) households will be assisted by these projects.

## NAHTF

Twenty-nine projects were awarded with Nebraska Affordable Housing Trust Fund (NAHTF) dollars, of which three (3) projects were homeowner housing rehabilitated projects, thirteen (13) Homebuyer projects; six (6) Rental projects, and seven (7) operating assistance projects. These projects will result in:

- 23 homeowner households at or below 80% AMI assisted;
- 93 homeowner households at or below 100% AMI assisted;
- 62 homeowner households at or below 120% AMI assisted;
- 28 renter households at or below 100% AMI assisted;
- 15 renter households at or below 120% AMI assisted.

## HOPWA

Reports the area median income(s) for all total HOPWA eligible individuals served with HOPWA housing subsidy assistance in the 2017 HOPWA CAPER:

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	71
2.	31-50% of area median income (very low)	10
3.	51-80% of area median income (low)	24
4.	Total (Sum of Rows 1-3)	105

## CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

*Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:*

### *Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs*

#### NHAP

The State of Nebraska's NHAP funding focused on assisting the literally homeless in support of reaching the goal of functional zero of homeless individuals in Nebraska. Nebraska's Coordinated Entry is tapping the potential to coordinate resources across the state to create an efficient homeless services system which targets the most vulnerable homeless individuals in each geographic region. The goal of coordinated entry is to create a seamless process from reaching out to homeless individuals and working collaboratively towards permanent housing utilizing the "Housing First" model.

DHHS has been establishing an overreaching goal to challenge each region to include a more comprehensive array of agencies and other entities who may have contact with both the sheltered and unsheltered homeless population. NHAP subrecipients were required to participate with their local Continuum of Care which serves as the entry point for coordinated assessment for homeless services in the region. Providers in the CoCs worked closely with other community agencies that encounter unsheltered homeless persons in order to build symbiotic relationships to increase the referral networks to CoC provider agencies when the community agencies encounter unsheltered persons in need of assistance. The Coordinated Entry team developed lists of agencies or entities that may be in contact with homeless individuals and provided training on referring to publically identified access points for Permanent Supportive Housing and Rapid Rehousing programs. Those efforts were being made to reduce barriers for homeless and at-risk of homeless individuals accessing programs available in the community.

NHAP also continued to fund Street Outreach programs and/or coordinated NHAP funded activities within agencies who offered Street Outreach programs to provide a support system for those individuals that are unsheltered homeless. As such, a portion of Homeless Shelter Assistance Trust Funds was designated to street outreach to identify unsheltered individuals and support them with the services they needed to allow them to regain stable housing in an expedited manner.

#### HOPWA

The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program from ten clients to sixteen, with hopes of adding more eligible clients soon.

## *Addressing the emergency shelter and transitional housing needs of homeless persons*

### NHAP

Emergency shelter and Transitional Housing are a critical part of the homeless service system and will remain a needed service throughout the State for a variety of reasons, but not limited to; lack of short-term housing solutions for working poor experiencing temporary barriers to independent housing due to financial shortfalls; families experiencing domestic violence, lack of immediately available fair market housing, individuals exiting substance abuse treatment centers, youth under the age of 25; and/or participant need for services in preparation to moving to a supportive living environment. Shelters provide temporary refuge and often serve as the “front door” or first place of entry to those experiencing homelessness to receive supportive services and access mainstream services.

For NHAP programs funded to support Emergency Shelter and Transitional Housing Programs, performance was measured based on:

1. Increased bed utilization rate.
2. Decreased average length of stay of the households served in Emergency Shelter/Transitional Housing.
3. Increase in the percentage of discharged households to permanent housing.
4. Minimal rates of returns to homelessness.

*Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs*

### NHAP

The Nebraska Continuum of Care (CoC) for the BoS has developed a discharge planning policy to implement policies and protocols to prevent discharges from publicly funded institutions or systems of care that immediately result in homelessness. This discharge planning policy has been adopted by the NE BoS. For full review of the policy, this is posted at <https://ccfl.unl.edu/community-services-management/resources/bos-coc-ne-500>. NHAP provides ESG Homeless Prevention and Rapid Rehousing services in each regional Continuum and other agencies, such as Community Action Programs and other non-profit entities, provide wrap-around services to meet the needs of at risk of homeless individuals. Several NHAP funded agencies have outreach workers who connected with incarcerated individuals who were in the process of re-entry to begin applications and to establish supports in the community in efforts to reduce homelessness and recidivism. The Coordinated Entry “No Wrong Door” process also has shown to be an invaluable referral process for individuals/agencies who have contact with homeless or at risk of homelessness households with the homeless service providers in communities.

As per HUD regulations, NHAP subrecipients were required to identify and connect program participants to mainstream services to promote economic stability. Public benefits such as food stamps (SNAP), TANF, and other benefits offered through DHHS and other programs that addressed poverty reduction serve as

examples of services distributed state-wide to provide financial assistance to those who were extremely low-income and required assistance in order to stabilize their financial situation to ensure they were able to maintain self-sufficient housing.

NHAP's goal to increase the percentage of households with cash and/or non-cash benefits for all programs participants has helped those low-income families and individuals to decrease the risk of homelessness. In additional support of those efforts, NHAP provided funding from the Homeless Shelter Assistance Trust Fund to provide financial support for agencies, designed by their local CoC, to support specialized SOAR case managers to assist homeless individuals in accessing SSI and SSDI benefits for those that qualified which resulted in an increase of cash and non-cash benefits at program exit. These efforts included SOAR outreach workers who connected with incarcerated individuals who were in the process of re-entry to begin applications and to establish supports in the community in efforts to reduce homelessness and recidivism. Furthermore, NHAP sought out other partners to utilize the SOAR model for homeless individuals and established partnerships with the Lancaster General Assistance Program and U.S. Probation and Pretrial Services offices which began utilizing the SOAR model to assist homeless individuals with SSI/SSDI applications referred through their respective agencies.

#### HTF

The National Housing Trust Fund (NHTF) is an affordable housing production program that complements existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families. A portion of HTF resources will be used for the creation of additional housing for the targeted needs populations, including populations that may include: children aging out of foster care, and other populations that are extremely low income with housing shortages throughout the state. Preferences will be made for those populations served through the HTF Targeted Needs category.

Nebraska allocates a portion of the State's annual HTF allocation for targeted needs housing projects funded with non-LIHTC resources. These pilot projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. This allocation will be referred to as the "Targeted Needs Set Aside".

#### HOPWA

HOPWA assists low-income individuals and families who also are persons with AIDS avoid becoming homeless, especially extremely low-income individuals and families and those who are likely to become homeless after being discharged from publicly funded institutions and systems of care, such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions. HOPWA provides a number of services and categories of assistance which provide help in areas of housing, health, social services, among others. HOPWA Eligible Individual is the one low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household."

A primary goal of Nebraska's HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus

and a household income at or below 80% of the area median income. Households with income above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska's HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers for the RentWise tenant education course, refers for budgeting and life skills classes, and refers for other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program from ten clients to sixteen, with hopes of adding more eligible clients soon.

The HOPWA Program is leading DHHS' efforts to procure a new case management software system for the HOPWA and Ryan White Part B Programs, which will enhance case management services for clients State-wide.

During the reporting period, the program served 108 households; 67 with short-term rent/mortgage assistance, 34 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 18 with tenant-based rental assistance. Short-Term Rent, Mortgage, and Utility (STRMU) Assistance is a time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

The Nebraska HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving twelve-sixteen clients to eighteen, and having just opened up an additional four more spots. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment.



Resources remain limited in the State (especially in rural areas), but the FY2016 subgrant with NAP did continue to require case management staff to complete the “Getting to Work” Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are “somewhat adherent” or “very adherent” in accessing ongoing HIV health care with 97% of HOPWA clients “somewhat adherent” or “very adherent” to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

*Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again*

#### NHAP

The State of Nebraska upheld the expectation that NHAP sub-recipients adhere to the Housing First model and participate in the Coordinated Entry process to prioritize the homeless populations with the highest need to the Rapid Re-Housing programs towards the end goal ending homelessness in Nebraska. Leadership and funding was provided by NHAP to support the implementation of Outreach, Homelessness Prevention and Rapid Re-Housing services to meet the end objective of increasing the number of homeless households served.

All NHAP subgrantees had the expectation that they participate in their local Continuums of Care to coordinate resources to ensure that homeless populations with the highest need were identified. By CoC’s using the coordinated entry system, this allowed for making appropriate housing decisions and more effectively targeted resources to those most in need. Nebraska utilized the VI-SPDAT tool to target the most vulnerable and chronically homeless individuals, youth and families in the State. Higher scores corresponded to greater need and were prioritized on the each of the CoCs housing list for either Permanent Supportive Housing, if the situation warranted, or Rapid Rehousing if less supports were needed. The Coordinated Entry system also maintains a separate by-name list for transition aged youth (TAY) for specific client-centered Transitional Housing or Rapid Rehousing programs. Consequently, by identifying chronically homeless and the most vulnerable individuals in the state, active coordination between agencies and then making an expedited and appropriate level of intervention, individuals and families experiencing homelessness were served in an efficient manner. Additionally, the HMIS provider supplied monthly reports to NHAP regarding the number of individuals placed on the Coordinated Entry

list to compare to the number of individuals entering Rapid Rehousing to ensure that the Coordinated Entry process is being followed by ESG/HSATF subrecipients according to priority listing as adopted by the CoCs.

With the support of housing stability case management and financial assistance services, NHAP has and will continue to fund subrecipients who work to help keep recently housed individuals from returning to homelessness. The goal to increase the percentage of discharged households with both more cash (either through public assistance, SSI or employment income) and/or non-cash benefits should help increase the economic security many individuals and families need to successfully maintain self-sufficient housing.

#### HTF

In addition, through the utilization of HTF funds, and the collaboration with homeless service providers, additional permanent housing can be created for those extremely low income persons throughout the state. This collaboration continues to include outreach with the Continuum of Care networks throughout Nebraska, including the Omaha CoC, the Lincoln CoC, and the Balance of State.

A portion of HTF funds will be used for the creation and rehabilitation of permanent housing throughout the state. Within this distribution category, preference will be given to persons who are homeless; persons at risk of becoming homeless; and other special needs populations.

Nebraska allocates HTF funds for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha CoC; the Lincoln CoC; and the BoS CoC. This allocation is referred to as the "Permanent Housing Set Aside."

#### HOPWA

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Specifically associated with helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, this program assisted 34 households with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 18 with tenant-based rental assistance. In the 2017 HOPWA CAPER there is a tracking mechanism of a table, "Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)." It is noted in that table that of the 16 households assisted with tenant-based rental assistance, 13 of them continued receiving HOPWA housing subsidy assistance into the next operating year in order to assist with housing stability. There were 11 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement.

Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

In addition, with regard to the prevention of homelessness, and reducing the risks of homelessness, of the 67 households assisted with Short-Term Rent, Mortgage and Utility Assistance (STRMU) Assistance, 21 had an outcome of stable/permanent housing (PH); 41 had an outcome of temporarily stable, with reduced risk of homelessness, and 5 had an outcome of unstable arrangements, with 1 in jail/prison and 4 disconnected from communication. Of the total households that received STRMU Assistance in this operating year, 23 received STRMI assistance in 2 consecutive operating years, and 11 in 3 consecutive operating years. Please see HOPWA CAPER “Assessment of Households that Received STRMU Assistance” table.

## CR-30 - Public Housing 91.220(h); 91.320(j)

### *Actions taken to address the needs of public housing*

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

### *Actions taken to encourage public housing residents to become more involved in management and participate in homeownership*

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

### *Actions taken to provide assistance to troubled PHAs*

The State does partner with housing authorities on some projects. A troubled PHA may try and work with the State in determining ways in which properties owned by the PHA may be improved in order to improve long term viability of properties. No troubled PHAs have worked with the State recently and therefore no additional actions have been taken during this Program Year.

## CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

### *Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)*

MA-40 of the State’s 2015-2019 provides detailed information related to barriers to affordable housing. Below is a summary of that information.

The Consolidated Plan regulations require the state to explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing are affected by public policies. Such policies include tax policy affecting land and other property, land use controls, zoning ordinances, building

codes, fees and charges, growth limits, and policies that effect the return on residential investment. Further, the state is required to remove or ameliorate negative effects of public policies that serve as barriers to affordable housing.

### Tax Policy Affecting Land & Other Property

The State has taken steps to alleviate some of the property tax burden that is a barrier to affordable housing. The majority of property taxes are levied by school districts and in an attempt to reduce some of that burden Nebraska has pushed for the consolidation of schools and in some cases school districts. In addition, the State has devised a State Aid to education formula that subsidizes local school districts, thus reducing local taxes.

