



Internships and Crime Prevention Program Manual

State of Nebraska

October 2022 | 1.3

This guidance document is advisory in nature but is binding on an agency until amended by such agency. A guidance document does not include internal procedural documents that only affect the internal operations of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules and regulations made in accordance with the Administrative Procedure Act. If you believe that this guidance document imposes additional requirements or penalties on regulated parties, you may request a review of the document.

Table Of Contents

1 Introduction	4
2 Program Overview	4
2.1 Grant Schedule	5
2.2 Federal Grant Information	5
2.3 Contact Information	5
3 Eligibility	6
3.1 Eligible Use	6
3.2 Eligible Applicants	6
3.3 Funding Restrictions & Allowable Costs	6
3.4 Award And Potential Matching Requirements	7
4 Pre-Award & Application Submission	7
4.1 Pre-Award	7
4.1.1 UNIQUE ENTITY IDENTIFIER	7
4.1.2 DEBARMENT AND SUSPENSION	8
4.1.3 ACKNOWLEDGEMENT OF TERMS AND CONDITIONS FOR FEDERAL GRANTS	9
4.2 Application Submission	9
4.2.1 DOCUMENTATION REQUIRED FOR APPLICATION – CONTENT & FORMS	9
4.2.2 TIMELY RECEIPT REQUIREMENTS AND PROOF OF TIMELY SUBMISSION	10
4.3 Conflicts Of Interest In The Administration Of Awards	10
5 Award Determination & Obligation	10
5.1 Application Evaluation Criteria	10
<i>Threshold Requirements</i>	10
5.2 Applicant Risk Assessment	10
5.3 Application Review And Selection Process	11
6 Award Administration Information	12
6.1 Notice Of Award	12
6.2 Grant Agreement Terms And Conditions	12
6.3 Award Disbursement	12
7 Post-Award Management And Implementation	13
7.1 Financial Management	13
7.2 Internal Controls	13
7.3 Cost Sharing And Matching	13

7.4 Program Income	13
7.5 Insurance	14
7.6 Real Property And Equipment	14
7.7 Procurement	14
7.7.1 COMPETITION AND CONFLICTS OF INTEREST.....	14
7.7.2 SUPPLY SCHEDULES AND PURCHASING PROGRAMS	15
7.8 Cost Principles	15
7.9 Records Retention And Access	15
7.10 Noncompliance Termination	15
7.11 Reporting	16
7.11.1 FINANCIAL REPORTING REQUIREMENTS	16
7.11.2 PROGRAM PERFORMANCE REPORTING REQUIREMENTS.....	16
7.11.2.1 PERFORMANCE REPORTS.....	16
7.11.3 REPORTING OF MATTERS RELATED TO RECIPIENT INTEGRITY AND PERFORMANCE.....	16
7.12 Single Audit Report	17
7.13 Department Of Economic Development Monitoring And Reporting	17
8 Technical Assistance For Using The Grants Management System	17
8.1 Ded Help Portal	18
8.1.1 APPLICATION USER GUIDES	18
8.1.2 AWARD USER GUIDES.....	18
8.2 Gms Help Portal	18
8.3 Customer Support	19
Record Of Change	19

1 Introduction

This Program Manual is designed to provide guidance and written standards for the applicable components of the Internships and Crime Prevention Program that utilize funding provided through the Coronavirus State and Local Fiscal Recovery Fund (SLFRF) through the American Rescue Plan Act (ARPA).

This manual is designed to support you and your organization in utilizing leading practices, complying with funding requirements, achieving program goals, and successfully navigating the grant lifecycle.

The Manual includes the following:

1. Program Overview, including its goal and objectives
2. Eligibility Determination for Entity and Costs
3. Award and Potential Matching Requirements
4. Pre-Award, Application, and Submission, including application pre-requisites.
5. Award Determination and Obligation
6. Award Administration Information
7. Post-Award Management, including data reporting requirements
8. Technical Assistance for using Grants Management System

The Application and Program Manual, grant schedule, listing of prior awards, and other documents can be found at the Internships and Crime Prevention webpage: <https://opportunity.nebraska.gov/programs/recovery/>.

Grants Management System (GMS) User Guides, with instructions for accessing and using the system to apply for and implement a program grant, and other resources are available on DED's AmpliFund Resource page: <https://opportunity.nebraska.gov/programs/amplifund/>.

2 Program Overview

The Internships and Crime Prevention Program is an authorized use of funding from the Coronavirus State and Local Fiscal Recovery Fund (SLFRF) (21.027) which was designed to provide state governments with the resources needed to respond to the COVID-19 pandemic and its economic effects and to build a stronger economy during recovery. SLFRF was established by the American Rescue Plan Act of 2021 (ARPA) signed into law by the President of the United States on March 11, 2021.

The Internships and Crime Prevention Program is authorized and further described within Neb. Rev. Stat. §81-12,241-4(c). This program is for a nonprofit organization partnering with a city of the metropolitan class for the purpose of providing internships and crime prevention within qualified census tracts located within the boundaries of such city. The awarded nonprofit organization will receive up to \$6,000,000 (up to \$3,000,000 in FY 2022-2023 and up to \$3,000,000 in FY 2023-2024).

DED has determined that those receiving grants (“Awardees”) under the Internships and Crime Prevention Program are Subrecipients.

A Subrecipient is an entity that receives a subaward to carry out a project funded by SLFRF funds on behalf of the State. Individuals or entities that are direct beneficiaries of a project funded by SLFRF funds are not considered Subrecipients. Households, communities, small businesses, nonprofits, and impacted industries are all potential beneficiaries of projects carried out with SLFRF funds.

