



Federal Funding Accountability and Transparency Act (FFATA) Form

Contract Number: (Entered by DED Staff)

Applicant (Subrecipient) Information

Applicant: Name

Applicant: Address Line 1

Applicant: Address Line 2

Applicant: City

Applicant: State

Applicant: ZIP+4

Applicant: Congressional District

Applicant: Unique Entity ID (SAM) Number

Principal Place of Performance of Proposed Project (POP)

POP: City

POP: State

POP: ZIP+4

POP: Congressional District

Brief Project Description

Executives Compensation

If certain conditions are met, the Applicant must provide names and total compensation of the Applicant's top five highly compensated Executives to the Department.

Question 1

In Applicant's previous fiscal year, did Applicant receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

Yes

No

Question 2

Does the public have access to information about the compensation of the executives in the Applicant's (the legal entity to which the UNIQUE ENTITY ID (SAM) number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

Yes **Required if Question 1 is "Yes"**

No **Required if Question 1 is "Yes"**

Not Applicable **Will be entered if Q1 is "No"**

Please provide the names and Total Compensation of the top five most highly compensated Executives in the space below.

NOTE: Executive means officers, managing partners, or any other employees in management positions. Total Compensation means the cash and noncash dollar value earned by the Executive during the Applicant's preceding fiscal year and includes salary and bonus, awards of stock, stock options, and stock appreciation rights; earnings for services under non-equity incentive plans, change in pension value, above market earnings on deferred compensation which is not tax-qualified; and other compensation, if the aggregate value of all such other compensation (e.g., severance, termination, payments, value of life insurance paid on behalf of the employee, perquisites or property) for the Executive exceeds \$10,000. See 48 CFR 52.204-10.

Will be required if Q2 is "No"

Executive 1: Name

Executive 1: Total Compensation

Executive 2: Name

Executive 2: Total Compensation

Executive 3: Name

Executive 3: Total Compensation

Executive 4: Name

Executive 4: Total Compensation

Executive 5: Name

Executive 5: Total Compensation

Terms of Acceptance

To the best of my knowledge and belief, data and information in this application are true and correct, including any commitment of local or other resources. This applicant will comply with all requirements governing the use of funds. I certify that I am the authorized official to submit such information on behalf of the local government, or grantee, or that I have the authority to execute this form on behalf of the authorized official and can submit proof of such authorization upon request.

Name of Authorized Official

Title

Date Signed

Sample