In addition, as part of the Tax Reform Act of 1986, the United States Congress created the Low-Income Housing Tax Credit (LIHTC) (IRC Section 42) to promote development of affordable rental housing for low-income individuals and families. To date, it has been the most successful rental housing production program in Nebraska, creating thousands of residences with very affordable rents. The Low-Income Housing Tax Credit, rather than a direct subsidy, encourages investment of private capital in the development of rental housing by providing a credit to offset an investor's federal income tax liability. The amount of credit a developer or investor may claim is directly related to the amount of qualified development costs incurred and the number of low-income units developed that meet the applicable federal requirements for both tenant income and rents. The Nebraska Investment Finance Authority (NIFA) is designated as Nebraska's housing credit allocation agency.

DED collaborates with NIFA by providing HOME or NAHTF resources to create successful projects. Because the current number of LIHTC's are limited in Nebraska in comparison to previous years there are not enough tax credits in order to finance the number of low income housing projects that are needed within the state. Less credits means less affordable housing projects that can be funded.

In order to obtain more credits, the Tax Reform Act needs to be revised at the national level. A larger number of LIHTCs in Nebraska would reduce one of the negative effects of a public policy that impacts affordable housing in the state.

In 2015, efforts were made within the Nebraska Legislature to revise the method for appraisals made on low income housing tax credit projects. This revised approach, which was signed into law by the Governor in 2015, requires that appraisals by the county assessor utilize a income-approach calculation to determine the actual value of a rent-restricted housing project. See also LB356 from the 2015 Nebraska Unicameral. This improved approach will improve the tax policy in Nebraska for low income affordable housing units and will reduce a current barrier that exists for affordable housing development, which is high tax values on low income generating properties.

### Land Use Controls & Zoning Ordinances

In Nebraska, the state government has very limited influence on land use controls. In particular, the state government cannot dictate the content of locally adopted land use regulations, such as local zoning and subdivision regulations; they are a matter of local control. While State law authorizes local governments to adopt comprehensive planning and zoning requirements, it does not dictate the standards to be included in the local regulations. Also, state law does not mandate that counties or municipalities adopt

zoning or comprehensive planning. However, many counties and communities have adopted both zoning and planning ordinances in their areas. The authority to adopt local zoning is permissive; whether to adopt zoning and the requirement to be established is up to the local government.

It is the State's view that there should remain a minimum amount of state-imposed laws, regulations, and ordinances in relation to land use controls and zoning ordinances. Because the state has very little control over these local zoning decisions, land use controls, impact fees, etc. changes would be needed at the local level, if it is determined that local land use controls and local zoning is considered a barrier to affordable housing.

### Building Codes

The State has adopted the International Building Code and the International Residential Code, both of which impact the way in which housing must be constructed within Nebraska. Local ordinances also impact local building codes within any given community. The types of codes that are enacted at the local level may impact affordable housing and be considered a barrier. Building codes may adversely affect housing production and could increase housing costs. Efforts are needed to ensure that local building codes do not hamper additional investment for affordable housing throughout the state.

Revised building codes that could help low income tenants would be to ensure properties are energy efficient and thus reducing the overall utility costs of low income tenants. The costs of implementing such an approach would have to be evaluated in order to determine if it would be an appropriate public policy that would be used to increase affordable housing in Nebraska.

### Growth Limits

In some areas of communities regulatory barriers have been developed which places limits on the number of market rate, rental, and affordable housing that may be located within a given area. These growth limits may be considered a negative public policy that may impact affordable housing. Because many of these limits are made at the local level, the State does not have controls in place that would limit the local control of growth limits in given areas. It is the State's view that there should remain a minimum amount of state-imposed laws, regulations, and ordinances in to growth limits. However, education and outreach are important tools in showing the public that mixed income developments (both market rate and rent-restricted units) are not harmful to communities. This outreach would assist in reducing any negative impacts that growth limits may have on affordable housing.

### Policies Impact Return on Residential Investment

The amount of return on a residential investment is impacted by the amount of rents that can be generated within a rental development or the amount that a property can be sold to a homebuyer after a home is constructed or rehabilitated. In many instances because affordable housing does not generate large monthly rents, the return on residential investment is limited. The same is true for homebuyer units that are sold. Because these are moderately priced properties, when these properties are sold, the amount of return on residential investment is also limited.

The State does not have control on the amount of residential return on investment as this is determined at the local level when a property is sold or when it is rented.

## Other Effects of Public Policy on Affordable Housing & Residential Investment

Through meetings and discussions with the public during the development of the Consolidated Plan some additional barriers or constraints to the development of affordable housing were determined that included: lack of housing contractors throughout the state; housing construction costs (including labor and materials); residential home values in certain markets; lack of sufficient rental units in some communities; lack of residential units for homebuyers (both low income and market rate) in many communities; lack of infrastructure for development, and ; insufficient establishment and enforcement of building codes. These barriers negatively affect both the private and public sectors in ensuring that proper affordable housing and residential investment is made available within communities throughout the state.

The State continues to evaluate ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded.

The State has little control over the costs of materials and labor, and therefore the overall housing construction costs, but can work with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.

The State also has little control over the value of residential units in certain markets. Market conditions vary from community to community. In some instances, it may be necessary for additional subsidies to be obtained in order to make it feasible for developers and contractors to build in certain markets.

In terms of lack of residential units (both for rental and homebuyers) the State continues to partner with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.

The State does work to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives including through the Nebraska Affordable Housing Program (NAHP).

### ***Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)***

The State continued its efforts to meeting underserved needs by providing funding to several organizations throughout the state.

### ***Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)***

Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to

ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

Other Organizations that the State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

### ***Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)***

According to 2013 – 2017 ACS 5-Year Estimates, approximately 12.0% of the population in Nebraska is below the poverty level. The State of Nebraska recognizes that a single program or emphasis cannot be used to alleviate poverty across the state. The causes of poverty vary widely as do the required actions to reduce the number of poverty-level households. Accordingly, the goals, programs and policies described in this section are intended to work collectively to reduce the number of poverty-level families in the State of Nebraska.

The State, in coordination with non-profit agencies and business, can influence the chances of helping individuals and families move up and out of poverty by supporting local and regional efforts to improve family and individual incomes. The State's goals related to providing decent housing, suitable living environments and expanding economic opportunity can be accomplished through various program strategies and services that will assist in the reduction of families and individuals experiencing poverty.

More specifically, the State will target economic and community development activities to increase the number of high paying jobs in the State. These activities are targeted at low to moderate-income residents to assist these persons in accessing high paying jobs. State and regional projects and initiatives that will impact the employment and economic levels of employees and residents, such as infrastructure improvements to water and sewer systems, community facilities, downtown commercial rehabilitation, and economic development activities, will create a positive economic environment.

The State also provides affordable housing to persons that are low to moderate-income through the housing programs listed in the following section. All beneficiaries of these programs must be low to moderate income or extremely low-income individuals. While these programs do not directly reduce the number of poverty-level families in the state, they can alleviate the cost burden of housing for households that are already impoverished.

Details about the programs which assist with these anti-poverty goals and policies are included in the following section.

Some programs that assist with this anti-poverty strategy include: CDBG, HOME, HTF, NHAP, and HOPWA. A summary of the anti-poverty strategy for each of these four programs includes:

#### **CDBG**

The primary objective of the program includes assisting low income persons throughout the state by utilizing CDBG resources for project activities that include owner occupied rehabilitation for low income

homeowners; job creation for low income employees of eligible businesses; infrastructure and community facilities improvements in order to assist those communities who have populations with at least 51% of the residents who are low income; and downtown revitalization and community revitalization projects which include primarily improving low income areas of the community or eliminating slum and blight within a community.

## HOME

Program resources are used to create additional low income rental units for persons at or below 60% of the Area Median Income through the State's coordination with Low Income Housing Tax Credit developments. In addition, the State assists low income homebuyers at or below 80% of the Area Median Income through homeownership opportunity programs.

## HTF

The National Housing Trust Fund (HTF) is an affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families.

## NHAP

NHAP is the recipient of the HUD Emergency Solutions Grant (ESG) funds and the state funded Homeless Shelter Assistance Trust Fund (HSATF). Street outreach, emergency shelter, homeless prevention and rapid rehousing services and supports provided from NHAP subrecipients can influence the chances of shifting families and individuals to move up and out of poverty. NHAP funded subrecipients assist families in accessing mainstream public assistance programs (such as TANF, Medicaid, SNAP, etc.), support effective stabilized housing situations and provide skills development to allow individuals to obtain better jobs and become self-sufficient. This coordination between NHAP and the funded subrecipients for the delivery of such services will help reach the plan goals for providing suitable living environments and expanded economic opportunity.

## HOPWA

Program resources serve special needs populations who are in the need of rental assistance of other services.

In addition, the State of Nebraska administers a number of programs designed to reduce the number of individuals and families who live in poverty. Federal funding supports the majority of the programs and State resources supplement those resources. Some of the additional programs that the State provides in order to address the health, well-being, and housing needs of its low income residents includes:

### *Childcare Assistance*

State subsidized childcare allows low-income families to work and have the assistance they need in order to pay for their childcare services. DHHS is the lead agency that administers this program which includes childcare subsidy payments and the collaboration with Department of Education's Head Start Program.



### *Food Stamps*

The federal Food Stamp Program helps low income households purchase food and raise their nutrition levels.

### *Head Start*

This program provides quality, comprehensive services including education, nutrition, family support, and parent involvement in order to prepare low income children and their families for school.

### *Lead-Based Paint Hazard Program*

Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

### *Other Organizations*

The State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

### ***Actions taken to develop institutional structure. 91.220(k); 91.320(j)***

Actions were taken during Program Year 2017 to develop institutional structure per 91.220(k) and 91.320(j). SP-40 of the Consolidated Plan provides details on the overall institutional delivery structure through which the State can and will seek to partner, collaborate, and cooperate in order to carry out the intent of the Consolidated Plan. This includes funding through CDBG, HOME, HTF, ESG, and HOPWA which will be used within this institutional structure.

This section of the Consolidated Plan provides a description of the entities and organization in which the State partners in order to carry out its programs.

Within the current program year, the State carried out the actions defined within its delivery structure. This included significant outreach and collaboration.

### ***Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)***

Through significant collaboration the State continued to make outreach efforts between public and private housing and social service agencies. This was completed through the State's participation with these organizations during the funding of its programs. In addition, through consultation with the Nebraska Commission on Housing and Homelessness (NCHH), the State was able to collaborate further with homeless service providers; housing developers; non-profit organizations; and other public and private entities that could provide input and partnership in carrying forward the State's objectives.

### *Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)*

DED recognizes that it can, and when appropriate does, take action to overcome impediments to fair housing choice within the State of Nebraska. During the 2017 Program Year, DED staff undertook the following actions:

- Trained approximately 42 community representatives on housing law, including education about the fair housing complaint system, as well as design and construction standards at the CDBG certification training June 26 – 29, 2018, and one CDBG re-certification training November 14- 17, 2017.
- On October 25-26, the Nebraska Workforce Housing Forum was held in Kearney, Nebraska at the Younes Conference Center. The event was sponsored by the Nebraska Investment Finance Authority (NIFA), Nebraska Department of Economic Development (DED), Nebraska Housing Developer's Association (NHDA), Nebraska Public Power District (NPPD), and Midwest Housing Development Fund, Inc. (MHDF). Attendees were able to hear from a variety of community leaders and partners regarding the needs and opportunities for workforce housing throughout Nebraska.
- Organized and presented application guideline workshops on March 7, 12, & 15, 2018 for the NAHTF program. The workshops were held in Lincoln, Omaha, and via videoconferencing satellite sites across Nebraska. The CDBG Application Guideline Workshop on May 22 & 24, 2018. The workshops were held in Lincoln, North Platte, and via videoconferencing satellite sites across Nebraska. Federal rules and regulations concerning furthering fair housing are included in application guidelines content. In addition, training on Limited English Proficiency (LEP) and the Four Factor Analysis requirements for grant applicants and DED staff was included.
- Attended the annual Housing Innovation Marketplace Conference on March 13-14, 2018, which brings together more than 400 community leaders and housing partners from across Nebraska and the country to discuss affordable housing and community development. This conference offers educational sessions, networking opportunities with colleagues and great exhibitors, and creates an environment for financial resources, communities and developers to come together to stimulate the creation of affordable housing development in Nebraska.
- Co-sponsored and attended the Lincoln Commission on Human Rights Civil Rights Conference, on April 24, 2018, in Lincoln, Nebraska. Several sessions focused on housing including: "Dangerous Animal and Breeds"; "Housing Fair Act 1968 Overview"; and "Hot Topics in Fair Housing".