The distinction between a Subrecipient and a Beneficiary is contingent upon the rationale for why the State is providing funds to the individual or entity. If the State is providing funds to the individual or entity for the purpose of carrying out a SLFRF program or project on behalf of the State, the individual or entity is acting as a Subrecipient. Conversely, if the State is providing funds to the individual or entity for the purpose of directly benefiting the individual

or entity as a result of experiencing a public health impact or negative economic impact, the individual or entity is a Beneficiary. As a Beneficiary, the individual or entity is not subject to Subrecipient monitoring and reporting requirements. As a Subrecipient, the individual or entity is subject to Subrecipient monitoring and reporting requirements.

The terms and conditions of Federal awards flow down to subawards to Subrecipients, requiring Subrecipients to comply with all of the same requirements the State must comply with such as the treatment of eligible uses of funds, procurement, and reporting requirements. Beneficiaries are not subject to the requirements placed on Subrecipients in the Uniform Guidance (2 CFR Part 200), including audit pursuant to the Single Audit Act and 2 CFR Part 200, Subpart F or Subrecipient reporting requirements.

2.1 Grant Schedule

GRANT SCHEDULE	
Letter of Intent (LOI) Due Date	October 31, 2022, 5:00 p.m. Central Time
Application Open Date	November 3, 2022, 9:00 a.m. Central Time
Application Close Date	November 14, 2022, 5:00 p.m. Central Time
Anticipated Award Date	November 2022
Period of Performance	Funds must be expended by June 30, 2025

2.2 Federal Grant Information

FEDERAL GRANT INFORMATION	
Federal Authorizing Agency	U.S. Department of Treasury
Federal Grant Program	Coronavirus State and Local Fiscal Recovery Fund (SLFRF)
Assistance Listing # <i>(formerly Catalog of Federal Domestic Assistance)</i>	21.027

2.3 Contact Information

Deisy Coyle
 Nebraska Department of Economic Development
 Economic Recovery Division
 1313 Farnam St, Suite 202
 Omaha, NE 68102
 531-500-9577
deisy.coyle@nebraska.gov

3 Eligibility

The Internships and Crime Prevention Program and any awards made thereunder are funded with federal funds allocated to the State of Nebraska from the federal Coronavirus State and Local Fiscal Recovery Fund (SLFRF) pursuant to the American Rescue Plan Act of 2021 (ARPA). As such all funds must be used in compliance with section 602(c) of the Social Security Act, 42 U.S.C. §802(c), 31 C.F.R. Part 35, the U.S. Department of the Treasury regulations implementing that section, all relevant Treasury guidance, and the Federal Award Terms and Conditions.

3.1 Eligible Use

Pursuant to 42 U.S.C. §802(c)(1)(a), awards made by the Internships and Crime Prevention Program are in response to negative economic impacts with respect to the Coronavirus public health emergency (COVID-19) including assistance to households, small businesses, and nonprofits, or aid to impacted industries such as tourism, travel, and hospitality.

3.2 Eligible Applicants

Nebraska Department of Economic Development has designed the Internships and Crime Prevention Program for a nonprofit organization partnered with a city of the metropolitan class for the purpose of providing internships and crime prevention within qualified census tracts located within the boundaries of such city. A city of the metropolitan class is defined by Neb. Rev. Stat. §14-101.

Nonprofit organization means an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and that is exempt from taxation under section 501(a) of such Code. Nonprofits of all types may be Subrecipients, and includes nonprofits described in the McKinney-Vento Homeless Assistance Act and those with a 501(c)(19) designation.

Partnership with the City is defined as:

- An organization that is listed as a recipient under the City of Omaha's 2022 Budget – Division of Community Services Programs; or
- An organization that has received a signed memorandum of support by the City of Omaha dated on or after September 1, 2022.

3.3 Funding Restrictions & Allowable Costs

Applicants must submit a proposal for an eligible Internship and Crime Prevention program and should describe how their program will benefit the QCT communities.

Internships are defined as the position of a student or trainee who works in an organization to gain work experience or satisfy requirements for a qualification.

Crime prevention comprises strategies and measures that seek to reduce the risk of crimes occurring, and their potential harmful effects on individuals and society, including fear of crime, by intervening to influence their multiple causes.

Duplication of Funds:

Applicants are required to avoid a duplication of benefits. A duplication of benefits occurs when the amount of the grant exceeds the total identified need. Awardee should conduct an analysis prior to applying for grant funding to determine needs not met by other sources. Listed below are some best practices to determine if there is a duplication of benefits. Best Practices:

1. Identify assistance from federal and state government, county agencies, and private or nonprofit charity organizations that Applicant reasonably expects to be in a project or any other ARPA funds reasonably expected to be or have been received.

2. All Applicants are required to identify other sources and amounts of covered assistance (sources and uses), and to certify that the grant funds requested does not duplicate other covered assistance that has been received or is reasonably expected to be received.
3. Applicants must agree to repay any assistance later received for the same purpose as the grant funds associated with this grant program.

In applying for and receiving an award the Awardee must adhere to the federal requirement of 2 CFR Part 200, which includes General Provisions of Subpart B, Pre-Award Requirements of Subpart C, Post-Award Requirements in Subpart D, Cost Principles in subpart E, and Audit Requirement found in Subpart F. Allowable costs are based on the premise that an Awardee is responsible for the effective administration of Federal awards, application of sound management practices, and administration of Federal funds in a manner consistent with the program objectives and terms and conditions of the award. Awardees must implement robust strong financial management in the system of internal controls and effective monitoring.

