

2020

**CONSOLIDATED
ANNUAL PERFORMANCE
AND EVALUATION REPORT
(CAPER)**

STATE OF NEBRASKA CONSOLIDATED PLAN FOR
HOUSING AND COMMUNITY DEVELOPMENT

July 1, 2020 - June 30, 2021

NEBRASKA

Good Life. Great Opportunity.

DEPT. OF ECONOMIC DEVELOPMENT

NEBRASKA

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DEPT. OF HEALTH AND HUMAN SERVICES

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Contact Information

Rebecca Schademann

Consolidated Plan Coordinator
Community Development Division
Department of Economic Development
301 Centennial Mall South, PO Box 94666
Lincoln, NE 68509-4666
(402) 471-3172
Email: Rebecca.Schademann@nebraska.gov
<http://www.opportunity.nebraska.gov>

CDBG

Steve Charleston

CDBG Program Manager
Community Development Division
Department of Economic Development
301 Centennial Mall South, PO Box 94666
Lincoln, NE 68509-4666
(402) 471-3757
Email: Steve.Charleston@nebraska.gov
<http://www.opportunity.nebraska.gov>

ESG / HSATF

Matt Thomsen

Program Manager
Division of Children and Family Services
Department of Health and Human Services
1955 E Military Avenue
Fremont, NE 68025
(402) 417-9435
Email: Matt.Thomsen@nebraska.gov
<http://www.dhhs.ne.gov>

Andrea Curtis

Nebraska Homeless Assistance Program Coordinator
Division of Children and Family Services
Department of Health and Human Services
PO Box 95026
Lincoln, NE 68508
(402) 432-4861
Email: andrea.curtis@nebraska.gov
<http://www.dhhs.ne.gov>

HOME / HTF / NAHTF

Lynn Kohout

Director of Housing
Housing and Field Operations Division
Department of Economic Development
301 Centennial Mall South, PO Box 94666
Lincoln, NE 68509-4666
(402) 440-2599
Email: Lynn.Kohout@nebraska.gov
<http://www.opportunity.nebraska.gov>

HOPWA

Weston Stokey

DHHS Program Manager
Division of Public Health
Department of Health and Human Services
301 Centennial Mall South
Lincoln, NE 68509-5026
(402) 853-4221
Email: Weston.stokey@nebraska.gov
<http://www.dhhs.ne.gov>

Logan Reynolds

HOPWA Program Manager
Division of Public Health
Department of Health and Human Services
301 Centennial Mall South
Lincoln, NE 68509-5026
(402) 471-6053
Email: logan.reynolds@nebraska.gov
<http://www.dhhs.ne.gov>

Acronym List

AIDS	Acquired Immune Deficiency Syndrome
APR	Annual Performance Report
BoS CoC	Balance of State Continuum of Care
CDBG	Community Development Block Grant
CFR	Code of Federal Regulations
CHAS	Comprehensive Housing Affordability Strategy
CHDO	Community Housing Development Organization
CNF	Community Need Factors
CoC	Continuum of Care
CR	Comprehensive Revitalization
CRD	Community and Rural Development
CWSRF	Clean Water State Revolving Fund
DED	Nebraska Department of Economic Development
DEQ	Nebraska Department of Environmental Quality
DHHS	Nebraska Department of Health and Human Services
DTR	Downtown Revitalization
DWSRF	Drinking Water State Revolving Fund
ED	Economic Development
ESG	Emergency Solutions Grant
HCDA	Housing and Community Development Act
HIV	Human Immunodeficiency Virus
HMIS	Homeless Management Information System
HOME	HOME Investment Partnerships Program
HOPWA	Housing Opportunities for Persons With AIDS
HSATF	Homeless Shelter Assistance Trust Fund
HTF	National Housing Trust Fund
HUD	U. S. Department of Housing and Urban Development
LMA	Low to Moderate Income Area Benefit
LMC	Low to Moderate Income Limited Clientele
LMH	Low to Moderate Income Housing
LMI	Persons with Low to Moderate Income
LMJ	Low to Moderate Income Jobs
MOU	Memorandum of Understanding
NAHTF	Nebraska Affordable Housing Trust Fund
NCHH	Nebraska Commission on Housing and Homelessness
NDO	Nonprofit Development Organization
NHAP	Nebraska Homeless Assistance Program
NIFA	Nebraska Investment Finance Authority
NMIS	Nebraska Management Information System
NOFA	Notice of Funding Availability
PW	Public Works
RFA	Request For Applications
RLF	Revolving Loan Fund
TA	Technical Assistance
USDA	U.S. Department of Agriculture
WW	Water Wastewater
WWAC	Water Wastewater Advisory Committee

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. **91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year

This is the first year of the 2020 – 2024 Consolidated Plan cycle and many of the accomplishments are associated to goals outlined in the 2015 – 2019 Consolidated Plan. Those accomplishments reported in the 2020 program year, associated to the previous Consolidated Plan, are integrated into the CR-05 accomplishment table below. The 2020 – 2024 Consolidated Plan priority needs include:

- 1) Enhance Public Facilities and Infrastructure;
- 2) Encourage Economic Development;
- 3) Support Vital Public Services;
- 4) Increase Availability of Affordable Housing;
- 5) Reduce Homelessness; and
- 6) Assist Person with HIV/AIDS.

The 2020 CAPER will highlight projects allocation of funds and accomplishments achieved during this program year (July 1, 2020 – June 30, 2021).

Enhance Public Facilities and Infrastructure

The goals associated with enhance public facilities and infrastructure activities other than Low/Moderate Income Housing Benefit through Persons Assisted was not achieved due to the impact of the COVID-19 pandemic. The pandemic directly impacted the availability of construction contractors needed to complete the public facility and infrastructure projects. Subrecipients requested additional time to complete activities. The CDBG Program granted extensions on these projects, and accomplishments will be demonstrated on future CAPER reporting.

The CDBG program exceeded the annual goal set to address Façade Treatment/business building rehabilitation. Primarily due to the accomplishments associated with projects funded within the previous Consolidated Plan. These projects were a lesser than anticipated investment by businesses and more local contractors were available to complete commercial rehabilitation.

Encourage Economic Development

The primary needs of the economic development include: job creation and expansion; and tourism development. A wide variety of activities are funded that primarily serve LMI persons throughout the state.

The goal associated with Job Creation/Retention was not met due several factors: the Covid-19 pandemic and prior awards issued under 2015-2019 Consolidated plan were limited resulting in fewer accomplishments being demonstrated during this period of performance (July 1, 2020 – June 30, 2021).

During this timeperiod, businesses turned to other resources available for assistance instead of the CDBG Program.

The CDBG Program exceeded the goal Business Assisted, this is due to the commercial rehabilitation program within the CDBG Funding Opportunity (Downtown Revitalization). When building the proposed goals numbers within the 2020 – 2024 Consolidated Plan, the CDBG program was not aware that the commercial rehabilitation accomplishments (HUD Matrix Goal 14E) were included with this goal.

Support Vital Public Services

The CDBG Program made funding available within the Youth Job Training funding opportunity, however, due to the COVID-19 pandemic on the State school systems, this funding was not accessed so the goal was not achieved. Schools were doing hybrid systems of both online and in-person learning which made it difficult to implement this program since it’s success rate is dependent on in-person learning. The CDBG Program continues funding access to this opportunity in future years to accomplish this goal.

Increase Availability of Affordable Housing

HOME, CDBG, HTF, and NAHTF resources were distributed according to achieve the goals associated with the Priority Need – Increase availability of Affordable Housing. Below is a table identifying the funding source and the amount of units assisted with the associated goal.

Housing Program Goal	Annual Goal	Funding Source				Total
		HOME	HTF	CDBG	NAHTF	
Rental Units Constructed	60	23	0	0	26	49
Rental Units Rehabilitated	20	9	0	0	18	27
Homeowner Housing Units Added	120	2	0	0	86	88
Homeowner Housing Units Rehabilitated	124	1	0	92	38	131
Total	324	35	0	92	168	295

The goals for Rental Unit Rehabilitated and Homeowner Housing Units Rehabilitated were met during the 2020 program year. However, the goal for Rental Units Constructed and Homeowner Housing Units Added were not met due to the impact of the COVID-19 pandemic. The pandemic directly impacted the construction industry, including increased construction costs and the supply and demand issues of construction supplies. Also, the construction workforce was negatively impacted.

Reduce Homelessness

In Fiscal Year 2020 (FY20), NHAP released a request for applications to provide emergency shelter (ES), grandfathered transitional housing (TH), homelessness prevention (HP), and rapid rehousing (RRH) services in the BoS CoC. NHAP conducts a two-year application cycle. The first year of the cycle is open to new applicants, and the second is only open to applicants selected for funding in year one. FY20 was open to existing subrecipients only. NHAP received 25 applications and all applicants were funded. Agencies were awarded \$888,778.58 in HSATF funding and \$875,197.85 in ESG funding.

When considering funding requests for FY20, NHAP emphasized the response to COVID-19, coordination of system services, and participation in the BoS CoC.

The final distribution of funding across regions was consistent with the proposed distribution.

Region	Number of Providers	ESG Regional Awards	HSATF Regional Awards	Total HSATF and ESG
Panhandle (Region 1)	3	\$96,087.47	\$120,078.14	\$216,169.61
North Central (Region 2)	5	\$172,808.04	\$176,031.68	\$348,846.72
South Central (Region 3)	5	\$175,208.42	\$177,784.58	\$353,001.00
Southeast (Region 4)	7	\$230,429.46	\$218,055.94	\$448,496.40
Northeast (Region 5)	5	\$201,323.18	\$196,828.23	\$398,161.41
Total	25	\$875,856.57	\$888,778.57	\$1,764,660.14

In FY20, NHAP awarded \$40,000 to five agencies, \$200,000 total, to provide Social Security Income (SSI) Social Security Disability Insurance (SSDI) Outreach, Access, and Recovery (SOAR) services in the BoS CoC. SOAR is a national program designed to increase access to the disability income benefit programs administered by the Social Security Administration (SSA) for eligible adults, children, and transition-aged youth who are experiencing or at risk of homelessness and have a serious mental illness, medical impairment, and/or a co-occurring substance use disorder. SOAR seeks to end homelessness through increased access to SSI/SSDI income supports, directly addressing the Substance Abuse Mental Health Services Administration’s (SAMHSA) assertion: “To recover, people need a safe stable place to live.” Stable housing is essential, and for many persons in recovery accessing benefits is their first step toward recovery. In the BoS CoC, 29 decisions were made, and 23 claims were approved for an 82% approval rate.

NHAP also awarded ESG and HSATF funds to the University of Nebraska’s Center for Children, Families, and the Law (CCFL) to be the HMIS lead for the Bos CoC. CCFL received \$10,000 in HSATF and \$58,300 in ESG.

NHAP provided \$21,323.75 in matching funds to CCFL for the BoS CoC planning grant. In 2018, CCFL became the recipient of the Housing and Urban Development’s CoC Planning Grant for the BoS CoC at the mutual request of CCFL and DHHS. Before 2018, DHHS was the recipient of HUD’s CoC Planning Grant. Providing matching funds to CCFL is a continuation of the long-standing use of HSATF funds. The technical assistance and planning provided by CCFL helped providers receive \$2.5 million in CoC funding for permanent supportive housing, RRH, and TH projects in Nebraska.

NHAP also provided Legal Aid of Nebraska with \$5,000 in funding to provide legal services to NHAP clients statewide. The grant provided to Legal Aid of Nebraska is not included in the match because the funding is used to provide legal services in Lincoln and Omaha, as well as the BoS. The legal services provided help individuals who are homeless or at risk of homelessness overcome barriers to housing. This increases an individual’s or household’s ability to obtain or retain housing and may include assistance with the following matters: child support; guardianship; paternity; emancipation; legal separation; orders of protection and other civil remedies for victims of domestic violence, dating violence, sexual assault, and stalking; the appeal of veterans and public benefit claim denials; and the resolution of outstanding criminal warrants. In FY20, Legal Aid of Nebraska provided legal services to 39 individuals with NHAP funding.

In addition to FY20 annual funding, NHAP awarded \$8,213,963.16 in Emergency Solutions Grant CARES Act (ESG-CV) funding to 23 agencies. ESG-CV was used to respond to, prepare for, and prevent the spread of COVID-19. Funding was awarded for ES, street outreach (SO), HP, HMIS, and RRH. As of June 30, 2021,

ESG-CV subrecipients have expended \$2,348,322.21 leaving \$5,822,043.32 remaining to be expended in FY21. Thus far, ESG-CV subrecipients have rapidly rehoused 137 households, provided HP services to 1,053 individuals, and conducted outreach to six individuals experiencing unsheltered homelessness. In addition, ES activities were supported at nine emergency shelters.

NHAP also awarded \$75,000 in HSATF emergency funding to three agencies, one from each CoC. The emergency funding was to be used to respond to, prepare for, and prevent the spread of COVID-19.

Due to the urgent needs brought forth as a result of the pandemic, NHAP dedicated time to collaborating with statewide homeless assistance partners, housing partners, and grant subrecipients to meet the immediate needs of those seeking emergency housing assistance. This assisted in reducing the spread of the COVID-19 virus.

Assist Person with HIV/AIDS

Nebraska's HOPWA Program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 4.9 FTE's case management staff to provide direct HOPWA services. In addition, NAP's Compliance Supervisor works closely with the DHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska's ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska's HOPWA Program to ensure timely quality services are providing through utilizing the agency's statewide office structure. The Nebraska AIDS Project Executive Director is Brent Koster.

The HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program focused on serving households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. During the reporting period, the program served 27 households for TBRA activities and total of 185 households for Other Activities. Other Activities include: Short-term Rent/Mortgage Assistance (66), Permanent Housing Replacement (14) and Supportive Services (73). Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. There were 7 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement.

The HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing

stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2018 subgrant with NAP did continue to require case management staff to complete the “Getting to Work” Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

Refer to Appendix 4 regarding the HOPWA 2020 goals and outcomes.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Need	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Enhance Public Facilities and Infrastructure	Non-Housing Community Development	CDBG	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	325,000	44,383	14%	65,000	44,383	68.28%
	Non-Housing Community Development	CDBG	Facade treatment/ business building rehabilitation	Business	200	70	35%	40	70	175%
Encourage Economic Development	Non-Housing Community Development	CDBG	Jobs created/ retained	Jobs	200	2	1%	40	2	5%
	Non-Housing Community Development	CDBG	Businesses assisted	Businesses Assisted	12	74	617%	3	74	2466.67%

Need	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Support Vital Public Services	Non-Homeless Special Needs	CDBG	Public service activities other than Low/Moderate Income Housing Benefit:	Persons Assisted	180	0	0%	60	0	0%
	Non-Housing Community Development									
Assist Persons with HIV/AIDS	Homeless Non-Homeless Special Needs	HOPWA	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	75	27	36%	15	27	180%
	Homeless Non-Homeless Special Needs	HOPWA	Public Service activities other than LMI Housing Benefit	Persons Assisted	75	167	223%	15	167	1113.33%
	Homeless Non-Homeless Special Needs	HOPWA	Homeless Prevention:	Persons Assisted	300	66	22%	60	66	110%

Need	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Increase Availability of Affordable Housing	Affordable Housing	HOME; HTF; NAHTF	Rental units constructed	Household Housing Unit	300	49	16%	60	49	82%
	Affordable Housing	HOME; HTF; NAHTF	Rental units rehabilitated	Household Housing Unit	100	27	27%	20	27	135%
	Affordable Housing	HOME; NAHTF	Homeowner Housing Added	Household Housing Unit	600	88	15%	120	88	73%
	Affordable Housing	CDBG; HOME; HTF; NAHTF	Homeowner Housing Rehabilitated	Household Housing Unit	620	131	21%	124	131	106%
Reduce Homelessness	Homeless	ESG; HSATF	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	750	133	18%	150	133	89%
	Homeless	ESG; HSATF	Homeless Person Overnight Shelter	Persons Assisted	9,750	2037	21%	1,950	2037	104 %
	Homeless	ESG; HSATF	Homelessness Prevention	Persons Assisted	4,725	859	18 %	945	859	91%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Addressing Priorities and Objectives through Use of Funds

Assess how the State's Use of Funds, particularly CDBG, addresses the Priorities and Specific Objectives Identified in the Plan, giving special attention to the highest priority activities identified: Use of Funds, Plan Priorities & Specific Objectives, & Identified Highest Priority Activities

The State of Nebraska 2020 program year was successful in many ways of addressing the priority needs and specific objectives identified in the 2020-2024 Consolidated Plan and the 2020 Annual Action Plan, specifically those highest priority activities identified in the CR-05 Accomplishments Table. State and Federal resources allocated were utilized to fund and support projects which were determined by DED and DHHS to address the priority needs identified. Funds were awarded and activities conducted within the approved use of funds, state priorities and specific objectives upheld and reinforced by the Nebraska Consolidated Plan, the 2020 Annual Action Plan, and activities authorized in Section 105(a) of the amended 1974 Housing and Community Development Act that meet national CDBG objectives.

Community Development Priority Need

Community Development Priority Need was developed in order to strengthen Nebraska communities through community development programs and services in order to provide a stable platform for economic development. The objective of improving and developing infrastructure and facilities for communities and counties that have planned and set priorities for long-term development, and other than infrastructure activities excluding LMH benefit was addressed by the awards made during the 2020 program year for the funding opportunities of: Planning, Public Works, Water/Wastewater, Downtown Revitalization, Emergent Threat, and Tourism Development. To view the awards refer to Appendix 2. These projects were awarded and are expected to be completed in a 24 month time period. Accomplishments for these awarded projects will appear in future CAPER documents.

Economic Development Priority Need

Economic Development Priority Need includes the need to foster the competitiveness of Nebraska's business and industrial sector, and as a result, assist in the economic development of Nebraska's communities and people. Within the funding opportunities of Economic Development, Tourism Development, and Downtown Revitalization projects were awarded in 2020 to address this need. To view the awards refer to Appendix 2. These projects have been awarded and expected to be completed in a 24 month time period. Accomplishments for these awarded projects will appear in future CAPER documents.

Support Vital Public Services

The primary goals of the Program include: funding public services including youth employment training opportunities that will primarily benefit LMI persons throughout the state. The impact of the Covid-19 pandemic placed on hold providing employment training for youth public services through the CDBG Program.

Increase Availability of Affordable Housing

The State's Housing Priority Need addresses the regional needs for affordable, decent, safe, and appropriate housing as part of balanced economic development in Nebraska. This Priority Need includes four goals that have been developed in order to meet the Housing Priority.

Goal 1 – Rental New Construction

The first housing goal of promoting additional affordable rental housing in selected markets has a Program Year 2020 outcome indicator of 60 units, the actual number of new rental units constructed and/or buildings converted to rental units was 49 in 2020. In addition, the State made funding available in multiple competitive application processes. In total, \$4,726,998 was awarded through the following programs in 2020: HOME (\$850,000); HTF (\$625,000); and NAHTF (\$3,251,998). A total of 9 projects were awarded to address this goal in 2020.

In order to ensure the highest priority of activities were funded, the State collaborated with NIFA to award funding to two projects. Through the HOME program, LIHTC 9% Tax Credit Round, the State awarded Hamilton Village Senior Suites, LLC, consists of new construction of housing units to be located in Omaha, 6 units to be HOME assisted benefitting 60% AMI or lower. In addition, the State award HTF funds to 1120 Lofts, LLC project in Omaha with 5 of the units benefitting 30% AMI or lower.

Goal 2 – Rental Units Rehabilitated

The second housing goal of promoting the rehabilitation of rental units has an outcome indicator of 20 units, which was surpassed by a total of 27 existing rental units being rehabilitated. During the program year, the State awarded 3 projects addressing the priority of rental units rehabilitation. Based on proposed units, the awards made this year will enable the state to accomplish the five year goal of 100 rental units rehabilitated. In total, \$4,478,000 was awarded through the following programs in 2020: HOME (\$1,378,000) and HTF (\$3,100,000).

The State collaborated with NIFA and awarded jointly HOME and NIFA Low Income Tax Credits (LIHTC) CRANE. The following awards will assist units at or below 60% AMI: 1) Omega West Point, Ltd, renovation of an existing, vacant nursing home into rental units located in West Point, benefitting 5 HOME assisted units; 2) Transformation Hill Apartments, LLC, renovation of two vacant dormitories into rental housing units located in Blair, 8 HOME assisted units. In addition, the State also awarded jointly HTF and NIFA Low Income Tax Credits (LIHTC) CRANE to CenterPointe South Street Project LLC project will consist of the rehabilitation of Trabert Hall into rental units in Lincoln with 18 units benefitting 30% AMI or lower.

Goal 3 – Homeowner Housing Units Added

The third housing goal of promoting additional households into homeownership by expanding affordable homeownership opportunities, or adding homeowner (also called homebuyer) housing has a 2020 program year outcome indicator of 120 units, the actual number of homeowner housing units added in 2020 was 88 units. During this program year, the State awarded 15 projects addressing this goal within the period of the Consolidated Plan. In total, \$5,162,500 was awarded through the following programs in 2020: HOME (\$500,000) and NAHTF (\$4,662,500).

The State held a competitive cycle and awarded three HOME CHDO awards to address this goal. These projects will be completed in the next two years.

- High Plains Community Development project will construct two single family housing units with buyer subsidy for households at or below 80% AMI in the community of Chadron;
- NeighborWorks Lincoln will construct four single family homes with homebuyer subsidy for households at or below 80% AMI in the community of Lincoln, and
- NeighborWorks Northeast Nebraska will assist seven households at or below 80% AMI with a purchase, rehab, resale program within the counties of Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne.