#### LEP Program

The Limited English Proficiency Program training materials of the DED Affordable Housing Program includes a training video which state staff can use that addresses the basics of the Fair Housing Act and associated information. This video can be used to train housing organizations that have been awarded Federal housing funds.

#### Fair Housing Information

Fair housing information pamphlets are available to the public at the DED Affordable Housing Program office. An Equal Housing Opportunity icon link to the U.S. Department of Housing and Urban Development website section concerning Fair Housing is posted on the Nebraska DED website.

The current Analysis of Impediments to Fostering Fair Housing is available on the DED website and has additional information regarding actions that are proposed for the upcoming years.

## Strategy Review

During the 2017 Program Year, the State reviewed its strategy to affirmatively further fair housing which included:

- Testing, enforcement, and education for landlords and property managers to better understand some barriers to affordable housing;
- Improvements to guidance on barriers to affordable housing and monitoring by DED;
- Educating grantees about potential barriers to affordable housing.

## Other Actions

- Evaluating ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded;
- Working with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.
- Partnering with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.
- Working to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives including through the Nebraska Affordable Housing Program (NAHP).
- The State will continue to improve the housing conditions of persons who are living in substandard housing by assisting within owner occupied rehabilitation and new residential construction of quality affordable housing.
- The State will continue to reduce the risk of lead based paint hazards in aging housing stock by continuing lead based compliance and reduction efforts for houses that are assisted with state and federal resources.

## Section 3

The State provided training to grant administrators on Section 3 outreach and responsibilities. In addition, the State modified and provided Certification as a Section 3 Resident and Certification as a Section 3 Business Concern forms made easily accessible on the Department website for communities to use for Certification and outreach to Section 3 residents and businesses.

## State's Actions to Increase WBE/MBE Participation

The state provided guidance and training to administrators and grantees on appropriate procurement and bidding procedures to allow for more WBE/MBE business opportunities.

## CR-40 - Monitoring 91.220 and 91.230

*Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements*

To ensure that all statutory and regulatory requirements are being met for activities funded with HUD funds, DED and DHHS use various monitoring standards and procedures.

DED and DHHS are responsible for ensuring that grantees under the CDBG, HOME, HTF, ESG, and HOPWA Programs carry out projects in accordance with both federal and State of Nebraska statutory and regulatory requirements. In most cases, these requirements are set forth in the grant contract executed between the State and the Grantee. The State provides maximum feasible delegation of responsibility and authority to grantees under the programs. Whenever possible, deficiencies are rectified through constructive discussion, negotiation and assistance.

### CDBG

DED conducts two basic types of monitoring that is determined by an established “Risk Analysis” process which includes: off-site monitoring (also known as “desktop monitoring”), and on-site monitoring. DED staff regularly review each project to verify that it is proceeding in the manner set forth in the grant agreement and in accordance with applicable laws and regulations. The “Risk Analysis” monitoring plan determines whether a project review is conducted by desktop monitoring or on-site monitoring.

Desktop monitoring is an ongoing process in which the program representative, responsible for overseeing the grantee’s project, uses all available information to review the grantee’s performance in carrying out the approved project. This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract Amendments and/or Extensions to the Grant Agreement; Project Status Reports, Requests for Funds (Drawdown); DED database review; and other supporting documents.

On-site monitoring is a structured review conducted by the program representative at the locations where project activities are being carried out, or where project records are being maintained. An on-site monitoring visit is normally conducted during the course of a project, unless determined otherwise by the “Risk Analysis” process. The “Risk Analysis” components for determining whether a desktop or on-site monitoring review is appropriate includes, but is not limited to: a) the amount of the grant award; b) the length of time since a grantee was monitored; c) the length of time a program representative last evaluated a grantee; d) significant outstanding audit issues; e) significant outstanding compliance issues; and f) the types of prior projects monitored.

The monitoring review considers all available evidence of whether a project conformed to an approved Program; whether substantial progress toward program goals had been met; compliance with applicable laws and regulations; and continued capacity of the Grantee and Grant Administrator to carry out the approved Program project.

Checklists are utilized to ensure that all issues are addressed. DED has CDBG specific checklists based on the types of activities within a given project. The number of times a project is monitored will depend upon the issues that may arise during the desktop or on-site monitoring.

During the 2017 Program Year, the CDBG Program implemented a revised Monitoring Plan. The revised monitoring plan, a CDBG Risk Analysis Tool was developed to enhance the current analysis procedures, which allows staff to conduct more general considerations of overall projects, and additional considerations for scoring grantees. This revision enables the Department to determine whether desktop monitoring or on-site monitoring is appropriate. The CDBG Project Status Report was updated to include additional review for national objectives and activity eligibility, project progress and performance, and drawdown review. This ensured that additional desktop and on-site monitoring will be conducted in a timely fashion. Additional financial reviews related to CDBG projects were conducted for review of grantee's drawdowns. This further ensures that the comprehensive database record tracks drawdown review documentation for CDBG grant's activity expenditures.

#### HOME & HTF

DED conducts three types of monitorings for HOME and HTF projects: continual off-site monitoring, and initial on-site monitoring and ongoing on-site monitoring. During the initial contract period all projects receive continual off-site monitoring, DED staff regularly review each project to verify that it is proceeding in the manner set forth in the grant agreement and in accordance with applicable laws and regulations.

Off-site monitoring is an ongoing process in which the program representative, responsible for overseeing the grantee's projects uses all available information to review the grantee's performance in carrying out the approved project. This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract Amendments and/or Extensions to the Grant Agreement; Requests for a Draw Down of Funds; DED database review; and other supporting documents

An initial on-site monitoring is a structured review conducted by the program representative or a member of the Housing Team at the location where project activities are being carried out or where project records are being maintained. All projects receive an on-site monitoring visit prior to closeout. No projects received an initial on-site monitoring visit during the 2017 Program Year.

Rental projects funded through the HOME & HTF program with a long-term affordability are subject to continual on-site monitoring visits from the Department. Continual on-site monitoring visits are conducted by a member of the Housing Team to ensure the grantee is continuing to comply with all program regulations. Thirty (30) HOME-Assisted projects received a continual on-site monitoring visit from the Department during the 2017 Program Year.

#### NHAP

DHHS conducted monitoring of the NHAP funded programs on an on-going basis throughout the program year. Subrecipients are monitored to ensure compliance with ESG regulations and program requirements. NHAP's general oversight objectives included:

- Reviewing compliance with program regulations
- Preventing the use of program funding for ineligible costs
- Identifying technical assistance and training needs

The NHAP utilized the following monitoring process for the ESG program:

*Desk Monitoring:*

Prior to payment, the NHAP staff reviewed every reimbursement request submitted by all subrecipients each quarter for: 1) math accuracy; 2) form completeness; and 3) apparent compliance with allowable and reasonable cost requirements.

After each billing quarter was completed, NHAP selected a minimum of two (2) cost items from a minimum of five (5) subrecipients for a more detailed review. Each subrecipient reviewed was required to provide supporting documentation that the costs were incurred, paid and allowable.

*On-site visits:*

For FY17, NHAP performed 4 on-site monitoring visits, focused on subrecipients with apparent issues, new administrative staff and/or length of time since last review. The agencies that were monitored included an in-depth review of financial policies and procedures, ESG written policies and procedures, shelter inspections and program participant files.

In FY17, NHAP further performed in-depth review of the SOAR subrecipients for NE BoS which included required submission of monthly performance reports, documentation of participation in the Nebraska SOAR Leadership calls and other SOAR training provided by PRA, Inc., and submission of outreach plans which included the referral processes and documentation of outreach efforts.

## HOPWA

The Nebraska HOPWA Program complies with all terms and conditions, including recordkeeping and reports (which must include racial and ethnic data on participants) for program monitoring and evaluation purposes, as HUD may establish for purposes of carrying out the program in an effective and efficient manner. A case management software system, Provide® by Groupware Technologies, Inc., is used by the DHHS HOPWA Program and all offices of the HOPWA Program Sponsor, the Nebraska AIDS Project (NAP). There are five NAP offices throughout the State of Nebraska, which are located in Lincoln, Kearney, Norfolk, Omaha and Scottsbluff. NAP Case Managers input all client data, including demographics such as race, ethnicity, and gender, to name a few, into the system. The DHHS HOPWA Program utilizes this software system to run reports concerning client demographics.

The NE DHHS HOPWA Program Manager conducts annual on-site audits and file reviews totaling 100% of all client files once per year at each NAP Office. The electronic client profiles in the software system, Provide®, and the hard copy client files are both reviewed. The DHHS HOPWA Program Manager has remote access to Provide® and utilizes a State laptop during the audit to discuss any questions concerning Provide® data with NAP Staff.

A second audit and file review is completed in the second-half of the year by the DHHS HOPWA Program Manager, which is approximately six months after the full review. This audit includes a random sampling of files totaling a minimum of 30% of all current clients. In previous years the DHHS HOPWA Program Manager did conduct two full (100%) file reviews at the State's largest NAP Office located in Omaha, Nebraska.

NAP staff receive both a copy of the completed Excel spreadsheet used by the DHHS HOPWA Program Manager while auditing the data and a PDF Word document narrating any findings and required actions as a result of the audit by the DHHS HOPWA Program Manager. NAP Staff have sixty days from the date of the report to resolve any issues and respond to the DHHS HOPWA Program. If verification of an HIV diagnosis is missing in the client files, an immediate response from NAP staff is required.

The DHHS HOPWA Program conducts monthly financial reviews of NAP. These reviews are completed remotely. NAP submits billing documents, salary allotments and income/expense and service logs by email for review by the HOPWA Program Manager. Clarifications and questions are discussed and addressed between the DHHS HOPWA Program Manager and the NAP Executive Director through communication by email and/or phone and on an immediate basis when they occur.

## Citizen Participation Plan 91.105(d); 91.115(d)

*Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.*

Per the State's Citizen Participation Plan, the proposed 2017 CAPER was made available to the public for review and comment. Public notices were printed in 4 newspapers throughout the state that included the *Scottsbluff Star Herald*; the *Kearney Hub*; *Norfolk Daily News*; and the *Lincoln Journal Star*. The public notice contained language that addressed if persons required sensory accommodations to contact the consolidated plan coordinator, and language in Spanish that also advised to contact the coordinator if sensory accommodations were needed. The public notice was also made available on the DED website and emailed out to various entities throughout the state.

The public comment period occurs January 17, 2019 through January 31, 2019 regarding the 2017 CAPER.

A total of ten public comments were received. The public comments received and responses are located within the CAPER Appendix, Nebraska CDBG Performance Evaluation Report for Grant No: B-17-DC-31-0001.

## CR-45 - CDBG 91.520(c)

*Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.*

A new CDBG category called Comprehensive Development (CD) was implemented in the 2016 Program Year and continues into the 2017 Program Year. The CD category incorporates the activities from the discontinued categories of Comprehensive Revitalization (CR) and Comprehensive Investment & Stabilization (CIS). The reason for the revision to the state's program objectives is based on DED's evaluation of the CR and CIS categories in not meeting expectation for success in community revitalization within low-moderate income neighborhoods as anticipated.

*Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?*

No

*[BEDI grantees] Describe accomplishments and program outcomes during the last year.*

## CR-50 - HOME 91.520(d)

*Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations. Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.*

### *On-Site Inspections: Affordable Rental Housing*

During the 2017 Program Year DED reviewed the required schedule for monitoring compliance in §92.504(d) and developed and implemented a monitoring strategy for the 2017 Program Year (along with a strategy for the future years) to help improve our accomplishments of on-site reviews.

In the 2017 Program Year, 30 on-site reviews were completed. Although the on-site reviews were limited, other reviews performed on the properties included: rent and income compliance reporting and draw down reviews.

*Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.*

Thirty (30) HOME-Assisted projects received an On-Going Rental On-Site Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) visit during the 2017 Program Year by DED staff members. All projects visited were reviewed on eight (8) primary areas of their project: Tenant Selection, Property Standard, Rent and Occupancy Requirements, Management and Financial Viability, Affirmative Marketing – Outreach, Affirmative Marketing – Beneficiary Data, Affirmative Marketing – Owner Participation, and Affirmative Marketing – Compliers.

Seven (7) of the thirty (30) projects monitored received no findings. Of the remaining twenty-three (23) projects monitored fifteen (15) findings were issued for Property Standards, thirteen (13) findings were issued for Rent and Occupancy Requirements, four (4) findings were issued for Management and Financial Viability, one (1) finding was issued for Affirmative Marketing – Outreach, and thirteen (13) findings were issued for Affirmative Marketing – Owner Participation. No findings were issued for Tenant Selection,



Affirmative Marketing – Beneficiary Data and Affirmative Marketing – Complaints. DED has successfully worked with thirteen (13) of the HOME-Assisted projects receiving findings to address the issues and make adjustments to their programs to clear the findings. The Department continues to work with the remaining ten (10) HOME-Assisted projects with outstanding findings to make adjustments and improvements to their program to clear the findings

The following table titled “HOME Monitoring Visits Completed During 2017 Program Year” provides a list of all projects that received an On-Going Rental On-Site Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) visit during the 2017 Program Year. The table also details the specific findings issued per project.