Funds may be, but are not required to be, used along with other funding sources for a given project. Note that funds may not be used for a non-Federal cost share or match were prohibited by other Federal programs.

Treasury's Final Rule and guidance and the Uniform Guidance outline the types of costs that are allowable, including certain audit costs. For example, per 2 CFR §200.425, a reasonably proportionate share of the costs of audits required by the Single Audit Act Amendments of 1996 are allowable; however, costs for audits that were not performed, or not in accordance with 2 CFR Part 200, Subpart F are not allowable. Please see 2 CFR Part 200, Subpart E regarding the Cost Principles for more information.

3.4 Award and Potential Matching Requirements

Grants will be awarded for amounts up to \$6,000,000.00, with up to \$3,000,000.00 to be awarded in FY 2022-2023 and up to an additional \$3,000,000.00 to be awarded in FY 2023-2024, as stated in Neb. Rev. Stat. § 81-12,241(4)(c).

4 Pre-Award & Application Submission

4.1 Pre-Award

The following actions are required prior to submission of an application for this program.

Letter of Intent (LOI) Form: Submission of a completed "LOI" form for each Application indicating interest in applying for grant funds will be needed it. Applicants who do not submit an LOI will not be eligible for funding.

The following information is required:

- Name of Applicant
- Address
- Contact name
- Phone
- Email
- List of partner organizations
- Anticipated amount of request
- Brief description of program

4.1.1 Unique Entity Identifier

The following steps are required to obtain a Unique Entity Identifier (UEI):

1. Type www.sam.gov in your internet browser address bar.
2. Create an account

- a. Select “Sign In” located in the upper right-hand corner to create an account.
 - b. There will be a “pop up” asking you to accept the U.S. Government System terms to sign into the website.
 - c. If you accept the terms, you will be asked to “create an account”.
 - d. Enter any required information and language preferences and submit.
 - e. You will then receive an email message to validate your email address.
 - f. Follow the instruction to continue the registration process. For assistance with the registration process, please use the Help or Contact Links located at the bottom of the page.
3. Once you are logged in, make sure you are on the “Home” page.
 4. Select “Get Started” from the “Register Your Entity or Get a Unique Entity ID” sub-window.
 5. This will not fully register you with Sam.gov but will get you the UEI you will need to participate in this grant program.
 6. Next, click “Get Unique Entity ID” button.
 7. Fill in the form exactly as the name you registered with your state filing office and your physical address. When all required fields are complete, click the next arrow. Note: the next arrow will not display until the information is complete.
 8. You will see this pop up as the system searches. When complete, this pop-up box goes away and any results will be displayed.
 - a. If the information you entered was unable to be validated, then a No Match found message will appear at the top of the form. You will need to review and correct the information or click Create Incident for help.
 - b. The progress bar across the top allow you to see where you are in the request process.
 9. During the Validate Information step, the system shows what you entered and will list all matches found. Select the one that matches and then the next button to Request the UEI or if you are unable to see your information, select Start Over to retype in your information or Create Incident to request help.
 10. Once you have selected the correct listing, you will need to Validate Additional Information by entering your Year of Incorporation and the State of Incorporation. Once entered you may select Next.
 11. At the bottom of the page there is a selection that defaults to allow that the selected record be a public display record.
 12. Once you have your match and made your choice on public display, click next.

During the Request UEI (SAM) process, you will need to check the box to certify that you are authorized to conduct transactions on behalf of the entity and select “Request Unique Entity ID”.

During the Receive UEI (SAM) process (final process), you will receive your UEI. Keep this information for your records.

* **Note:** DED is aware of the delays with SAM.gov system as it relates to obtaining a UEI number. If Applicant’s UEI number is pending, enter “PENDING” under the “Unique Entity Identifier (UEI)” field on the application. Additionally in Part 4 of the application, under the “Other Applicant-Specific Attachments, As Needed” field, Applicant will need to upload proof that it has applied for an UEI number. If Applicant is awarded funds, it must have a valid UEI number within 30 days of Award Date. **Failure to have a valid UEI number within 30 days of the Award Date may cause the conditional award to be revoked.**

4.1.2 Debarment and Suspension

Applicants, their partners, and any Sub-awardees that are debarred, suspended, or otherwise excluded Federal assistance programs or activities are ineligible for this program.

Additionally, during the application process, Applicants must disclose information about any and all proceedings involving the entity, its principles, employees, or partners that are ongoing or reached final disposition within the most recent 10-years that:

1. Is or was in connection with the award or performance of a grant, cooperative agreement, or procurement contract from the State of Nebraska or the Federal Government; or
2. Is one of the following:
 - a. A criminal proceeding that resulted in a conviction under verdict or plea;
 - b. A civil proceeding that resulted in a finding of fault and liability and payment of a monetary fine, penalty, reimbursement, restitution, or damages;
 - c. An administrative proceeding that resulted in a finding of fault and liability and your payment of a fine, penalty, reimbursement, restitution, or damages;
 - d. A bankruptcy proceeding; or
 - e. Any other criminal, civil, or administrative proceeding if:
 - (i) It could have led to an outcome described in paragraph 2(a)-(c) of this subsection;
 - (ii) It had a different disposition arrived at by consent or compromise with or without an acknowledgment of fault on your part; and
 - (iii) This requirement to disclose information about the proceeding does not conflict with applicable laws and regulations.