Goal 4 – Homeowner Housing Units Rehabilitated

The fourth housing objective of promoting housing preservation by improving the quality of Nebraska’s existing affordable housing through homeowner housing rehabilitation has a 2020 Program Year outcome indicator of supporting 124 household units. This was surpassed by rehabilitating a total 131 homeowner housing units. The state invests in rehabilitation of existing housing for low and moderate-income homeowners using a regional and community level service delivery system, and utilizes NAHTF and CDBG dollars for these activities.

In addition, this program year, the State awarded 13 projects that address this goal. In total, \$5,949,000 was awarded through the following programs in 2020: CDBG (\$4,067,000) and NAHTF (\$1,882,000).

For a comprehensive list of awards issued, refer to Appendix 3: PY2020 DED Housing Awarded Projects.

Reduce Homelessness

NHAP developed the following homeless assistance priority needs:

- Provide appropriate shelter and/or housing to people who are homeless or at risk of homelessness.
- Provide needed services to people who are homeless or are at risk of homelessness.

Addressing homelessness is a critical issue for the State of Nebraska. NHAP utilized ESG funds and HSATF resources to address the priority needs as outlined in the Annual Action Plan. This means all individuals who become homeless are able to be served immediately and will be connected with housing through the coordination and efficient use of services.

The array of homeless and at-risk of homelessness services evolved following HUD guidance, best practices, and a focus on client-centered services. NHAP subrecipient agencies provided the following services: ES/TH, HP, RRH, and HMIS activities that were directly related to the provision of NHAP funded activities. The fundamental goal is for homelessness to be rare, brief, and non-reoccurring.

The homeless assistance priority needs are aligned with the prioritization for RRH as established through the Coordinated Entry protocols. See the full Coordinated Entry manual for Nebraska BoS CoC and Lincoln CoC at: <https://ccfl.unl.edu/community-services-management/coordinated-entry/coordinated-entry-providers>. Coordinated Entry protocols for the Omaha CoC can be found at: <http://www.endhomelessnesstoday.org/Coordinated-Entry.html>.

Furthermore, the Coordinated Entry Systems have established protocols to ensure that victims of domestic violence can be referred to the Coordinated Entry System anonymously to avoid jeopardizing the confidentiality protections under the Violence Against Women Act (VAWA). Victim service providers may choose to either complete the Coordinated Entry Assessment Tool and submit to the Coordinated Entry List, have another agency complete the Coordinated Entry Assessment Tool and refer to the Coordinated Entry List, or have the Coordinated Entry Program Manager include the program participant on the Coordinated Entry list anonymously. These options were established to provide survivors a client-centered approach and to ensure confidentiality.

Although Nebraska has been successful in the utilization of Coordinated Entry to move program

participants into permanent housing, emergency and transitional shelters remain a needed service throughout the State for reasons such as emergency domestic violence situations, transition-aged youth, a lack of affordable housing, and a lack of permanent supportive housing. In FY20, domestic/sexual violence shelters served 5,531 individuals, of which 709 individuals needed nighttime beds, and 257 were transition-aged youth.

NHAP subrecipient agencies, with the direction of NHAP, established funding priorities and evaluated the array of resources in their communities to meet the housing solution needs of the populations they serve. In addition, the agencies collaborated within their regions through participation in the local and regional coalitions to provide a continuum of services from ES to permanent housing solutions. NHAP coordinated with agencies to identify areas of need and to provide technical assistance. In addition, to prepare for and respond to COVID-19, NHAP has been coordinating and meeting with multiple partners to include: Nebraska Department of Economic Development; DHHS Public Health Nebraska Children and Families Foundation; Nebraska Emergency Management Agency; City of Lincoln; the City of Omaha; Center for Children Families & the Law; and Metro Area Continuum of Care for the Homeless.

Assist Persons with HIV/AIDS

The HOPWA Services Priority Need is intended to ensure appropriate emergency and/or permanent housing and services for people who are homeless or at imminent risk of becoming homeless by distributing funds to a project sponsor in order to meet the needs of persons living with HIV/AIDS. This priority contains one primary objective that includes providing housing assistance and related supportive services to low income persons with HIV/AIDS and their families; enablement of low income persons with HIV/AIDS to achieve stability in housing, reduce risks of homelessness, and increase access to health care; HOPWA Services Program utilization of HOPWA resources in order to address the priority and specific associated objectives; and placing individuals into housing and connecting them to healthcare by utilizing Permanent Housing Placement and Tenant-Based Rental Assistance, in addition to other assistance beneficiaries for which they are determined eligible.

The HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program focused on serving households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. During the reporting period, the program served 27 households for TBRA activities and total of 265 households for Other Activities. Other Activities include: Short-term Rent/Mortgage Assistance (66), Permanent Housing Replacement (14) and Supportive Services (185). Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. There were 7 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Any references in Appendix 4 to Permanent Facility housing are in error, and should instead be seen as referring to Permanent Housing Placement.

The Nebraska HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

The program currently still has a waiting list for TBRA. Placement is made through an application process with those individuals scored at “Highest Need” placed at the top of the wait list. Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s), prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. An increase in funding to expand tenant-based rental assistance (TBRA) continues to be a priority. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas), but the FY2019 subgrant with NAP did continue to require case management staff to complete the “Getting to Work” Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Nebraska’s HOPWA Program is co-located within the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing, Hepatitis, and HIV Surveillance. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the Nebraska HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care. Nebraska’s HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Care and Prevention Consortium (NHCCPC) is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV & AIDS in Nebraska. The membership are persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

The Nebraska HOPWA Program Manager is a member of the Nebraska Commission on Housing and Homelessness (NCHH). This collaboration includes participation in the 10-Year Plan to End Homelessness. The HOPWA Program Manager is working to resume, build and strengthen collaborations with various HIV-related committees that provide useful information/data to assist in identifying and focusing on statewide needs/services as they relate to the overall well-being of HOPWA/HIV+ persons.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

	CDBG	HOME	HOPWA	ESG	HTF
White	16,013	58	76	2495	0
Black or African American	9	4	87	159	0
Asian	1	0	1	4	0
American Indian or American Native	11	0	2	157	0
Native Hawaiian or Other Pacific Islander	0	0	0	14	0
Total	16,034	62	166	2829	0
Hispanic	101	4	39	658	0
Not Hispanic	15,933	81	128	2392	0

Narrative

The chart in CR-10 within IDIS does not include fields to enter client responses for “bi-racial”, "multiple races", or client refusal to provide race and ethnicity information.

CDBG

Beneficiaries are recorded once a project is completed. This information was exported from the federal system, IDIS, and does not include the racial and ethnic composition of families assisted through projects that met the National Objective – Low and Moderate Income on an Area Basis (LMA).

Race:	Totals by Race
White	16,013
Black or African American	9
Asian	1
American Indian or American Native	11
Native Hawaiian or Other Pacific Islander	0
Multiracial	70
Totals	16,104
Ethnicity	
Hispanic	101
Not Hispanic	16,003

HOME

Data is based upon on the race and ethnicity of families and persons assisted with HOME funds during the program year. Beneficiaries are recorded once a project is completed.

Race:	Totals by Race
White	58
Black or African American	4
Asian	0
American Indian or American Native	0
Native Hawaiian or Other Pacific Islander	0
Multiracial	23
Totals	85
Ethnicity:	
Hispanic	4
Not Hispanic	81

NHAP

The ESG race and ethnicity data in CR-10 does not reflect the actual number of individuals served. The totals provided in CR-10 do not include participant responses for “client refused information/does not know” and “data not collected” as recorded by NHAP subrecipients. For a specific breakdown of the race and ethnicity data, the total number of all individuals for all race and ethnicity categories is reported in the attached Sage CAPER BoS Annual Reporting Tool.

Race:	Totals by Race
White	2495
Black or African American	159
Asian	4
American Indian or American Native	157
Native Hawaiian or Other Pacific Islander	14
Multiracial	224
Client Doesn't Know/Client Refused	7
Data Not Collected	1
Totals	3061
Ethnicity:	
Hispanic	658
Not Hispanic	2392

HOPWA

The HOPWA race and ethnicity data in the CR-10 table in IDIS will not capture the complete data concerning the racial and ethnic composition of families assisted or the total number of individuals within those families assisted because the table does not include those individuals who identify as multi-racial.

HOPWA: Racial and Ethnic Composition of families assisted	
White	76
Black or African American	87
Asian	1
American Indian or American Native	2
Native Hawaiian or Other Pacific Islander	0
Multi-Racial	4
Totals	167
Ethnicity:	
Hispanic	39
Not-Hispanic	128

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	\$23,586,705	\$13,750,865.59
HOME	public - federal	\$7,190,242	\$2,973,552.58
HOPWA	public - federal	\$608,401	\$469,864.15
ESG	public - federal	\$1,009,899	\$1,009,899
HTF	public - federal	\$8,130,195	\$3,831,131.06
Other	public - state	\$11,484,837.57	\$8,099,216.56

Table 2 - Resources Made Available

Narrative

The above Table represents the resources made available and the resources expended for Program Year 2020.

The column “Resources Made Available” aligns with the amounts available listed within the 2020 Annual Action Plan within Section 15. The column “Amount Expended During Program Year” identifies all expenditures per program between July 1, 2020 – June 30, 2021.

CDBG

\$23,586,705 in federal CDBG funds was made available to potential applicants, which includes carry over funds and program income. These made available to potential applicants. A total of \$13,959,733 was awarded to new CDBG projects during the program year, refer to Appendix 2: CDBG Awarded Projects for more information.

HOME

The federal resources of HOME funds was made available in the amount of \$7,190,242. Of this amount, the State awarded \$2,728,000 to eligible projects, refer to Appendix 3 for a full list of projects. During the program year \$2,973,552.58 was expended. The State of Nebraska had no HOME match liability for the 2020PY, however \$84,522 was contributed to the states HOME Match during the program year 2020. The \$84,522 was contributed from NAHTF funds used for projects which met the HOME match rules and regulations concerning HOME Match. The HOME match provided for the current Federal Fiscal Year is further documented in Table 5 titled "Match Contribution for the Federal Fiscal Year".

HOPWA

The federal resources of HOPWA made available for the HOPWA Program during FY20 was \$608,401.00. The total amount expended of \$469,864.15 included in approximate amounts, \$322,704.46 in total HOPWA housing subsidy assistance, \$96,950.36 in supportive services, and \$50,209.33 in grant administration and other activities. Please see the attached 2020 HOPWA CAPER for a more detailed table and description.

HTF

The federal resource of Housing Trust Funds was made available in the amount of \$8,130,195, which included the carry-over from previous years. During the PY2020, a total of \$3,725,000 was awarded to projects. \$3,831,131.06 was expended during the program year.

NHAP

Of the total ESG allocation made available within Nebraska, \$934,156.58 was made available to eligible applicants, which included carry-over from 2019. A small amount, \$2,480.73, was not allocated and will be made available to applicants within the FY2021.

The matching "other" resources made available in FY20 consist of the HSATF in the amount of \$1,184,837.57 The amount expended for FY20 totaled \$1,055,388.56. Unexpended HSATF funds remain in the trust fund for future use. In addition, \$1,481,863.45 was made available for statewide legal services and homeless services in the entitlement communities of Lincoln and Omaha. Of those resources, the amount expended for FY20 was \$1,233,890.53. The City of Lincoln has one quarter remaining in its grant term. It is expected the entirety of the resources made available for legal services and to the entitlement communities will be expended.

Other: NAHTF

The state funding resource of the Nebraska Affordable Housing Trust Fund was estimated to be made available in the amount of \$10,300,000. \$10,571,750: was awarded. A total of \$7,043,828 in Government Aid was expended during the PY2020 through the Nebraska Affordable Housing Trust Fund.

CDBG-CV

Only State Administration funds (\$157,936.82) were expended during July 1, 2020 – June 30, 2021. DED did complete the procurement process and enter into contract with the University of Nebraska – Lincoln, Center on Children, Families, and the Law (UNL-CCFL) for \$6,486,296 in June 2021. UNL-CCFL will implement the delivery and management of the Coronavirus Related Emergency Payments.

HOPWA-CV

\$56,310.20 of HOPWA-CV was expended during July 1, 2020 – June 30, 2021 toward short-term rental assistance.

ESG-CV

NHAP made available \$8,213,963.16 in ESG-CV for the provision of SO, ES, HP, RRH, and HMIS. \$2,348,322.21 of ESG-CV was expended from July 1, 2020 – June 30, 2021.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Statewide	100	100	See Below

Table 3 – Identify the geographic distribution and location of investments

Narrative

The State of Nebraska has chosen not to target any particular geographic area for special assistance, but has chosen to allow any non-entitlement community to apply for CDBG funding, dependent on eligible activities and programs, and provides HOME, HTF, ESG, and HOPWA funding throughout the state.

The State of Nebraska distributed development resources in proportion to the development needs of the state. In general, the DED and the DHHS approved funding for development projects which satisfy specific criteria and fund projects throughout the state. There is no specific target area for funding distribution but program assistance is provided statewide. Nebraska is served by a system of service providers throughout the state. The majority of these service providers are located in the areas where need has been shown according to the Census data available and other economic indicators. Some of these indicators include the community population, where those communities with the largest populations tend to have the greatest number of service providers within any given geographic area.

NHAP

ESG funds for the BoS CoC , along with HSATF resources, provided funding for activities that supported a comprehensive approach to address the needs of people who are homeless or at risk of homelessness throughout the State of Nebraska. These activities assisted in the prevention and alleviation of homelessness and provided a pathway from temporary to permanent housing for persons who are homeless. All NHAP funded projects have developed services that link housing assistance programs with services to promote self-sufficiency. As per HUD regulations, ESG funds were allocated among several service categories, ensuring that no more than 60 percent of the total ESG award was allocated to street outreach (SO) and ES services.

The statewide CoC system was developed to help ensure that people who are homeless or at risk of homelessness can access needed ES, SO, HP, and RRH services in each of the 93 counties in the State of Nebraska. Nebraska is separated into seven geographical regions. Two being urban areas which are entitlement cities and therefore, received ESG funding directly from HUD. The remaining five regions received ESG funds through the NHAP program. In addition to the ESG funds, NHAP allocated the HSATF to all seven regions in Nebraska, which were utilized as matching funds for ESG for the BoS CoC. The allocation of BoS ESG and HSATF funding per region was based on HUD’s pro rata need calculations.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The use of federal funds leveraged significant amounts of additional resources (private, state, and local funds) through several of the CDBG, HOME, HTF, ESG, and HOPWA projects throughout the state.

CDBG

CDBG State Administration funds are matched by the Department as required by HUD and described in the 2020 Annual Action Plan.

During the program year, a total of \$22,630,080.41 local matching dollars were expended to projects across Nebraska. The source of these matching dollars varies by project and subrecipient, yet subrecipients are responsible for securing these funds, whether they be from other federal agencies, state agencies, nonprofits, or local monies..

HOME

HOME funds were leveraged with Nebraska Affordable Housing Trust Fund (NAHTF) resources. The NAHTF is state funding that is based on a portion of the documentary stamp taxes from real estate transactions that are transferred to the Nebraska Affordable Housing Trust Fund. The NAHTF are distributed throughout the state according to the NAHTF Qualified Allocation Plan (QAP). The allocation from the NAHTF varies annually due to the amount of revenue received from the documentary stamp tax. HOME Match requirements of HUD are met through projects eligible under NAHTF that must use HOME rules and regulations. During the program year, \$84,522 of NAHTF dollars were contributed as HOME Match. Please reference the HOME Match table and HOME Match Log in CR-15 for further details.

HOME beneficiaries receive a higher quality of life as a result of DED's ability to provide substantial match on HOME projects. Rural communities and those that have limited access to private and local funding sources benefit. The Department collaborates with the Nebraska Investment Finance Authority (NIFA) through the joint Low Income Housing Tax Credit (LIHTC)/HOME/HTF application administered through NIFA's rental application cycles to provide decent housing and a suitable living environment for low and moderate income and homeless individuals in Nebraska. NIFA has a competitive LIHTC and Collaborative Resources Allocation for Nebraska (CRANE) application process for single-family and multi-family rental projects. HOME is awarded through both of these application processes. DED has an application process for Community Housing Development Organizations (CHDO) to apply for the CHDO set-aside every year for homebuyer projects.

Awarded HOME projects layer funding with many different funding sources, some of these resources are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

HTF

HTF funds are leveraged in a few different ways. The HTF LIHTC 9% Set-Aside provides funding for

affordable rental projects in coordination with Nebraska Investment Finance Authority (NIFA) through the joint DED/NIFA application for low income housing tax credit applications. The HTF LIHTC 9% Set-Aside utilizes the application process through NIFA. Some examples of possible sources for leveraged dollars for the HTF LIHTC 9% Set-Aside are: Low Income Housing Tax Credits, Affordable Housing State Tax Credits, Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources.

The HTF Targeted Needs Set-Aside provides funding for targeted needs housing projects funded with non-LIHTC resources. These projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. Examples of sources for leveraged dollars for the HTF Targeted Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

The HTF Permanent Housing Set-Aside provides funding for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha Continuum of Care; the Lincoln Continuum of Care; and the BoS CoC. Examples of sources for leveraged dollars for the HTF Permanent Housing Needs Set-Aside are: Tax Increment Financing, Equity or deferred developer fees, conventional loans, USDA funding, Federal Home Loan Bank of Topeka, and other affordable housing resources. This does not include LIHTC, Affordable Housing State Tax Credits, HOME, NAHTF, or CDBG funding sources.

NHAP

ESG funds, through the NHAP, were leveraged with HSATF resources. The HSATF is state funding that is collected via the documentary tax stamp on real estate sales. Twenty-five cents is collected for every \$1,000.00 of real estate sold in Nebraska. HSATF funds serve as the ESG match funds for the BoS CoC. The Nebraska Department of Health and Human Services' ability to provide 100% of the match for BoS ESG subrecipients is a benefit to non-profit agencies. The matching funds assist subrecipients in providing homeless services, particularly in communities that are rural or have limited access to private and local funding sources.

The NHAP collaborated with the BoS, Omaha, and Lincoln CoCs. This assisted in the seamless provision of services to all homeless individuals across the State of Nebraska, including HUD's CoC funding. The Coordinated Entry System is utilized to prioritize the most vulnerable homeless individuals and to determine which program, either NHAP or CoC funded programs, best fits the individual's needs.

Additionally, many of the NHAP subrecipients received additional funding support from a variety of other resources, such as HUD CoC; Community Services Block Grant; federal funding sources other than HUD; local charitable foundations; and private foundations to provide a comprehensive array of services to the program participants within their homeless programs. These CoC and other federal/State/local funds were considered when NHAP evaluated the financial stability of the organization during the NHAP applicant review process, as well as during strategic planning.

NHAP Recipients	HSATF	ESG	Total 2020 Award	ESG-CV
The DOVES Program	\$15,217.53	\$16,087.47	\$31,305.00	\$149,446.66
Northwest Community Action Partnership (Northern Panhandle)	\$54,437.63	\$0.00	\$54,437.63	\$90,974.16
Community Action Partnership of Western Nebraska	\$50,422.98	\$80,000.00	\$130,422.98	\$417,343.30
Center for Sexual Assault and Domestic Violence Survivors, Inc.	\$42,446.05	\$0.00	\$42,446.05	\$0.00
Bright Horizons (O'Neill)	\$51,715.00	\$0.00	\$51,715.00	\$44,966.00
Northwest Community Action Partnership (Cherry County)	\$29,010.81	\$0.00	\$29,010.81	\$20,000.00
Central Nebraska Community Action Partnership, Inc.	\$38,205.43	\$69,808.04	\$108,013.47	\$858,707.46
Hope Harbor, Inc.	\$14,654.39	\$103,000.00	\$117,654.39	\$422,548.00
Residential Assistance for Families in Transition, Inc.	\$0.00	\$30,084.00	\$30,084.00	\$0.00
Dawson County Parent-Child Protective Services, Inc.	\$33,764.00	\$0.00	\$33,764.00	\$0.00
The SAFE Center	\$36,652.00	\$0.00	\$36,652.00	\$0.00
The Connection Homeless Shelter, Inc.	\$18,670.58	\$66,637.42	\$85,308.00	\$406,543.46
Community Action Partnership of Mid-Nebraska (Southwest)	\$88,698.00	\$78,487.00	\$167,185.00	\$1,149,076.00
Community Action Partnership of Lancaster and Saunders Counties	\$17,000.80	\$60,000.00	\$77,000.80	\$596,065.22
The Spouse/Sexual Abuse Family Education Center, Inc.	\$26,209.60	\$0.00	\$26,209.60	\$0.00
Blue Valley Community Action, Inc.	\$47,847.62	\$105,000.00	\$152,847.62	\$820,983.00
Community Action Partnership of Mid-Nebraska (Adams County)	\$35,700.97	\$65,429.46	\$101,130.43	\$300,000.00
Southeast Nebraska Community Action Partnership, Inc.	\$14,937.85	\$0.00	\$14,937.85	\$28,600.00
Hope Crisis Center	\$51,351.42	\$0.00	\$51,351.42	\$51,371.11
CASA of South Central Nebraska: Maryland Living Center	\$25,007.68	\$0.00	\$25,007.68	\$53,004.00
Care Corps, Inc.	\$17,732.66	\$201,323.18	\$219,055.84	\$989,939.23
Crisis Center DA/SA, Inc.	\$34,758.00	\$0.00	\$34,758.00	\$0.00
Bright Horizons (Norfolk)	\$48,471.00	\$0.00	\$48,471.00	\$87,227.00
Northeast Nebraska Community Action Partnership, Inc.	\$71,826.35	\$0.00	\$71,826.35	\$392,456.56
Haven House Family Services Center	\$24,040.22	\$0.00	\$24,040.22	\$47,807.29
Center on Children, Families, and the Law (CCFL) for HMIS Services	\$10,000.00*	\$58,300.00	\$148,327.50	\$90,027.50
Community Action Partnership of Western Nebraska for SOAR Services	\$40,000.00	\$0.00	\$40,000.00	\$0.00
Central Nebraska Community Action Partnership, Inc. for SOAR Services	\$40,000.00	\$0.00	\$40,000.00	\$0.00
Community Action Partnership of Mid-Nebraska for SOAR Services	\$40,000.00	\$0.00	\$40,000.00	\$0.00
Blue Valley Community Action, Inc. for SOAR Services	\$40,000.00	\$0.00	\$40,000.00	\$0.00

NHAP Recipients	HSATF	ESG	Total 2020 Award	ESG-CV
Northeast Nebraska Community Action Partnership, Inc. for SOAR Services	\$40,000.00	\$0.00	\$40,000.00	\$0.00
Center on Children, Families, and the Law (CCFL) CoC Planning Match	\$19,359.00	\$0.00	\$19,359.00	\$0.00
Salvation Army Grand Island	\$0.00	\$0.00	\$90,000.00	\$90,000.00
Community Alliance	\$0.00	\$0.00	\$222,415.13	\$222,415.13
Total	\$1,118,137.57	\$934,156.57	\$9,094,980.09	\$ 8,260,393.03

*CCFL's total award from the State was \$100,000, \$41,700 in HSATF and \$58,300 in ESG. However, CCFL subawards \$31,700 to the HMIS Lead for the Omaha Metro Area Continuum of Care for the Homeless. The total above reflects only HMIS Lead activities performed for the BoS.