HOME Monitoring Visits Completed During 2017 Program Year								
Grantee Name	Award #	Type of Monitoring	Visit Date	HOME Units	Total Units	Location	Period of Affordability (Years)	Findings
Alliance Housing Authority	07-OERH-1099	Ongoing	June 5, 2018	5	18	Alliance	20	2 Findings: Rent and Occupancy Requirements & Affirmative Marketing Owner Participation
Alliance Housing Authority	09-OERH-1062	Ongoing	June 5, 2018	3	18	Alliance	20	2 Findings: Rent and Occupancy Requirements & Affirmative Marketing Owner Participation
Aurora Housing Development Corporation	97-CH-019-01	Ongoing	May 8, 2018	12	18	Aurora	20	2 Findings: Property Standards & Rent and Occupancy Requirements
Blue Valley Community Action	01-CH-001-02	Ongoing	May 31, 2018	8	18	Beatrice & Odell	20	No Findings
Blue Valley Community Action	97-CH-001-01	Ongoing	May 31, 2018	7	16	Beatrice	20	1 Finding: Property Standards
Blue Valley Community Action	97-CH-001-02	Ongoing	May 31, 2018	8	18	Beatrice & Fairbury	20	No Findings
City Impact	11-OERH-8045	Ongoing	June 5, 2018	4	14	Lincoln	20	3 Findings: Property Standards, Rent and Occupancy Requirements, & Affirmative Marketing Owner Participation
Fremont Housing Authority	99-OE-619-02	Ongoing	March 29, 2018	7	32	Fremont	20	No Findings
Gothenburg Housing Development Corporation	98-OE-078-01	Ongoing	May 30, 2018 & June 8, 2018	5	24	Gothenburg	20	1 Finding: Property Standards
Grand Island Area Housing Corporation	96-CH-018-01	Ongoing	April 19, 2018	60	60	Grand Island	20	2 Findings: Property Standards & Affirmative Marketing Owner Participation
Grand Island Area Housing Corporation	98-CH-018-01	Ongoing	April 19, 2018	60	60	Grand Island	20	3 Findings: Property Standards, Rent and Occupancy Requirements, & Affirmative Marketing Owner Participation
Holdrege (City of)	97-SR-097-01	Ongoing	April 25, 2018	10	32	Holdrege	20	1 Finding: Property Standards
Holdrege Housing Authority	07-OERH-4097	Ongoing	April 24, 2018	4	16	Holdrege	20	3 Findings: Property Standards, Rent and Occupancy Requirements, & Management and Financial Viability
Holdrege Housing Authority	09-OERH-4066	Ongoing	April 24, 2018	4	18	Holdrege	20	3 Findings: Property Standards, Rent and Occupancy Requirements, & Management and Financial Viability
Housing Development Corporation	02-CH-013-02	Ongoing	April 19, 2018	11	5	Hastings	20	1 Finding: Property Standards
Housing Development Corporation	08-OERH-4105	Ongoing	May 22, 2018	4	13	Grand Island	20	No Findings
Housing Development Corporation	10-OERH-4080	Ongoing	May 22, 2018	3	24	Grand Island	20	1 Finding: Affirmative Marketing Owner Participation
Housing Development Corporation	11-OERH-4050	Ongoing	May 22, 2018	4	30	Grand Island	20	No Findings
Keith County Housing Development Corporation	05-OE-317	Ongoing	June 5, 2018	4	16	Ogallala	20	3 Findings: Property Standards, Rent and Occupancy Requirements, & Affirmative Marketing Owner Participation
Lincoln (City of)	94-SR-010-02	Ongoing	April 12, 2018	6	28	Lincoln	20	No Findings

Grantee Name	Award #	Type of Monitoring	Visit Date	HOME Units	Total Units	Location	Period of Affordability (Years)	Findings
Lincoln (City of)/Lincoln Housing Authority	96-SR-010-01	Ongoing	April 5, 2018	2	26	Lincoln	20	1 Finding : Management and Financial Viability
Lincoln Civic Housing	06-OERH-8113	Ongoing	April 5, 2018	6	76	Lincoln	20	No Findings
Lincoln County Community Development Corporation	97-CH-022-02	Ongoing	May 24, 2018 & May 30, 2018	16	64	North Platte	20	1 Finding: Property Standards
Midwest Housing Initiative	10-OERH-6081	Ongoing	June 5, 2018	3	16	Falls City	20	3 Findings: Property Standards, Rent and Occupancy Requirements, & Affirmative Marketing Owner Participation
Midwest Housing Initiatives	09-OERH-4064	Ongoing	June 5, 2018	3	16	Minden	20	2 Findings: Rent and Occupancy Requirements & Affirmative Marketing Owner Participation
Midwest Housing Initiatives, Inc.	06-OERH-4111	Ongoing	May 16, 2018	5	28	Grand Island	20	2 Findings: Rent and Occupancy Requirements & Affirmative Marketing Owner Participation
Midwest Housing Initiatives, Inc.	06-OERH-6109	Ongoing	May 16, 2018	5	18	York	20	2 Findings: Rent and Occupancy Requirements & Affirmative Marketing Owner Participation
Norfolk (City of)	94-SR-013-01	Ongoing	May 15, 2018	19	84	Norfolk	20	1 Finding: Property Standards
Omaha (City of)	01-SR-703-02	Ongoing	May 10, 2018	10	132			4 Findings: Property Standards, Management and Financial Viability, Affirmative Marketing Outreach, & Affirmative Marketing Owner Participation
Seward County Housing Corp.	09-OERH-6063	Ongoing	June 5, 2018	3	16	Seward	20	2 Findings: Rent and Occupancy Requirements & Affirmative Marketing Owner Participation

The following table titled “HOME Monitoring Visits Outstanding for 2017 Program Year” provides a list of fifty-five (55) HOME-Assisted projects that should have received an On-Going Rental On-Site Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) visit during the 2017 Program Year based upon the schedule in §92.504(d). DED Management has developed and implemented a monitoring strategy for the 2018 Program Year to ensure each of these projects receives an on-site monitoring visit between July 1, 2018-June 30, 2019. The strategy developed will help the Department ensure the agency is in compliance with the schedule outlined in §92.504(d) moving forward.

**HOME Monitoring Visits Outstanding for 2017 Program Year**

Grantee Name	Award #	Type of Monitoring Needed	HOME Units	Total Units	Location	Period of Affordability (Years)
ARC of Lincoln	97-OE-050-01	Ongoing	6	6	Lincoln	20
Ashland (City of)	10-OERH-6082	Ongoing	2	8	Ashland	20
Auburn Industrial Development Corporation	04-OE-603-02	Ongoing	4	16	Auburn	20
Aurora Housing Development Corporation	05-OE-401	Ongoing	4	10	Aurora	20
Aurora Housing Development Corporation	08-OERH-4088	Ongoing	3	10	Aurora	20
Beatrice (Housing Agency of the City of)	04-OE-602-02	Ongoing	5	11	Beatrice	20
Bethpage Mission (Mosaic)	94-OE-085-01	Ongoing	16	16	Grand Island	20
Blue Valley Community Action	00-CH-001-02	Ongoing	6	15	Geneva & York	20
Blue Valley Community Action	01-CH-001-04	Ongoing	7	16	Crete	20
Blue Valley Community Action	04-CH-601-02	Ongoing	4	12	York	20
Blue Valley Community Action	98-CH-001-03	Ongoing	4	4	Dorchester	20
Blue Valley Community Action	99-CH-001-02	Ongoing	7	17	Beatrice	20
Blue Valley Community Action	99-CH-001-03	Ongoing	4	4	Diller	20
Central City Housing Authority	06-OERH-4110	Ongoing	4	12	Central City	20
Central Nebraska Community Service	94-CH-007-01	Ongoing	12	12	Central City & Loup City	20
Central Nebraska Community Service	94-CH-007-02	Ongoing	12	12	Ainsworth, Cairo, Greeley & O'Neill	20
Cirrus House	10-OERH-1085	Ongoing	3	11	Scottsbluff	20
Community Action Partnership MID-Nebraska	98-OE-006-03	Ongoing	5	5	Stratton, Trenton, Hays Center, Palisade	20
Community Action Partnership of Mid-Nebraska	96-CH-006-01	Ongoing	3	3	Oxford, Brady, Imperial	20
Community Action Partnership of Mid-Nebraska (R.A.F.T. Project)	95-CH-006-01	Ongoing	15	15	Glenvil, Farnam, North Platte, Curtis, Holdrege, Gothenburg, Brady, Kearney	20
Community Action Partnership of Western Nebraska	98-CH-024-03	Ongoing	4	4	Potter	20
Community Action Partnership of Western Nebraska	98-OE-024-02	Ongoing	10	48	Sidney	20
Decatur Development Corporation/Three Rivers Housing Development Corporation	03-OE-507-02	Ongoing	4	4	Decatur	20
Gage County Economic Development	00-OE-077-02	Ongoing	7	17	Beatrice	20
Gage County Economic Development	03-OE-602-02	Ongoing	4	10	Beatrice	20
Gage County Economic Development	98-OE-077-01	Ongoing	5	24	Beatrice	20

Gering (City of)	00-OE-626-02	Ongoing	10	49		20
Gering (City of)	02-SR-105-02	Ongoing	5	49	Gering	20
Gothenburg (Housing Authority of)	08-OERH-3106	Ongoing	4	13	Gothenburg	20
Greater Loup Valley Activities	09-OERH-2065	Ongoing	4	12	Ord	20
Greater Wahoo Development Foundation	00-OE-624-02	Ongoing	6	24	Wahoo	20
Harvard Townhouse, Inc.	02-OE-404-02	Ongoing	4	12	Harvard	20
Holy Name Housing Corporation	05-OE-701	Ongoing	3	24		20
Lexington Housing Authority	08-OERH-3090	Ongoing	3	20	Lexington	20
Lincoln Action Program/Community Action Partnership	99-OE-621-02	Ongoing	8	16	Wahoo	20
Lincoln County Community Development Corporation	98-CH-022-02	Ongoing	5	7	Hershey & Wallace	20
Midwest Housing Development Fund, Inc.	07-OERH-5098	Ongoing	4	14	Norfolk	20
Midwest Housing Initiatives, Inc.	02-OE-502-02	Ongoing	10	24	South Sioux City	20
Native Council on Economic & Community Development	97-CH-004-01	Ongoing	20	20	Macy	20
Nebraska Housing Resource	05-OE-801	Ongoing	4	12	Lincoln	20
Omaha Economic Development Corporation	08-OERH-7108	Ongoing	4	16	Omaha	
Orchard (Village of)	97-SR-094-01	Ongoing	4	4	Orchard	20
Palmer (Village of)	93-OE-082-01	Ongoing	1	6	Palmer	
Saint Paul Housing Authority	10-OERH-4079	Ongoing	2	12	Saint Paul	20
Scotts Bluff (Housing Authority of the County of)	03-OE-103-02	Ongoing	2	6	Bridgeport	20
Scotts Bluff (Housing Authority of the County of)	03-OE-104-02	Ongoing	2	6	Gering	20
Sidney (City of)	04-SR-101-02	Ongoing	7	49	Sidney	20
Snyder (Village of)	98-OE-089-01	Ongoing	8	16	Snyder	20
South Central Behavioral Services, Inc.	98-OE-079-01	Ongoing	7	7	Hastings	20
Southeast Nebraska Community Action Partnership, Inc.	98-OE-021-01	Ongoing	5	24	Nebraska City	20
Stuart Village Manor, Inc.	95-OE-088-01	Ongoing	2	4	Stuart	20
Syracuse Housing Development Corporation	99-OE-622-02	Ongoing	5	12	Syracuse	20
Three Rivers Housing Development Corporation	98-OE-014-03	Ongoing	6	12	Tekamah	20
Wayne Community Housing Development Corporation	95-CH-020-01	Ongoing	16	16	Wayne	20
Wayne Community Housing Development Organization	08-OERH-5089	Ongoing	2	10	Wayne	20

The following table titled “HOME Projects Needing Completion Inspection” provides a list of forty-three (43) HOME-Assisted project that require a Completion Inspection in accordance with §92.504(d). DED Management is developing a monitoring strategy to address these projects needing a Completion Inspection to ensure the agency is in compliance with the schedule outlined in §92.504(d) moving forward.