Failure to disclose may result in Applicant being determined ineligible for this program.

4.1.3 Acknowledgement of terms and conditions for federal grants

Awardee must attest to DED in the grant application and agreement that funds will only be utilized for activities allowed under the federal grant.

The Awardee must acknowledge and will agree to in the grant agreement that any activities performed by the Awardee determined to be unallowable by DED, or State and Federal oversight monitors or auditors must be returned to the DED for utilization on other SLFRF allowable activities or returned to the federal grantor.

4.2 Application Submission

Applications are submitted electronically through DED's GMS at any time after the application live date and on or before the application due date.

4.2.1 Documentation Required for Application – Content & Forms

To successfully apply, you will need to complete all required fields and sections of the applications. Applicants may view the application at the program webpage – <https://opportunity.nebraska.gov/programs/recovery/>

Applications will have the following sections:

- Opportunity Details
- Project Information
- Forms
- Budget
- Performance Plan
- Letter of Intent
- Nebraska Secretary of State - Certificate of Good Standing
- Letter from IRS for 501(c)(3) or 501(c)(19) designation
- 2019-2021 IRS Form 990
- Proof of external program evaluation within the organization's last fiscal year (small organization may submit an internal evaluation under the condition that it has been externally reviewed)
- Identification of employees and independent contractors and other partners
- Signed contract describing the scope of work with independent contractors
 - If organization does not have any independent contractors, a signed document certifying that the organization does not have any independent contractors may be uploaded instead.

DED's GMS support site will provide program-specific user guides, general user guides, and help videos on applying, this information and links can be found in section 8 of this document.

4.2.2 Timely Receipt Requirements and Proof of Timely Submission

Applications must be completed via DED's GMS and must fully comply with all requirements within the time period specified. Applications that do not include all of the documentation or attachments required or have not been fully completed, will not be considered. At its discretion, DED may request supplemental materials from applicants. Please utilize links and other information found in section 8 of this document to assist with your application submission.

4.3 Conflicts of Interest in the Administration of Awards

Awardees must disclose to DED any financial conflicts of interest that arise and are required to be managed during the life of the award. Such a conflict of interest may arise when an applicant has a relationship with an employee of DED's immediate family, his or her partner, or an organization which employs or is about to employ any of the parties indicated herein, has a financial or other interest in or a tangible personal benefit from a firm considered for a contract. An Awardee's employees may neither solicit nor accept gratuities, favors, or anything of monetary value from the contractors or parties to subcontract.

5 Award Determination & Obligation

5.1 Application Evaluation Criteria

Applications will be scored and evaluated using the following criteria:

Criteria	Description	Weighting Factor
Economic Impact	The impact of the proposal on the local economy and qualitative and quantitative benefits to the local community.	20%
Capacity	The ability of the recipient to complete the program activities within the program period of performance and efficient and effective use of funds.	20%
Financial and Administrative Readiness	Experience of the recipient in managing federal grant funds and developing program internal controls, establishing procurement processes, and adhering to reporting requirements.	20%
Program Impact	The projected program-level impact on the community members directly served by the Applicant's proposed program.	20%
Community Impact	The projected community-level impact of the program includes the impact on community members who are directly served by the program as well as the indirect benefits to the community as a whole.	20%

Threshold Requirements

Failure of the application to meet all threshold requirements will result in the application not being reviewed, scored or eligible for award during the Application Cycle. Clarifying information may be requested of applicants during the threshold review.

5.2 Applicant Risk Assessment

DED will perform a risk assessment to identify the potential risks associated with this particular award and address those risks by including mitigating terms and conditions in the sub-agreement as well as additional Subrecipient

monitoring procedures for grantees requiring special conditions. This process differs based on the potential Subrecipient’s organization type (ex., non-profit, for-profit business, academic institution). The risk assessment will be performed by collecting and reviewing information about the organization and assigning an appropriate risk level.

5.3 Application Review and Selection Process

Applications will be evaluated to see if they meet eligibility criteria and minimum qualifications. If they pass this threshold review, they will be scored by a committee based on the scoring criteria in section 5.1. Awards will be determined by the review committee. At DED’s discretion, Applicants may have to submit to an Oral Interview.

Following the award decision by the review committee, a recommendation will be provided to the DED Director. Once approved, notices of award and notices of non-select will be sent to the applicants.

Characteristic	Criteria
I. Capacity	Applicant’s track record improving, continuing, or creating a program that meets the needs of the local community.
	Qualifications and experience of organization’s staff.
	Track record of achieving goals set by the organization.
II. Financial and Administrative Readiness	Description of Applicant’s internal controls and financial management procedures.
	Applicant’s ability to take on additional projects and manage federal funds in a responsible manner.
III. Program Impact	The degree to which the proposed project will increase the support, resources, and services provided to the community.
	The quality of internships and other support offered by the program and direct benefits to program participants.
	The program’s ability to close gaps in crime prevention; or otherwise address the needs of the targeted population, including addressing the needs of underserved populations most impacted by the issue, challenge, or opportunity to be addressed by the proposed project.
IV. Community Impact	Applicant’s ability to provide data and other supportive studies to justify the need and/or impact of the internship crime prevention program.
	Applicant’s plan to carryout program development and coordination activities, including extensive community engagement, that leverage identified needs.
	Program activities fall within the eligible use of ARPA funds; responding to public health emergency OR responding to the negative economic impacts of the pandemic.
V. Economic Impact	Program’s impact on the local, QTC economy.
	Sustainability: Feasibility of continuing proposed program after grant funding ends.