HOPWA

Leveraged funds in the HOPWA Program are the amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery. In-kind Leveraged Resources involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources.

Source of Leveraging Chart for the HOPWA Program

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution
Public Funding		
Ryan White-Housing Assistance	\$45,000	Rent assistance
Ryan White-Other		
Housing Choice Voucher Program		
Low Income Housing Tax Credit		
HOME		
Continuum of Care		
Emergency Solutions Grant		
RW ADAP	\$1,591,558	medications
RW Utility Assistance	\$53,000	Utility assistance
RW Part B Food	\$27,613	Food vouchers
RW OAHS	\$20,000	
RW Transportation	\$74,714	
Private Funding		
Grants and/or In-kind Resources		
Other Private: NE AIDS Project-Flowers' Fund	\$20,309.53	Housing and Utility Assistance, Supportive Services
Other Funding		
Grantee/Project Sponsor (Agency) Cash		
Resident Rent Payments by Client to Private Landlord		
TOTAL (Sum of all Rows)	\$1,832,194.53	

Nebraska's HOPWA Program is collaborates with the Infectious Disease Unit. This Unit includes the STD Prevention, Refugees Resettlement Program, Ryan White, HIV Prevention, Counseling and Testing,

Hepatitis, and HIV Surveillance. The Units works well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska’s HOPWA Program. Frequent team meetings, cross-matching and data comparisons, involvement in the Ryan White Quality Management Committee, and participating in the recent D2C (Data to Care) Program also promote linkages to care and treatment, supporting housing as healthcare.

Outreach activities have been successful in reaching out to persons with HIV/AIDS. Outcomes initially focus on accessing and/or securing stable housing, providing supportive service needs, and connecting individuals to health care services, including medications. Utilizing valuable evidence-based practices in the operation of the Nebraska HOPWA Program, staff are presented various strategy options to assist clients in obtaining and maintaining housing, reducing risks of homelessness, and improving access to health care. However, with the onset of the pandemic beginning in March 2020, outreach activities have been limited.

Nebraska’s HOPWA Program participates in groups, meetings, and advisory boards whose focus is HIV/AIDS services and supports. The mission of Nebraska HIV Cares is to develop a comprehensive HIV Care and Prevention Plan for the State of Nebraska by identifying specific strategies and interventions that are responsive to validated needs within defined target populations affected by HIV. The membership is mandated to be geographically balanced and to reflect the diversity that is the HIV epidemic. Recruitment is guided by the principles of parity, inclusion, and representation. Due to the impact of the pandemic, meetings for this group were not held in the last quarter of the program year.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners to enhance and improve the quality of life for those infected with HIV and AIDS in Nebraska. The membership consists of persons living with HIV/AIDS throughout the state of Nebraska. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery and feedback on program successes on all aspects of housing, prevention and care services. Due to the impact of the pandemic, meetings for this group were not held in the last quarter of the program year.

The NAP case managers participate in their local Continuums of Care. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$43,561,522.18
2. Match contributed during current Federal fiscal year	\$84,522.00
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$43,646,044.18
4. Match liability for current Federal fiscal year	\$0.00
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$43,646,044.18

Table 4 – Fiscal Year Summary - HOME Match Report

Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
18TFHP31001A	1/3/2020	\$2,738.00						\$ 2,738.00
18TFHP31001B	1/3/2020	\$5,000.00						\$5,000.00
18TFHP31001C	1/9/2020	\$5,000.00						\$5,000.00
18TFHP31001D	3/24/2020	\$4,169.00						\$4,169.00
18TFHP31001E	4/24/2020	\$2,646.00						\$2,646.00
18TFHP31001F	4/24/2020	\$3,039.00						\$3,039.00
18TFHP31001G	5/8/2020	\$5,000.00						\$5,000.00
18TFHP31001H	6/8/2020	\$5,000.00						\$5,000.00
18TFHO36002	7/21/2020	\$21,930.00						\$21,930.00
17TFHP36017	1/28/2020	\$30,000.00						\$30,000.00
Total		\$84,522.00						\$84,522.00

Table 5 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	0	0	0	0

Table 6 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	\$5,907.56	0	0	0	\$360	\$5,547.56
Number	6	0	0	0	2	4
Sub-Contracts						
Number	32	0	0	0	0	32
Dollar Amount	\$52,845.37	0	0	0	0	\$52,845.37
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	\$5,907.56	\$122.52	\$5,785.04			
Number	6	2	4			
Sub-Contracts						
Number	32	0	32			
Dollar Amount	\$52,845.37	0	\$52,845.37			

Table 7 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 8 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 9 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	150	133
Number of Non-Homeless households to be provided affordable housing units	324	349
Number of Special-Needs households to be provided affordable housing units	15	27
Total	489	509

Table 10 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	165	582
Number of households supported through The Production of New Units	180	99
Number of households supported through Rehab of Existing Units	144	196
Number of households supported through Acquisition of Existing Units	25	25
Total	514	902

Table 11 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

As the information above demonstrates, a significant number of households are served annually through affordable housing programs. The goals were obtained in all categories of the above table except for Number of Households supported through Acquisition of Existing Units. Below is additional information regarding the goals:

Homeless households to be provided affordable housing units

The actuals for this goal are based on the number of households served through NHAP

NHAP subrecipients assisted individuals in obtaining affordable housing units through RRH with ESG and HSATF funds. The number of households served did not meet the identified goal. However, in addition to the 133 households above, NHAP ESG-CV subrecipients provided affordable housing units to 137 homeless

households with ESG-CV funding. The lack of affordable housing units that meet HUD guidelines for Fair Market Value and Rent Reasonableness is a barrier to providing affordable housing to homeless individuals across the state. The lack of affordable housing stock creates significant barriers for individuals moving from homeless situations into maintaining permanent housing. In addition, many of the individuals experiencing homelessness have significant barriers, such as: prior evictions; criminal histories; and disabilities. It appears there is a need to provide RRH for longer-term assistance due to a lack of permanent supportive housing units for individuals with high acuity.

Rental assistance for homeless individuals is currently being provided through NHAP resources that include Tenant-Based Rental Assistance (TBRA); financial assistance including rental application fees, security and utility deposits, last month's rent, utility payments, moving costs; and short-term rental assistance. ESG-CV funded rental assistance was provided for 397 non-homeless households who were at risk of homelessness. The number served with ESG-CV funding is not included in the above table.

Number of households supported through The Production of New Units

The goal for the production of new units was not met in Program Year 2020. The pandemic has directly impacted the construction industry, including increased construction costs and the supply and demand issues of construction supplies, along with the construction workforce being negatively impacted. These factors directly impacted the states ability to meet the goal for the production of new units in Program Year 2020, many projects have been delayed that would have normally been completed.

Discuss how these outcomes will impact future annual action plans.

The outcomes discussed for program year 2020 reflect anticipated indicators of outcome measures for affordable housing were useful planning tools for the Nebraska DED and Nebraska DHHS. Therefore future annual action plans will continue to be created in coordination and collaboration with Nebraska partners and stakeholders as discussed in the current consolidated plan.

The Nebraska DED will continue to utilize outcome measurements to inform decisions and future housing planning, including monitoring outcome results throughout the next program year as grants are awarded to evaluate whether anticipated households served under the specific objectives are accurately reflecting the current needs of the Housing Priority in Nebraska. The outcome measurement of the number of households supported through Acquisition of Existing Units will be reviewed throughout the year especially to ensure a more accurate description of current need.

NHAP

NHAP continues to evaluate the needs for ES versus HP and RRH programs throughout the State of Nebraska. An evaluation was conducted through a review of subrecipient data from: the traditional homeless and victim service providers who are serving homeless individuals; on-site and fiscal monitoring of subrecipients; continuation of consultation with the CoC programs and funders, including HUD; and data provided from the Coordinated Entry System.

Continued evaluation will help to ensure proper alignment of program activities based on identified needs and to ensure consistency with program delivery for RRH services and other ESG eligible programs within each regional coalition.

NHAP will continue to utilize its advisory body, the Nebraska Commission on Housing and Homelessness, to search out innovative ideas to provide affordable housing throughout the State of Nebraska. Through this collaboration with the Department of Economic Development, NHAP funded grantees, and other homeless program providers, NHAP plans to assist in the identification of the needs and continued development of affordable housing.

HOPWA

HOPWA will continue to utilize outcome measurements to inform decisions and future planning and services for recipients.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual	HTF Actual
Extremely Low-income	14	8	0
Low-income	34	26	0
Moderate-income	27	1	0
Total	75	35	0

Table 12 – Number of Households Served

Narrative Information

The above Table represents the data available concerning extremely low-income, low-income, and moderate-income persons served by each activity this Program Year where information on income by family size is required to determine the eligibility of the activity. For the purposes of the table above, income levels are defined as follows for each program.

TERM	PROGRAM DEFINITION
EXTREMELY LOW-INCOME	<p>CDBG: Households whose incomes is at or below 30% of the median family income for the area.</p> <p>HOME: Households whose incomes is at or below 30% of the median family income for the area.</p> <p>HTF: a family whose annual income does not exceed 30% of the median family income of a geographic area.</p>
LOW-INCOME	<p>CDBG: Households whose income is above 30% and at or below 50% of the median family income for the area.</p> <p>HOME: Households whose income is above 30% and at or below 60% of the median family income for the area.</p> <p>HTF: a family whose annual income does not exceed 50% of the median income for a geographic area.</p>
MODERATE-INCOME	<p>CDBG: Households whose income is above 50% and at or below 80% of the median family income for the area.</p> <p>HOME: Households whose income is above 60% and at or below 80% of the median family income for the area.</p> <p>HTF: a family whose annual income that exceeds 50% of the median income for a geographic area.</p>

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

NHAP

The State of Nebraska's NHAP funding focused on assisting homeless individuals to reach the goal of having functional zero homeless individuals in Nebraska. Achieving functional zero homelessness means that the state would have enough resources to immediately divert individuals from homelessness or to rapidly rehouse them. Nebraska's Coordinated Entry System coordinates resources from across the state to create an efficient homeless services system that targets the most vulnerable homeless individuals in each geographic region. The goal of Coordinated Entry is to create a seamless process from reaching out to homeless individuals to working collaboratively towards permanent housing while utilizing the "Housing First" model.

DHHS has been establishing an overarching goal to challenge each region to work more collaboratively with entities who may have contact with both the sheltered and unsheltered homeless population. NHAP subrecipients were required to participate with their regional coalitions which serve as the entry point for coordinated assessment for homeless services, including the unsheltered, in the region. Providers in the regional coalitions worked closely with legal authorities, such as: the State Patrol; local police departments; County Sheriffs; and Nebraska State Park officials that encounter unsheltered homeless persons to build relationships and increase referral networks. The Coordinated Entry team developed lists of entities, including: public libraries; law enforcement; grocery stores; and laundromats that may be in contact with homeless individuals and provided training on referring to publically identified access points for permanent supportive housing and RRH programs. Those efforts were made to increase access for individuals experiencing unsheltered homelessness into the homeless services system.

In addition, NHAP awarded \$222,415.13 in ESG-CV funding to support SO efforts in Sarpy County and the non-Omaha portions of Douglas County. Douglas County providers, Sarpy County providers, and community members reported an increase in unsheltered homelessness in those areas. This may have been due to concerns about residing in congregate shelters during COVID-19.

HOPWA

The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and expanded the TBRA (Tenant-Based Assistance Program) Program to serve 27, with hopes of adding more eligible clients soon.

Addressing the emergency shelter and transitional housing needs of homeless persons

NHAP

Emergency Shelter (ES) and transitional housing (TH) are a critical part of the homeless service system for a variety of reasons, such as a lack of short-term housing solutions for the working poor; families experiencing domestic violence; a lack of immediately available fair market housing; individuals exiting substance abuse treatment centers; youth experiencing homelessness; and a lack of permanent supportive housing. Shelters provide temporary refuge and often serve as the “front door” or entry point to the homeless services system.

For NHAP programs funded to support ES and TH programs, performance was measured based on:

- Increased utilization rate;
- Decreased average length of stay of the households served in ES/TH;
- Increase in the percentage of discharged households to permanent housing; and,
- Minimal rates of returns to homelessness.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care, and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

NHAP

The BoS CoC has developed a discharge planning policy to prevent individuals discharged from publicly funded institutions or systems of care from immediately becoming homeless. Subrecipients of NHAP funds provide HP and RRH services in each regional Continuum. In addition, agencies provide wrap-around services to meet the needs of individuals at-risk of homelessness. The Coordinated Entry “No Wrong Door” process also has shown to be an invaluable referral process for agencies who have contact with homeless or at-risk of homelessness households in communities across the state.

As per HUD regulations, NHAP subrecipients were required to identify and connect program participants to mainstream services to promote economic stability. Public benefits, such as Supplemental Nutrition Assistance Program, Temporary Assistance to Needy Families, Low Income Home Energy Assistance Program, and other poverty reduction programs, are examples of services provided statewide to those who were extremely low-income. NHAP subrecipients assist individuals in connecting to and applying for mainstream services.

NHAP’s goal to increase the percentage of households with cash and non-cash benefits has helped those low-income families and individuals to decrease the risk of homelessness. In additional support of those efforts, NHAP provided funding from the HSATF for specialized SSI/SSDI Outreach, Access, and Recovery (SOAR) case managers. These case managers assist homeless individuals in accessing SSI and SSDI benefits which resulted in an increase of cash and non-cash benefits at program exit.

NHAP funds HP, SO, and ES/TH programs that are specifically for youth ages 18-24. The BoS CoC also

received a Youth Homeless Demonstration Project grant, which was received by several NHAP funded agencies.

HTF

The National Housing Trust Fund (HTF) is an affordable housing production program that complements existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families. A portion of HTF resources is used for the creation of additional housing for the targeted needs populations, including populations that may include: children aging out of foster care, and other populations that are extremely low income with housing shortages throughout the state. Preferences will be made for those populations served through the HTF Targeted Needs category.

Nebraska allocates a portion of the State's annual HTF allocation for targeted needs housing projects funded with non-LIHTC resources. These projects will help determine how the State can develop smaller scale projects within areas of the state that are experiencing shortages of available housing for those populations with targeted needs. This allocation will be referred to as the "Targeted Needs Set Aside".

HOPWA

HOPWA assists low-income individuals and families who also are persons with AIDS avoid becoming homeless, especially extremely low-income individuals and families and those who are likely to become homeless after being discharged from publicly funded institutions and systems of care, such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions. HOPWA provides a number of services and categories of assistance which provide help in areas of housing, health, social services, among others. HOPWA Eligible Individual is the one low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household."

A primary goal of Nebraska's HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median income are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska's HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month's rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers for the RentWise tenant education course, refers for budgeting and life skills classes, and refers for other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown

improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program, with hopes of adding more eligible clients soon.

The HOPWA Program is leading DHHS' efforts to procure a new case management software system for the HOPWA and Ryan White Part B Programs, which will enhance case management services for clients State-wide.

During the reporting period, the program served 28 households for TBRA activities and total of 299 households for Other Activities. Other Activities include: Short-term Rent/Mortgage Assistance (114), Permanent Housing Replacement (36) and Supportive Services (149). Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance. There were 29 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement.

The Nebraska HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Continued goals include identifying appropriate employment/job training entities to assist the program in coordinating employment related activities to assist clients able to work succeed in gaining employment. Resources remain limited in the State (especially in rural areas). One resources available includes the NAP subgrant which continues to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development) and this is expected to be a valuable resource and a high priority in the coming years. The curriculum reports positive employment outcomes for clients and knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

Access to housing and supportive services is instrumental in reaching program/client specific outcomes. The Nebraska Infectious Disease Prevention Unit is working to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

NHAP

The State of Nebraska upheld the expectation that NHAP subrecipients adhere to the Housing First model and participate in the Coordinated Entry process to prioritize the homeless populations with the highest need to the RRH programs. NHAP provided technical assistance, coordination, and funding for HP and RRH services to decrease the number of homeless households and individuals within Nebraska's communities.

All NHAP subgrantees were required to participate in their regional coalitions to coordinate resources in their community. Additionally, participation in the BoS CoC allowed for use of the Coordinated Entry System. This enabled resources to be efficiently utilized when making housing decisions. Nebraska utilized the VI-SPDAT tool to target the most vulnerable and chronically homeless individuals, youth, and families in the State. Higher scores correspond to greater need. Adults and families were prioritized for permanent supportive housing or RRH, whereas transition aged youth (TAY) were prioritized for TH or RRH. By identifying the chronically homeless and the most vulnerable individuals in the State, the homeless system helped to expedite and provide appropriate levels of intervention for individuals and families experiencing homelessness.

NHAP funds subrecipients who work to keep recently housed individuals from returning to homelessness. The goal to increase the percentage of discharged households with both more cash (either through public assistance, SSI, or employment income) and non-cash benefits should help increase the economic security many individuals and families need to successfully maintain self-sufficient housing. NHAP has incorporated a data collection method to determine the number of households who return to homelessness after they have received homeless or at-risk of homelessness services. This data assists in reviewing NHAP funding requests and fosters refinement of activities to ensure the activities meet the needs of the program participants.

HTF

In addition, through the utilization of HTF funds, and the collaboration with homeless service providers, additional permanent housing can be created for those extremely low income persons throughout the state. This collaboration continues to include outreach with the Continuum of Care networks throughout Nebraska, including the Omaha CoC, the Lincoln CoC, and the Balance of State.

A portion of HTF funds will be used for the creation and rehabilitation of permanent housing throughout the state. Within this distribution category, preference will be given to persons who are homeless; persons at risk of becoming homeless; and other special needs populations.

Nebraska allocates HTF funds for permanent housing projects for the homeless; persons at risk of homelessness; and other special needs populations, made available through 1) acquisition, rehabilitation, and resale of existing residential units or 2) rehabilitation of residential units for the creation of new permanent housing units, or rehabilitation of existing housing units, within the Omaha CoC; the Lincoln CoC; and the BoS CoC. This allocation is referred to as the "Permanent Housing Set Aside."

HOPWA

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Specifically associated with helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, this program assisted 66 with short-term rent, mortgage, and utility assistance and 14 households with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 27 with tenant-based rental assistance. In the 2020 HOPWA CAPER there is a tracking mechanism of a table, "Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)." There were 7 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement.

Supportive Service activities provided to 185 households during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance.

In addition, with regard to the prevention of homelessness, and reducing the risks of homelessness, of the 66 households assisted with Short-Term Rent, Mortgage and Utility Assistance (STRMU) Assistance, 64 had an outcome of stable/permanent housing (PH); and 2 had an outcome of unstable arrangements, with 1 in emergency shelter/street and 1 disconnected from communication.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The State of Nebraska, nor any of its agencies, are designated as a public housing authority nor do they administer public housing units. These functions are performed by local housing authorities within the state.

Actions taken to provide assistance to troubled PHAs

Within the State of Nebraska there are two troubled Public Housing Authorities (Harvard Housing Authority and North Platte Housing Authority). DED Housing Program Representatives have developed working relationships with each of these PHAs offering assistance and educating the organizations on the programs and resources available through our agency.

The Department is also a member of the Nebraska Chapter of the National Association of Housing and Redevelopment Officials which allows us to stay connected with all PHAs within the state, and be proactive in offering technical assistance. In addition, Department staff are actively involved in the Nebraska Balance of State Continuum of Care allowing us to connect and offer assistance to PHAs.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

MA-40 of the State's 2020-2024 provides detailed information related to barriers to affordable housing. Below is a summary of that information.