**HOME Projects Needing Completion Inspection**

<b>Grantee Name</b>	<b>Award #</b>
Community Action Partnership	09OEHO1075
High Plains Community	10CHHP1083
Lincoln County Community Development Corporation	10CHHP3077
Community Action Partnership of Mid	10OEHO4086
Blue Valley Community Action	10OEHO6087
Central Ne Econ. Dev. Inc.	10OEHP2090
McCook Economic	10OEHP3022
Cirrus House, Inc.	10OERH1085
Housing Dev. Corp.	11CHHP4043
Central Ne Econ. Dev. Inc.	11OEHO2052
Community Action Partnership of Mid	11OEHO3041
West Central Ne Devel	11OEHO3046
Southwest Nebraska Comm.	11OEHO3051
Sandhills Resource C & D	11OEHO3053
Housing Dev. Corp.	11OEHO4042
Omaha Economic	11OERH7056
NEHI, Inc.	12CHHP2001
Lincoln County Community Development Corporation	12CHHP3013
NEHI, Inc.	12CHHP5003
Three Rivers Housing	12CHHP5008
Neighborworks Northeast Ne	12CHHP5015
Scotts Bluff County Housing Authority	12OERH1054
Midwest Housing Dev. Fund	12OERH3055
Midwest Housing Dev. Fund	12OERH4056
Stephen Center	12OERH7053
Neighborworks Northeast Ne	13CHHP5016
Lincoln County Community Development Corporation	13OEHO3012
Southwest Nebraska Comm.	13OEHO3013
Curtis	13OEHO3048
Midwest Housing Dev. Fund	13OERH1079
Heartland Family Service	13OERH2075
Midwest Housing Dev. Fund	13OERH3080
Midwest Housing Dev. Fund	13OERH3081
Neighborworks Northeast Ne	14CHHP5081
Neighborworks Lincoln	14CHHP6083
Hall County Housing Authority	14OERH4086
Midwest Housing Dev. Fund	14OERH4087
Housing Authority of Holdrege	14OERH4088
Sheltering Tree, Inc.	14OERH7084
Neighborworks Northeast Ne	15CHHP15077
Neighborworks Lincoln	15CHHP18078
Schuyler Community	15OERH10080
Nebraska Housing Developers	15OERH20079

*Listing of HOME properties with physical reviews*

There were thirty (30) inspections of affordable rental housing projects conducted during the 2017 Program Year. The table titled “HOME Monitoring Visits Completed During 2017 Program Year” during the monitoring visit referenced above provides a list of all HOME properties that received a physical review.

***Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)***

DED requires all HOME recipients to provide written documentation on the actions that will be taken during the course of the project to affirmatively further fair housing. This list of actions is then verified during monitoring visits. In addition, as part of low income housing tax credit (LIHTC) requirements, each recipient is required to provide a marketing plan which describes how the recipient intends to market the rental units to those persons most likely to qualify for the units, but least likely to apply.

***Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics***

There was no HOME Program Income utilized for these projects.

***Describe other actions taken to foster and maintain affordable housing. 91.220(k)***

Regarding CDBG Owner-Occupied Rehabilitation housing projects, all grantees receive training in the civil rights rules and regulations during training sessions. Training sessions are reinforced with notices and updates through the Nebraska DED notifications, which is published on an as needed basis.

Each grantee’s program eligibility criteria are reviewed to determine whether the criteria or methods of administration may have the effect of subjecting individuals to discrimination on the basis of race, color, age, sex, national origin or disability.

Comparisons are made between recent census data regarding those protected classes and the percentages of those protected classes participating and receiving benefits from CDBG funds.

All grantees are required to maintain, on an ongoing basis, and on file permanently, numerical and percentage documentation and information regarding the following:

1. Total beneficiaries in project
2. Total numbers of males/females.
3. Actual number of Whites, Blacks, Hispanics, Asians, Native Americans, and others.
4. Percentages of males, females, minorities, female heads of household, disabled population, and low to moderate income persons receiving benefits from the project funds.

Program representatives are required to consider this information, compared with census data, and determine if there is any indication any person and/or group of persons were denied benefits or employment on the grounds of race, age, sex, or disability.

In addition, the state reviews the grantee's compliance with Section 504 and the Americans with Disabilities Act. Grantees must have conducted a self-evaluation of their facilities and their programs as they relate to the ADA/Section 504 compliance. In addition, the grantee must have on file, and available for review, a transition plan. The grantee must provide evidence that they are complying or making attempts to comply with these regulations.

Updates and notices regarding ADA/Section 504 compliance are made through the Nebraska DED notifications.

The State's review of all information gathered through monitoring visits indicates that grantee CDBG programs are being administered in a manner, which does not deny any benefit or employment on the grounds of race, age, sex, national origin, and/or disability.

One activity conducted by Nebraska DED to ensure the absence of discrimination on the grounds of national origin continued to be fulfilled during the 2017 Program Year. The activities were guided by the Voluntary Compliance Agreement (VCA) with HUD to oversee compliance concerning beneficiaries who have Limited English Proficiency associated with any project in Nebraska which receives CDBG, HOME or HTF federal resources administered by Nebraska DED. The VCA was initiated on March 1, 2014 and expired on March 1, 2017. All grant applicants applying for federal resources submit a Four Factor Analysis, assessing Limited English Proficiency of the anticipated beneficiaries of the project activity(ies), in addition to a Language Assistance Plan if determined as necessary by the four-factor analysis conducted as part of the application review process.

DED staff reviews these analyses and plans and provides recommendations to the grant applicants regarding any clarifications or missing information needed for compliance under Title VI of the Civil Rights Act of 1964. Applicants are encouraged to ask questions of DED staff and also resubmit their analyses and plans for final review.

DED recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety, environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays. Although the agreement was fulfilled in March of 2017, DED staff use the experience from the agreement with HUD to continue reviewing these analyses and plans as part of the federal grant application process and release of funds process of awardees.

### *Coordination of LIHTC with Development of Affordable Housing 91.320(j)*

Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. DED partners with NIFA in joint applications for LIHTC rental projects. DED works closely with NIFA on developing the qualified allocation plan and application for the joint LIHTC/CRANE/HOME/HTF funds. During the 2017 Program Year, CRANE applications were reviewed monthly and LIHTC applications were reviewed during the two application cycles. The applications were scored according to what was set forth in the NIFA Qualified Allocation Plan.

In addition, DED Housing staff attended the NIFA public hearing, public meeting and board meetings during the application process. Review and recommendations by NIFA and DED staff of the applications



were conducted and submitted to the NIFA Board and the DED Director. All LIHTC awards were voted on and finalized by the NIFA Board and the HOME/HTF awards were determined by the DED Director by utilizing these reviews and recommendations by informed and trained DED staff.

## CR-55 - HOPWA 91.520(e)

### *Identify the number of individuals assisted and the types of assistance provided*

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

<b>Number of Households Served Through:</b>	<b>One-year Goal</b>	<b>Actual</b>
Short-term rent, mortgage, and utility assistance payments	40	67
Tenant-based rental assistance	12	18
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
<b>Total</b>	52	85

**Table 13 – HOPWA Number of Households Served**

### *Narrative*

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan.

During the reporting period, the program served 105 households; 67 with short-term rent/mortgage assistance, surpassing the Consolidated Annual Plan goal of 40 listed in IDIS, and of the associated outcome number of 45 listed in the 2017 HOPWA CAPER.

The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period, which was surpassed by serving 18 households.

The Nebraska HOPWA Program does not participate in the services of Permanent and Transitional/Short-term Facilities associated with Capital Development Projects.

## CR-60 - ESG 91.520(g) (ESG Recipients only)

### ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	NEBRASKA
Organizational DUNS Number	808820138
EIN/TIN Number	470491233
Identify the Field Office	OMAHA
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	North Central Nebraska CoC

##### ESG Contact Name

Prefix	Ms
First Name	Jodie
Middle Name	0
Last Name	Williams
Suffix	0
Title	Economic Assistance Administrator

##### ESG Contact Address

Street Address 1	P.O. Box 95026
Street Address 2	301 Centennial Mall South
City	Lincoln
State	NE
ZIP Code	68509-5026
Phone Number	4024711069
Extension	0
Fax Number	402479286
Email Address	<a href="mailto:jodie.williams@nebraska.gov">jodie.williams@nebraska.gov</a>

##### ESG Secondary Contact

Prefix	Ms
First Name	Nikki
Last Name	Swope
Suffix	0
Title	NHAP Program Coordinator
Phone Number	4024324861
Extension	0
Email Address	<a href="mailto:nikki.swope@nebraska.gov">nikki.swope@nebraska.gov</a>

#### 2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2017
Program Year End Date	06/30/2018

**3a. Subrecipient Form – Complete one form for each subrecipient**

<p><b>Subrecipient or Contractor Name:</b> CENTRAL NEBRASKA COMMUNITY SERVICES <b>City:</b> Loup City <b>State:</b> NE <b>Zip Code:</b> 68853, 0509 <b>DUNS Number:</b> <b>Is subrecipient a victim services provider:</b> N <b>Subrecipient Organization Type:</b> Other Non-Profit Organization <b>ESG Subgrant or Contract Award Amount:</b> 100000</p>
<p><b>Subrecipient or Contractor Name:</b> COMMUNITY ACTION PARTNERSHIP OF MID-NEBRASKA <b>City:</b> Kearney <b>State:</b> NE <b>Zip Code:</b> 68847, 7440 <b>DUNS Number:</b> 068662444 <b>Is subrecipient a victim services provider:</b> N <b>Subrecipient Organization Type:</b> Other Non-Profit Organization <b>ESG Subgrant or Contract Award Amount:</b> 161811</p>
<p><b>Subrecipient or Contractor Name:</b> NE NEBRASKA COMMUNITY ACTION COUNCIL, INC - 504 <b>City:</b> Pender <b>State:</b> NE <b>Zip Code:</b> 68047, 5030 <b>DUNS Number:</b> 099565921 <b>Is subrecipient a victim services provider:</b> N <b>Subrecipient Organization Type:</b> Other Non-Profit Organization <b>ESG Subgrant or Contract Award Amount:</b> 24725</p>
<p><b>Subrecipient or Contractor Name:</b> CIRRUS HOUSE, INC. <b>City:</b> Scottsbluff <b>State:</b> NE <b>Zip Code:</b> 69361, 3106 <b>DUNS Number:</b> 805726098 <b>Is subrecipient a victim services provider:</b> N <b>Subrecipient Organization Type:</b> Other Non-Profit Organization <b>ESG Subgrant or Contract Award Amount:</b> 70000</p>
<p><b>Subrecipient or Contractor Name:</b> RESIDENTIAL ASSISTANCE TO FAMILIES IN TRANSITION, INC. (RAFT) <b>City:</b> Kearney <b>State:</b> NE <b>Zip Code:</b> 68847, 7440 <b>DUNS Number:</b> 003682241 <b>Is subrecipient a victim services provider:</b> N <b>Subrecipient Organization Type:</b> Other Non-Profit Organization <b>ESG Subgrant or Contract Award Amount:</b> 27301</p>

**Subrecipient or Contractor Name:** CARE CORPS, INC.

**City:** Fremont

**State:** NE

**Zip Code:** 68025, 4903

**DUNS Number:** 962071577

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 150000

**Subrecipient or Contractor Name:** NORTHWEST COMMUNITY ACTION PARTNERSHIP

**City:** Chadron

**State:** NE

**Zip Code:** 69337, 2296

**DUNS Number:** 168890309

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 15807

**Subrecipient or Contractor Name:** COMMUNITY ACTION PARTNERSHIP OF LANCASTER AND SAUNDERS COUNTIES

**City:** Lincoln

**State:** NE

**Zip Code:** 68508, 2322

**DUNS Number:** 072910086

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 50000

**Subrecipient or Contractor Name:** BLUE VALLEY COMMUNITY ACTION

**City:** Fairbury

**State:** NE

**Zip Code:** 68352, 2624

**DUNS Number:** 041737594

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 139237

## CR-65 - Persons Assisted

As per HUD regulations, the CR-65 section has been replaced by the data submitted in the Comma Separated Value (CSV) export process in the Sage HMIS repository for the ESG CAPER.