Oral Interview Evaluation Criteria (if applicable)	Presentation: Quality of Applicant's presentation of proposed project.
	Q&A: Applicant's ability to provide satisfactory answers to questions from review panel regarding the proposed project.
	Consistency: Information provided by Applicant in interview aligns with information provided in written application.
	Readiness & Capacity: Nuances of organizational capacity and project readiness over the course of the interview.

6 Award Administration Information

6.1 Notice of Award

DED issues written Notice of Award (NOA) to Recipients of an award. The NOA will outline anticipated grant amount. These funds will be reserved for the potential Awardee until the grant agreement is signed by both DED and the Awardee or until such time it is determined that a grant agreement cannot be entered between Awardee and DED.

6.2 Grant Agreement Terms and Conditions

Following DED's NOA, DED issues an electronic copy of the Internships and Crime Prevention Award Agreement via DocuSign. Unless otherwise directed, the executed agreement and any special conditions are available within the GMS, under Tools > Documents. The Internships and Crime Prevention Award Agreement is entered into between DED and the Awardee. If the Awardee is an organization or other legal entity, an authorized representative of the Awardee must sign the contract.

The Awardee must review, sign, date, and return the agreement via DocuSign to accept Internships and Crime Prevention awards. DED signs the contract after it is signed by the Awardee. Once all parties have signed the agreement, Awardees will be required to provide the State of Nebraska W-9 and W9 ACH Enrollment Form.

Unless otherwise directed, the executed agreement and any special conditions will be available within the GMS, under Tools > Documents.

6.3 Award Disbursement

Upon full execution of the agreement and the meeting of any required conditions specified in the contract, the Awardee must submit the First Payment Request.

Based upon demonstrated need, Awardee may receive an initial and/or recurring tranche payment at DED's discretion. Full details will be provided in the Award Agreement.

This award will be disbursed on a reimbursement basis using the GMS. To receive reimbursement, the Awardee must create one expense for each supporting document. Proof of payment must also be included on each expense. Expenses will be tracked against the Awardee's budget line items. Any funds received via tranche payment will also be required to provide supporting documentation of eligible expenses and proof of payment.

The Awardee will then compile all expenses into a reimbursement Payment Request, which will be submitted to DED.

DED may reject the payment request and require resubmission if documentation is incomplete, funds are requested for ineligible costs, match contribution is not sufficient, or other issues are identified. Upon DED's approval of payment requests, DED will disburse grant funds on a reimbursement basis up to the amount detailed in the reimbursement documentation. An email from the AmpliFund Administrator will give notice to the Grant Manager that DED has approved or denied the request for payment. Funds are transferred electronically to the account designated on the

State of Nebraska ACH Enrollment Form. If an email address was provided on the State of Nebraska ACH Enrollment Form, it will be notified once the payment has been processed.

Awardees can find detailed instructions for how to submit payment requests in the user guides and other information found in section 8 of this document. Payment of the reimbursement is subject to Awardee's submission of compliance materials (e.g., paid invoices, receipt of expenditures, required supporting documentation, proof of payment, etc.) for review and approval by DED.

The timing of the final disbursement request depends on the Awardee's ability to document expenditure of funds as required in the agreement but should be submitted to DED on or before the end of the contract term.

"Supporting documentation" includes receipts, invoices, timesheets, etc., with sufficient information to demonstrate the amount of the cost and the allowability, applicability, and reasonableness of the cost.

"Proof of Payment" means canceled checks, bank statement, confirmation of wire or automated clearing house transfer, or similar documentation which provides substantiating evidence that payment has been made as claimed.

7 Post-Award Management and Implementation

7.1 Financial Management

Awardees are required to properly manage their financial resources. DED has established a financial management framework that allows for the appropriate recording and reporting of the receipt and expenditures of federal grant funds. Awardees are required to comply with the expenditure requirements outlined in 2 CFR §200.

7.2 Internal Controls

Awardees must agree to comply with applicable laws, regulations and provisions of grant agreements which includes the requirement to maintain internal controls to provide reasonable assurance of compliance with these requirements. Awardee internal control requirements are further outlined in 2 CFR §200.303

7.3 Cost Sharing and Matching

Cost Sharing and Matching requirements do not apply to the SLFRF Program per U.S. Treasury Guidelines.

7.4 Program Income

Generally, program income includes, but is not limited to, income from fees for services performed, the use or rental of real or personal property acquired under Federal awards and principal and interest on loans made with Federal award funds. Program income does not include interest earned on advances of Federal funds, rebates, credits, discounts, or interest on rebates, credits, or discounts. Awardees of SLFRF funds should calculate, document, and record the organization's program income. Additional controls that Awardees should implement include written policies that explicitly identify appropriate allocation methods, accounting standards and principles, compliance monitoring checks for program income calculations, and records. Awardees will report on program income following the reporting procedures enumerated in the grant agreement.

The Uniform Guidance outlines the requirements that pertain to program income at 2 CFR §200.307. U.S. Treasury clarified in the SLFRF Final Rule Frequently Asked Questions that recipients may add program income to the Federal award. Any program income generated from SLFRF funds must be used for the purpose and under the conditions of the Federal award.

SLFRF Final Rule Frequently Asked Questions can be found at this link:
<https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-FAQ.pdf>

7.5 Insurance

The Awardee must, at a minimum, provide the equivalent insurance coverage for real property and equipment acquired or improved with Federal funds as provided to property owned by the Awardee in accordance with 2 CFR §200.310, 2 CFR §200.311 and 2 CFR §200.313.