The Consolidated Plan regulations require the state to explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing are affected by public policies. Such policies include tax policy affecting land and other property, land use controls, zoning ordinances, building codes, fees and charges, growth limits, and policies that effect the return on residential investment. Further, the state is required to remove or ameliorate negative effects of public policies that serve as barriers to affordable housing.

Tax Policy Affecting Land & Other Property

The State continues to evaluate avenues to alleviate the property tax burden that is a barrier to affordable housing. The State has previously reviewed the tax structure as it relates to school districts, but will also review other areas of the tax policy that may be revised which reduce property taxes for Nebraskans.

In addition, the State will continue to review the tax policies affecting affordable housing and evaluate the impacts of the legislation under LB356, which revised the method for appraisals made on low income housing tax credit projects that was passed in 2015. The State will review whether the income-approach calculation will reduce the overall taxes on these properties that utilize low income housing tax credits (LIHTCs) and often times also use HOME or HTF or NAHTF resources.

Land Use Controls & Zoning Ordinances

The State, because it has very limited influence on land use controls and zoning ordinances, continues to work with local governments to utilize good practices in ensuring the local land use controls and zoning ordinances do not negatively impact affordable housing development, thus removing an additional barrier to affordable housing. The Nebraska Department of Economic Development collaborates with the Nebraska Chapter of the American Planning Association, the Association of Nebraska Counties, and the League of Nebraska Municipalities by attending related events and awarding local units of government funds to update comprehensive plans and zoning ordinances.

Building Codes

The State continue to assist local governments in ensuring that any building codes enacted at the local level do not have a negative impact on affordable housing. Efforts are needed to ensure that local building codes do not hamper additional investment for affordable housing throughout the state. The State will accomplish this through collaborating with community and county officials in educating them on the use of solid building code policy that will focus on ensuring safe and decent housing for individuals, including low-income persons, but at the same time implementing policies such as energy efficiency, into the codes.

In addition, the DED collaborates with the Nebraska Department of Environment and Energy in ensuring that affordable housing projects meet the property code standards within their developments.

Growth Limits

The State has limited influence on communities utilizing regulatory barriers which place limits on the number of market rate, rental, and affordable housing that may be located within a given area. The State continues to assist local governments through education and use of good practices to reduce the negative impacts of growth limits within communities. The State collaborates with other entities such as NIFA, Nebraska Commission of Housing and Homelessness to outreach to local unit of governments about reducing any negative impacts that growth limits may have on affordable housing.

Policies Impact Return on Residential Investment

The State does not have control of the amount of residential return on investment, as this is determined at the local level when a property is sold or when it is rented; the State has limited actions it can take to create more favorable policies that may impact return on residential investment. The state has authorized income tax credits that can serve to increase the return on residential investment. In 2016, the Affordable Housing Tax Credit Act passed that provides for a tax credit for owners of affordable housing projects. The first year of eligibility for tax credits under this act will occur in 2019. In 2019, LB86 was passed into law that allows for a \$5,000 income tax credit for taxpayers who purchase primary residences in extremely blighted areas. Grants provided to housing developers, such as LB518 in 2017, the Rural Workforce Housing Investment Act, improves returns on investment by providing \$7.3 million for affordable housing grants in Rural counties.

Other Effects of Public Policy on Affordable Housing & Residential Investment

The State continued to evaluate additional barriers or constraints to the development of affordable housing that include: lack of housing contractors throughout the state; housing construction costs (including labor and materials); residential home values in certain markets; lack of sufficient rental units in some communities; lack of residential units for homebuyers (both low income and market rate) in many communities; lack of infrastructure for development; and insufficient establishment and enforcement of building codes. Policies related to these are being evaluated as these barriers negatively affect both the private and public sectors in ensuring that proper affordable housing and residential investment is made available within communities throughout the state.

The State continues to evaluate ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded.

The State has little control over the costs of materials and labor, and therefore the overall housing construction costs, but can work with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.

In terms of lack of residential units (both for rental and homebuyers) the State continues to partner with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.

The Opportunity Act, contained in Tax Cuts and Jobs Act of 2017 provides for capital gains tax relief for many types of investment in distressed communities. Housing projects are among the investment allowed under the act. Nebraska nominated 44 low-income census tracts for consideration as Opportunity Zones, and these were certified by the US Treasury as Opportunity Zones. In 2019, LB 87 was signed into law in Nebraska. This statute provides a priority designation for grants under several DED programs, including the NAHTF.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The State continued its efforts to meeting underserved needs by providing funding to several organizations throughout the state.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.

Other Organizations that the State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

According to 2015 – 2019 ACS 5-Year Estimates, approximately 11.1% of the population in Nebraska is below the poverty level. The State of Nebraska recognizes that a single program or emphasis cannot be used to alleviate poverty across the state. The causes of poverty vary widely as do the required actions to reduce the number of poverty-level households. Accordingly, the goals, programs and policies described in this section are intended to work collectively to reduce the number of poverty-level families in the State of Nebraska.

The State, in coordination with non-profit agencies and business, can influence the chances of helping individuals and families move up and out of poverty by supporting local and regional efforts to improve family and individual incomes. The State's goals related to providing decent housing, suitable living environments and expanding economic opportunity can be accomplished through various program strategies and services that will assist in the reduction of families and individuals experiencing poverty.

More specifically, the State will target economic and community development activities to increase the number of high paying jobs in the State. These activities are targeted at low to moderate-income residents to assist these persons in accessing high paying jobs. State and regional projects and initiatives that will impact the employment and economic levels of employees and residents, such as infrastructure improvements to water and sewer systems, community facilities, downtown commercial rehabilitation, and economic development activities, will create a positive economic environment.

The State also provides affordable housing to persons that are low to moderate-income through the housing programs listed in the following section. All beneficiaries of these programs must be low to moderate income or extremely low-income individuals. While these programs do not directly reduce the number of poverty-level families in the state, they can alleviate the cost burden of housing for households that are already impoverished.

Details about the programs which assist with these anti-poverty goals and policies are included in the following section.

Some programs that assist with this anti-poverty strategy include: CDBG, HOME, HTF, NHAP, and HOPWA. A summary of the anti-poverty strategy for each of these programs includes:

CDBG

The primary objective of the program includes assisting low income persons throughout the state by utilizing CDBG resources for project activities that include owner occupied rehabilitation for low income homeowners; job creation for low income employees of eligible businesses; infrastructure and community facilities improvements in order to assist those communities who have populations with at least 51% of the residents who are low income; and downtown revitalization projects which include primarily improving low income areas of the community or eliminating slum and blight within a community.

HOME

Program resources are used to create additional low income rental units for persons at or below 60% of the Area Median Income through the State's coordination with Low Income Housing Tax Credit developments. In addition, the State assists low income homebuyers at or below 80% of the Area Median Income through homeownership opportunity programs.

HTF

The National Housing Trust Fund (HTF) is an affordable housing production program that will complement existing Federal, State, and local efforts to increase and preserve the supply of decent, safe, and sanitary affordable housing for extremely low-income (ELI) and very low-income households (VLI), including homeless families.

NHAP

NHAP is the recipient of the HUD Emergency Solutions Grant (ESG) funds and the HSATF. ES, HP and RRH services are designed to assist households in moving out of poverty. NHAP funded subrecipients assisted families in accessing mainstream public assistance programs, supported stable housing situations, and provided skills development to assist individuals in becoming self-sufficient.

HOPWA

Program resources serve special needs populations who are in the need of rental assistance of other services.

In addition, the State of Nebraska administers a number of programs designed to reduce the number of individuals and families who live in poverty. Federal funding supports the majority of the programs and State resources supplement those resources. Some of the additional programs that the State provides in order to address the health, well-being, and housing needs of its low income residents includes:

- Childcare Assistance: State subsidized childcare allows low-income families to work and have the assistance they need in order to pay for their childcare services. DHHS is the lead agency that administers this program which includes childcare subsidy payments and the collaboration with Department of Education's Head Start Program.
- Supplemental Nutrition Assistance Program (SNAP): The federal Supplemental Nutrition Assistance Program (SNAP) helps low income households purchase food and raise their nutrition levels.
- Head Start: This program provides quality, comprehensive services including education, nutrition, family support, and parent involvement in order to prepare low income children and their families for school.
- Lead-Based Paint Hazard Program: Through DHHS, and other organizations throughout the state, public awareness is provided regarding the dangers of lead based paint and a certified risk assessor program is utilized in order to ensure that those contractors working on construction or rehabilitation projects are trained to the proper practices to ensure that lead based paint hazards are minimized for the safety of those persons that may live and work in the buildings that are improved.
- Other Organizations: The State partners with include working with the several Community Action Agencies (CAAs) throughout the state. These CAAs provide a wide range of anti-poverty programs including employment assistance; vocational rehabilitation; weatherization programs; and assistance with rent and utilities just to name a few. In addition, both DED and DHHS partner with the Nebraska Commission on Housing and Homelessness (NCHH) in order to obtain input on coordinating resources for low income persons including education and outreach, housing, and homelessness.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Actions were taken during Program Year 2020 to develop institutional structure per 91.220(k) and 91.320(j). SP-40 of the Consolidated Plan provides details on the overall institutional delivery structure through which the State can and will seek to partner, collaborate, and cooperate in order to carry out the intent of the Consolidated Plan. This includes funding through CDBG, HOME, HTF, ESG, and HOPWA which will be used within this institutional structure.

This section of the Consolidated Plan provides a description of the entities and organization in which the State partners in order to carry out its programs. Within the current program year, the State carried out the actions defined within its delivery structure. This included significant outreach and collaboration.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Through significant collaboration, the State continued to make outreach efforts between public and private housing and social service agencies. This was completed through the State's participation with these organizations during the funding of its programs. In addition, through consultation with the Nebraska Commission on Housing and Homelessness (NCHH), the State was able to collaborate further with homeless service providers; housing developers; non-profit organizations; and other public and private entities that could provide input and partnership in carrying forward the State's objectives.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

CDBG subrecipient's CDBG Certified Administrators receive training in the civil rights rules and regulations during training sessions. Training sessions are reinforced with notices and updates through the Nebraska DED notifications, which is published on an as needed basis.

Each subrecipient's program eligibility criteria are reviewed to determine whether the criteria or methods of administration may have the effect of subjecting individuals to discrimination on the basis of race, color, age, sex, national origin or disability.

Comparisons are made between recent census data regarding those protected classes and the percentages of those protected classes participating and receiving benefits from CDBG funds.

All grantees are required to maintain, on an ongoing basis, and on file permanently, numerical and percentage documentation and information regarding the following:

1. Total beneficiaries in project
2. Total numbers of males/females.
3. Actual number of Whites, Blacks, Hispanics, Asians, Native Americans, and others.
4. Percentages of males, females, minorities, female heads of household, disabled population, and low to moderate income persons receiving benefits from the project funds.

Program representatives are required to consider this information, compared with census data, and determine if there is any indication any person and/or group of persons were denied benefits or employment on the grounds of race, age, sex, or disability.

In addition, the State reviews the subrecipient's compliance with Section 504 and the Americans with Disabilities Act. Subrecipients must have conducted a self-evaluation of their facilities and their programs as they relate to the ADA/Section 504 compliance. In addition, the subrecipient must have on file, and available for review, a transition plan. The grantee must provide evidence that they are complying or making attempts to comply with these regulations.

Updates and notices regarding ADA/Section 504 compliance are made through the Nebraska DED notifications.

The State's review of all information gathered through monitoring visits indicates that subrecipient's CDBG programs are being administered in a manner, which does not deny any benefit or employment on the grounds of race, age, sex, national origin, and/or disability.

One activity conducted by Nebraska DED to ensure the absence of discrimination on the grounds of national origin continued to be fulfilled during the 2020 Program Year. All applicants applying for federal resources submit a Four Factor Analysis, assessing Limited English Proficiency of the anticipated beneficiaries of the project activity(ies), in addition to a Language Assistance Plan if determined as necessary by the four-factor analysis conducted as part of the application review process.

DED staff reviews these analyses and plans and provides recommendations to the grant applicants regarding any clarifications or missing information needed for compliance under Title VI of the Civil Rights Act of 1964. Applicants are encouraged to ask questions of DED staff and also resubmit their analyses and plans for final review.

DED recognizes it shares responsibility with federal and local governments for shaping a regulatory climate that encourages housing while maintaining needed health, safety, environmental, and consumer protections. The cost, benefits, and tradeoffs of various regulations must be weighed carefully so they promote the affordability and availability of housing. In addition, the administration of regulations must be done in a way that reduces costs and delays. DED staff continues to review these analyses and plans as part of the application and release of funds process.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

To ensure that all statutory and regulatory requirements are being met for activities funded with HUD funds, DED and DHHS use various monitoring standards and procedures.

DED and DHHS are responsible for ensuring that subrecipients under the CDBG, HOME, HTF, ESG, and HOPWA programs carry out projects in accordance with both federal and State of Nebraska statutory and regulatory requirements. In most cases, these requirements are set forth in the contractual agreement executed between the State and the subrecipient. The State provides maximum feasible delegation of responsibility and authority to grantees under the programs. Whenever possible, deficiencies are rectified through constructive discussion, negotiation and assistance.

CDBG

DED conducts two basic types of monitoring off-site monitoring (also known as desktop monitoring), and on-site monitoring. DED staff regularly review each project to verify that it is proceeding in the manner set forth in the agreement and in accordance with applicable laws and regulations. Desktop monitoring is an ongoing process in which the program representative, responsible for overseeing the subrecipient's project, uses all available information to review the subrecipient's performance in carrying out the approved project.

This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract

Amendments and/or Extensions to the CDBG Agreement; Project Status Reports, Requests for Funds (Drawdown); DED database review; and other supporting documents. Projects funded through the CDBG Planning Category only complete a desktop monitoring.

On-site monitoring is a structured review conducted by the program representative at the locations where project activities are being carried out, or where project records are being maintained. An on-site monitoring visit is normally conducted during the course of a project. The monitoring review considers all available evidence of whether a project conformed to an approved grant contract; whether substantial progress toward program goals had been met; compliance with applicable laws and regulations; and continued capacity of the Subrecipient and Grant Administrator to carry out the approved project. Due to the impact of COVID-19, the Department conducted a limited amount of on-site monitoring visit. The Department expanded the review conducted via desktop.

Checklists are utilized to ensure that all issues are addressed. DED has CDBG specific checklists based on the types of activities within a given project. The number of times a project is monitored will depend upon the issues that may arise during the desktop or on-site monitoring.

DED continues using the CDBG Project Status Report to assist with completing components of the Desktop Monitoring. Additional financial reviews related to CDBG projects were conducted for review of grantee's drawdowns. This further ensures that the comprehensive database record tracks drawdown review documentation for CDBG subrecipient's activity expenditures.

HOME & HTF

DED conducts three types of monitorings for HOME and HTF projects: continual off-site monitoring, and initial on-site monitoring and ongoing on-site monitoring. During the initial contract period all projects receive continual off-site monitoring, DED staff regularly review each project to verify that it is proceeding in the manner set forth in the grant agreement and in accordance with applicable laws and regulations.

Off-site monitoring is an ongoing process in which the program representative, responsible for overseeing the grantee's projects uses all available information to review the grantee's performance in carrying out the approved project. This review process enables DED to identify problems requiring immediate attention and to schedule projects for on-site monitoring. Materials used for this review include, but are not limited to: Contract Amendments and/or Extensions to the Recipient Agreement; Requests for a Draw Down of Funds/Payment Requests; DED database review; and other supporting documents.

An initial on-site monitoring is a structured review conducted by the program representative or a member of the Housing Team at the location where project activities are being carried out and/or where project records are being maintained. All projects receive an on-site monitoring visit prior to closeout.

During the 2020 Program Year no projects received an on-site monitoring as a result of COVID-19.

Rental projects funded through the HOME & HTF program with a long-term affordability are subject to continual on-site monitoring visits from the Department. Continual on-site monitoring visits are conducted by a member of the Housing Team to ensure the recipient is continuing to comply with all program regulations. During the 2020 Program Year the majority of monitoring visits were converted to a desktop review to protect the Department staff, our recipients and the families living in our affordable housing units and to help reduce the spread of COVID-19. For further information on the monitoring visits completed during the 2020 Program Year refer to Section CR-50.

NHAP

DHHS conducted monitoring of the NHAP funded programs on an on-going basis throughout the program year. Subrecipients are monitored to ensure compliance with ESG regulations and program requirements. NHAP's general oversight objectives included:

- Reviewing compliance with program regulations.
- Preventing the use of program funding for ineligible costs.
- Identifying technical assistance and training needs.

The NHAP utilized the following monitoring process for the ESG program:

Desk Monitoring:

Prior to payment, the NHAP staff reviewed every reimbursement request submitted by all subrecipients each quarter for: 1) accuracy; 2) form completeness; and 3) apparent compliance with allowable and reasonable cost requirements.

After each billing quarter was completed, NHAP selected a minimum of two (2) cost items from a minimum of five (5) subrecipients for a more detailed review. Twenty-five (25) desk monitors were completed in FY20. Each subrecipient reviewed was required to provide supporting documentation that the costs were incurred, paid and allowable. Technical assistance was provided regarding allowable costs prior to issuing reimbursement. No corrective actions were required.

On-site visits:

For FY20, NHAP performed four (4) monitoring visits. The visits were conducted remotely due to the COVID-19 pandemic. The monitoring included an in-depth review of financial policies and procedures, ESG written policies and procedures, shelter inspections, and program participant files. The monitors resulted in six (6) findings, two (2) concerns, and eight (8) recommendations. The concerns and recommendations have been resolved by the agencies.

HOPWA

The Nebraska HOPWA Program complies with all terms and conditions, including recordkeeping and reports (which must include racial and ethnic data on participants) for program monitoring and evaluation purposes, as HUD may establish for purposes of carrying out the program in an effective and efficient manner. A case management software system, E2Nebraska by RDE Systems, is used by the DHHS HOPWA Program and all offices of the HOPWA Program Sponsor, the Nebraska AIDS Project (NAP). There are five NAP offices throughout the State of Nebraska, which are located in Lincoln, Kearney, Norfolk, Omaha and Scottsbluff. NAP Case Managers input all client data, including demographics such as race, ethnicity, and gender, to name a few, into the system. The DHHS HOPWA Program utilizes this software system to run reports concerning client demographics.

The DHHS HOPWA Program conducts monthly financial reviews of NAP. These reviews are completed remotely. NAP submits billing documents, salary allotments and income/expense and service logs by email for review by the HOPWA Program Manager. Clarifications and questions are discussed and addressed between the DHHS HOPWA Program Manager and the NAP Executive Director through communication by email and/or phone and on an immediate basis when they occur.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Per the State's Citizen Participation Plan, the proposed 2020 CAPER was made available to the public for review and comment. The 2020 CAPER was made available electronically on the DED website on February 28, 2022. The public notice was distributed electronically via email and was published in three local newspapers. The public notice informed the public about the 15 day comment period (March 1 – 15, 2022). The public notice contained language that addressed if persons required sensory accommodations to contact the consolidated plan coordinator, and language in Spanish that also advised to contact the coordinator if sensory accommodations were needed. The public notice was also made available on the DED website. No public comments were received.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

No changes have occurred.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

On-Site Inspections: Affordable Rental Housing

During the 2020 Program Year, DED completed one (1) initial visit and seventeen (17) ongoing compliance reviews through a desktop review process. All compliance visits were completed through a desktop review process to protect the Department staff, our recipients and the families living in our affordable housing units and to help reduce the spread of COVID-19.

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Seventeen (17) HOME-Assisted projects received an On-Going Rental Desktop Monitoring review during the 2020 Program Year. These projects were originally planned as onsite monitoring; however, due to COVID-19 the Department performed desktop monitorings. Projects receiving a desktop monitoring review were evaluated on seven (7) primary areas of their project: Tenant Selection, Rent and Occupancy Requirements, Management and Financial Viability, Affirmative Marketing – Outreach, Affirmative Marketing – Beneficiary Data, Affirmative Marketing – Owner Participation, and Affirmative Marketing – Compliers. Projects receiving a desktop monitoring review were not evaluated on their Property Standards. The Nebraska Department of Economic Development has been granted a waiver by the U.S. Department of Housing and Urban Development for performing the required on-site inspection of the property. To protect the Department staff and reduce the spread of COVID-19, this waiver extends the timeframe the Department has to perform this on-going periodic inspection and on-site review of the property. This waiver is in effect through December 31, 2021, within 180 days of December 31, 2021 the Department will conduct the physical inspection of these HOME units.

Twelve (12) of the eighteen (18) projects receiving an ongoing rental desktop monitoring review received no findings.

Five (5) of the projects receiving ongoing rental monitoring reviews received the following findings: Tenant Selection (1), Rent and Occupancy Requirements (4), and Management and Financial Viability (2).

One (1) HOME-Assisted project received an Initial Monitoring visit during the 2020 Program Year by DED staff members. This project was reviewed on the following areas: Application, Income, Primary Residence, Property Information, Assistance, Costs, Legal Paperwork, Insurance, Loan Terms/Conditions/Program Income, Lead-Based Paint, Work Write-Up/Cost Estimate, Bids, Contractor Eligibility & Contracts, Pre-Construction Conference & Notice to Proceed, Inspections, Warranties/Guarantees, Payment Records & Liens, After-Rehabilitation Value, Financial Management Review (including Internal Control, Cash Management, Accounting Records, and Audits), Compliers, Applicants Requirements, Adequate Procedures, Property Standards, Construction, Fair Housing & Equal Opportunity Policy & FHEO Compliers, Employment Practices, Contractors, Affirmative Marketing Outreach, Affirmative Marketing Beneficiary Data, Affirmative Marketing Compliers, and Environmental Review. No findings were issued in this monitoring visit.