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	195,627
Total Number of bed-nights provided	159,111
Capacity Utilization	81.33%

Table 24 – Shelter Capacity

### 9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

DHHS consulted with the CoCs to develop performance standards for homeless services based on previous year's data and goals set by each local continuum as in accordance with the Annual Action Plan. Each local CoC guides the distribution of funding for eligible services within the limitations set by ESG standards and need in the community to include the following services: Street Outreach, Emergency Shelter, Homelessness Prevention and Rapid Rehousing. DHHS utilized a survey to determine statewide need for types of homelessness services in each geographical area, evaluated existing resources and feedback from the regional CoC's for nominees to apply for competitive funding for each type of homeless service identified as a need in each region. The CoCs evaluate each potential nominee to apply to DHHS for NHAP funding to provide homeless services based on the performance standards of the agency, the agency's expertise in providing the type of homeless services and the agency's ability to coordinate with other service providers in their respective communities.

The primary goals NHAP established for subrecipients included reducing the length of homelessness, reducing returns to homelessness and maintaining/increasing income. These goals are measured through performance report card metrics that have been established by the BoS CoC.

With the housing stability information collected, the State and BoS CoC jointly reviewed strategies which assisted providers to aid the homeless population in gaining stable housing or maintaining stability in their communities. The ultimate goal of measuring performance standards is to achieve "functional zero" for all homeless populations throughout Nebraska.

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	414	156,689
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	74,862
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	104,934
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>414</b>	<b>344,472</b>

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Expenditures for Rental Assistance	0	0	87,749
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	31,214
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	59,931
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>0</b>	<b>178,894</b>

Table 26 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Essential Services	0	0	116,008
Operations	0	0	121,150
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>237,158</b>

Table 27 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2015	2016	2017
Street Outreach	0	0	0
HMIS	0	0	110,935
Administration	0	30,758	24,984

Table 28 - Other Grant Expenditures

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2015	2016	2017
	0	31172	896,443

Table 29 - Total ESG Funds Expended

**11f. Match Source**

	2015	2016	2017
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	2,717,565
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>0</b>	<b>0</b>	<b>2,717,565</b>

Table 30 - Other Funds Expended on Eligible ESG Activities

**11g. Total**

Total Amount of Funds Expended on ESG Activities	2015	2016	2017
	0	31172	3,614,008

Table 31 - Total Amount of Funds Expended on ESG Activities

## PY2017 CDBG Awards

Project #	Category	Recipient	Contract Begin Date	Awarded Amount	
1	16CD101	Comprehensive Development	Columbus	11/8/2017	\$350,000.00
2	16CD102	Comprehensive Development	Fremont	11/8/2017	\$350,000.00
3	16CD103	Comprehensive Development	Kearney	11/8/2017	\$350,000.00
4	16CD104	Comprehensive Development	Nebraska City	11/8/2017	\$350,000.00
5	16CD105	Comprehensive Development	Norfolk	11/8/2017	\$350,000.00
6	16CD108	Comprehensive Development	Wayne	11/8/2017	\$350,000.00
7	16CD109	Comprehensive Development	York	11/8/2017	\$350,000.00
8	17DTR101	Downtown Revitalization	Chappell	11/8/2017	\$350,000.00
9	17DTR102	Downtown Revitalization	North Platte	11/8/2017	\$350,000.00
10	17DTR103	Downtown Revitalization	Albion	11/8/2017	\$350,000.00
11	17DTR104	Downtown Revitalization	Norfolk	11/8/2017	\$350,000.00
12	17DTR105	Downtown Revitalization	Hastings	11/8/2017	\$350,000.00
13	17DTR106	Downtown Revitalization	Cambridge	11/8/2017	\$350,000.00
14	17DTR107	Downtown Revitalization	Seward	11/8/2017	\$350,000.00
15	17DTR108	Downtown Revitalization	Wausa	11/8/2017	\$350,000.00
16	17DTR109	Downtown Revitalization	Geneva	11/8/2017	\$350,000.00
17	17DTR110	Downtown Revitalization	York	11/8/2017	\$350,000.00
18	17ED002	Economic Development	Merrick County	7/6/2017	\$305,000.00
19	17ED004	Economic Development	Norfolk	5/3/2018	\$705,000.00
20	17HO32087	Owner Occupied Rehabilitation	Greeley	11/8/2017	\$189,000.00
21	17HO32089	Owner Occupied Rehabilitation	Comstock	11/8/2017	\$189,000.00
22	17HO33084	Owner Occupied Rehabilitation	Benkelman	11/8/2017	\$189,000.00
23	17HO33085	Owner Occupied Rehabilitation	Stratton	11/8/2017	\$189,000.00
24	17HO33086	Owner Occupied Rehabilitation	Trenton	11/8/2017	\$189,000.00
25	17HO34079	Owner Occupied Rehabilitation	Alma	11/8/2017	\$250,000.00
26	17HO34083	Owner Occupied Rehabilitation	Palmer	11/8/2017	\$250,000.00
27	17HO36080	Owner Occupied Rehabilitation	Steinauer	11/8/2017	\$126,000.00
28	17HO36081	Owner Occupied Rehabilitation	Pawnee City	11/8/2017	\$252,000.00
29	17HO36082	Owner Occupied Rehabilitation	Falls City	11/8/2017	\$252,000.00
30	17HO36088	Owner Occupied Rehabilitation	Wymore	11/8/2017	\$252,000.00
31	17HO36091	Owner Occupied Rehabilitation	Geneva	11/8/2017	\$252,000.00
32	17HO36092	Owner Occupied Rehabilitation	Hubbell	11/8/2017	\$126,000.00
33	17PP001	Planning	Ainsworth	11/8/2017	\$19,717.00
34	17PP002	Planning	Bayard	11/8/2017	\$40,000.00
35	17PP003	Planning	Firth	11/8/2017	\$23,200.00
36	17PP005	Planning	Laurel	11/8/2017	\$16,000.00
37	17PP006	Planning	Lyons	11/8/2017	\$30,000.00
38	17PP007	Planning	Pierce	11/8/2017	\$30,000.00
39	17PP008	Planning	Prague	11/8/2017	\$25,575.00
40	17PP009	Planning	Ravenna	11/8/2017	\$25,000.00
41	17PP010	Planning	St. Paul	11/8/2017	\$40,000.00
42	17PW001	Public Works	Elba	11/8/2017	\$250,000.00
43	17PW002	Public Works	Hay Springs	11/8/2017	\$250,000.00
44	17PW003	Public Works	Madison	11/8/2017	\$250,000.00
45	17PW004	Public Works	Nelson	11/8/2017	\$244,640.00
46	17PW005	Public Works	North Loup	11/8/2017	\$250,000.00
47	17PW006	Public Works	South Sioux City	11/8/2017	\$250,000.00
48	17PW007	Public Works	Utica	11/8/2017	\$146,250.00
49	17PW008	Public Works	Wolbach	11/8/2017	\$250,000.00



## PY2017 CDBG Awards

	Project #	Category	Recipient	Contract Begin Date	Awarded Amount
50	17PW009	Public Works	Wymore	11/8/2017	\$250,000.00
51	17PW010	Public Works	Wynot	11/8/2017	\$241,475.00
52	17TD001	Tourism Development	Ogallala	4/17/2018	\$346,000.00
53	17WW001	Water/Wastewater	Lynch	11/8/2017	\$250,000.00
54	17WW002	Water/Wastewater	Scotia	11/8/2017	\$250,000.00
55	17WW003	Water/Wastewater	Comstock	4/23/2018	\$212,600.00

# PY2017 Nebraska Affordable Housing Program Accomplishments

## Rental Units Constructed

Award #	Recipient	Source	Type	Award Amount	Units	Location
17-OERH-10095	Corporation	HOME	Construction	\$500,000	3	Ashland, Saunders County
17-OERH-10096	Building A Better Tomorrow	HOME	Construction	\$298,000	2	Wahoo, Saunders County
17-OERH-30097	Housing Development Corporation	HOME	Construction	\$500,000	3	Hastings, Adams County
17-OERH-30098	Midwest Housing Initiatives	HOME	Construction	\$400,000	3	Fairbury, Jefferson County
17-OERH-30099	Housing Authority of the City of Holdrege	HOME	Construction	\$400,000	3	Holdrege, Phelps County
17-OERH-30103	Building A Better Tomorrow	HOME	Construction	\$500,000	4	Grand Island, Hall County
17-HTFRH-30100	Sidney Housing Authority	HTF	Construction	\$600,000	4	Sidney, Cheyenne County
17-TFRH-27053	Holy Name Housing	NAHTF	Construction	\$495,172	5	Omaha, Douglas County
17-TFRH-32006	Village of Arcadia	NAHTF	Construction	\$192,925	2	Arcadia, Valley County
17-TFRH-32014	Stuart Village Manor	NAHTF	Construction	\$172,225	2	Stuart, Holt County

Funding Source	Award	Units
HOME	\$2,598,000	18
NAHTF	\$860,322	9
HTF	\$600,000	4
<b>Total</b>	<b>\$4,058,322</b>	<b>31</b>

## Rental Units Rehabilitated

Award #	Recipient	Source	Type	Award Amount	Units	Location
17-OERH-20102	Nebraska Urban Indian Health Coalition	HOME	Rental Conversion	\$319,735	4	Omaha, Douglas County
17-OERH-20102	Nebraska Urban Indian Health Coalition	NAHTF	Rental Conversion	\$180,265		
17-TFRH-15050	City of Madison	NAHTF	Rental Rehab	\$500,000	6	Madison, Madison County
17-TFRH-31011	Western Nebraska Housing Opportunities	NAHTF	Rental Rehab	\$500,000	10	Scottsbluff, Scotts Bluff County
17-TFRH-34015	St. Paul Housing Authority	NAHTF	Rental Rehab	\$200,000	18	St. Paul, Howard County

Funding Source	Award	Units
HOME	\$319,735	4
NAHTF	\$1,380,265	34
<b>Total</b>	<b>\$1,700,000</b>	<b>38</b>

## Homeowner Housing Added

Award #	Recipient	Source	Type	Award Amount	Units	Location
16-CD-109	York	CDBG	PRR	\$342,000	6	York
16-CD-101	Columbus	CDBG	PRR	\$207,000	3	Columbus
17-CHHP-18093	NeighborWorks Lincoln	HOME	New Construction	\$271,273	3	Lincoln, Lancaster County
17-CHHP-15094	NeighborWorks Northeast Nebraska	HOME	Purchase, Rehab, Resale	\$271,273	4	Counties of Madison , Platte, Colfax, Cuming, Pierce, Stanton and Wayne
17-TFHP-18056	NeighborWorks Lincoln	NAHTF	NC w/DPA	\$500,000	4	Lincoln, Lancaster County
17-TFHP-18057	NeighborWorks Lincoln	NAHTF	NC w/DPA	\$500,000	7	Lincoln, Lancaster County
17-TFHP-27042	City of Omaha	NAHTF	NC w/DPA	\$500,000	4	Omaha, Douglas County
17-TFHP-33026	Lincoln County Community Development Corporation	NAHTF	NC with DPA	\$359,500	3	North Platte, Lincoln County
17-TFHP-27073	Habitat for Humanity of Omaha	NAHTF	New Construction	\$500,000	16	Omaha and Waterloo, Douglas County
17-TFHP-15023	HoChunk CDC	NAHTF	DPA	\$250,000	20	Winnegao, Thurston County
17-TFHP-33024	Southwest Nebraska Community Betterment Corp	NAHTF	DPA	\$184,800	8	Perkins, Hitchcock, Hayes, Dundy and Chase Counties.
17-TFHP-18055	NeighborWorks Lincoln	NAHTF	DPA w/Rehab	\$400,000	16	Lincoln, Lancaster County
17-TFHP-31010	Western Nebraska Housing Opportunities	NAHTF	DPA with Rehab	\$400,000	5	Scotts Bluff County
17-TFHP-32007	Central Nebraska Economic Development	NAHTF	DPA with Rehab	\$189,000	6	Counties of Blaine, Boyd, Brown, Chery, Custer, Garfield, Greeley, Holt, Keya Paha, Loup, Rock, Sherman, Valley, and Wheeler
17-TFHP-36017	Blue Valley Community Action	NAHTF	DPA with Rehab	\$375,000	12	Counties of Butler, Filmore, Gage, Jefferson, Polk, Saline, Seward, Thayer, and York
17-TFHP-15019	NeighborWorks Northeast Nebraska	NAHTF	Purchase, Rehab, Resale	\$600,000	14	Counties of Madison , Platte, Colfax, Cuming, Pierce, Stanton and Wayne
17-TFHP-27075	Habitat for Humanity of Omaha	NAHTF	Purchase, Rehab, Resale	\$500,000	16	Omaha and Waterloo, Douglas County; Blair, Washington County

Funding Source	Award	Units
CDBG	\$549,000	9
HOME	\$542,546	7
NAHTF	\$5,258,300	131
<b>Total</b>	<b>\$6,349,846</b>	<b>147</b>