The Awardee, and their partners, as applicable, shall provide commercial general liability for bodily injury and property damage liability insurance including contractual liability coverage written on the comprehensive form of policy of at least \$1,000,000 per occurrence, \$2,000,000 policy aggregate. Limits must apply per project and per location.

7.6 Real Property and Equipment

Any purchase or improvement of equipment or real property with SLFRF funds must be consistent with the Uniform Guidance at 2 CFR Part 200, Subpart D. Equipment and real property acquired under this program must be used for the originally authorized purpose. Consistent with 2 CFR §§200.311 and 200.313, any equipment or real property acquired using SLFRF funds shall vest with the Awardee. Any procurement of equipment or real property must also be in compliance with 2 CFR §200.317 through 2 CFR §200.327.

7.7 Procurement

DED and its Subrecipients are responsible for ensuring that any procurement using award funds, or payments under procurement contracts using such funds are consistent with the procurement standards set forth in the Uniform Guidance at 2 CFR §200.317 through 2 CFR §200.327, as applicable. For instance, 2 CFR 200.318 requires Subrecipients to:

- (1) To have and use documented procurement procedures;
- (2) Maintain oversight to ensure that contractors perform in accordance with the terms, conditions, and specifications of their contracts or purchase orders; and
- (3) Requires and must maintain written standards of conduct covering conflicts of interest and governing the actions of its employees engaged in the selection, award and administration of contracts.

Please consult 2 CFR §200 for additional procurement standards.

The Uniform Guidance requires that all procurement transactions for property or services must be conducted in a manner providing full and open competition, consistent with standards outlined in the procurement standards, which allows for non-competitive procurements only in circumstances where at least one of the conditions below is true: the item is below the micro-purchase threshold; the item is only available from a single source; the public exigency or emergency will not permit a delay from publicizing a competitive solicitation; or after solicitation of a number of sources, competition is determined inadequate. The Uniform Guidance requires an infrastructure for competitive bidding and contractor oversight, including maintaining written standards of conduct and prohibitions on dealing with suspended or debarred parties. Awardees must ensure adherence to all applicable local, State, and federal procurement laws and regulations.

7.7.1 Competition and Conflicts of Interest

Competition - All written procurement policies will include procedures to conduct all procurement transactions in a manner providing full and open competition consistent with the standards. DED considers “full and open” competition to mean that a complete requirement is publicly solicited (except if specifically not required) and all responsible sources that are interested in doing so, are permitted to compete.

There are numerous benefits to full and open competition, such as increasing the probability of reasonable pricing from the most qualified contractors and helping discourage and prevent favoritism, collusion, fraud, waste, and abuse.

Conflict of interest - In accordance with 2 CFR §200.112, DED and Awardees must disclose in writing any potential conflict of interest to the Federal awarding agency or pass-through entity in accordance with applicable Federal awarding agency policy. Also, in accordance with 2 CFR §200.113, DED and Awardees of a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or DED all violations of Federal criminal law

involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Failure to make required disclosures can result in any of the remedies described in 2 CFR §200.338 Remedies for non-compliance, including suspension or debarment.

7.7.2 Supply Schedules and Purchasing Programs

The Subrecipient procurement procedures must address what procurement methods will be used, including a description of those methods and the circumstances when used. These methods should also include a description of how and when the Subrecipient will use joint procurements, purchasing agents, and Federal and State supply schedules.

Davis-Bacon Act. While the Davis-Bacon Act requirements (prevailing wage rates) do not apply to projects funded solely with award funds from the SLFRF program, except for certain SLFRF-funded construction projects undertaken by the District of Columbia, when undertaking capital expenditures, Treasury encourages Awardees to adhere to strong labor standards, including project labor agreements and community benefits agreements that offer wages at or above the prevailing rate and include local hire provisions. Treasury also encourages Awardees to prioritize in their procurements, employers with high labor standards and to prioritize employers without recent violations of federal and state labor and employment laws. Additional standards may be applicable based on state statutes (i.e., [Neb. Rev. St. Chapter 73 “Public Lettings and Contracts”](#)) and award agreement terms and conditions.

7.8 Cost Principles

Awardees must assume responsibilities for administering Federal funds in a manner consistent with underlying agreements, program objectives, and the terms and conditions of the federal award. Costs pertaining to a Federal award should be allowable, allocable, and reasonable and treated consistently. Cost Principles should be followed in accordance with 2 CFR §§200.400-200.476.

7.9 Records Retention and Access

As required by Retention Requirements for Records found in 2 CFR §§200.334-200.338. Awardees must maintain records and financial documents for five years after all funds have been expended or returned. Treasury may request transfer of records of long-term value at the end of such period. Wherever practicable, such records should be collected, transmitted, and stored in open and machine-readable formats. Awardees must agree to provide or make available such records to the State of Nebraska, Nebraska Department of Economic Development, and the U.S. Department of Treasury upon request, and to any authorized oversight body, including but not limited to the Government Accountability Office (“GAO”), Treasury’s Office of Inspector General (“OIG”), and the Pandemic Relief Accountability Committee (“PRAC”), and the Nebraska Auditor of Public Accounts.

