

Nebraska Department of Economic Development

Angel Investment Tax Credit

Qualified Small Business Insolvency Report

NOTE: THIS QUALIFIED SMALL BUSINESS INSOLVENCY REPORT SHALL BE DUE WITHIN 30 CALENDAR DAYS OF THE EARLIER OF THE DATE OF AN ACCOUNTANT'S OPINION OF INSOLVENCY OR DATE OF BANKRUPTCY JUDGMENT.

Section I. Qualified Small Business

Legal Name: _____

Trade Name (if any): _____

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

Website: _____

EIN: _____

NE Tax ID: _____

Section II. Qualified Small Business contact name and identifying information

Contact Name: _____

Title: _____

Phone: _____

Email: _____

Section III. Performance (check all that apply)

A. The Qualified Small Business has ceased operations. It is no longer producing goods or services and no longer has sales.

B. The Qualified Small Business is insolvent. It does not presently have, nor does it anticipate having, a cash flow sufficient to meet its obligations, and/or the Qualified Small Business has obtained a bankruptcy judgment.

(a) the State of Nebraska, the Nebraska Department of Economic Development, and the employees, agents, and officials of the State are not selling, offering to sell, or soliciting an offer to buy any securities by any of their actions or activities under the Nebraska Angel Tax Investment Tax Credit Act;

(b) the State of Nebraska, the Nebraska Department of Economic Development, and the employees, agents, and officials of the State are not acting as a broker or dealer by any of their actions or activities under the Nebraska Angel Tax Investment Tax Credit Act; and

(c) the State of Nebraska, the Nebraska Department of Economic Development, and the employees, agents, and officials of the State are not acting as an investment advisor by any of their actions or activities under the Nebraska Angel Tax Investment Tax Credit Act.

Signature of Qualified Small Business Representative

Title

Date