## PY2017 Nebraska Affordable Housing Program Accomplishments

### Operation

Award #	Recipient	Source	Type	Award Amount	Units	Location
17-TFTA-15030	Three Rivers HDC	NAHTF	Operating	\$40,000	N/A	Dodge County
17-TFTA-27029	Holy Name Housing	NAHTF	Operating	\$40,000	N/A	Omaha, Douglas County
17-TFTA-31001	High Plains Community Development Corporation	NAHTF	Operating	\$40,000	N/A	Counties of Dawes, Box Butte, Sheridan, and Sioux
17-TFTA-32008	Central Nebraska Economic Development	NAHTF	Operating	\$40,000	N/A	Counties of Blaine, Boyd, Brown, Chery, Custer, Garfield, Greeley, Holt, Keya Paha, Loup, Rock, Sherman, Valley, and Wheeler
17-TFTA-34020	Community Action Partnership of Mid-Nebraska	NAHTF	Operating	\$40,000	N/A	Counties of Buffalo, Franklin, Frontier, Furnas, Gosper, Harlan, Kearney, Phelps, and Red Willow
17-TFTA-36044	Southeast Nebraska Community Action Partnership	NAHTF	Operating	\$40,000	N/A	Counties of Cass, Johnson, Nemaha, Otoe, Pawnee, Richardson, and Sarpy
17-TFTA-36018	Blue Valley Community Action	NAHTF	Operating	\$40,000	N/A	Counties of Butler, Fillmore, Gage, Jefferson, Polk, Saline, Seward, Thayer, and York

Funding Source	Award	Units
NAHTF	\$280,000	0
<b>Total</b>	<b>\$280,000</b>	<b>0</b>

### Homeowner Occupied Housing Rehabilitation

Award #	Recipient	Source	Type	Award Amount	Units	Location
17-HO-32087	Village of Greeley	CDBG	OOR	\$189,000	6	Greeley, Greeley County
17-HO-32089	Village of Comstock	CDBG	OOR	\$189,000	6	Comstock, Custer County
17-HO-33084	City of Benkelman	CDBG	OOR	\$189,000	6	Benkelman, Dundy County
17-HO-33085	Village of Stratton	CDBG	OOR	\$189,000	6	Stratton, Hitchcock County
17-HO-33086	Village of Trenton	CDBG	OOR	\$189,000	6	Trenton, Hitchcock County
17-HO-34079	City of Alma	CDBG	OOR	\$250,000	8	Alma, Harlan County
17-HO-35083	Village of Palmer	CDBG	OOR	\$250,000	8	Palmer, Merrick County
17-HO-36080	Village of Steinauer	CDBG	OOR	\$126,000	4	Steinauer, Pawnee County
17-HO-36081	City of Pawnee City	CDBG	OOR	\$252,000	8	Pawnee City, Pawnee County
17-HO-36082	City of Falls City	CDBG	OOR	\$252,000	8	Falls City, Richardson County
17-HO-36088	City of Wymore	CDBG	OOR	\$252,000	8	Wymore, Gage County
17-HO-36091	City of Geneva	CDBG	OOR	\$252,000	8	Geneva, Fillmore County
17-HO-36092	Village of Hubbell	CDBG	OOR	\$126,000	4	Hubbell, Thayer County
17-TFHO-27074	Habitat for Humanity of Omaha	NAHTF	OOR	\$450,000	30	Omaha and Waterloo, Douglas County; Blair, Washington County
17-TFHO-27016	MAPA	NAHTF	OOR	\$315,000	10	Valley and Waterloo, Douglas County
17-TFHO-35061	CORE	NAHTF	OOR	\$240,660	7	Counties of Antelope and Holt

Funding Source	Award	Units
NAHTF	\$1,005,660	47
CDBG	\$2,705,000	86
<b>Total</b>	<b>\$3,710,660</b>	<b>133</b>



# **Housing Opportunities for Persons With AIDS (HOPWA) Program**

## **Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes**

**OMB Number 2506-0133 (Expiration Date: 01/31/2021)**

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The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. Reporting is required for all HOPWA formula grantees. The public reporting burden for the collection of information is estimated to average 41 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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**Continued Use Periods.** Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Report of Continued Project Operation throughout the required use periods. This report is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client-level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household

Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, Housing Status or Destination at the end of the operating year, Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Medical Assistance, and T-cell Count. Other HOPWA projects sponsors may also benefit from collecting these data elements. HMIS local data systems must maintain client confidentiality by using a closed system in which medical information and HIV status are only shared with providers that have a direct involvement in the client’s case management, treatment and care, in line with the signed release of information from the client.

**Operating Year.** HOPWA formula grants are annually awarded for a three-year period of performance with three operating years. The information contained in this CAPER must represent a one-year period of HOPWA program operation that coincides with the grantee’s program year; this is the operating year. More than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the CAPER must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also coincide with the operating year this CAPER covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for an additional operating year.

**Final Assembly of Report.** After the entire report is assembled, number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7248, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C., 20410.

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	18
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	67
5.	Adjustment for duplication (subtract)	2
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	83

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent

Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These are additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration

requirements on program income at 24 CFR 200.307.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations: Per HOPWA regulations at 24 CFR 574.3,** any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.

**SAM:** All organizations applying for a Federal award must have a valid registration active at sam.gov. SAM (System for Award Management) registration includes maintaining current information and providing a valid DUNS number.

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52-week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

# Housing Opportunities for Person With AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 01/31/2021)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by 24 CFR 574.3.

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

### 1. Grantee Information

<b>HUD Grant Number</b> NEH14F999	<b>Operating Year for this report</b> <i>From (mm/dd/yy)</i> 07/01/2017 <i>To (mm/dd/yy)</i> 06/30/2018			
<b>Grantee Name</b> Nebraska Department of Health and Human Services				
<b>Business Address</b>	301 Centennial Mall South PO Box 95026			
<b>City, County, State, Zip</b>	Lincoln	Lancaster	NE	68509-5026
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	47-0491233			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	808819957	<b>System for Award Management (SAM)::</b> <b>Is the grantee's SAM status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, provide SAM Number:</b>		
<b>Congressional District of Grantee's Business Address</b>	First			
<b>*Congressional District of Primary Service Area(s)</b>	First    Second    Third			
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>	<b>Cities:</b> Lincoln    Omaha    Kearney    Norfolk    Scottsbluff		<b>Counties:</b> Lancaster    Douglas    Buffalo    Madison    Scottsbluff	
<b>Organization's Website Address</b>  www.dhhs.ne.gov	<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee Service Area?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b>			

\* Service delivery area information only needed for program activities being directly carried out by the grantee.



## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by 24 CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households.

*Note: If any information does not apply to your organization, please enter N/A.*

<b>Project Sponsor Agency Name</b> Nebraska AIDS Project		<b>Parent Company Name, if applicable</b> NA	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Dara Pagano, NEDHHS Liaison Nebraska AIDS Project		
<b>Email Address</b>	darap@nap.org		
<b>Business Address</b>	250 So. 77 <sup>th</sup> Street, Suite A		
<b>City, County, State, Zip,</b>	Omaha, Douglas, NE, 68114		
<b>Phone Number (with area code)</b>	402-552-9260		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	47-0786622	<b>Fax Number (with area code)</b> 402-552-9251	
<b>DUN &amp; Bradstreet Number (DUNs):</b>	180380412		
<b>Congressional District of Project Sponsor's Business Address</b>	Second		
<b>Congressional District(s) of Primary Service Area(s)</b>	First, Second, Third		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	<b>Cities:</b> Omaha, Lincoln, Kearney, Norfolk, Scottsbluff	<b>Counties:</b> Douglas, Lancaster, Buffalo, Madison, Scottsbluff	
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$279,346.32		
<b>Organization's Website Address</b>	www.nap.org		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>	

## **5. Grantee Narrative and Performance Assessment**

### **a. Grantee and Community Overview**

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. Nebraska's HOPWA Program is administered by the Nebraska Department of Health and Human Services (NDHHS)-Division of Public Health- Infectious Disease Prevention Unit. (Link to organizational chart -<http://dhhs.ne.gov/Org%20Charts/PH.pdf>). The structure of having the HOPWA Program co-located with STD Prevention, Refugees Resettlement, Ryan White Part B, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance within this Unit supports information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of Nebraska's HOPWA Program.

Nebraska's HOPWA Program consists of a 1.0 FTE Program Manager. The HOPWA Program is housed within the Infectious Disease Prevention Unit and both are located within the state office building. It is noted that at the time of this CAPER completion, the HOPWA Program Manager position is in the hiring process.

Stephen Jackson, Interim HOPWA Program Manager  
Nebraska Department of Health and Human Services  
301 Centennial Mall South  
P.O. Box 95026  
Lincoln, NE 68509-5026  
402-471-2504 | [Steve.jackson@nebraska.gov](mailto:Steve.jackson@nebraska.gov)

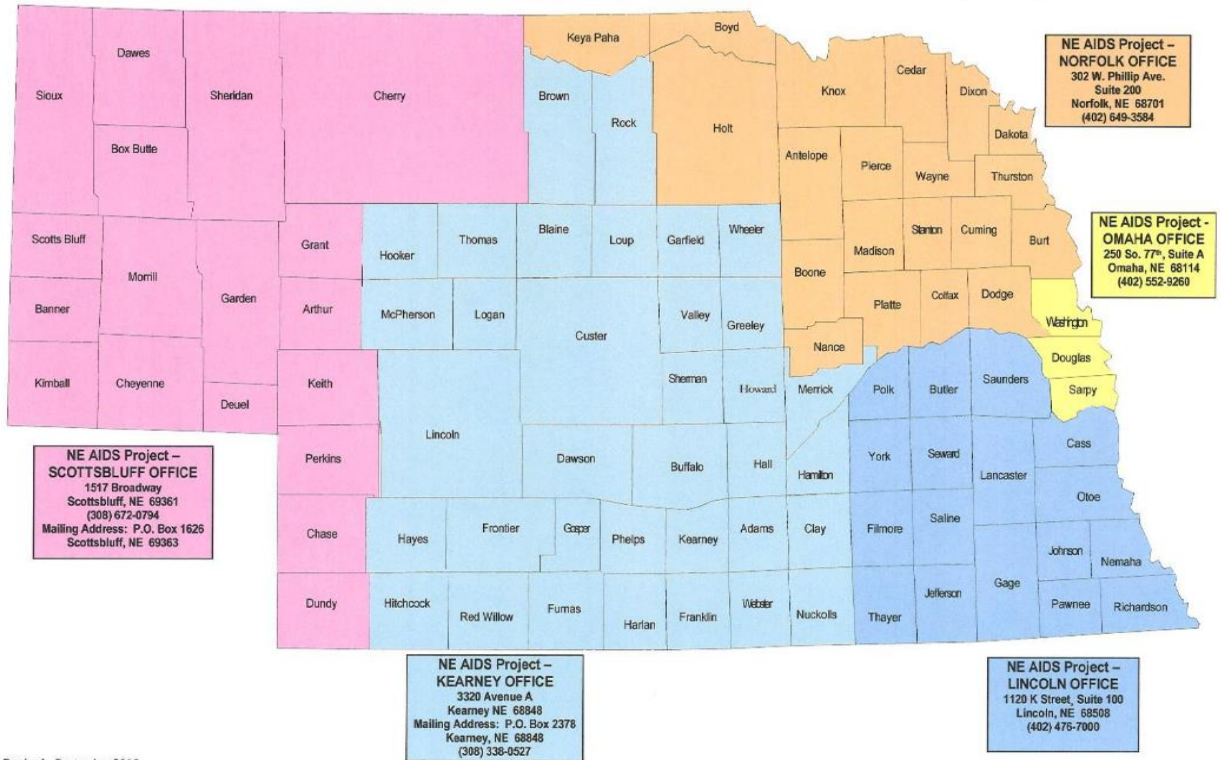
Rena Furl, Infectious Disease Program Manager  
Nebraska Department of Health and Human Services  
301 Centennial Mall South  
P.O. Box 95026  
Lincoln, NE 68509-5026  
402-471-0193 | [Rena.Furl@nebraska.gov](mailto:Rena.Furl@nebraska.gov)

Nebraska's HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 2.5 FTE's case management staff to provide direct HOPWA services. In addition, NAP's Compliance Supervisor works closely with the NDHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska's ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska's HOPWA Program to ensure timely quality services are providing through utilizing there agency's statewide office structure. The Nebraska AIDS Project Executive Director is located in the Omaha NAP office.