The following table titled “HOME Monitoring Visits Completed During 2020 Program Year” provides a list of all projects that received an Initial or On-Going Rental On-Site Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) during the 2020 Program Year. The table also details the specific findings issued per project.

HOME Monitoring Visits Completed During the 2020 Program Year

Grantee Name	Award #	Type of Monitoring	Visit Date	HOME Units	Total Units	Location	Period of Affordability (Years)	Findings
Central City Housing Authority/Housing Agency of Central City	06-OERH-4110	Ongoing Desktop	4/19/2021	4	12	Central City	20	No Findings
Community Action Partnership of Western Nebraska / Panhandle Community Services	98-OE-024-02 / 98-HO-103	Ongoing Desktop	2/17/2021	10	48	Sidney	20	No Findings
Fremont Housing Authority	99-OE-619-02	Ongoing Desktop	9/21/2020	7	32	Fremont	20	No Findings
Holdrege Housing Authority	07-OERH-4097	Ongoing Desktop	4/13/2021	4	16	Holdrege	20	1 Finding: Rent and Occupancy Requirements
Holdrege Housing Authority	09-OERH-4066	Ongoing Desktop	4/13/2021	4	18	Holdrege	20	1 Finding: Rent and Occupancy Requirements
Housing Development Corporation	11-OERH-4050	Ongoing Desktop	4/5/2021	4	30	Grand Island	20	No Findings
Lincoln Action Program/Community Action Partnership	99-OE-621-02	Ongoing Desktop	4/15/2021	8	16	Wahoo	20	No Findings
Lincoln Civic Housing/Prairie Crossing Ltd. Ptn.	06-OERH-8113	Ongoing Desktop	1/20/2021	6	76	Lincoln	20	No Findings
Lincoln County Community Development Corporation	97-CH-022-02	Ongoing Desktop	1/22/2021	16	64	North Platte	20	No Findings
Midwest Housing Development Fund	16-OERH-10072	Ongoing Desktop	4/13/2021	4	92	Norfolk	20	No Findings
Midwest Housing Development Fund, Inc.	07-OERH-5098	Ongoing Desktop	2/8/2021	4	14	Norfolk	20	No Findings
Midwest Housing Initiative	02-OE-502-02	Ongoing Desktop	3/24/2021	10	24	South Sioux City	20	No Findings
Nebraska Housing Resource	05-OE-801	Ongoing Desktop	4/15/2021	4	12	Lincoln	20	No Findings
Omaha Economic Development Corporation	08-OERH-7108	Ongoing Desktop	4/13/2021	4	16	Omaha	20	3 Findings: Tenant Selection; Rent and Occupancy Requirements; Management and Financial Viability
Sidney (City of)	04-SR-101-02	Ongoing Desktop	4/19/2021	7	49	Sidney	20	1 Finding: Management and Financial Viability

HOME Monitoring Visits Completed During the 2020 Program Year

Grantee Name	Award #	Type of Monitoring	Visit Date	HOME Units	Total Units	Location	Period of Affordability (Years)	Findings
Southwest Nebraska Community Betterment Corporation	11-OEHO-3051	Completion Inspection	3/9/2021	3	3	Chase, Dundy, Hitchcock, Hayes & Perkins Counties	5	No Findings
Three Rivers Housing Development Corporation	98-OE-014-03	Ongoing Desktop	4/15/2021	5	12	Tekamah	20	No Findings
Wayne Community Housing Development Corporation	08-OERH-5089	Ongoing Desktop	5/3/2021	2	10	Wayne	20	1 Finding: Rent and Occupancy Requirements

The following table titled “HOME Monitoring Visits Outstanding for 2020 Program Year” provides a list of six (6) HOME-Assisted projects that should have received an On-Going Rental Monitoring (i.e. *Ongoing periodic inspections of HOME-assisted rental housing*) visit during the 2020 Program Year based upon the schedule in §92.504(d). DED Management has developed and implemented a monitoring strategy for the 2021 Program Year to ensure each of these projects receive an on-site monitoring visit between July 1, 2021-June 30, 2022. The strategy developed will help the Department ensure the agency is in compliance with the schedule outlined in §92.504(d) moving forward.

**HOME Monitoring Visits Outstanding for 2020 Program Year
On-Going Rental Projects**

Grantee Name	Award #	Type of Monitoring Needed	HOME Units	Total Units	Location	Period of Affordability (Years)
Alliance Housing Authority	09-OERH-1062	Ongoing	3	18	Alliance	20
Aurora Housing Development Corporation	12-OERH-4056	Ongoing	3	14	Aurora	20
Gage County Economic Development	00-OE-077-02	Ongoing	7	16	Beatrice	20
Housing Development Corporation	08-OERH-4105	Ongoing	4	13	Grand Island	20
Housing Development Corporation	10-OERH-4080	Ongoing	3	24	Grand Island	20
Stephen Center, Inc.	12-OERH-7053	Ongoing	4	61	Omaha	20

The following table titled “HOME Projects Needing Completion Inspection” provides a list of forty-three (43) HOME-Assisted projects that require a Completion Inspection in accordance with §92.504(d).

HOME Projects Needing Completion Inspection

Grantee Name	Award #
Community Action Partnership	09OEO1075
High Plains Community	10CHHP1083
Lincoln County Community Development Corporation	10CHHP3077
Blue Valley Community Action	10OEO6087
Central Nebraska Economic Development, Inc.	10OEH2090
McCook Economic	10OEH3022
Cirrus House, Inc.	10OERH1085
Central Nebraska Economic Development, Inc.	11OEO2052
Community Action Partnership of Mid	11OEO3041
West Central Nebraska Development District	11OEO3046
Sandhills Resource C & D	11OEO3053
Housing Development Corporation	11OEO4042
Lincoln County Community Development Corporation	12CHHP3013
NEHI, Inc.	12CHHP5003
Three Rivers Housing	12CHHP5008
Neighborworks Northeast Nebraska	12CHHP5015
Midwest Housing Development Fund	12OERH4056

HOME Projects Needing Completion Inspection

Grantee Name	Award #
Neighborworks Northeast Nebraska	13CHHP5016
Lincoln County Community Development Corporation	13OEOH03012
Southwest Nebraska Community Betterment Corporation	13OEOH03013
Curtis	13OEOH03048
Heartland Family Service	13OERH2075
Neighborworks Northeast Nebraska	13CHHP5016
Neighborworks Northeast Nebraska	14CHHP5081
Neighborworks Lincoln	14CHHP6083
Sheltering Tree, Inc.	14OERH7084
Neighborworks Northeast Nebraska	15CHHP15077
Neighborworks Lincoln	15CHHP18078
Neighborworks Northeast Nebraska	16CHHP15077
NeighborWorks Lincoln	16CHHP18078
Housing Development Corporation	16CHRH34079
Building A Better Tomorrow	16OERH10076
Building A Better Tomorrow	16OERH30075
Neighborworks Northeast Nebraska	17CHHP15094
NeighborWorks Lincoln	17CHHP18093
Ashland Area Economic Development Corporation	17OERH10095
Building A Better Tomorrow	17OERH10096
Nebraska Urban Indian Health	17OERH20102
Housing Development Corporation	17OERH30097
Housing Authority of Holdrege	17OERH30099
Neighborworks Northeast Nebraska	18CHHP15074
Midwest Housing Development Fund, Inc.	18OERH10084
Midwest Housing Development Fund, Inc.	18OERH20081

Listing of HOME properties with physical reviews

Projects receiving an ongoing desktop review did not receive a physical review. The Nebraska Department of Economic Development has been granted a waiver by the U.S. Department of Housing and Urban Development for performing the required on-site inspection of the property. To protect the Department staff and reduce the spread of COVID-19, this waiver extends the timeframe the Department has to perform this on-going periodic inspection and on-site review of the property. This waiver is in effect through December 31, 2021, within 180 days of December 31, 2021 the Department will conduct the physical inspection of these HOME units.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

DED requires all HOME recipients to provide written documentation on the actions that will be taken during the course of the project to affirmatively further fair housing. This list of actions is then verified during monitoring visits. In addition, as part of low income housing tax credit (LIHTC) requirements, each

recipient is required to provide a marketing plan which describes how the recipient intends to market the rental units to those persons most likely to qualify for the units, but least likely to apply.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

There was no HOME Program Income utilized for these projects.

Describe other actions taken to foster and maintain affordable housing 91.220(k)

DED recognizes that it can, and when appropriate does, take action to overcome impediments to fair housing choice within the State of Nebraska. During the 2020 Program Year, DED staff undertook the following actions:

- Between June 24 – December 2, 2020, DED held the CDBG Certified Administrator Training virtually. During these seven virtual sessions, DED staff members provided education opportunities to community representatives on federal regulations including: Fair Housing, Limited English Proficiency, Environmental Review, Procurement, and Labor Standards.
- Through the Nebraska Affordable Housing Trust Fund the Department has financial supports and sponsors the HOME NE Program. HOME NE is a statewide network designed to help communities build and sustain their capacity to lead strategic local housing development. HomeNE connects community leaders and resource providers across Nebraska and provides a platform for sharing ideas, best practices, struggles and expert presentations. These opportunities provide monthly interactive calls and webinars and semi-annual gatherings which bring participants together in a friendly/efficient learning environment.

LEP Program

The Limited English Proficiency Program training materials of the DED housing programs includes a training video which state staff can use that addresses the basics of the Fair Housing Act and associated information. This video can be used to train housing organizations that have been awarded Federal housing funds.

Fair Housing Information

Fair housing information pamphlets are available to the public at the DED Housing Program office. An Equal Housing Opportunity icon link to the U.S. Department of Housing and Urban Development website section concerning Fair Housing is posted on the Nebraska DED website.

In April 2021 the Governor and the Department of Economic Development celebrated and promoted Fair Housing month. The Governor signed a proclamation recognizing and promoting April as Fair Housing month. In addition, the Department released three (3) press releases showcasing successful housing projects funded through DED housing resources and identifying how the projects addressed fair housing. The Department also released a Fair Housing coloring sheet to promote and educate families and children on the what fair housing is.

The current Analysis of Impediments to Fostering Fair Housing is available on the DED website and has additional information regarding actions that are proposed for the upcoming years.

Strategy Review

At the end of the 2020 Program Year, the State completed the Nebraska's Analysis of Impediment to Fair Housing Choice, which included:

- Testing, enforcement, and education for landlords and property managers to better understand some barriers to affordable housing;
- Improvements to guidance on barriers to affordable housing and monitoring by DED;
- Educating grantees about potential barriers to affordable housing.

Other Actions

- Evaluating ways in which to attract additional residential contractors, particularly those that are willing to construct moderate priced homes in communities. Some incentives have been proposed which include: providing zero interest loans to contractors to build residential units; providing low priced or free residential lots for development; and also to provide additional points in housing applications for those applicants that compile lists of interested contractors that may work on projects if applications are funded;
- Working with developers and contractors to identify any cost saving measures that might be appropriate to implement in order to save on the cost of materials and labor. In some instances, the State is able to partner with community colleges and high school students who are interested in the trades in working on the development of residential units. These collaborative efforts have been utilized in some housing projects and are encouraged within project development.
- Partnering with housing developers, non-profit entities, and communities in creating additional housing stock throughout the state.
- Working to assist in infrastructure improvements and development for residential areas. This is completed through many of the State's initiatives within the affordable housing programs.
- The State will continue to improve the housing conditions of persons who are living in substandard housing by assisting within owner occupied rehabilitation and new residential construction of quality affordable housing.
- The State will continue to reduce the risk of lead based paint hazards in aging housing stock by continuing lead based compliance and reduction efforts for houses that are assisted with state and federal resources.

Section 3

The State provided training to CDBG Certified Administrators on Section 3 outreach and responsibilities.

State's Actions to Increase WBE/MBE Participation

The state provided guidance and training to administrators and grantees on appropriate procurement and bidding procedures to allow for more WBE/MBE business opportunities.

Coordination of LIHTC with Development of Affordable Housing 91.320(j)

Housing Strategies are developed to remove barriers to affordable housing and foster and maintain affordable housing. DED partners with NIFA in joint applications for LIHTC rental projects. DED works closely with NIFA on developing the Qualified Allocation Plan and application for the joint LIHTC/CRANE/HOME/HTF funds. During the 2020 Program Year, CRANE applications were reviewed bi-monthly and LIHTC applications were reviewed during the two application cycles. The applications were scored according to what was set forth in the NIFA Qualified Allocation Plan.

In addition, DED Housing staff attended the NIFA public hearing, public meeting and board meetings during the application process. Review and recommendations by NIFA and DED staff of the applications were conducted and submitted to the NIFA Board and the DED Director. All LIHTC awards were voted on and finalized by the NIFA Board and the HOME/HTF awards were determined by the DED Director by utilizing these reviews and recommendations by informed and trained DED staff.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

The below table reports on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance payments	60	66
Tenant-based rental assistance	15	27
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Total	52	93

Table 13 – HOPWA Number of Households Served

Narrative

The Nebraska HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan.

During the reporting period, the program served 93 households; with short-term rent/mortgage assistance, surpassing the Consolidated Annual Plan goal of 60 listed in IDIS, and of the associated outcome number of 8 listed in the 2020 HOPWA CAPER.

The program estimated serving 12 households with tenant-based rental assistance (TBRA) during the reporting period, which was surpassed by serving 27 households.

The Nebraska HOPWA Program does not participate in the services of Permanent and Transitional/Short-term Facilities associated with Capital Development Projects.

CR-56 - HTF 91.520(h)

Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.

During the 2020 Program Year, two projects were awarded HTF funding:

1. Jointly with the NIFA Low Income Housing Tax Credit (LIHTC) 9% Set-Aside: \$830,000 was awarded to 1120 Lofts, LLC project that will construct 30 new rental units in Omaha, benefitting 5 tenants at or below 30% AMI.
2. Jointly with the NIFA Collaborative Resources Allocation for Nebraska (CRANE) was the CenterPointe South Street, LLC project consists of the rehabilitation of the Trabert Hall into rental housing units in Lincoln all 1 bedroom units, with a total of 32 rental units and 18 of the units to benefit tenants at or below 30% AMI.

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	0	0	0	0	0	0
Homebuyer	0	0	0	0	0	0

Table 15 - CR-56 HTF Units in HTF activities completed during the period

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEBRASKA
Organizational DUNS Number	808820138
EIN/TIN Number	470491233
Identify the Field Office	OMAHA
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Balance of State Nebraska CoC

ESG Contact Name

Prefix	Mr.
First Name	Matt
Middle Name	
Last Name	Thomsen
Suffix	
Title	Program Manager

ESG Contact Address

Street Address 1	1955 E Military Ave.
Street Address 2	
City	Fremont
State	NE
ZIP Code	68025
Phone Number	4024179435
Extension	0
Fax Number	4024719286
Email Address	matt.thomsen@nebraska.gov

ESG Secondary Contact

Prefix	Ms
First Name	Andrea
Last Name	Curtis
Suffix	0
Title	NHAP Program Coordinator
Phone Number	4024324861
Extension	0
Email Address	andrea.curtis@nebraska.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2020
Program Year End Date	06/30/2021

3a. Subrecipient Form – Complete one form for each subrecipient

<p>Subrecipient or Contractor Name: The DOVES Program City: Gering State: NE Zip Code: 69341, 0098 DUNS Number: 037224370 Is subrecipient a victim services provider: Y Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG 16,087.47; Total Annual Contract 31,305.00</p>
<p>Subrecipient or Contractor Name: COMMUNITY ACTION PARTNERSHIP OF WESTERN NEBRASKA City: Gering State: NE Zip Code: 69361, 3106 DUNS Number: 085816908 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG 50422.98; Total Annual Contract 130422.98</p>
<p>Subrecipient or Contractor Name: CENTRAL NEBRASKA COMMUNITY ACTION PARTNERSHIP City: Loup City State: NE Zip Code: 68853, 0509 DUNS Number: 84623198 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG 38205.43; Total Annual Contract 108013.47</p>
<p>Subrecipient or Contractor Name: HOPE HARBOR City: Grand Island State: NE Zip Code: 68801, 5804 DUNS Number: 132322673 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG 14654.39; Total Annual Contract 117654.39</p>
<p>Subrecipient or Contractor Name: THE CONNECTION City: North Platte State: NE Zip Code: 69103, 4135 DUNS Number: 834811622 Is subrecipient a victim services provider: N Subrecipient Organization Type: Other Non-Profit Organization ESG Subgrant or Contract Award Amount: ESG Subgrant 18670.58; Total Annual Contract 85308.00</p>

Subrecipient or Contractor Name: COMMUNITY ACTION PARTNERSHIP OF MID-NEBRASKA
City: Kearney
State: NE
Zip Code: 68847, 7440
DUNS Number: 068662444
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: ESG 143916.46; Total Annual Contract 268315.43

Subrecipient or Contractor Name: RESIDENTIAL ASSISTANCE TO FAMILIES IN TRANSITION, INC. (RAFT)
City: Kearney
State: NE
Zip Code: 68847, 7440
DUNS Number: 003682241
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: ESG 30084 ; Total Annual Contract 30084

Subrecipient or Contractor Name: CARE CORPS, INC.
City: Fremont
State: NE
Zip Code: 68025, 4903
DUNS Number: 962071577
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: ESG 201323.18; Total Annual Contract 219055.84

Subrecipient or Contractor Name: COMMUNITY ACTION PARTNERSHIP OF LANCASTER AND SAUNDERS COUNTIES
City: Lincoln
State: NE
Zip Code: 68508, 2322
DUNS Number: 072910086
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: ESG 60000; Total Annual Contract 77000.80

Subrecipient or Contractor Name: BLUE VALLEY COMMUNITY ACTION
City: Fairbury
State: NE
Zip Code: 68352, 2624
DUNS Number: 041737594
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: ESG 105000; Total Annual Contract 152847.62

CR-65 - Persons Assisted

As per HUD regulations, the CR-65 section has been replaced by the data submitted in the PDF export process in the Sage HMIS repository for the ESG CAPER.

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	123,735
Total Number of bed-nights provided	76,584
Capacity Utilization	61.89 %

Table 24 – Shelter Capacity

Please note: In 2017, shelter utilization included Omaha and Balance of State. In 2018, Siena Francis, the largest shelter in Omaha, became a funded agency and was included in the shelter utilization calculations. In 2019 and 2020, only emergency shelters in the BoS CoC were included in the calculations.

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

DHHS consulted with the CoCs to develop performance standards for homeless services based on previous year's data and goals set by each local continuum as in accordance with the Annual Action Plan. Each local CoC guides the distribution of funding for eligible services within the limitations set by ESG standards and need in the community to include the following services: ES, HP, and RRH. DHHS utilized a survey to determine the statewide need for types of homelessness services in each geographical area and evaluated existing resources, and feedback from the regional coalitions for nominees to apply for competitive funding for each type of homeless service identified as a need in each region. The regional coalitions evaluated each potential nominee to apply to DHHS for NHAP funding to provide homeless services based on the performance standards of the agency, the agency's expertise in providing the type of homeless services, and the agency's ability to coordinate with other service providers in their respective communities.

The primary goals NHAP established for subrecipients included reducing the length of time of homelessness, reducing returns to homelessness, and maintaining/increasing income. These goals are measured through performance reporting metrics that are established by the BoS CoC.

The State and BoS CoC jointly reviewed strategies that assisted providers to aid the homeless population in gaining stable housing or maintaining stability in their communities. The ultimate goal of measuring performance standards is to achieve "functional zero" for all homeless populations throughout Nebraska.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	\$206,238.71	\$207,977.25	\$118,395.98
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$65,896.66	\$48,048.33	\$45,581.03
Expenditures for Housing Relocation & Stabilization Services - Services	\$150,739.56	\$143,044.60	\$140,540.49
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	\$422,874.93	\$399,070.18	\$304,517.50

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	\$81,229.99	\$110,783.33	\$50,305.71
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$37,188.49	\$30,435.68	\$14,523.50
Expenditures for Housing Relocation & Stabilization Services - Services	\$63,543.09	\$128,197.33	\$91,945.24
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	\$181,961.57	\$269,416.34	\$156,774.45

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Essential Services	\$104,101.47	\$85,282.60	\$158,090.57
Operations	\$129,321.27	\$88,155.11	\$256,474.05
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	\$233,422.74	\$173,437.71	\$414,564.62

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Street Outreach	0	0	0
HMIS	\$101,520.27	\$54,035.03	\$58,300.00
Administration	\$2360.49	\$73,251.90	\$97,758.32

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2018	2019	2020
	\$942,140.00	\$969,211.16	\$1,031,914.89

Table 29 - Total ESG Funds Expended

11f. Match Source

	2018	2019	2020
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	\$3,407,406.18	\$3,926,452.75	\$1,055,388.56
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	\$3,407,406.18	\$3,926,452.75	\$1,055,388.56

Table 30 - Other Funds Expended on Eligible ESG Activities

Please note the matching fund amount in 2018 and 2019 included Homeless Shelter Assistance Trust Funds provided to the entitlement communities of Lincoln and Omaha. In 2020, the match amount includes only matching funds provided to the Balance of State.