7.10 Noncompliance Termination

If DED determines that the Awardee materially fails to comply with any term of this grant agreement, whether stated in a federal or state statute or regulation, an assurance, in a state plan or application, a notice of award, or any other applicable requirement, DED, in its sole discretion may take actions including:

- Temporarily withholding payments pending correction of the deficiency or more severe enforcement action by DED;
- Disallowing or denying use of funds for all or part of the cost of the activity or action not in compliance;
- Disallowing claims for reimbursement;
- Wholly or partially suspending or terminating this grant;
- Requiring return or offset of previous reimbursements;
- Prohibiting the Awardee from applying for or receiving additional funds for other grant programs administered by DED until repayment to DED is made and any other compliance or audit finding is satisfactorily resolved;

- Reducing the grant award maximum liability of DED;
- Terminating this Grant Agreement;
- Imposing a corrective action plan;
- Withholding further awards; or
- Taking other remedies or appropriate actions.

The Awardee's costs resulting from obligations incurred during a suspension or after termination of this grant are not allowable unless DED expressly authorizes them in the notice of suspension or termination or subsequently. DED, at its sole discretion, may impose sanctions without first requiring a corrective action plan.

7.11 Reporting

7.11.1 Financial Reporting Requirements

All Awardees must complete and submit financial reports as required by the Internships and Crime Prevention Award Agreement in accordance with the Coronavirus State Fiscal Recovery Funds reporting requirements. Expenditures may be reported on a cash or accrual basis, as long as the methodology is disclosed and consistently applied. Reporting must be consistent with the definition of expenditures pursuant to 2 CFR §200.

7.11.2 Program Performance Reporting Requirements

All Awardees must complete and submit performance reports as required by the Internships and Crime Prevention Award Agreement and in accordance with the Coronavirus State and Local Fiscal Recovery Funds reporting Requirements.

7.11.2.1 Performance Reports

Performance Reports will be expressed in the Award Agreement. DED reserves the right to request additional information related to the program's performance at any time. Performance Reports may include the following items:

- Number of people the program will serve on a daily and/or weekly basis;
- Number of hours each week the program will operate;
- Population served and their issues/needs;
- How the program will address the identified need(s);
- Project Timeline; and
- Program-related activities for the participants.

7.11.3 Reporting of Matters Related to Recipient Integrity and Performance

If the total value of the recipient entity's currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then the recipient entity during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings described in numbered section 2 of this two-part award condition. This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. §2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available.

7.12 Single Audit Report

Awardees that expend more than \$750,000.00 in total federal awards during their fiscal year will be subject to an audit under the Single Audit Act and its implementing regulation at 2 CFR Part 200, Subpart F regarding audit requirements. Awardees may also refer to the Office of Management and Budget (OMB) Compliance Supplements for audits of federal funds and related guidance and the Federal Audit Clearinghouse to see examples and single audit submissions. Awardees will be notified via email through Amplifund to submit an annual audit. More information can be found at the DED website: <https://dednebraska.zendesk.com/hc/en-us/articles/6660531499291-Submitting-the-Notification-of-Annual-Audit-NAA->

7.13 Department of Economic Development Monitoring and Reporting

As a recipient for Federal award funds, DED is entrusted with financial resources and responsibilities for the management, disbursement, and accountability of the ARPA SLFRF program. By extension, this responsibility extends to managing risks associated with the grants management process to facilitate efficient operation and compliance with regulatory and programmatic requirements. Monitoring and reporting is critical for the Federal awarding agency and DED to have up-to-date information on awards and subawards, and invaluable for Subrecipients in regard to meeting performance goals and ensuring compliance with applicable rules and regulations.

Subrecipients **will**:

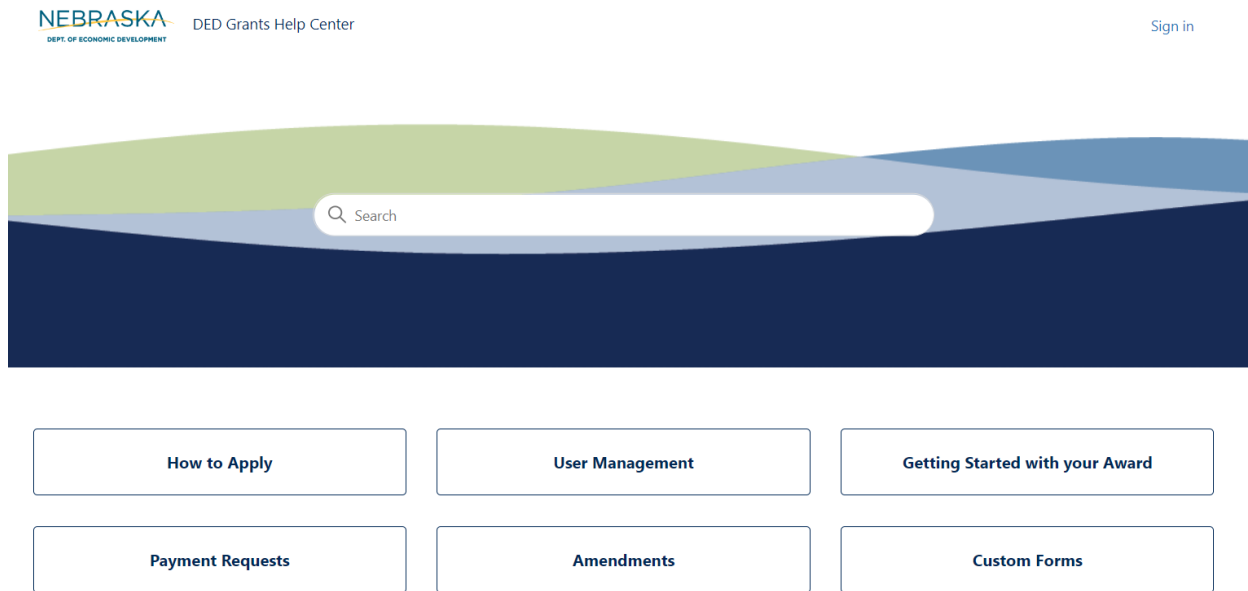
- Be responsible for oversight of the operations of Federal award-supported activities;
- Monitor its activities performed under Federal awards to ensure compliance with applicable Federal requirements and performance expectations are being achieved. Monitoring will cover each program, function and activity;
- Submit quarterly financial and performance report (Quarterly Report) for each open project to DED within 15 days of the reporting period end date, which will include the following:
 - Comparison of actual accomplishments to the objectives of the Federal award established for the period. Where the accomplishments of the Federal awards can be quantified, a computation of the cost;
 - The reason for not meeting established goals, if appropriate; and
 - Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or high cost units;
- Inform DED if the following occur between performance reporting dates:
 - Problems, delays, or adverse conditions which will materially impair the ability to meet the objective of the Federal award. This disclosure must include a statement of the action taken, or contemplated, and assistance needed to resolve the situation;
 - Favorable developments which enable meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned; and
 - Submit annual reports to DED on the status of real property in which the Federal Government retains an interest.