Brent Koster  
Nebraska AIDS Project  
250 So. 77th Street, Suite A  
Omaha, NE 68114  
402-552-9260 x115  
[brentk@nap.org](mailto:brentk@nap.org)

## NEBRASKA AIDS PROJECT - COVERAGE AREAS



Revised: September 2015

The primary goal of Nebraska’s HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household to be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services. Nebraska’s HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month’s rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers for the RentWise tenant education course, refers for budgeting and life skills classes, and refers for other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program from 12-16 clients to 18, with hopes of adding more eligible clients soon. The HOPWA Program is leading DHHS’ efforts to procure a new case management software system for the HOPWA and Ryan White Part B Programs, which will enhance case management services for clients State-wide.

### **b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your operating year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period. During the reporting period, the program served 108 households; 67 with short-term rent/mortgage assistance, 34 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 18 with tenant-based rental assistance. There were 11 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. Housing Information Services were on target with services provided to 60 households statewide.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year a review of the TBRA budget and program/client rent portion ratios showed that the program could serve an additional 3-5 households. This year the TBRA program expanded from serving twelve-sixteen clients to eighteen, and having just opened up an additional four more spots. The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at "Highest Need" placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2017 subgrant with NAP did continue to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska data reveals 97% of HOPWA clients access their primary care from HIV service providers (only 2% utilize a hospital emergency room for primary care). In addition, 98% of HOPWA clients are "somewhat adherent" or "very adherent" in accessing ongoing HIV health care with 97% of HOPWA clients "somewhat adherent" or "very adherent" to medication adherence. Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Nebraska's HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska's HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the NE HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care.

Nebraska's HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHPC) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation. The Nebraska Red Ribbon Community (NRRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The NE HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Nebraska's HOPWA Program has benefitted from the technical assistance provided by Heather Rhoda, Senior Analyst, Housing and Community Development with the Cloudburst Group and will pursue continuing such if available. In the last year the Nebraska HOPWA Program did receive technical assistance from Mariah Ybarra and Dana Ullrich, both of Collaborative Solutions, Inc., to review the recently revised and condensed Nebraska HOPWA Manual.

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations: An expansion in the allowable parameters of funding would support grantees utilizing HOPWA funding for the purpose of purchasing inexpensive household items. Clients who are homeless, out of care, and face many barriers are given priority for housing services. Staff focus on locating housing for clients, but it is difficult to take a client/household to a new residence with only limited items and resources. Basic furnishings, such as a bed/mattress, are necessary for clients. These are more difficult to obtain because some second hand stores and non-profits do not accept used mattresses for hygienic reasons. However, NAP has been successful in obtaining some other household items through donations, etc.

Housing Affordability and Rent Determination/Fair Market Rents: Statewide, and especially in Western Nebraska, locating safe and affordable housing is difficult. Affordable, safe housing remains extremely limited in many areas of Nebraska. Housing that meets, or is below, FMR is often not suitable or hard to find.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Multiple Diagnoses: NAP case management staff report difficulties in assisting clients with multiple diagnoses to obtain and maintain their housing. However, this is a reportable data field in the NE HOPWA Program PROVIDE Case Management software system. Data shows less than 4% of NE HOPWA clients are dually diagnosed (mental health and substance use).

The HOPWA Program Manager will work with NAP to ensure clients identified and documented as dually diagnosed are entered and reported correctly in PROVIDE.

Criminal Justice History/Rent History and Housing Availability: In Western Nebraska, safe, affordable housing is very limited and hard to find. HOPWA clients with a criminal justice history or a poor rental history experience further significant difficulties in obtaining housing and securing employment following incarceration and/or seeking new housing.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

There are no significant trends identified or being addressed through HOPWA at this time.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The HOPWA Program is still in the process of completing a Request for Proposal for a new case management software system that will enable the program to easily complete evaluations and assessments of various services provided. It is expected that the RFP will be open for bid by the end of the year. Last year the HOPWA Program worked with the whole of the Nebraska Infectious Disease Prevention Unit to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska. This year the HOPWA Program is joining with the HIV Prevention and Surveillance Programs in a joint effort to collect, evaluate and analyze data regarding quality of services related to counseling and testing, linkage to care, data to care, supportive services and case management.

**End of PART 1**

## PART 2: Sources of Leveraging and Program Income

### 1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

#### A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$18,931.37	Rent Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part C-University of NE Med Center	\$245,150.47	HIV Care	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program	\$4,500.00	Section 8 Vouchers	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Continuum of Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-AIDS Drug Assistance (ADAP)	\$581,377.65	Medications	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Utility Assistance	\$27,453.62	Utility Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Food Assistance	\$3,325.00	Food Vouchers	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Outpatient Ambulatory	\$76,380.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White Part B-Transportation Assistance	\$43,696.93	Transportation to access care	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Calico:	\$2,142.00	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Community Food Pantries	\$6,325.00	Food Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants and/or In-kind Resources	\$0.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: NE AIDS Project-Flowers' Fund	\$5,931.55	Housing and Utility Assistance, Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash	\$0.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$26,300.00		
<b>TOTAL (Sum of all Rows)</b>	<b>\$1,041,513.50</b>		

## 2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

### A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	0

### B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	0

**End of PART 2**



**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**1. HOPWA Performance Planned Goal and Actual Outputs**

<p style="text-align: center;"><b>HOPWA Performance Planned Goal and Actual</b></p>		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance	12	18	0	0	\$125,017.76	\$140,962.97
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	\$0.00	\$0.00
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)	0	0	0	0	\$0.00	\$0.00
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	\$0.00	\$0.00
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	\$0.00	\$0.00
4.	Short-Term Rent, Mortgage and Utility Assistance	45	67	0	0	\$77,117.76	\$86,377.40
5.	Permanent Housing Placement Services	13	31	0	0	\$20,000.00	\$23,766.53
6.	Adjustments for duplication (subtract)	0	11	0	0		
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)	70	105			\$222,135.52	\$251,106.90
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	\$0.00	\$0.00
9.	Stewardship Units subject to 3- or 10- year use agreements	0	0				
10.	<b>Total Housing Developed</b> (Sum of Rows 8 & 9)	0	0				
<b>Supportive Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	45	105			\$125,840.22	\$86,453.40
11b.	Supportive Services provided by project sponsors that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0				
13.	<b>Total Supportive Services</b> (Columns a – d equals the sum of Rows 11 a & b minus Row 12; Columns e and f equal the sum of Rows 11a & 11b)	45	105			\$125,840.22	\$86,453.40
<b>Housing Information Services</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	60	60			\$12,000.00	\$22,648.69
15.	<b>Total Housing Information Services</b>	60	60			\$12,000.00	\$22,648.69

00

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$27,489.51	\$24,173.37
17.	Technical Assistance (if approved in grant agreement)					\$0.00	\$0.00
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$12,662.19	\$8,253.68
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$21,945.56	\$21,163.93
20.	<b>Total Grant Administration and Other Activities (Sum of Rows 16 – 19)</b>					\$62,097.26	\$53,590.98
<b>Total Expended</b>						<b>[2] Outputs: HOPWA Funds Expended</b>	
						<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for operating year (Sum of Rows 7, 10, 13, 15, and 20)</b>					\$422,073.00	\$413,799.97

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	0	0
3.	Case management	105	\$84,481.70
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	0	0
9.	Life skills management (outside of case management)	0	0
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	21	\$1,971.70
14.	Other Activity (if approved in grant agreement). <b>Specify:</b>	0	0
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	126	
16.	<b>Adjustment for Duplication (subtract)</b>	21	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	105	\$86,453.40

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a, enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b, enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c, enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d, enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e, enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f, enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g, report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a, column [1] and the total amount of HOPWA funds reported as expended in Row a, column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b and f, respectively.

**Data Check:** The total number of households reported in Column [1], Rows b, c, d, e, and f equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b, c, d, e, f, and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	67	\$86,377.40
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	5	\$1,867.56
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	62	\$84,509.84
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	\$0.00
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	\$0.00
g.	Direct program delivery costs (e.g., program operations staff time)		\$0.00

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
<b>Tenant-Based Rental Assistance</b>	18	9	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	3	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	2	
			6 Institution	0	
			7 Jail/Prison	1	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	2	
			9 Death	1	
<b>Permanent Supportive Housing Facilities/ Units</b>	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	0	

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable with Reduced Risk of Homelessness</i>

<b>Transitional/ Short-Term Housing Facilities/ Units</b>		3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
		4 Other HOPWA	0	
		5 Other Subsidy	0	
		6 Institution	0	
		7 Jail/Prison	0	<i>Unstable Arrangements</i>
		8 Disconnected/unknown	0	
		9 Death	0	<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months		0		

## Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

### Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
67	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	19	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	2	
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	41	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>	0	
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	1	
Disconnected	4		

	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			23
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			11

### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c to adjust for duplication among the service categories and Row d to provide an unduplicated household total.

Line [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b below.

Total Number of Households	
1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	116
b. Case Management	105
c. Adjustment for duplication (subtraction)	105
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Sum of Rows a and b minus Row c)	116
2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors without Housing Subsidy Assistance	0

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report the number of households that demonstrated access or maintained connections to care and support within the operating year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report the number of households that demonstrated improved access or maintained connections to care and support within the operating year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	105	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	103	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	100	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	100	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	105	0	Sources of Income

#### Chart 1b, Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- |  |  |  |
|--|--|--|
| <ul style="list-style-type: none"> <li>MEDICAID Health Insurance Program, or use local program name</li> <li>MEDICARE Health Insurance Program, or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>Veterans Affairs Medical Services</li> <li>AIDS Drug Assistance Program (ADAP)</li> <li>State Children's Health Insurance Program (SCHIP), or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>Ryan White-funded Medical or Dental Assistance</li> </ul> |
|--|--|--|

**Chart 1b, Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
---	--	--

**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	41	0

**End of PART 4**



**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

<b>Permanent Housing Subsidy Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	17	0	1	0
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	17	0	1	0
<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	23	37	7	0
<b>Total HOPWA Housing Subsidy Assistance</b>	23	37	7	0

**Background on HOPWA Housing Stability Codes**  
**Stable Permanent Housing/Ongoing Participation**

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used, they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s)	<b>Operating Year for this report</b> From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	

**End of PART 6**

**Part 7: Summary Overview of Grant Activities****A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals Who Received HOPWA Housing Subsidy Assistance****a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

<b>Individuals Served with Housing Subsidy Assistance</b>	<b>Total</b>
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	105

**Chart b. Prior Living Situation**

In Chart b, report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a above.*

<b>Category</b>		<b>Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance</b>
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	32
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	3
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	4
4.	Transitional housing for homeless persons	0
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	7
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	2
8.	Substance abuse treatment facility or detox center	2
9.	Hospital (non-psychiatric facility)	1
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	2
12.	Rented room, apartment, or house	43
13.	House you own	4
14.	Staying or living in someone else's (family and friends) room, apartment, or house	9
15.	Hotel or motel paid for without emergency shelter voucher	3
16.	Other	0
17.	Don't Know or Refused	0
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	105

**c. Homeless Individual Summary**

In Chart c, indicate the number of eligible individuals reported in Chart b, Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c do not need to equal the total in Chart b, Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	7

## Section 2. Beneficiaries

In Chart a, report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b & c on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a, Row 4 below.

### a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a)	105
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	4
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	55
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1, 2, &amp; 3)</b>	164

**b. Age and Gender**

In Chart b, indicate the Age and Gender of all beneficiaries as reported in Chart a directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a, Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a, Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a, Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	24	3	0	0	27
3.	31 to 50 years	44	10	0	0	54
4.	51 years and Older	20	4	0	0	24
5.	<b>Subtotal (Sum of Rows 1-4)</b>	88	17	0	0	105
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
6.	Under 18	13	16	0	0	29
7.	18 to 30 years	6	7	0	0	13
8.	31 to 50 years	6	4	0	0	10
9.	51 years and Older	3	4	0	0	7
10.	<b>Subtotal (Sum of Rows 6-9)</b>	28	31	0	0	59
<b>Total Beneficiaries (Chart a, Row 4)</b>						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	116	48	0	0	164

**c. Race and Ethnicity\***

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a, Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a, Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	42	0	23	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	59	0	32	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	4	0	4	0
11.	Column Totals (Sum of Rows 1-10)	105	0	59	0
<p><b>Data Check:</b> Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.</p>					

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to <https://www.huduser.gov/portal/datasets/il.html> for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	71
2.	31-50% of area median income (very low)	10
3.	51-80% of area median income (low)	24
4.	<b>Total (Sum of Rows 1-3)</b>	105

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a, Project Site Information, and 2b, Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor Agency Name (Required)**

NA
----

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____	
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____	
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	



**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor**

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a and 3b, indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <b>Specify:</b>					

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <b>Specify:</b>		
e.	Adjustment to eliminate duplication (subtract)		
f.	<b>TOTAL Facility-Based Housing Assistance (Sum Rows a through d minus Row e)</b>		