11g. Total

Total Amount of Funds Expended on ESG Activities	2018	2019	2020
	\$4,349,546	\$4,895,663.91	2,087,303.45

Table 31 - Total Amount of Funds Expended on ESG Activities

HUD ESG CAPER 2020

Grant: ESG: Nebraska Nonentitlement - NE - Report Type: CAPER

Report Date Range

7/1/2020 to 6/30/2021

Contact Information

First Name	Shannon
Middle Name	
Last Name	Wilkinson
Suffix	
Title	
Street Address 1	1033 O Street
Street Address 2	
City	Lincoln
State	Nebraska
ZIP Code	68508
E-mail Address	shannon.wilkinson@nebraska.gov
Phone Number	(531)530-7103
Extension	
Fax Number	

Project types carried out during the program year

Components	Projects	Total Persons Reported	Total Households Reported
Emergency Shelter	20	1502	925
Day Shelter	0	0	0
Transitional Housing	3	453	316
Total Emergency Shelter Component	23	1955	1241
Total Street Outreach	0	0	0
Total PH - Rapid Re-Housing	13	247	133
Total Homelessness Prevention	14	859	349

Grant Information

ESG Information from IDIS

As of 6/25/2021

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2020	E20DC310001	\$1,009,899.00	\$811,081.95	\$198,817.05	7/30/2020	7/30/2022
2019	E19DC310001	\$976,692.00	\$974,211.27	\$2,480.73	8/27/2019	8/27/2021
2018	E18DC310001	\$942,140.00	\$942,140.00	\$0	8/22/2018	8/22/2020
2017	E17DC310001	\$942,120.00	\$942,120.00	\$0	10/19/2017	10/19/2019
2016	E16DC310001	\$941,814.00	\$941,814.00	\$0	8/22/2016	8/22/2018
2015	E15DC310001	\$948,440.00	\$948,440.00	\$0	9/30/2015	9/30/2017
2014	E14DC310001	\$869,446.00	\$869,446.00	\$0	7/23/2014	7/23/2016
2013	E13DC310001	\$746,163.00	\$746,163.00	\$0	7/23/2013	7/23/2015
2012						
2011						
Total		\$7,376,714.00	\$7,175,416.22	\$201,297.78		

Emergency Shelter Rehab/Conversion

Did you create additional shelter beds/units through an ESG-funded rehab project

Did you create additional shelter beds/units through an ESG-funded conversion project

Data Participation Information

Are there any funded projects, except HMIS or Admin, which are not listed on the Project Links and Uploads form? This includes projects in the HMIS and from VSP

How many of the VSP projects have a HUD approved plan and are using a template rather than a comparable database report uploaded?

Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Maryland Living Center, Hastings, BOS	31	Maryland Living Center ESG ES Hastings, BOS	104	1	0			NE-500	319001	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Haven House	BB5553	Default Emergency shelter Location	BB5553P01	1	0	0		NE-500	319179	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
CAPLSC Community Action Partnership of Lancaster and Saunders Counties	22	a_CAPLSC ESG Prevention Rural, BOS	242	12	0			NE-500	319155	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
CAPLSC Community Action Partnership of Lancaster and Saunders Counties	22	a_CAPLSC ESG RRH Rural, BOS	243	13	0			NE-500	319155	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Hope Harbor, Grand Island, BOS	30	Hope Harbor ESG Emergency Shelter Grand Island, BOS	99	1	0			NE-500	319079	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Care Corps Inc., Fremont, BOS	25	Care Corps ESG Emergency Shelter ES Fremont, BOS	36	1	0			NE-500	319053	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Care Corps Inc., Fremont, BOS	25	Care Corps ESG RRH Fremont, BOS	38	13	0			NE-500	319053	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Care Corps Inc., Fremont, BOS	25	Care Corps ESG Prevention Fremont, BOS	37	12	0			NE-500	319053	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Parent-Child Center	JM7973	Rapid-Rehousing	JM7973P11	13	0	0		NE-500	319047	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
Parent-Child Center	JM7973	Homeless Prevention	JM7973P10	12	0	0		NE-500	319047	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
Parent-Child Center	JM7973	Emergency Shelter	JM7973P01	1	0	0		NE-500	319047	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
The Connection, North Platte, BOS	32	The Connection ESG Emergency Shelter North Platte, BOS	106	1	0			NE-500	319111	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
The Connection, North Platte, BOS	32	The Connection ESG TH North Platte, BOS	107	2	0			NE-500	319111	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Hope Harbor, Grand Island, BOS	30	Hope Harbor ESG TH Grand Island, BOS	100	2	0			NE-500	319079	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Residential Assistance to Families in Transition, BOS	60	RAFT CoC_ESG TH Kearney, BOS	105	2	0			NE-500	319019	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
SENCA Southeast Nebraska Community Action Partnership	61	a_SENCA ESG CV Prevention, BOS	848	12	0			NE-500	319147	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
The SAFE Center	WB7003	Default Emergency shelter Location	WB7003P01	1	0	0		NE-500	319019	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
The SAFE Center	WB7003	Default Homelessness prevention Location	WB7003P10	12	0	0		NE-500	319019	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
The SAFE Center	WB7003	Default PH - Rapid re housing Location	WB7003P11	13	0	0		NE-500	319019	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
CNCAP Central Nebraska Community Action Partnership	56	a_CNCAP ESG RRH, BOS	238	13	0			NE-500	319163	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
CNCAP Central Nebraska Community Action Partnership	56	a_CNCAP ESG Prevention, BOS	236	12	0			NE-500	319163	0	Clarity HS	2020-07-01	2021-06-30	No	Yes

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Hope Crisis Center	BY8986	Default Emergency shelter Location	BY8986P01	1	0	0		NE-500	319095	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
NCAP Northwest Community Action Partnership	58	a_NCAP ESG Homeless Vouchers ES Panhandle, BOS	270	1	0			NE-500	319045	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NCAP Northwest Community Action Partnership	58	a_NCAP ESG Homeless Prevention Panhandle, BOS	269	12	0			NE-500	319045	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NCAP Northwest Community Action Partnership	58	a_NCAP ESG RRH Panhandle, BOS	271	13	0			NE-500	319045	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NCAP Northwest Community Action Partnership	58	a_NCAP ESG Homeless Prevention North Central, BOS	266	12	0			NE-500	319031	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NCAP Northwest Community Action Partnership	58	a_NCAP ESG RRH North Central, BOS	268	13	0			NE-500	319031	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NCAP Northwest Community Action Partnership	58	a_NCAP ESG Homeless Vouchers ES North Central, BOS	267	1	0			NE-500	319031	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
MID Community Action Partnership of Mid-Nebraska	57	a_MID ESG Prevention SE, BOS	253	12	0			NE-500	319001	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
MID Community Action Partnership of Mid-Nebraska	57	a_MID ESG RRH SE, BOS	256	13	0			NE-500	319001	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
MID Community Action Partnership of Mid-Nebraska	57	a_MID ESG Prevention SW, BOS	255	12	0			NE-500	319019	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
MID Community Action Partnership of Mid-Nebraska	57	a_MID ESG RRH SW, BOS	257	13	0			NE-500	319019	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Center For Survivors	TX1751	Default Emergency shelter Location	TX1751P01	1	0	0		NE-500	319141	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
CAPWN Community Action Partnership of Western Nebraska	55	CAPWN ESG Homeless Motel Vouchers Gering, BOS	216	1	0			NE-500	319157	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
BVCA Blue Valley Community Action Partnership	54	a_BVCA ESG Motel Vouchers ES Fairbury, BOS	26	1	0			NE-500	319159	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
BVCA Blue Valley Community Action Partnership	54	a_BVCA ESG Shelter York, BOS	229	1	0			NE-500	319067	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
BVCA Blue Valley Community Action Partnership	54	a_BVCA ESG Prevention Fairbury, BOS	205	12	0			NE-500	319159	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
BVCA Blue Valley Community Action Partnership	54	a_BVCA ESG RRH Fairbury, BOS	206	13	0			NE-500	319159	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
The Crisis Center for Domestic Abuse/Sexual Assault dba The Bridge	SF5783	Default PH - Rapid re housing Location	SF5783P11	13	0	0		NE-500	319053	0	OSNIUM	2020-07-01	2021-06-30	No	Yes

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project IDs of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
The Crisis Center for Domestic Abuse/Sexual Assault dba The Bridge	SF5783	Default Homelessness prevention Location	SF5783P10	12	0	0		NE-500	319053	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
The Crisis Center for Domestic Abuse/Sexual Assault dba The Bridge	SF5783	Default Emergency shelter Location	SF5783P01	1	0	0		NE-500	319053	0	OSNIUM	2020-07-01	2021-06-30	No	Yes
The DOVES Program	MB6017	Default Emergency shelter Location	MB6017P01	1	0	0		NE-500	319007, 319013, 319033, 319045, 319105, 319123, 319157, 319161, 319165	1	OSNIUM	2021-04-01	2021-06-30	No	Yes
Bright Horizons	NY3466	Emergency shelter Location - ONeill Region 2	NY3466P1021	1	0	0		NE-500	319089	1	OSNIUM	2020-07-01	2021-06-30	No	Yes
CAPWN Community Action Partnership of Western Nebraska	55	CAPWN ESG Homeless Prevention Gering, BOS	231	12	0			NE-500	319157	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
CAPWN Community Action Partnership of Western Nebraska	55	CAPWN ESG RRH Gering, BOS	232	13	0			NE-500	319157	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
Bright Horizons	NY3466	Emergency shelter Location - Norfolk Region 5	NY3466P01	1	0	0		NE-500	319119	1	OSNIUM	2020-07-01	2021-06-30	No	Yes
Spouse Abuse Sexual Assault Crisis Center	KW0067	Default Emergency shelter Location	KW0067P01	1	0	0		NE-500	319001	1	OSNIUM	2020-07-01	2021-06-30	No	Yes
NENCAP Northeast Nebraska Community Action Partnership	59	a_NENCAP ESG RRH, BOS	274	13	0			NE-500	319173	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NENCAP Northeast Nebraska Community Action Partnership	59	a_NENCAP ESG Prevention, BOS	273	12	0			NE-500	319173	0	Clarity HS	2020-07-01	2021-06-30	No	Yes
NENCAP Northeast Nebraska Community Action Partnership	59	a_NENCAP ESG Hotel Vouchers ES, BOS	272	1	0			NE-500	319173	0	Clarity HS	2020-07-01	2021-06-30	No	Yes

Q05a: Report Validations Table

Total Number of Persons Served	3061
Number of Adults (Age 18 or Over)	1909
Number of Children (Under Age 18)	1151
Number of Persons with Unknown Age	1
Number of Leavers	2760
Number of Adult Leavers	1719
Number of Adult and Head of Household Leavers	1725
Number of Stayers	301
Number of Adult Stayers	190
Number of Veterans	37
Number of Chronically Homeless Persons	99
Number of Youth Under Age 25	249
Number of Parenting Youth Under Age 25 with Children	65
Number of Adult Heads of Household	1714
Number of Child and Unknown-Age Heads of Household	9
Heads of Households and Adult Stayers in the Project 365 Days or More	12

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	1	1	0	2	0.07%
Social Security Number	1165	59	7	1231	40.22%
Date of Birth	1	0	4	5	0.16%
Race	7	1	0	8	0.26%
Ethnicity	5	6	0	11	0.36%
Gender	1	0	0	1	0.03%
Overall Score				1239	40.48%

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	7	0.37%
Project Start Date	0	0.00%
Relationship to Head of Household	12	0.39%
Client Location	0	0.00%
Disabling Condition	19	0.62%

Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	144	5.22%
Income and Sources at Start	10	0.58%
Income and Sources at Annual Assessment	0	0.00%
Income and Sources at Exit	15	0.87%

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	993	0	0	11	41	46	4.83 %
TH	345	0	0	0	4	4	1.45 %
PH (All)	152	1	34	1	1	1	23.68 %
Total	1490	0	0	0	0	0	5.97 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	1674	1794
1-3 Days	779	561
4-6 Days	183	247
7-10 Days	57	38
11+ Days	59	135

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	0	0	--
Bed Night (All Clients in ES - NBN)	0	0	--

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	1909	1242	665	0	2
Children	1151	0	1143	8	0
Client Doesn't Know/ Client Refused	1	0	0	0	1
Data Not Collected	0	0	0	0	0
Total	3061	1242	1808	8	3
For PSH & RRH – the total persons served who moved into housing	121	48	73	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	1723	1157	558	7	1
For PSH & RRH – the total households served who moved into housing	63	42	21	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	152	101	50	1	0
April	128	89	39	0	0
July	213	130	83	0	0
October	189	108	80	1	0

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	0	0	0	0

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	0	0	0	0
Rate of Engagement	0.00	0.00	0.00	0.00

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	578	457	120	1
Female	1321	776	544	1
No Single Gender	1	0	1	0
Questioning	--	--	--	--
Transgender	8	8	0	0
Client Doesn't Know/Client Refused	1	1	0	0
Data Not Collected	0	0	0	0
Total	1909	1242	665	2
Trans Female (MTF or Male to Female) ☹	4	4	0	0
Trans Male (FTM or Female to Male) ☹	4	4	0	0

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with ☹.

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	592	589	3	0
Female	558	553	5	0
No Single Gender	0	0	0	0
Questioning	--	--	--	--
Transgender	1	1	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total	1151	1143	8	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	1	1	0	0

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with ☹.

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	1	0	0	0	1
No Single Gender	0	0	0	0	0
Questioning	--	--	--	--	--
Transgender	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	1	0	0	0	1
Trans Female (MTF or Male to Female) ☹	0	0	0	0	0
Trans Male (FTM or Female to Male) ☹	0	0	0	0	0

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with ☹.

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	1170	592	85	456	37	0	0
Female	1880	558	201	1087	33	1	0
No Single Gender	1	0	1	0	0	0	0
Questioning	--	--	--	--	--	--	--
Transgender	9	1	6	2	0	0	--
Client Doesn't Know/Client Refused	1	0	1	0	0	0	0
Data Not Collected	0	0	0	0	0	0	0
Total	3061	1151	294	1545	70	1	0
Trans Female (MTF or Male to Female) ☹	4	0	2	2	0	0	0
Trans Male (FTM or Female to Male) ☹	5	1	4	0	0	0	0

☹ Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with ☹.

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	438	0	437	1	0
5 - 12	509	0	509	0	0
13 - 17	204	0	197	7	0
18 - 24	294	190	104	0	0
25 - 34	597	292	305	0	0
35 - 44	498	296	202	0	0
45 - 54	314	275	39	0	0
55 - 61	136	123	11	0	2
62+	70	66	4	0	0
Client Doesn't Know/Client Refused	1	0	0	0	1
Data Not Collected	0	0	0	0	0
Total	3061	1242	1808	8	3

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	2495	1038	1449	6	2
Black, African American, or African	159	78	80	0	1
Asian or Asian American	4	2	2	0	0
American Indian, Alaska Native, or Indigenous	157	55	100	2	0
Native Hawaiian or Pacific Islander	14	7	7	0	0
Multiple Races	224	55	169	0	0
Client Doesn't Know/Client Refused	7	6	1	0	0
Data Not Collected	1	1	0	0	0
Total	3061	1242	1808	8	3

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latin(a)(o)(x)	2392	1045	1339	5	3
Hispanic/Latin(a)(o)(x)	658	188	467	3	0
Client Doesn't Know/Client Refused	5	4	1	0	0
Data Not Collected	6	5	1	0	0
Total	3061	1242	1808	8	3

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☹	With Only Children	Unknown Household Type
Mental Health Disorder	586	455	111	18	--	2	0
Alcohol Use Disorder	57	51	6	0	--	0	0
Drug Use Disorder	148	114	34	0	--	0	0
Both Alcohol Use and Drug Use Disorders	143	117	25	0	--	1	0
Chronic Health Condition	265	214	38	13	--	0	0
HIV/AIDS	4	4	0	0	--	0	0
Developmental Disability	152	96	29	27	--	0	0
Physical Disability	298	247	42	9	--	0	0

☹ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☺	With Only Children	Unknown Household Type
Mental Health Disorder	527	412	99	15	--	1	0
Alcohol Use Disorder	52	46	6	0	--	0	0
Drug Use Disorder	149	112	37	0	--	0	0
Both Alcohol Use and Drug Use Disorders	139	116	22	0	--	1	0
Chronic Health Condition	222	183	30	9	--	0	0
HIV/AIDS	6	5	1	0	--	0	0
Developmental Disability	131	81	24	26	--	0	0
Physical Disability	259	213	39	7	--	0	0

☺ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults ☺	With Only Children	Unknown Household Type
Mental Health Disorder	68	49	14	4	--	1	0
Alcohol Use Disorder	7	7	0	0	--	0	0
Drug Use Disorder	12	9	3	0	--	0	0
Both Alcohol Use and Drug Use Disorders	11	9	2	0	--	0	0
Chronic Health Condition	41	31	6	4	--	0	0
HIV/AIDS	1	1	0	0	--	0	0
Developmental Disability	13	9	2	2	--	0	0
Physical Disability	44	38	4	2	--	0	0

☺ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	1014	589	424	1	0
No	883	635	240	6	2
Client Doesn't Know/Client Refused	7	5	2	0	0
Data Not Collected	14	13	1	0	0
Total	1918	1242	667	7	2

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	657	337	320	0	0
No	356	251	104	1	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	1	1	0	0	0
Total	1014	589	424	1	0

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations					
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	243	160	82	1	0
Transitional housing for homeless persons (including homeless youth)	11	7	4	0	0
Place not meant for habitation	437	349	88	0	0
Safe Haven	3	2	1	0	0
Host Home (non-crisis)	2	1	0	1	0
Interim Housing ☺	0	0	0	0	0
Subtotal	696	519	175	2	0
Institutional Settings					
Psychiatric hospital or other psychiatric facility	23	21	2	0	0
Substance abuse treatment facility or detox center	18	18	0	0	0
Hospital or other residential non-psychiatric medical facility	20	19	1	0	0
Jail, prison or juvenile detention facility	62	56	6	0	0
Foster care home or foster care group home	1	0	0	1	0
Long-term care facility or nursing home	1	1	0	0	0
Residential project or halfway house with no homeless criteria	5	4	0	1	0
Subtotal	130	119	9	2	0
Other Locations					
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Owned by client, no ongoing housing subsidy	66	26	40	0	0
Owned by client, with ongoing housing subsidy	5	4	1	0	0
Rental by client, with RRH or equivalent subsidy	1	1	0	0	0
Rental by client, with HCV voucher (tenant or project based)	0	0	0	0	0
Rental by client in a public housing unit	9	5	4	0	0
Rental by client, no ongoing housing subsidy	425	185	240	0	0
Rental by client, with VASH subsidy	0	0	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy	41	26	15	0	0
Hotel or motel paid for without emergency shelter voucher	78	59	18	0	1
Staying or living in a friend's room, apartment or house	198	137	59	1	1
Staying or living in a family member's room, apartment or house	209	119	88	2	0
Client Doesn't Know/Client Refused	4	1	3	0	0
Data Not Collected	7	7	0	0	0
Subtotal	1042	570	467	3	2
Total	1918	1242	667	7	2

☺ Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	1168	0	960
\$1 - \$150	44	0	46
\$151 - \$250	20	0	20
\$251 - \$500	68	0	60
\$501 - \$1000	317	1	293
\$1,001 - \$1,500	124	0	139
\$1,501 - \$2,000	95	0	105
\$2,001+	64	4	83
Client Doesn't Know/Client Refused	5	0	8
Data Not Collected	4	0	5
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	180	0
Number of Adult Stayers Without Required Annual Assessment	0	5	0
Total Adults	1909	190	1719

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	481	4	524
Unemployment Insurance	26	0	31
SSI	172	0	155
SSDI	170	0	159
VA Service-Connected Disability Compensation	3	0	3
VA Non-Service Connected Disability Pension	2	0	1
Private Disability Insurance	2	0	1
Worker's Compensation	0	0	1
TANF or Equivalent	26	0	26
General Assistance	1	0	1
Retirement (Social Security)	4	0	2
Pension from Former Job	7	0	6
Child Support	120	1	109
Alimony (Spousal Support)	15	0	14
Other Source	34	1	39
Adults with Income Information at Start and Annual Assessment/Exit	0	5	1502

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	84	162	246	34.15 %	24	252	276	8.70 %	0	0	0	--
Supplemental Security Income (SSI)	112	20	132	84.85 %	18	5	23	78.26 %	0	0	0	--
Social Security Disability Insurance (SSDI)	128	6	134	95.52 %	16	9	25	64.00 %	0	0	0	--
VA Service-Connected Disability Compensation	2	0	2	100.00 %	0	1	1	0.00 %	0	0	0	--
Private Disability Insurance	0	0	0	--	0	1	1	0.00 %	0	0	0	--
Worker's Compensation	1	0	1	100.00 %	0	0	0	--	0	0	0	--
Temporary Assistance for Needy Families (TANF)	3	2	5	60.00 %	4	17	21	19.05 %	0	0	0	--
Retirement Income from Social Security	1	0	1	100.00 %	1	0	1	100.00 %	0	0	0	--
Pension or retirement income from a former job	3	3	6	50.00 %	0	0	0	--	0	0	0	--
Child Support	9	6	15	60.00 %	13	79	92	14.13 %	0	0	0	--
Other source	22	14	36	61.11 %	13	36	49	26.53 %	0	0	0	--
No Sources	304	285	589	51.61 %	44	157	201	21.89 %	0	0	0	--
Unduplicated Total Adults	613	489	1102	0.00 %	114	483	597	0.00 %	0	0	0	--