8 Technical Assistance for Using the Grants Management System

To administer this program, the Nebraska Department of Economic Development (DED) uses a grants management system (GMS), AmpliFund. Applications will be submitted and awards will be managed through the GMS.

8.1 DED Help Portal

User guides about DED's specific business processes for accessing and using the GMS to apply and facilitate the grant are available on DED's website, <http://opportunity.nebraska.gov/amplifund/>. The help portal is organized by topic. You can enter key words into the search bar to find specific articles.



8.1.1 Application User Guides

For instructions on registering for the GMS, please see **User Management** (<https://dednebraska.zendesk.com/hc/en-us/categories/7865709789851-User-Management>).

For instructions on applying through the GMS, please see **How to Apply** (<https://dednebraska.zendesk.com/hc/en-us/categories/7542920001051-How-to-Apply>).

8.1.2 Award User Guides

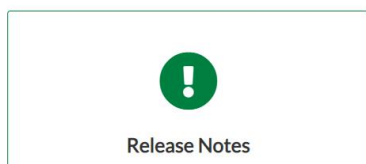
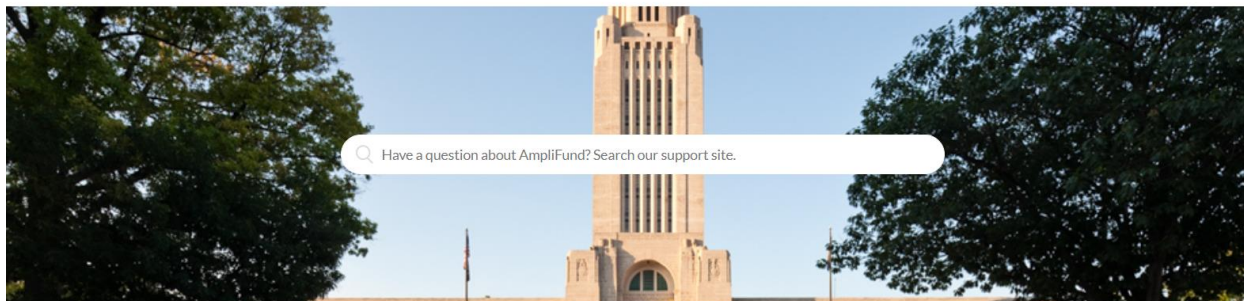
For initial instructions on managing your award, please see **Getting Started with your Award** (<https://dednebraska.zendesk.com/hc/en-us/categories/7865773985307-Getting-Started-with-your-Award>).

Other post-award user guides can be found by topic, such as Payment Requests or Amendments.

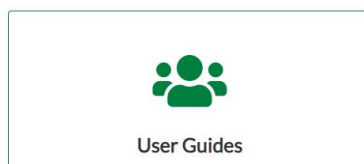
For also program-specific user guides, please see **ARPA** (<https://dednebraska.zendesk.com/hc/en-us/categories/7542922996379-ARPA>).

8.2 GMS Help Portal

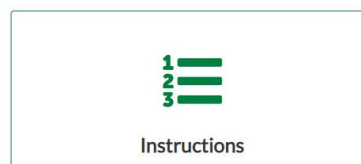
For user guides about using AmpliFund, please visit <https://ne-amplifund.zendesk.com>. This help portal will help you learn how to use the system, navigate the system, and more.



Release Notes



User Guides

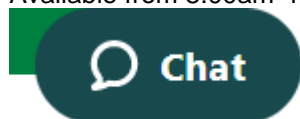


Instructions

8.3 Customer Support

If you need help using the GMS to apply or manage you award, you can reach out to customer support in three different ways:

1. Call toll-free 1 (844) 735-0239
 - a. Available from 7:00am-7:00pm CDT
2. Online chat
 - a. Available from 8:00am-4:00pm CDT



3. Email support@ne-amplifund.zendesk.com

Record of Change

Find the Version identifier on the cover page of this document. Summary of Changes includes a brief description of the revisions.

Version	Date	Summary of Changes
1.0	10/17/2022	Initial Internships and Crime Prevention Program Manual
1.1	10/24/2022	Updated Letter of Intent (LOI) Due Date
1.2	10/27/2022	Updated Application Open Date
1.3	10/31/2022	Updated Application Open Date