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	715	2	660
WIC	72	1	64
TANF Child Care Services	8	1	9
TANF Transportation Services	0	0	1
Other TANF-Funded Services	0	0	1
Other Source	18	0	53

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	1546	0	1415
Medicare	159	0	140
State Children's Health Insurance Program	44	2	47
VA Medical Services	10	0	8
Employer Provided Health Insurance	243	5	237
Health Insurance Through COBRA	1	0	0
Private Pay Health Insurance	51	0	49
State Health Insurance for Adults	7	0	6
Indian Health Services Program	14	0	15
Other	18	0	17
No Health Insurance	1056	1	890
Client Doesn't Know/Client Refused	22	0	23
Data Not Collected	22	0	32
Number of Stayers Not Yet Required to Have an Annual Assessment	0	284	0
1 Source of Health Insurance	1835	7	1708
More than 1 Source of Health Insurance	128	0	111

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	1165	1126	39
8 to 14 days	367	319	48
15 to 21 days	216	190	26
22 to 30 days	220	189	31
31 to 60 days	388	322	66
61 to 90 days	251	223	28
91 to 180 days	287	255	32
181 to 365 days	109	96	13
366 to 730 days (1-2 Yrs)	48	36	12
731 to 1,095 days (2-3 Yrs)	10	4	6
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	3061	2760	301

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	54	25	29	0	0
8 to 14 days	11	3	8	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	3	0	3	0	0
31 to 60 days	6	0	6	0	0
61 to 180 days	0	0	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	74	28	46	0	0
Average length of time to housing	6.78	2.64	9.22	--	--
Persons who were exited without move-in	106	33	72	0	1
Total persons	180	61	118	0	1

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	1165	512	651	1	1
8 to 14 days	367	178	186	1	2
15 to 21 days	216	92	124	0	0
22 to 30 days	220	81	135	4	0
31 to 60 days	388	137	249	2	0
61 to 90 days	251	79	172	0	0
91 to 180 days	287	96	191	0	0
181 to 365 days	109	46	63	0	0
366 to 730 days (1-2 Yrs)	48	19	29	0	0
731 to 1,095 days (2-3 Yrs)	10	2	8	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	3061	1242	1808	8	3

Q22e: Length of Time Prior to Housing - based on 3,917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	918	541	373	4	0
8 to 14 days	89	58	31	0	0
15 to 21 days	61	25	34	2	0
22 to 30 days	57	33	24	0	0
31 to 60 days	78	47	29	2	0
61 to 180 days	106	68	38	0	0
181 to 365 days	24	14	10	0	0
366 to 730 days (1-2 Yrs)	24	20	4	0	0
731 days or more	24	24	0	0	0
Total (persons moved into housing)	1382	830	544	8	0
Not yet moved into housing	126	38	85	0	3
Data not collected	698	210	488	0	0
Total persons	2202	1078	1113	8	3

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations					
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	116	18	98	0	0
Owned by client, with ongoing housing subsidy	11	4	7	0	0
Rental by client, no ongoing housing subsidy	870	193	677	0	0
Rental by client, with VASH housing subsidy	1	0	1	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	187	53	134	0	0
Permanent housing (other than RRH) for formerly homeless persons	16	7	9	0	0
Staying or living with family, permanent tenure	174	77	97	0	0
Staying or living with friends, permanent tenure	78	41	37	0	0
Rental by client, with RRH or equivalent subsidy	93	38	55	0	0
Rental by client, with HCV voucher (tenant or project based)	8	3	5	0	0
Rental by client in a public housing unit	36	19	17	0	0
Subtotal	1590	453	1137	0	0
Temporary Destinations					
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	85	47	38	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	220	112	104	4	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	207	86	121	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	214	110	102	2	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	92	79	13	0	0
Safe Haven	15	4	11	0	0
Hotel or motel paid for without emergency shelter voucher	69	49	20	0	0
Host Home (non-crisis)	1	1	0	0	0
Subtotal	903	488	409	6	0
Institutional Settings					
Foster care home or group foster care home	18	0	18	0	0
Psychiatric hospital or other psychiatric facility	7	7	0	0	0
Substance abuse treatment facility or detox center	14	9	5	0	0
Hospital or other residential non-psychiatric medical facility	7	7	0	0	0
Jail, prison, or juvenile detention facility	21	17	4	0	0
Long-term care facility or nursing home	2	2	0	0	0
Subtotal	69	42	27	0	0
Other Destinations					
Residential project or halfway house with no homeless criteria	5	5	0	0	0
Deceased	0	0	0	0	0
Other	49	41	7	0	1
Client Doesn't Know/Client Refused	22	9	13	0	0
Data Not Collected (no exit interview completed)	122	78	44	0	0
Subtotal	198	133	64	0	1
Total	2760	1116	1637	6	1
Total persons exiting to positive housing destinations	1219	374	845	0	0
Total persons whose destinations excluded them from the calculation	27	9	18	0	0
Percentage	44.60%	33.79%	52.19%	0.00%	0.00%

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	484	73	411	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	58	8	50	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	9	7	2	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	15	5	10	0	0
Moved to new housing unit--With on-going subsidy	8	0	8	0	0
Moved to new housing unit--Without an on-going subsidy	23	3	20	0	0
Moved in with family/friends on a temporary basis	17	8	9	0	0
Moved in with family/friends on a permanent basis	3	0	3	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	1	1	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	1	1	0	0	0
Data not collected (no exit interview completed)	27	9	18	0	0
Total	748	132	616	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	1	1	0	0
Non-Chronically Homeless Veteran	36	27	9	0
Not a Veteran	2402	1211	1189	2
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	12	3	9	0
Total	2451	1242	1207	2

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	99	88	11	0	0
Not Chronically Homeless	2929	1134	1784	8	3
Client Doesn't Know/Client Refused	6	4	2	0	0
Data Not Collected	27	16	11	0	0
Total	3061	1242	1808	8	3

Appendix 2: PY 2020 CDBG Awarded Projects

Contract #	Recipient	Funding Opportunity	Project Description	Award Date	Source	Award
20DTR007	Stanton	Downtown Revitalization	Commercial Rehabilitation; Sidewalks	11/05/2020	CDBG	\$435,000
20DTR006	Ravenna	Downtown Revitalization	Commercial Rehabilitation; Sidewalks	11/05/2020	CDBG	\$435,000
20DTR005	Plainview	Downtown Revitalization	Commercial Rehabilitation; Clearance	11/05/2020	CDBG	\$435,000
20DTR004	Hebron	Downtown Revitalization	Commercial Rehabilitation	11/05/2020	CDBG	\$445,000
20DTR002	Crete	Downtown Revitalization	Commercial Rehabilitation	11/05/2020	CDBG	\$445,000
19ED001	Gering	Economic Development	Prime Metal	07/15/2020	CDBG	\$796,000
20ED003	York	Economic Development	York Cold Storage 1	03/10/2021	CDBG	\$310,000
20ED002	Plattsmouth	Economic Development	VIREO	05/26/2021	CDBG	\$812,500
20ED001	Falls City	Economic Development	Falls City Foods	06/02/2021	CDBG	\$307,000
21-03-166	University of Nebraska-Lincoln	Emergency Payments	CDBG CV Emergency Payments	06/01/2021	CDBG-CV	\$6,486,296
19EM006	Rulo	Emergent Threat	Water Meter Reconstruction	10/21/2020	CDBG	\$485,000
20HO36039	Tecumseh	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$315,000
20HO36038	Jansen	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$315,000
20HO36037	Sterling	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$315,000
20HO36036	Cook	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$315,000
20HO36035	Deshler	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$315,000
20HO36032	Falls City	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$547,000
20HO35034	South Sioux City	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$750,000
20HO31041	Scottsbluff	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$732,500
20HO31033	Morrill	Owner Occupied Rehabilitation	Owner Occupied Rehabilitation	11/18/2020	CDBG	\$462,500
20PP015	Yutan	Planning	Downtown Revitalization Study	11/19/2020	CDBG	\$22,000
20PP014	Weeping Water	Planning	Comprehensive Development Plan	11/19/2020	CDBG	\$36,075
20PP013	Superior	Planning	Recreation Master Plan	11/19/2020	CDBG	\$53,000
20PP012	Mullen	Planning	Stormwater Drainage Study	11/19/2020	CDBG	\$22,000
20PP011	Milford	Planning	Downtown Revitalization Study	11/19/2020	CDBG	\$53,000
20PP010	McCool Junction	Planning	Comprehensive Plan Update	11/19/2020	CDBG	\$53,000
20PP009	Ewing	Planning	Community Strategic Plan	11/19/2020	CDBG	\$30,800
20PP008	Deshler	Planning	Commercial & Sidewalk Study	11/19/2020	CDBG	\$26,400
20PP007	Craig	Planning	Street & Infrastructure Mapping	11/19/2020	CDBG	\$22,000
20PP006	Cozad	Planning	Economic Development Study	11/19/2020	CDBG	\$50,000
20PP005	Brownville	Planning	Riverfront Recreational Study	11/19/2020	CDBG	\$53,000
20PP004	Big Springs	Planning	Zoning Regulations Ordinances Update	11/19/2020	CDBG	\$22,010
20PP003	Bee	Planning	Storm Drainage Study	11/19/2020	CDBG	\$23,100
20PP001	Arapahoe	Planning	Downtown Revitalization Plan	11/19/2020	CDBG	\$43,000
20PW016	Wymore	Public Works	Street Improvements	10/27/2020	CDBG	\$435,000
20PW015	Winnebago	Public Works	Street Improvements	10/27/2020	CDBG	\$435,000
20PW014	Superior	Public Works	Sidewalk Improvements	10/27/2020	CDBG	\$435,000
20PW013	Peru	Public Works	Street Improvements	10/27/2020	CDBG	\$435,000
20PW009	Morrill	Public Works	Drainage and Street Improvements	10/27/2020	CDBG	\$435,000
20PW008	Milligan	Public Works	Street Improvements	10/27/2020	CDBG	\$407,848
20PW005	Humboldt	Public Works	Community Center	10/27/2020	CDBG	\$435,000
20PW004	Hastings	Public Works	Sidewalk Improvements	10/27/2020	CDBG	\$435,000
20PW002	Elm Creek	Public Works	Street Improvements	10/27/2020	CDBG	\$435,000
20TD002	Garfield	Tourism Development	Nebraska Big Rodeo ADA Improvements	02/05/2021	CDBG	\$435,000
20WW001	Edison	Water/Wastewater	Wastewater Improvement Project	01/11/2021	CDBG	\$285,000
20WW002	Hayes Center	Water/Wastewater	Water System Improvement Project	02/09/2021	CDBG	\$435,000
20WW003	Lodgepole	Water/Wastewater	Water System Improvement Project	05/05/2021	CDBG	\$435,000

Appendix 3: PY2020 DED Housing Awarded Projects

Rental Units Constructed

Award #	Recipient	Source	Type	Award Amount	Units	Location
200ERH10051	Hamilton Village Senior Suites, LLC	HOME	Rental New Construction	\$850,000.00	6	Omaha NE
20HTFRH10052	1120 Lofts, LLC	HTF	Rental New Construction	\$625,000.00	5	Omaha NE
20TFRH18003	South of Downtown Community Development Organization	NAHTF	New Construction	\$500,000.00	4	Lincoln
20TFRH27013	Holy Name Housing Corporation	NAHTF	New Construction	\$500,000.00	3	Omaha
20TFRH27014	Holy Name Housing Corporation	NAHTF	New Construction	\$500,000.00	3	Douglas County
20TFRH35023	City of Plainview	NAHTF	Acquisition and New Construction	\$452,000.00	4	Plainview
20TFRH32027	City of Bassett, Nebraska	NAHTF	Acquisition, Demolition, Site Improvements and New Construction	\$299,998.00	2	Bassett
20TFRH15005	City of Norfolk	NAHTF	Conversion	\$500,000.00	9	Norfolk
20TFRH31018	Twin Cities Development Association, Inc.	NAHTF	Conversion	\$500,000.00	14	Gering

Funding Source	Award	Units
HOME	\$850,000.00	6
NAHTF	\$3,251,998.00	39
HTF	\$625,000.00	5
Total	\$4,726,998.00	50

Rental Units Rehabilitated

Award #	Recipient	Source	Type	Award Amount	Units	Location
200ERH10049	Transformation Hill Apartments, LLC	HOME	Rental Rehab	\$900,000.00	8	Blair NE
200ERH10050	Omega West Point, Ltd	HOME	Rental Rehab	\$478,000.00	5	West Point NE
20HTFRH10042	CenterPoint South Street Project	HTF	Rental Rehab	\$3,100,000.00	18	Lincoln NE

Funding Source	Award	Units
HOME	\$1,378,000.00	13
HTF	\$3,100,000.00	18
Total	\$4,478,000.00	31

Homeowner Occupied Housing Rehabilitation

Award #	Recipient	Source	Type	Award Amount	Units	Location
20TFHO27016	Habitat for Humanity of Omaha, Inc.	NAHTF	Rehabilitation	\$500,000.00	34	Burt, Cass, Douglas, Sarpy and Washington County
20TFHO36019	York County	NAHTF	Rehabilitation	\$500,000.00	18	Benedict, Bradshaw, Gresham, Henderson, Lushton, McCool Junction, Thayer, Waco, and York
20TFHO32025	City of Sargent, Nebraska	NAHTF	Rehabilitation	\$315,000.00	10	Sargent
20TFHO32026	Village of North Loup	NAHTF	Rehabilitation	\$252,000.00	8	North Loup
20HO36036	Cook	CDBG	Rehabilitation	\$315,000.00	10	Cook
20HO36035	Deshler	CDBG	Rehabilitation	\$315,000.00	10	Deshler
20HO36032	Falls City	CDBG	Rehabilitation	\$547,000.00	18	Falls City
20HO36038	Jansen	CDBG	Rehabilitation	\$315,000.00	11	Jansen
20HO31033	Morrill	CDBG	Rehabilitation	\$462,500.00	15	Morrill
20HO31041	Scottsbluff	CDBG	Rehabilitation	\$732,500.00	25	Scottsbluff
20HO35034	South Sioux City	CDBG	Rehabilitation	\$750,000.00	25	South Sioux City
20HO36037	Sterling	CDBG	Rehabilitation	\$315,000.00	10	Sterling
20HO36039	Tecumseh	CDBG	Rehabilitation	\$315,000.00	10	Tecumseh

Funding Source	Award	Units
NAHTF	\$1,882,000.00	70
CDBG	\$4,067,000.00	134
Total	\$5,949,000.00	204

Appendix 3: PY2020 DED Housing Awarded Projects

Homeowner Housing Added

Award #	Recipient	Source	Type	Award Amount	Units	Location
20CHHP15047	NeighborWorks Northeast Nebraska	HOME	PRR	\$500,000.00	7	Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne County
20CHHP18045	NeighborWorks Lincoln	HOME	New construction with buyer subsidy	\$495,000.00	4	Lincoln
20CHHP31043	High Plains Community Development	HOME	New construction with buyer subsidy	\$500,000.00	2	Chadron
20TFHP15001	NeighborWorks Northeast Nebraska	NAHTF	Purchase Rehab Resale with Homebuyer Assistance	\$500,000.00	13	Colfax, Cuming, Madison, Pierce, Platte, Stanton and Wayne County
20TFHP18002	NeighborWorks Lincoln	NAHTF	New Construction with Homebuyer Assistance	\$500,000.00	4	Lincoln in Lancaster County
20TFHP15004	Northeast Economic Development, Inc.	NAHTF	Homebuyer Assistance	\$288,000.00	10	Antelope, Boone, Burt, Cedar, Colfax, Cuming, Dixon, Dodge, Knox, Madison, Nance, Pierce, Platte, Stanton, Thurston and Wayne County
20TFHP17006	City of Columbus	NAHTF	Homebuyer Assistance	\$500,000.00	16	Columbus in Platte County
20TFHP18007	NeighborWorks Lincoln	NAHTF	Homebuyer Assistance with Rehabilitation	\$500,000.00	22	Lincoln in Lancaster County
20TFHP27010	Habitat for Humanity of Omaha, Inc.	NAHTF	New Construction	\$500,000.00	12	Omaha in Douglas County
20TFHP27012	Habitat for Humanity of Omaha, Inc.	NAHTF	Purchase Rehab Resale	\$500,000.00	12	Omaha in Douglas County
20TFHP27015	New Community Development Corporation dba NeighborWorks Home Solutions	NAHTF	Acquisition, Site Improvements and New Construction with Homebuyer Assistance	\$300,000.00	2	Omaha in Douglas County
20TFHP33020	Lincoln County Community Development Corporation	NAHTF	Demolition and New Construction with Homebuyer Assistance	\$390,000.00	3	North Platte in Lincoln County
20TFHP31021	High Plains Community Development Corporation, Inc.	NAHTF	Homebuyer Assistance	\$184,500.00	30	Alliance; Hemingford; Bayard; Bridgeport; Chadron; Crawford; Gordon; Hayes Springs; Rushville; Harrison
20TFHP33022	Southwest Nebraska Community Betterment Corporation	NAHTF	Homebuyer Assistance with Rehabilitation	\$300,000.00	8	Chase, Dundy, Hayes, Hitchcock and Perkins County; McCook in Red Willow County
20TFHP34024	Community Action Partnership of Mid- Nebraska	NAHTF	Homebuyer Assistance	\$200,000.00	20	Amherst, Elm Creek, Gibbon, Kearney, Miller, Pleasanton, Ravenna, Riverdale and Shelton

Funding Source	Award	Units
HOME	\$500,000.00	28
NAHTF	\$4,662,500.00	152
Total	\$5,162,500.00	180

Operation

Award #	Recipient	Source	Type	Award Amount	Units	Purpose
20CHTA15048	NeighborWorks Northeast Nebraska	HOME	Organizational Operating	\$50,000.00		Organizational operating funds for NeighborWorks Northeast Nebraska
20CHTA18046	NW Lincoln	HOME	Organizational Operating	\$5,000.00		Organizational operating funds for NeighborWorks Lincoln
20CHTA31044	High Plains Community Development	HOME	Organizational Operating	\$50,000.00		Organizational operating funds for High Plains Community Housing
20TFTA16009	Southeast Nebraska Affordable Housing Council (SENAHC)	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Cass, Fillmore, Gage, Jefferson, Johnson, Nemaha, Otoe, Pawnee, Polk, Richardson, Saline, Saunders, Seward, Thayer and York County
20TFTA27017	Habitat for Humanity of Omaha, Inc.	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Burt, Douglas and Washington County
20TFTA34028	South Central Economic Development District, Inc.	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Statewide
20TFTA33029	Keith County Housing Development Corporation	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Keith County
20TFTA35030	Wakefield Development Corporation	NAHTF	Nonprofit Technical Assistance	\$40,000.00	N/A	Antelope, Boone, Burt, Cedar, Cuming, Dakota, Dixon, Dodge, Holt, Knox, Madison, Nance, Pierce, Platte, Stanton, Thurston, Washington and Wayne County

Funding Source	Award
HOME	\$105,000.00
NAHTF	\$200,000.00
Total	\$305,000.00

Appendix 4: 2020 HOPWA CAPER



Housing Opportunities for Persons With AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 11/30/2023)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. Reporting is required for all HOPWA formula grantees. The public reporting burden for the collection of information is estimated to average 41 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD's requirements for reports submitted by HOPWA formula grantees are supported by 42 U.S.C. § 12911 and HUD's regulations at 24 CFR § 574.520(a). Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number. While confidentiality is not assured, HUD generally only releases this information as required or permitted by law.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation of a building or structure are required to operate the building or structure for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Report of Continued Project Operation throughout the required use periods. This report is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client-level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry

Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, Housing Status or Destination at the end of the operating year, Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Medical Assistance, and T-cell Count. Other HOPWA projects sponsors may also benefit from collecting these data elements. HMIS local data systems must maintain client confidentiality by using a closed system in which medical information and HIV status are only shared with providers that have a direct involvement in the client’s case management, treatment and care, in line with the signed release of information from the client.

Operating Year. HOPWA formula grants are annually awarded for a three-year period of performance with three operating years. The information contained in this CAPER must represent a one-year period of HOPWA program operation that coincides with the grantee’s program year; this is the operating year. More than one HOPWA formula grant awarded to the same grantee may be used during an operating year and the CAPER must capture all formula grant funding used during the operating year. Project sponsor accomplishment information must also coincide with the operating year this CAPER covers. Any change to the period of performance requires the approval of HUD by amendment, such as an extension for an additional operating year.

Final Assembly of Report. After the entire report is assembled, number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7248, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C., 20410.

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	27
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	14
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	66
5.	Adjustment for duplication (subtract)	7
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	100

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent

Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These are additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the criteria described in 2 CFR 200. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *24 CFR 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration

requirements on program income at 2 CFR 200.307.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Per HOPWA regulations at 24 CFR 574.3, any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended.

SAM: All organizations applying for a Federal award must have a valid registration active at sam.gov. SAM (System for Award Management) registration includes maintaining current information and providing a valid DUNS number.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52-week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from the person's gender assigned at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person With AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 11/30/2023)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by 24 CFR 574.3.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number NEH18-F999 NEH19-F999 NEH20-F999 HOPWA-CV	Operating Year for this report <i>From</i> 7/01/2020 <i>To</i> 06/30/2021		
Grantee Name Nebraska Department of Health and Human Services			
Business Address	301 Centennial Mall South PO Box 95026		
City, County, State, Zip	Lincoln	Lancaster	NE 68509-5026
Employer Identification Number (EIN) or Tax Identification Number (TIN)	47-0491233		
DUN & Bradstreet Number (DUNs):	808819957	System for Award Management (SAM):: Is the grantee's SAM status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide SAM Number:	
Congressional District of Grantee's Business Address	First		
*Congressional District of Primary Service Area(s)	First Second Third		
*City(ies) and County(ies) of Primary Service Area(s)	Cities: Lincoln Omaha Kearney Norfolk Scottsbluff	Counties: Lancaster Douglas Buffalo Madison Scottsbluff	
Organization's Website Address www.dhhs.ne.gov	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee Service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by 24 CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Nebraska AIDS Project		Parent Company Name, if applicable NA	
Name and Title of Contact at Project Sponsor Agency	Brent Koster, Executive Director		
Email Address	brentk@nap.org		
Business Address	250S S. 77 th Street, Suite A		
City, County, State, Zip,	Omaha, Douglas, NE 68114		
Phone Number (with area code)	402-552-9258		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	47-0786622	Fax Number (with area code) 402-552-9251	
DUN & Bradstreet Number (DUNS):	180380412		
Congressional District of Project Sponsor's Business Address	Second		
Congressional District(s) of Primary Service Area(s)	First, Second, Third		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Omaha, Lincoln, Kearney, Norfolk, Scottsbluff	Counties: Douglas, Lancaster, Buffalo, Madison, Scottsbluff	
Total HOPWA contract amount for this Organization for the operating year	\$746,221		
Organization's Website Address	www.nap.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD’s website. *Note: Text fields are expandable.*

Nebraska began receiving Housing Opportunities for Persons With AIDS (HOPWA) formula funds in 2008 from the U.S. Department of Housing and Urban Development. Nebraska’s HOPWA Program is administered by the Nebraska Department of Health and Human Services (NDHHS)-Division of Public Health- Health Promotion Unit. (Link to organizational chart <https://dhhs.ne.gov/Pages/public-health.aspx>). Having the HOPWA Program co-located in the same Unit as STI Prevention and Partner Services Ryan White Part B, HIV Prevention & Surveillance, Hepatitis Prevention & Surveillance, and Tuberculosis Prevention and Control encourages information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of Nebraska’s HOPWA Program. Nebraska’s HOPWA Program consists of a 1.0 FTE Program Manager. A new Program Manager began working for DHHS in March of 2021. The HOPWA Program is housed within the Health Promotion Unit within the state office building. Gretchen Regier supervises the HOPWA program.

<p>Gretchen Regier, Ryan White Program Manager Nebraska Department of Health and Human Services 301 Centennial Mall South P.O. Box 95026 Lincoln, NE 68509-5026 402-471-0164 Gretchen.Regier@nebraska.gov</p>	<p>Jacqueline Cruz, DHHS Program Coordinator Nebraska Department of Health and Human Services 301 Centennial Mall South P.O. Box 95026 Lincoln, NE 68509-5026 402-326-0062 Jacqueline.Cruz@nebraska.gov</p>
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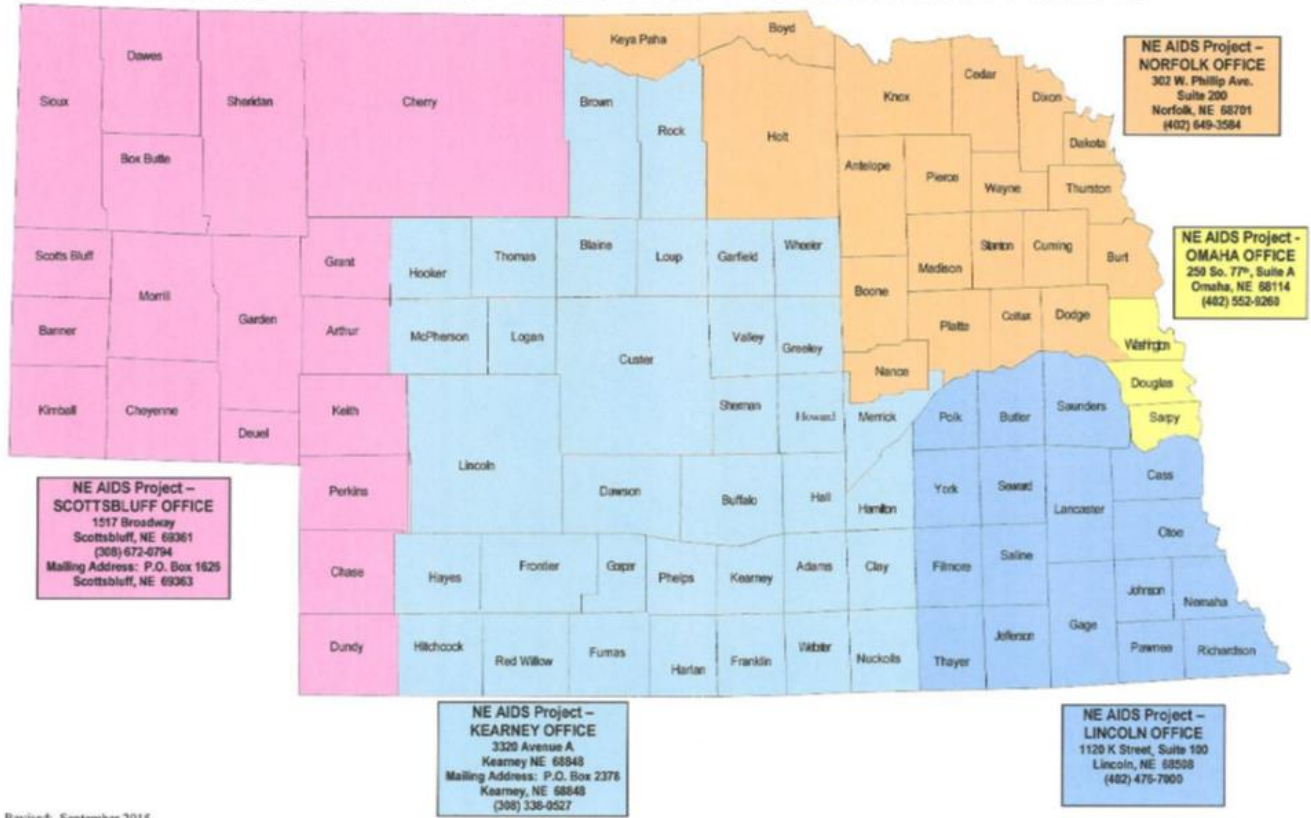
Nebraska’s HOPWA program grants funding to the Nebraska AIDS Project (NAP), which serves as project sponsor. NAP provides HOPWA services statewide through offices located in Omaha, Lincoln, Kearney, Norfolk and Scottsbluff. NAP utilizes 3.0 FTE case management staff to provide direct HOPWA services. In addition, NAP’s Compliance Supervisor works closely with the NDHHS HOPWA Program in coordinating housing activities statewide. This position is also responsible for the review and approval of HOPWA emergency rent and mortgage client requests.

Geography has presented challenges in Nebraska’s ability to provide services. Nebraska is divided into 93 counties covering 77,358 square miles. The major population centers are located in the eastern part of the state in the areas around Omaha and Lincoln. Moving west across the state, the counties become less populated. NAP works closely with Nebraska’s HOPWA Program to ensure timely quality services are provided utilizing their agency’s statewide office structure.

The Nebraska AIDS Project Executive Director, Brent Koster, is housed at the NAP’s Omaha location.

Brent Koster
Nebraska AIDS Project
250 So. 77th Street, Suite A
Omaha, NE 68114
402-552-9260
brentk@nap.org

NEBRASKA AIDS PROJECT - COVERAGE AREAS



Revised: September 2015

The primary goal of Nebraska’s HOPWA Program is to provide decent, safe and affordable housing and supportive services to people who are homeless and/or at imminent risk of becoming homeless. Eligibility for HOPWA funded services requires at least one person in the household be infected with the HIV virus and a household income at or below 80% of the area median income. Households with income above 80% of the area median are eligible to receive HOPWA funded housing counseling and referral services. Activities of primary importance include providing housing and services that assist households in obtaining and maintaining affordable housing where they can maintain complex medication regimens, address HIV/AIDS related needs, and reach their maximum level of self-sufficiency through standard and/or intensive case management services. Research consistently shows that housing is a critical component of HIV care and prevention services.

Nebraska’s HOPWA Program funds an array of supportive services and case management services with a medical and self-sufficiency component, outreach to HIV+ homeless persons/families and homeless service providers, emergency rental/mortgage assistance, tenant-based rental assistance, permanent housing placement (first/last month’s rent, security deposit and rental application fee), housing information services and resource identification. The HOPWA Program funds and refers to the Rent Wise tenant education course, budgeting and life skills classes, and other wrap-around supportive services as needed/identified at intake or in an assessment. The HOPWA Program has shown improvement in outreach activities to homeless persons/families living with HIV/AIDS through collaborations with their area local Continuum of Care partners and has expanded the TBRA (Tenant-Based Assistance Program) Program to 24, with hopes of adding more clients soon.

The HOPWA Program has procured a new case management software system for the HOPWA and Ryan White Part B Programs, which enhance case management services for clients state-wide.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your operating year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The NE HOPWA Program was successful in meeting housing and supportive service goals as indicated in the Annual Action Plan. Overall, the program estimated serving 45 households statewide with short-term rent/mortgage assistance, permanent housing placement and supportive services. The program estimated serving 20 households with tenant-based rental assistance (TBRA) during the reporting period.

During the reporting period, the program served 100 households; 66 with short-term rent/mortgage assistance, 14 with permanent housing placement, including move-in expenses, security deposit, first month's rent and/or last month's rent and supportive services, and 27 with tenant-based rental assistance. There were 7 duplicate households; having received both short-term rent/mortgage assistance, tenant-based rental assistance and/or permanent housing placement. Supportive Service activities provided during the reporting period include standard/intensive case management services, outreach activities/events, and client transportation assistance.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The NE HOPWA Program focuses on meeting program and client outcomes to achieve and/or maintain housing stability and to provide housing information services throughout the state for clients and the newly-engaged.

Last year (FY2019) the TBRA program served 28 households; this number remained fairly consistent with having served 27 households this year (FY2020). Priority placement is provided to individuals and households with little or no income, homeless, individuals discharging from institution(s) or prison, etc. with no housing options, and persons ineligible for Section 8 housing vouchers. TBRA continues to be the most effective housing activity in maintaining housing stability.

Continued goals include identifying appropriate employment/job training entities to assist clients who are able to work to succeed in gaining employment. Resources remain limited in the State (especially in rural areas). The FY2020 subgrant with NAP continued to require case management staff to complete the "Getting to Work" Training Curriculum (developed by the U.S. Departments of Labor and Housing and Urban Development), and this is expected to be a valuable resource and a high priority in the coming years. Use of the curriculum results in positive employment outcomes for clients. Knowledge of HIV/AIDS continues to be an important component in understanding the context of employment and in identifying alternate approaches in assisting clients who are ready to work identify and achieve their goals.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Nebraska's HOPWA Program is co-located within the Health Promotion Unit. This Unit includes the STI Prevention and Partner Services, Ryan White Part B, HIV Prevention & Surveillance, Hepatitis Prevention & Surveillance, and Tuberculosis Prevention & Control programs. The Unit works very well together, promoting and supporting information sharing, best practices for service delivery, and a collaborative environment for the focused strategies of all the programs, including Nebraska's HOPWA Program.

The Nebraska Red Ribbon Community (NRRC), was formed to enhance and enrich the lives of those infected with Human Immunodeficiency Virus (HIV). This is done through leadership and partnership with local and state partners. The membership includes Nebraskans living with HIV/AIDS. There is statewide participation in this group which creates an enhanced networking system and ability to share available resources and ideas. The NRRC provides input for consumer needs, barriers to service delivery, and feedback on program successes on all aspects of housing, prevention, and care services.

The NAP case managers participate in their local Continuum of Care groups. This provides NAP staff with knowledge of resources within their communities and builds relationships with housing and service providers. Participation also provides opportunities for entities to collaborate on project planning opportunities.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Nebraska’s HOPWA Program has benefitted from the technical assistance provided by Cloudburst Group and will pursue continuing such if available.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD Regulations: An expansion in the allowable parameters of funding would support grantees utilizing HOPWA funding for the purpose of purchasing inexpensive household items. Clients who are homeless, out of care, and face many barriers are given priority for housing services. Staff focus on locating housing for clients, but it is difficult to take a client/household to a new residence with only limited items and resources. Basic furnishings, such as a bed/mattress, are necessary for clients. These are more difficult to obtain because some second hand stores and non-profits do not accept used

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

mattresses for hygienic reasons. NAP has been successful in obtaining some other household items through donations, etc.

Housing Affordability and Rent Determination/Fair Market Rents: Statewide, and especially in western Nebraska, locating safe and affordable housing is difficult. Affordable, safe housing remains extremely limited in many areas of Nebraska. Housing that meets, or is below, FMR is often not suitable or hard to find.

Criminal Justice History/Rent History and Housing Availability:

In western Nebraska, safe, affordable housing is very limited and hard to find. HOPWA clients with a criminal justice history or a poor rental history experience further significant difficulties in obtaining housing and securing employment following incarceration and/or seeking new housing.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

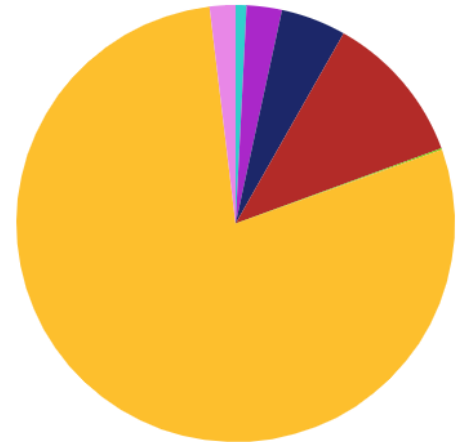
Nebraska continues to see significant disparities in HIV infection rates among minority and unemployed populations. It is important that our state and local agencies continue trying new approaches to improve health outcomes. Simplifying PrEP access and individualized strategies to reengage and retain individuals healthcare and employment could help Nebraska reduce health disparities. Please see 2018 Nebraska HIV/AIDS data below from aidsvu.org for reference.

Demographics, 2018

Total Population
1,929,268

State Population by Race/Ethnicity

- American Indian / Alaska Native (0.8%)
- Native Hawaiian / Other Pacific Islander (0.1%)
- Asian (2.6%)
- White (78.6%)
- Black (4.8%)
- Multiple Race (1.9%)
- Hispanic / Latinx (11.2%)



HIV Prevalence Rate Ratios, by Race/Ethnicity, 2018



The rate of **Black males** living with an HIV diagnosis is 7.2 times that of **White males**.



The rate of **Hispanic/Latino males** living with an HIV diagnosis is 2.5 times that of **White males**.



The rate of **Black females** living with an HIV diagnosis is 24.1 times that of **White females**.



The rate of **Hispanic/Latina females** living with an HIV diagnosis is 3.2 times that of **White females**.

Social Determinants of Health, 2018



3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The HOPWA Program worked with the Nebraska Infectious Disease Prevention and Control program to analyze epidemiological data comparing viral load suppression rates of all HIV+ individuals in the State and those currently in case management as a means to assess quality of care throughout Nebraska. The HOPWA Program continues to work with the HIV Prevention and Surveillance Program in a joint effort to collect, evaluate, and analyze data regarding quality of services related to counseling and testing, linkage to care, data to care, supportive services, and case management.

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$45,000	Rent assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Continuum of Care	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW ADAP	\$1,591,558	Medications	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW Utility Assistance	\$53,000	Utility assistance	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW Part B Food	\$27,613	Food vouchers	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW OAHS	\$20,000	Outpatient/ Ambulatory Health Services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: RW Transportation	\$74,714	Medical Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: NE AIDS Project Flowers' Fund	\$20,309.53	Housing and Utility Assistance, Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	0		
TOTAL (Sum of all Rows)	\$1,832,194.53		

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	12	27	0	0	\$189,223.07	\$218,371.40
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	\$0.00	\$0.00
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	0	0	0	0	\$0.00	\$0.00
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	\$0.00	\$0.00
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	\$0.00	\$0.00
4.	Short-Term Rent, Mortgage and Utility Assistance	40	66	0	0	\$101,598.15	\$93,883.56
5.	Permanent Housing Placement Services	0	14	0	0	\$16,365.09	\$10,449.50
6.	Adjustments for duplication (subtract)	10	7	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a – d equal the sum of Rows 1-5 minus Row 6; Columns e and f equal the sum of Rows 1-5)	42	100	0	0	\$307,186.31	\$322,704.46
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	\$0.00	\$0.00
9.	Stewardship Units subject to 3- or 10- year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0	0	0	\$0.00	\$0.00
Supportive Services		[1] Output: Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance	107	100			\$71,397.63	\$96,950.36
11b.	Supportive Services provided by project sponsors that only provided supportive services.	0	0			0	\$0.00
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a – d equals the sum of Rows 11 a & b minus Row 12; Columns e and f equal the sum of Rows 11a & 11b)	107	100			\$71,397.63	\$96,950.36
Housing Information Services		[1] Output: Households				[2] Output: Funding	
14.	Housing Information Services	0	0			\$0.00	\$0.00
15.	Total Housing Information Services	0	0			\$0.00	\$0.00

Grant Administration and Other Activities		[1] Output: Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$3,625.31	\$5,253.30
17.	Technical Assistance (if approved in grant agreement)					\$0.00	\$17,704.00
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$14,318.76	\$18,252.03
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$26,657.52	\$9,000.00
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$44,601.59	\$50,209.33
Total Expended							
						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for operating year (Sum of Rows 7, 10, 13, 15, and 20)					\$423,185.53	\$469,864.15

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	100	\$91,996.78
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services	1	\$125.00
11.	Mental health services		
12.	Outreach		
13.	Transportation	23	\$4,828.58
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	73	
16.	Adjustment for Duplication (subtract)	12	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	185	\$96,950.36

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a, enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b, enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c, enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d, enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e, enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f, enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g, report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a, column [1] and the total amount of HOPWA funds reported as expended in Row a, column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b and f, respectively.

Data Check: The total number of households reported in Column [1], Rows b, c, d, e, and f equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b, c, d, e, f, and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	66	\$93,883.56
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	5	\$5,859.03
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	61	\$88,024.53
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	27	27	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	0	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	0	<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	0	

		9 Death	0	Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months		0		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a, report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b, report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
66	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	62	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	1	
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)	1	
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	1	
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected	1	
	Death		<i>Life Event</i>
	1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c to adjust for duplication among the service categories and Row d to provide an unduplicated household total.

Line [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b below.

Total Number of Households	
1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	107
b. Case Management	100
c. Adjustment for duplication (subtraction)	107
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Sum of Rows a and b minus Row c)	100
2. For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors without Housing Subsidy Assistance	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report the number of households that demonstrated access or maintained connections to care and support within the operating year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report the number of households that demonstrated improved access or maintained connections to care and support within the operating year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	100	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	100	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	100	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	98	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	72	0	Sources of Income

Chart 1b, Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- | | | |
|--|--|--|
| <ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name MEDICARE Health Insurance Program, or use local program name | <ul style="list-style-type: none"> Veterans Affairs Medical Services AIDS Drug Assistance Program (ADAP) State Children's Health Insurance Program (SCHIP), or use local program name | <ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance |
|--|--|--|

Chart 1b, Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1d above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a, Row 2b, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	0	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Report of Continued Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used, they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals Who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	100

Chart b. Prior Living Situation

In Chart b, report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	Continuing to receive HOPWA support from the prior operating year	69
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	0
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	0
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	18
13.	House you own	3
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	1
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	0
17.	Don’t Know or Refused	8
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	100

c. Homeless Individual Summary

In Chart c, indicate the number of eligible individuals reported in Chart b, Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c do not need to equal the total in Chart b, Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance		0

Section 2. Beneficiaries

In Chart a, report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b & c on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a, Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a)	100
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	6
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	59
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1, 2, & 3)	165

b. Age and Gender

In Chart b, indicate the Age and Gender of all beneficiaries as reported in Chart a directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a, Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a, Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a, Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	9	1	3	0	13
3.	31 to 50 years	41	15	4	0	60
4.	51 years and Older	17	10	0	0	27
5.	Subtotal (Sum of Rows 1-4)	67	26	7	0	100
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	12	19	0	0	31
7.	18 to 30 years	8	6	0	0	14
8.	31 to 50 years	7	8	0	0	15
9.	51 years and Older	3	2	0	0	5
10.	Subtotal (Sum of Rows 6-9)	30	35	0	0	65
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	97	61	7	0	165

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a, Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a, Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a, Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a, Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	1	0	0	0
3.	Black/African American	51	0	34	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	46	17	30	20
6.	American Indian/Alaskan Native & White	2	1	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	1	1
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	100	18	65	21
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a, Row 4.</i>					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to <https://www.huduser.gov/portal/datasets/il.html> for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	85
2.	31-50% of area median income (very low)	14
3.	51-80% of area median income (low)	1
4.	Total (Sum of Rows 1-3)	100

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a, Project Site Information, and 2b, Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor Agency Name (Required)

N/A

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:	
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units = Total Units =	
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible – Mobility Units - Sensory Units
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor

Charts 3a, 3b, and 4 are required for each facility. In Charts 3a and 3b, indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a through d minus Row e)		